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HIV-1 Gag: An Emerging Target for Antiretroviral Therapy

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Abstract

The advances made in the treatment of HIV-1 infection represent a major success of modern biomedical research, prolonging healthy life and reducing virus transmission. There remain, however, many challenges relating primarily to side effects of long-term therapy and the everpresent danger of the emergence of drug-resistant strains. To counter these threats, there is a continuing need for new and better drugs, ideally targeting multiple independent steps in the HIV-1 replication cycle. The most successful current drugs target the viral enzymes: protease (PR), reverse transcriptase (RT), and integrase (IN). In this review, we outline the advances made in targeting the Gag protein and its mature products, particularly capsid and nucleocapsid, and highlight possible targets for future pharmacological intervention.

Graphical Abstract

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HIV budding. HIV Gag protein (A) and Gag-pol (B) form arrays on the cell surface, capturing two copies of HIV genome (in y ellow), which dimerize through a specific sequence (C) and bind to a cellular transfer RNA (D) that will act as a primer for reverse transcription. Viral proteins $Vpr(E)$ and Vif (F) are also incorporated. Several cellular proteins of the ESCRT system (G) are involved in the process of budding

1. Introduction

1.1. The AIDS Epidemic

Human immunodeficiency virus (HIV), as the causative agent of acquired immunodeficiency syndrome (AIDS), is one of the most serious threats to human health throughout the world; in the absence of a protective vaccine, it is likely to remain a major human pathogen for the foreseeable future. There are two viruses responsible for AIDS in humans, HIV-1 and HIV-2, representing multiple independent zoonotic transmissions of simian immunodeficiency virus (SIV). HIV-1 passed into humans via a closely related chimpanzee virus (SIV_{cpz}), while HIV-2 was transferred from sooty mangabeys (Sharp and Hahn 2011). HIV-1 is the main cause of the global HIV pandemic (34 million infected people as of 2011); HIV-2 accounts for 1–2 million infections, almost exclusively in Africa, and AIDS in these patients generally progresses more slowly (Gottlieb et al. 2008; Nyamweya et al. 2013; UNAIDS 2013). People infected with HIV-1, in the absence of treatment, can harbor the virus for a decade or more without showing obvious clinical manifestations. Eventually, viral replication depletes the body of circulating CD4+ T cells below 200 cells per cm^3 ; this depletion of a critical immune cell leads to a wide range of

AIDS-defining opportunistic infections (Morgan et al. 2002; Swanstrom and Coffin 2012). Following the onset of AIDS, life expectancy is limited to a few years in the absence of antiretroviral therapy (Morgan et al. 2002). While disease prevention through behavioral changes has played a significant role in controlling the spread of the pandemic, a massive international effort has been directed toward developing therapies to prevent viral replication and restore immune function in patients (Fonner et al. 2012; Arts and Hazuda 2012). The resulting drugs have significantly improved the prognosis for people infected with HIV-1, enhancing their life expectancy and reducing their capacity to transmit the virus to others (Arts and Hazuda 2012). While many of the available drugs show efficacy against HIV-2, HIV-1 will be the focus of this review.

1.2 The HIV-1 Replication Cycle

As described in a number of recent reviews (Flexner 2007; Freed and Martin 2013; Telenti and Johnson 2012), defining the HIV-1 replication cycle has revealed a variety of targets for intervention (Fig. 1). Briefly, the replication process begins when an infectious viral particle encounters a target cell, typically a $CD4^+$ T cell. The surface envelope (Env) glycoprotein gp120 binds the primary receptor, CD4, and a co-receptor, either CCR5 or CXCR4, triggering conformational changes in the transmembrane Env glycoprotein gp41 that promote fusion of the viral and cellular membranes (Wilen et al. 2012). Fusion releases the viral core into the cytoplasm of the target cell where reverse transcription of the viral RNA to double- stranded DNA occurs (Hu and Hughes 2012). Reverse transcription appears to be coupled in some way to at least partial uncoating and transport of the incoming viral protein/ nucleic acid complex to the nuclear pore (Hulme et al. 2011). The precise timing of these early events and formation and composition of the so-called pre-integration complex (PIC) remain under active investigation. The PIC interacts with various transportins and nucleoporins (e.g., transportin 3 (TNPO3), NUP153, and NUP358) to drive import of the PIC into the nucleus (Matreyek and Engelman 2013). Once inside the nucleus, the viral cDNA is integrated into the host genome by the viral integrase (IN) and the cellular cofactor, lens epithelium-derived growth factor (LEDGF/p75) (Craigie and Bushman 2012; Krishnan and Engelman 2012). The integrated DNA, referred to as the provirus, is transcribed by the host cell machinery to generate full-length and various spliced mRNAs encoding the viral proteins (Karn and Stoltzfus 2012). The full-length RNA serves as both the genome encapsidated into assembling particles and the mRNA for Gag and GagPol polyprotein precursors (Bell and Lever 2013).

The Gag polyprotein precursor, Pr55^{Gag} (often simply referred to as "Gag"), is the major driver in virus assembly and release (Sundquist and Krausslich 2012). Specific functions of individual domains within Gag are described below. GagPol is produced by a rare (approximately 5 % of translation events)-1 ribosomal frame-shift that takes place at a socalled slippery sequence located near the 5′ end of the p6-coding region of Gag (Bell and Lever 2013). Frameshifting thus eliminates most of the p6 and instead results in the translation of the coding regions for the viral enzyme protease (PR), reverse transcriptase (RT), and IN. Gag and GagPol are translated in the cytoplasm but are rapidly transported to cholesterol-rich (so-called lipid raft) microdomains in the PM (Ono and Freed 2005; Waheed and Freed 2010). Targeting is directed in part by the phospholipid phosphatidylinositol-

(4,5)-bisphosphate (PI[4,5]P2) on the inner leaflet of the PM (Ono et al. 2004; Saad et al. 2006). Subsequent binding of nucleic acid, usually the genomic RNA, promotes Gag multimerization and assembly of the immature Gag lattice (Muriaux and Darlix 2010). Env is translated at the endoplasmic reticulum (ER) as a gp160 precursor and is cotranslationally inserted into the ER membrane and glycosylated (Checkley et al. 2011). gp160 traffics to the PM through the Golgi apparatus where it is cleaved by a furin-like protease to generate the gp120 and gp41 subunits of the Env complex. During assembly, the Env glycoproteins are incorporated into the immature Gag lattice as non-covalently associated heterotrimers (three molecules each of gp120 and gp41) (Checkley et al. 2011; Johnson 2011). The immature particle undergoes budding and release from the PM; the membrane scission event required for virus release is mediated through the recruitment by Gag of the endosomal sorting complexes required for transport (ESCRTs), a cellular budding and membrane scission apparatus that normally functions in multivesicular body (MVB) formation and cytokinesis (Votteler and Sundquist 2013; Weiss and Gottlinger 2011). During or shortly after release, the viral particle undergoes maturation, triggered by PR-mediated cleavage of Gag and GagPol polyprotein precursors to liberate the mature Gag and Pol proteins and permit the conversion of the immature Gag lattice into the mature conical core (Sundquist and Krausslich 2012). The maturation process also increases the fusogenicity of the Env glycoproteins (Murakami et al. 2004; Wyma et al. 2004). The mature, infectious particle is at this point competent to carry out a new round of infection.

In addition to the Gag, Pol, and Env proteins encoded by all replication-com-petent orthoretroviruses, HIV-1 also encodes regulatory proteins that greatly increase viral gene transcription (Tat) and nuclear–cytoplasmic export of unspliced viral RNAs (Rev) and several "accessory" proteins (Vpu, Vif, Nef, and Vpr) that to a large extent function in counteracting the innate antiviral response (Freed and Martin 2013). These regulatory and accessory proteins are described in a number of recent reviews and will not be discussed further here (Landi et al. 2011; Le Tortorec et al. 2011; Malim and Emerman 2008; Romani and Cohen 2012; Sharkey 2013).

1.3 The Diverse Roles of Gag During HIV-1 Replication

The Gag precursor is comprised of four major domains, matrix (MA), capsid (CA), nucleocapsid (NC) and p6, and two spacer peptides located between CA and NC (SP1) and between NC and p6 (SP2) (Fig. 2) (Bell and Lever 2013). The MA domain of Gag bears a bipartite membrane-binding domain, consisting of an N- terminal, covalently attached myristic acid and a highly basic patch of residues (Chukkapalli and Ono 2011). MA binds directly to the PM-specific phospholipid PI (4,5)P2, thereby ensuring that Gag is localized to the PM rather than to more abundant intracellular membranes (Chukkapalli et al. 2008; Ono et al. 2004; Saad et al. 2006). Solution nuclear magnetic resonance (NMR) spectroscopy data suggest a model whereby binding of MA to PI(4,5)P2 triggers exposure of the myristate moiety, allowing the latter to insert into the lipid bilayer and anchor Gag at the membrane (Saad et al. 2006). The MA domain also facilitates the incorporation of the viral Env glycoprotein complex into nascent virions (Freed and Martin 1995; Johnson 2011). The CA domain promotes Gag multimerization by engaging in multiple intermolecular interactions during assembly (Sundquist and Krausslich 2012). As a mature product, CA is the principle

viral protein responsible for the assembly of the capsid core during virus maturation (Briggs and Krausslich 2011). Recent evidence also suggests that CA, as part of the incoming reverse transcription complex (RTC), engages cellular transportins and nuclear pore components to regulate nuclear import of the PIC (Matreyek and Engelman 2013). The fulllength viral genomic RNA is recruited into particles via direct interactions with the NC domain of Gag. RNA binding by NC is driven by its overall positive charge, with specificity for viral genomic RNA imparted by two zinc finger motifs that interact with the RNA packaging signal (Muriaux and Darlix 2010; Lu et al. 2011). By binding nucleic acids, NC also promotes Gag multimerization during assembly and functions as a nucleic acid chaperone at a number of steps in the replication cycle (Rein 2010). The p6 domain of Gag contains the so-called late domains necessary for recruiting the ESCRT machinery to promote virus budding and release (Votteler and Sundquist 2013; Weiss and Gottlinger 2011). The spacer peptides regulate the kinetics of Gag processing, and SP1 forms part of a sequence contiguous with the C-terminus of CA that is necessary for Gag–Gag interactions during assembly (Datta et al. 2011; de Marco et al. 2012; Lee et al. 2012).

1.4 The Current State of Antiretroviral Drug Therapy

There are currently more than two dozen drugs approved for use against HIV-1, targeting several critical steps in the virus replication cycle (Fig. 1) (Arts and Hazuda 2012; Ballantyne and Perry 2013). The first drugs used to treat HIV-1 were nucleoside analogs, which inhibit reverse transcription by binding to the RT enzyme in place of authentic deoxynucleoside triphosphates (Arts and Hazuda 2012). These nucleoside RT inhibitors (NRTIs) are incorporated into the growing DNA chain, but cannot be extended, resulting in chain termination (Arts and Hazuda 2012). NRTIs showed marked efficacy in the short term, but resistance rapidly developed, and in many cases, resistance to one compound conferred resistance to several members of the class. This resistance drove research into new drug targets, the most successful of which have been the protease inhibitors (PIs), which prevent maturation of released HIV-1 virions, and the non-nucleoside RT inhibitors (NNRTIs), which bind RT allosterically to prevent enzymatic function. More recently, integrase strandtransfer inhibitors (INSTIs) have been developed and are being used with increasing frequency (Arts and Hazuda 2012). Drugs blocking gp120 binding to the co-receptor CCR5 or that block viral fusion with target cells by interacting with gp41 have been licensed, but are less widely used (Haqqani and Tilton 2013).

To counter the emergence of drug resistance, patients are typically treated with cocktails of three drugs simultaneously, with at least two independent mechanisms of action. Common regimens will combine two NRTIs with a PI, an NNRTI or an INSTI (Arts and Hazuda 2012). Such regimens have proved highly effective, extending life expectancy and slowing spread of the AIDS epidemic where treatment is available. Patients on combination antiretroviral therapy (cART) often show little or no clinical evidence of viral infection; however, the treatment is not a cure. Although in most treated patients virus can be detected only with highly sensitive methods, viral loads will rapidly rebound if treatment is stopped (Palmer 2013). If the dosing regimen is not followed diligently, suboptimal inhibition of replication can lead to emergence of viral resistance, compromising the efficacy of the therapy (Arts and Hazuda 2012); even when the regimen is followed rigorously, side effects

from long-term therapy reduce the life expectancy of HIV-1-infected patients relative to agematched uninfected individuals (Nakagawa et al. 2012). Consequently, there continues to be

a need for new drugs with superior characteristics (e.g., reduced toxicity and more convenient dosing regimens) and novel targets in the viral replication cycle.

Importantly for the purposes of this review, at present, there are no licensed drugs directly targeting the Gag protein. The therapeutic potential of Gag as an antiviral target is illustrated by the efficacy of the PIs, which prevent PR from cleaving Gag into its mature products (Fig. 2), thereby blocking maturation. Mat- uration is required for HIV-1 infectivity and can influence the function of other virion components. For example, Env is less fusogenic in immature than in mature particles (Murakami et al. 2004; Wyma et al. 2004).

In this review, we summarize recent progress in developing compounds that target the HIV-1 Gag protein and speculate on possible future avenues for therapeutic development. We will focus on the inhibitors of maturation, CA and NC, as these have yielded the most promising results. Compounds that act by disrupting the CA lattice have demonstrated excellent efficacy in tissue culture-based experiments, providing proof of concept for the viability of these inhibitors. The best NC inhibitors go a step further and can suppress viral infection in animal models. Finally, the first-generation HIV-1 maturation inhibitor was used successfully in human subjects, while research continues to improve efficacy and reduce viral resistance in the second generation of these compounds. Following discussion of these topics, we will also address targets such as MA and p6 that, while currently undeveloped, could in the future provide viable approaches to novel antiviral therapy.

2 Maturation

Given the potency of PIs, it is perhaps not surprising that one of the most successful attempts to target Gag so far involves blocking maturation of the viral core. A class of compounds known as the maturation inhibitors prevents the PR-mediated cleavage between CA and SP1, leading to the accumulation of CA–SP1 precursor and loss of viral infectivity. Because incomplete processing at the CA–SP1 junction strongly interferes with virion maturation and particle infectivity (Checkley et al. 2010; Muller et al. 2009), even a partial block to cleavage at this site can elicit a potent antiviral effect. The first compound reported to block CA–SP1 processing was a betulinic acid derivative, $3-O^{3'}$, $3'$ -dimethylsuccinyl)-betulinic acid (DSB), also known as YK-FH312, PA-457, or Bevirimat (BVM). BVM was originally described as a compound capable of inhibiting processing at the CA–SP1 junction, causing aberrant virion morphology, and inhibiting the replication of HIV-1 in culture with an IC_{50} of ~10 nM (Li et al. 2003). This work, and subsequent studies, identified the CA–SP1 junction as the likely site of inhibitor binding, and a panel of resistant mutants were identified with amino acid substitutions in either the carboxy (C)-terminus of CA or the amino (N)-terminus of SP1 (Adamson et al. 2006; Li et al. 2003, 2006; Nguyen et al. 2011; Zhou et al. 2006). An additional feature of maturation inhibitors that may contribute to their antiviral activity is their ability to stabilize the immature Gag lattice (Keller et al. 2011, 2013).

The in vitro results described above were followed by successful phase I and phase II clinical trials, demonstrating safety and efficacy in a small number of patients (Martin et al. 2007a, b; Smith et al. 2007; Yebra and Holguin 2008). The outcomes of larger phase IIb trials, however, were mixed. While efficacy was seen in nine out of twenty patients, eleven displayed no response (Van Baelen et al. 2009). Patients who did not respond to BVM therapy were infected with viral strains containing polymorphisms in the CA–SP1 region that rendered them less susceptible to the compound (Adamson et al. 2010; Van Baelen et al. 2009); mutations in SP1 residue 6–8, in particular replacing valine at position 7 with either alanine or methionine, were associated with high resistance to BVM. The V7A polymorphism is found in the consensus sequences for subtypes C, D, F, and G; subtype C is the dominant strain in large parts of Africa and Asia and accounts for approximately 50 % of HIV-1 infections globally (Hemelaar et al. 2011) ([http://www.hiv.lanl.gov/\)](http://www.hiv.lanl.gov/). The prevalence of resistance-conferring polymorphisms led to the discontinuation of BVM as a potential therapeutic agent (Margot et al. 2009; Seclen et al. 2010; Verheyen et al. 2010).

In 2009, a second, structurally unrelated molecule, PF-46396, was shown to inhibit CA–SP1 processing with an IC50 against laboratory isolates between 17 and 5000 nM (Blair et al. 2009). Clinical isolates likewise displayed variable sensitivity (Blair et al. 2009). PF-46396 exhibited lower potency in vitro than BVM, but appeared to function by a similar mechanism, as virus passage experiments selected for a variety of resistance mutations, again clustering in the CA–SP1 junction region (Blair et al. 2009; Waki et al. 2012). In addition, some of the mutations conferring resistance to PF-46396 were far upstream of the CA–SP1 junction; these included several in the major homology region (MHR) of CA (Waki et al. 2012). The MHR is a highly conserved motif in retroviral CA domains that plays an important but still incompletely understood role in Gag assembly [for review, see Freed (1998), Mateu (2009)]. These MHR mutations were associated with loss of fitness and drug dependency—they could replicate only in the presence of PF-46396 (Waki et al. 2012).

BVM and PF-46396 provide proof of concept that inhibitors of CA–SP1 processing can potently inhibit HIV-1 replication and, in the case of BVM, can reduce viral loads in infected patients. In addition, these compounds are not only active against PI-resistant viruses, but PI-resistant mutants have a reduced capacity to acquire mutations conferring BVM resistance. This is presumably due to a cumulative fitness cost, implying potential synergy between these drug classes (Adamson et al. 2009). Another study highlighted the complex relationship between mutations in PR and susceptibility to BVM, finding a more diverse range of BVM resistance mutations when selection was performed in a PI-resistant background (Fun et al. 2011). New maturation inhibitors are being produced based on the BVM scaffold, and it now appears likely that compounds can be identified that surpass BVM in terms of efficacy against a broad range of viral isolates, including those containing polymorphisms in the CA–SP1 boundary region (Coric et al. 2013; Dang et al. 2012, 2013; Qian et al. 2012). Extensive analysis of resistance mutations (Adamson et al. 2006; Waki et al. 2012) and structural analyses using NMR (Coric et al. 2013) have provided information that begins to delineate the boundaries of the maturation inhibitor-binding pocket; this information, coupled with direct structural analysis, may enable the rational design of additional classes of compounds that, like BVM and PF-46396, target CA–SP1 cleavage.

Although currently available maturation inhibitors block CA–SP1 processing, it is theoretically possible that small molecules could be developed that target other cleavage sites within Gag. Indeed, several studies have demonstrated that Gag- processing intermediates can exhibit potent trans-acting inhibitory activity (Checkley et al. 2010; Lee et al. 2009; Muller et al. 2009), highlighting the potential utility of this approach.

3 CA

CA is the domain primarily responsible for the structure of both the immature Gag lattice and the mature viral core. Compounds targeting CA could thus block or perturb the assembly of either of these critical structures. CA folds into two domains, an N-terminal domain (NTD) and a C-terminal domain (CTD). The CTD appears to be the major determinant in CA dimerization and multimerization (Franke et al. 1994; Gitti et al. 1996). The CTD also contains the MHR, which, as mentioned above, plays an essential role in Gag assembly. The NTD bears a Pro-rich loop that binds cyclophilins, most notably cyclophilin A (CypA) (Luban et al. 1993). In the immature particle, the Gag precursor, $Pr55^{Gas}$, assembles into a hexagonal lattice. The lattice contains gaps that allow it to form a spherical structure underlying the viral membrane (Briggs et al. 2009). After Gag processing by PR, the mature CA protein reassembles to form the conical capsidcore in which CA again adopts a curved hexagonal arrangement. The hexagonal CA lattice is closed off at both ends by a defined number of CA pentamers: seven at the wide end and five at the narrow end. In the mature core, the characteristic CA hexamers and pentamers are formed by NTD–NTD and intermolecular NTD–CTD interactions, while CTD–CTD interac- tions connect hexamers into an extended lattice (Ganser-Pornillos et al. 2007, 2009). Although both the immature and mature Gag lattices are predominantly hexameric, inter-subunit contacts differ significantly in these two structures (Bharat et al. 2012). Consistent with this myriad of interactions, scanning mutagenesis revealed that most residues in CA are essential for efficient virus replication (Rihn et al. 2013). Mutations in CA that either stabilize or destabilize the capsid core disrupt virus infectivity (Forshey et al. 2002), indicating that core stability is fine-tuned to allow ordered disassembly during the early phase of the virus replication cycle. One could thus envision CA-based inhibitors that act by either stabilizing or destabilizing the core. Indeed, as described below, compounds with both types of activities have been described.

Growing evidence suggests that the capsid core does not fully disassemble postentry but rather remains intact, to an undetermined extent, until the core docks with the nuclear pore. Structures that appear to be conical capsid cores have been visualized by scanning electron microscopy at nuclear pores (Arhel et al. 2007), and more recently, several factors that restrict HIV-1 infection as part of the cellular innate immune response have been shown to interact with CA. The species-specific retroviral restriction factor TRIM5α (Stremlau et al. 2004) and the related protein TRIMCyp (Sayah et al. 2004) block infection by binding to CA on the incoming core. Binding to CA accelerates viral uncoating, potentially as a consequence of TRIM5α assembling on top of the hexameric CA lattice (Ganser-Pornillos et al. 2011). The antiviral activity of the newly discovered HIV-1 restriction factor Mx2 (also known as MxB), which is associated with a defect in viral nuclear import, is also determined by CA; mutations in the Pro-rich loop in CA that binds CypA allow HIV-1 to evade Mx2

restriction (Goujon et al. 2013; Kane et al. 2013; Liu et al. 2013). It is conceivable that the antiviral activity of these restriction factors could be harnessed therapeutically, perhaps by upregulating their expression or by interfer- ing with the ability of CA mutations to evade their inhibitory potential.

CA molecules on the incoming core interact not only with restriction factors but also with transportins and nuclear pore components that promote HIV-1 infection by facilitating nuclear entry of the viral PIC. In dividing cells, the nuclear mem- brane breaks down during mitosis, allowing unfettered access of the incoming PIC to the host cell DNA. However, this dissolution of the nuclear Env does not take place in non-dividing cells, requiring active transport of the PIC through nuclear pores for the viral DNA to gain access to the host chromosomes. CA was shown to be the major viral determinant responsible for the ability of lentiviruses to infect non-dividing cells (Yamashita et al. 2007). Host factors responsible for HIV-1 nuclear import, for example, the karyopherin TNPO3 and the nuclear pore proteins Nup153 and Nup358, were initially identified in genomewide RNAi screens as host factors essential for HIV-1 infection (Brass et al. 2008; Konig et al. 2008). Follow- up studies provided evidence for direct interaction between some of these host factors and CA. TNPO3 binds to the viral core (Valle-Casuso et al. 2012), and the sensitivity to TNPO3 depletion can be altered by mutations in CA (De Iaco and Luban 2011; Krishnan et al. 2010). Although the precise mechanism by which TNPO3 promotes HIV-1 nuclear import remains to be defined, a recent study (De Iaco et al. 2013) suggests that TNPO3 regulates the nuclear import of cleavage and polyadenylation specificity factor subunit 6 (CPSF6), a factor that also binds CA (Lee et al. 2010). In TNPO3-depleted cells, CPSF6 accumulates in the cytosol, where it binds to and stabilizes the viral core, thereby preventing nuclear import (De Iaco et al. 2013). Nup358 contains a cyclophilin domain that binds the Pro-rich loop of CA originally identified as the CypA binding site (Bichel et al. 2013; Luban et al. 1993). It has been suggested that Nup358 and CypA binding to the incoming capsid core serves to protect HIV-1 from being recognized by the cellular innate immune response (Rasaiyaah et al. 2013).

An increasing number of small molecules and peptide-based compounds have been shown to inhibit various aspects of CA function (Table 1; Fig. 3). Antiviral activity is mediated by disrupting CA–CA interactions in the immature Gag lattice, the mature CA core, or both. The first CA-targeting compounds to be developed were CAP-1 and capsid assembly inhibitor (CAI) (Sticht et al. 2005; Tang et al. 2003). These were effective at blocking CA assembly in vitro and, in the case of CAP-1, inhibited virus infection of cells. However, the IC_{50} s were relatively high, and CAI, a peptide inhibitor, was unable to cross cell membranes. CAI was obtained through a phage display screen for CA-binding peptides (Sticht et al. 2005) and was shown by X-ray crystallography to bind a hydrophobic cavity in the CA–CTD, thereby destabilizing the CTD–dimer interface (Ternois et al. 2005) (Fig. 3b). The CAI peptide was modified by intramolecular hydrocarbon "stapling" to stabilize its conformation and enhance its membrane permeability (Zhang et al. 2008). The resulting molecule, NYAD-1, was subsequently modified to improve solubility in water, generating NYAD-13 (Bhattacharya et al. 2008). NYAD-1 and NYAD-13 targeted the same site in CA as CAI, but displayed enhanced binding affinity and membrane permeability and demonstrated efficacy against numerous laboratory and clinical isolates of HIV-1

(Bhattacharya et al. 2008; Zhang et al. 2008). Modification of the sites of NYAD-1 stapling resulted in several peptides that displayed dual activity; they not only bound CA but also blocked virus entry in a V3-loop- dependent manner (Zhang et al. 2013). The stapled peptide NYAD-201 was designed to mimic the dimerization domain of CA (Zhang et al. 2011). Like NYAD-1, this peptide can cross the cell membrane and inhibit virus production (Zhang et al. 2011). Several peptides were designed to mimic helical domains of the CA– CTD that are involved in inter-subunit interactions. These peptides, CAC1 and derivatives, inhibited CA assembly in vitro and displayed increased potency when used in combination (Bocanegra et al. 2011; Garzon et al. 2004). Thus far, these peptides remain comparatively weak binders and none is able to enter cells, although some cell uptake can be achieved when the CAC1 peptides are provided together with a cell-penetrating peptide (Bocanegra et al. 2011).

In addition to the CA-targeted peptides described above, a number of small molecules have been reported that disrupt CA assembly both in vitro and in cell-based assays. An early example was CAP-1, which was identified through computational screening of compound libraries for molecules that bind pockets in CA. Binding to CA was verified by NMR titration analysis (Tang et al. 2003). CAP-1 was shown to disrupt particle assembly in cellbased assays and to alter virion morphology at a high (100 μM) concentration (Tang et al. 2003). By combining NMR and X-ray crystallography approaches, CAP-1 was shown to bind via an induced-fit mechanism into a pocket (referred to as "site 1" in Fig. 3a) at the base of the CA–NTD normally occupied by the aromatic ring of Phe-32 (Kelly et al. 2007). Binding at this site and displacement of Phe-32 likely disrupt intermolecular NTD– CTD interactions within the hexamer (Kelly et al. 2007).

Several studies over the past few years have used high-throughput in vitro CA assembly assays to screen compound libraries for inhibitors of CA–CA interactions. The most recent additions to the growing family of CA-binding inhibitors are the benzodiazepine (BD) and benzimidazol (BM) compounds (Fader et al. 2011; Lemke et al. 2012). The best of this series display antiviral activity in culture with IC50s under 100 nM. These compounds bind to the above-mentioned CAP-1 pocket ("site 1"; Fig. 3a) at the base of the CA–NTD. Despite binding the same site in CA, the BD and BM compounds differ in their mechanism of action: The BD family inhibits assembly of the immature Gag lattice, preventing virus particle production. By contrast, the BM family only weakly inhibits virus assembly, but efficiently disrupts virus maturation and therefore infectivity.

A second class of CA-binding inhibitors acts early during infection, destabilizing the incoming viral core and inhibiting reverse transcription and possibly nuclear import. The best-described member of this class is the Pfizer compound, PF-3450074 (Blair et al. 2010; Shi et al. 2011). A second compound possessing what appears to be a similar mechanism of action has been described, 2-(benzothiazol-2-ylmethylthio)-4-methylpyrimidine (BMMP) (Shi et al. 2011; Urano et al. 2011). Both of these compounds disrupt CA assembly in vitro, but in cells the inhibition seems to be imposed predominantly post-entry but before integration. PF-3450074 binds the CA–NTD at a site distinct from the CAP-1 pocket (depicted as "site 2" in Fig. 3a). Two additional compounds were recently described by Boehringer Ingelheim, BI-1 and BI-2 (Lamorte et al. 2013). These pyrrolopyrazolones share

the binding site of PF-3450074 (site 2; Fig. 3a), but surprisingly appear to stabilize rather than destabilize the CA lattice. Intriguingly, the binding pocket of PF-3450074 and BI-1/2 (site 2) is also the interaction site for the host proteins CPSF6 and the nucleoporin NUP153 (Lee et al. 2010; Matreyek et al. 2013; Price et al. 2012) [for review, see Matreyek and Engelman (2013)]. These observations raise the possibility that BI-1 and BI-2 may disrupt viral nuclear import by competitively interfering with the binding between host factors and the incoming capsid. Cyclosporin A (CsA) and its non-immuno- suppressive analogs prevent the binding of cyclophilins to CA; these compounds have long been known to impair HIV-1 replication (Luban et al. 1993; Thali et al. 1994), but an understanding of their mechanism of action has remained elusive. It has recently been suggested that by blocking the binding of CypA or Nup358 to CA, cyclosporins "unmask" the viral core, allowing it to be recognized by restriction factors (De Iaco and Luban 2014) or other components of the host innate immune response (Rasaiyaah et al. 2013).

A small-scale study of cyclosporin treatment in HIV-1/hepatitis C virus (HCV)-co-infected patients demonstrated a strong anti-HCV effect, but no significant inhibition of HIV-1 (Flisiak et al. 2008). Similarly, trials of cyclosporin as an addition to standard ART did not reveal an advantage compared to ART alone (Lederman et al. 2006; Markowitz et al. 2010). It is possible that therapeutically effective concentrations of these compounds may be difficult to achieve in patients. In addition, HIV-1 isolates have been identified that replicate independently of cyclophilin A and are insensitive to, or even dependent upon, cyclophilin inhibitors (Aberham et al. 1996; Ptak et al. 2008). Residue 87 of CA is typically histidine; glutamine or proline at this position confers resistance to cyclosporine. A survey of known HIV-1 isolates in the Los Alamos database [\(http://www.hiv.lanl.gov/](http://www.hiv.lanl.gov/)) suggests that these resistant polymorphisms are present in greater than 20 % of sequences, and examples can be found in most subtypes (Gallay et al. 2013). Inhibition of viral nuclear import by targeting the interaction between CA and karyopherins and nuclear pore components may represent a feasible approach to inhibiting HIV-1 replication; however, the ability of the virus to exploit multiple nuclear import pathways (Lee et al. 2010) makes this approach challenging.

As has been the case with many antiretroviral compounds (Adamson and Freed 2008), identification of resistant mutants arising during in vitro propagation of CA-binding inhibitors has provided insights into the compounds' target and mechanism of action. For example, mutations that confer resistance to BI-2 cluster around CA–NTD site 2 and prevent the enhancement of capsid stabilization conferred by this compound (Lamorte et al. 2013). While some of the mutations conferring resistance to the BM inhibitor prevent compound binding to CA, other resistance mutations in CA are located outside the inhibitor-binding site and stabilize CA assembly, suggesting an indirect mechanism of resistance that offsets the destabilizing activity of the BM compounds (Lemke et al. 2012).

A third region of CA–NTD has been identified as the binding site for a family of benzimidazole CA assembly inhibitors. These compounds bind between helix 6 and the cyclophilin-binding loop but do not prevent cyclophilin binding (Goudreau et al. 2013b).

Progress continues to be made studying the structure of CA. Models of the immature CA lattice and the conformational shifts that occur during retroviral maturation have been

examined by cryo-electron tomography and cryo-EM of Mason–Pfizer monkey virus (M-PMV) Gag (Bharat et al. 2012). A pseudoatomic model of the immature HIV-1 CA lattice was developed by fitting the HIV-1 CA crystal coordinates onto the M-PMV cryo-EM map (Bharat et al. 2012). A cryo-EM approach coupled with a large-scale molecular dynamics simulation has generated an all-atom model for the HIV-1 capsid core (Zhao et al. 2013). These high-resolution models of both immature and mature structures should aid in continued exploration of the CA protein as a potential therapeutic target. While it is not clear that any of the CA-targeted inhibitors reported thus far represent viable leads for clinical development, ongoing progress from both the drug discovery and structural biology perspectives suggests that further efforts in this direction are warranted.

4 NC

NC is a small (7 kDa), basic, nucleic acid-binding protein containing two zinc fingers (Fig. 4). The presence of one or two zinc fingers in NC is one of the most conserved structural elements in orthoretroviral Gag proteins. As part of the Gag precursor, the basic residues in the NC domain are critical for the nucleic acid-binding function that, during assembly, promotes Gag multimerization. The zinc fingers confer specificity to the nucleic-acidbinding properties of the NC domain, allowing Gag to recruit the viral genomic RNA into the virus particle by binding the packaging signal in the genomic RNA. In addition to its roles in Gag assembly and genomic RNA encapsidation, as a mature protein, NC promotes post-entry events including reverse transcription. These activities are attributed to the ability of NC to act as a nucleic acid chaperone (Levin et al. 2010).

Several classes of inhibitors have been developed that disrupt NC activity [reviewed by (de Rocquigny et al. 2008)]. These include zinc-ejecting compounds, zinc-finger-binding nonzinc ejectors, peptidomimetics, and RNA aptamers. Zinc ejectors block NC function by displacing the zinc ions from the zinc fingers. Early zinc-ejecting compounds displayed activity in vitro and in culture, but toxicity precluded clinical development (Morcock et al. 2005; Rice et al. 1993, 1995). Zincejecting compounds include 2,2′-dithiobis[benzamides] (DIBAs) and pyrimidino-alkanoyl thioesters (PATEs), molecules with improved specificity compared to earlier ejectors (Goel et al. 2002). The most recently developed class of zincejecting compounds, the S-acyl-2-mercaptobenzamide thioesters (SAMTs), shows much improved efficacy and specificity relative to earlier compounds (Miller Jenkins et al. 2010). These compounds enter the cells as pro-drugs before being acylated intracellularly. They covalently modify the C-terminal zinc finger of NC, irreversibly preventing zinc binding. Members of this family have been developed that exhibit low toxicity (CC_{50} s >100 μM) and EC50s in the low-micromolar range, e.g., SAMT-247 EC50 0.6–5.7 μM (Miller Jenkins et al. 2010).

Several groups have performed screens to identify small molecules that disrupt NC/nucleic acid interactions. Breuer et al. reported two compounds capable of binding NC in vitro and inhibiting HIV-1NL4–3 in single-cycle infectivity assays with EC_{50} s of 0.32 and 3.5 μ M (Breuer et al. 2012). Activity against replicating virus in primary T cells was also apparent (Breuer et al. 2012). Another family of NC inhibitors was described by Boerhinger Ingelheim that binds simultaneously to both zinc fingers of NC, thereby blocking NC

interactions with RNA. These compounds inhibit HIV-1 replication in culture with low-μM EC_{50} s but display a relatively low therapeutic index (Goudreau et al. 2013a). As yet, these molecules have not been tested in clinical trials or screened for the development of resistance; they do in some cases, however, show encouraging signs of activity with lower toxicity to host cells, and the SAMT molecules have been evaluated in rhesus macaques infected with SIV/HIV (SHIV) chimeras (Wallace et al. 2009).

NC inhibitors have also been identified by using an assay specific for NC binding to cTAR DNA, in an attempt to target the nucleic acid chaperone activity of NC (Shvadchak et al. 2009). The assay was able to uncover several hits with low-micromolar IC_{50} s in vitro; however, these compounds have not thus far been tested in cells.

Although 25 years of research has yet to identify a clinically effective HIV-1 inhibitor that targets NC, the importance of NC in multiple steps of the virus replication cycle provides a strong argument that these efforts should continue.

5 MA

The MA domain of Gag plays two primary roles during the virus replication cycle: It directs Gag to the plasma membrane early in the assembly process, and it promotes the incorporation of the viral Env glycoproteins into nascent virions. The N-terminus of MA is covalently modified with a myristic acid moiety; this N-terminal myristylation is essential for membrane binding. The myristate moiety has been shown to be oriented in two distinct conformations: A folded-back conformation in which it is sequestered in a hydrophobic groove in the globular core of MA, and an exposed conformation (Tang et al. 2004) (Fig. 5a, b). The specificity of membrane association is conferred by sequences downstream in MA, in particular a highly basic patch of residues located between residues 17 and 31. Mutation of these residues induces the mistargeting of Gag to a late endosomal or MVB compartment within the cell (Freed et al. 1994; Ono and Freed 2004; Ono et al. 2000). Early structural data suggested that the basic patch would juxtapose the membrane (Massiah et al. 1994), leading to the proposal that these positively charged residues might interact with negatively charged phospholipids on the inner leaflet of the lipid bilayer (Hermida-Matsumoto and Resh 1999; Zhou and Resh 1996). The most significant phospholipid in this regard is $P1(4,5)P_2$; depletion of this phosphoinositide causes Gag to be mislocalized in the cell in much the same way as is induced by mutation of the basic patch (Ono et al. 2004). A direct interaction between MA and $PI(4,5)P_2$ was demonstrated by NMR (Fig. 5a, b); interestingly, not only were electrostatic interactions between MA and PI(4,5)P2 evident in this structure but also $PI(4,5)P_2$ binding to MA led to increased exposure of the N-terminal myristic acid (Saad et al. 2006) (Fig. 5b). One of the acyl chains of $PI(4,5)P_2$ was observed to pack into a hydrophobic groove in MA distinct from the above-mentioned myristate groove (Saad et al. 2006). Whether such packing occurs in the context of membrane-bound PI(4,5)P2 remains to be established; in such a scenario, the acyl chain would have to be extruded from the lipid bilayer to be available for packing interactions with MA.

The second major function of MA involves the incorporation of the viral Env glycoproteins into virions [for review, see (Checkley et al. 2011)]. Single-amino-acid mutations in MA

block the incorporation of full-length HIV-1 Env without affecting any other viral function (e.g., assembly or infectivity). The block to Env incorporation imposed by these MA mutations is relieved by truncating the HIV-1 gp41 cytoplasmic tail or by providing a foreign short-tailed Env glycoprotein in trans (pseudotyping). Interestingly, the incorporation of C-terminally truncated HIV-1 Env occurs efficiently in only a small subset of laboratory cell lines; in physiologically relevant cell types, such as primary CD4+ T cells and monocyte-derived macrophages, gp41 cytoplasmic tail truncations block Env incorporation (Akari et al. 2000; Murakami and Freed 2000). These findings lend support to the hypothesis that differentially expressed host factors contribute to the trafficking and/ or incorporation of HIV-1 Env and that such putative host factors bind the gp41 cytoplasmic tail. Tail-interacting protein of 47 kDa (TIP47) was suggested as a candidate for this function (Lopez-Verges et al. 2006), but these findings were not independently confirmed (Checkley et al. 2013). More recently, Rab11-FIP1c was reported to be a host factor that regulates Env incorporation (Qi et al. 2013); further study will clarify its role in HIV-1 replication.

Compounds have been described that target the $PI(4,5)P_2$ -binding groove, presumably preventing the targeting of Gag to the PM and myristate exposure during assembly (Saad et al. 2006; Zentner et al. 2013a, b). The most active compound inhibited HIV-1 production in cell culture with an IC50 in the 5–20 μM range (Zentner et al. 2013a). Although selection of resistant mutants was not performed, mutations engineered into the putative $PI(4,5)P_2$ binding cleft (e.g., L21A and T81A) were found to abolish sensitivity to the compound.

Another potential target in MA is the myristate-binding groove. As mentioned above, the myristic acid moiety is in equilibrium between a folded-back conformation in which it packs into a hydrophobic groove in MA and an exposed conformation. Compounds that displace the myristate from its folded-back ("sequestered") conformation should alter Gag interaction with the PM. It is not clear whether such compounds would decrease or increase Gag– membrane binding. However, mutations that disrupt myristate exposure impose defects in membrane association and virus assembly (Freed et al. 1994; Ono and Freed 1999; Saad et al. 2006). Conversely, mutations that increase membrane binding, potentially by triggering myristate exposure, cause replication defects at a post-entry stage (Kiernan et al. 1998). It therefore seems likely that perturbation of myristic acid exposure would be detrimental to virus replication.

Early structural studies indicated that both HIV-1 and SIV MA form a trimeric lattice upon crystallization (Hill et al. 1996; Rao et al. 1995). More recent work demonstrated that, when assembled on a two-dimensional membrane, MA or MA–CA fusions form hexamers of trimers, with the MA-induced trimers orienting themselves on top of the underlying hexameric lattice formed by CA (Alfadhli et al. 2009). Point mutations in MA that disrupt Env incorporation encircle a hole, or gap, in the hexamer-of-trimers lattice, suggesting that MA trimer formation may play an important role in Env incorporation. However, direct evidence for MA trimers in the context of HIV-1 particles was lacking. Recently, it was observed that mutations at the trimer interface could rescue a wide range of Envincorporation-deficient MA mutants, leading to the proposal that MA trimers do indeed exist in virions and play an important role in Env incorporation (Tedbury et al. 2013). It therefore appears likely that compounds that bind the MA trimer interface, thereby altering trimer

formation, would be disruptive to Env incorporation. Because of the critical requirement for Env during virion binding and entry, such compounds would likely display antiviral activity.

6 p6

The p6 region of HIV-1 Gag bears the so-called late domains that recruit cellular machinery required for virus release. At the core of this machinery is the ESCRT apparatus, composed of four multiprotein complexes (ESCRT-0, I, II, and III) and a variety of factors that interface directly or indirectly with these complexes. The hijacking of cellular ESCRT machinery by HIV-1 and other retroviruses (and, more broadly, non-retroviral enveloped viruses) has been reviewed elsewhere (McCul- lough et al. 2013; Votteler and Sundquist 2013) and will not be described in detail here. In brief, however, p6 contains two late domains: a Pro-Thr-Ala-Pro (PTAP) motif that binds directly to the ESCRT-I component Tsg101, and a Tyr-Pro-X_n-Leu sequence (YPX_nL, where X is any residue, and $n = 1-4$ amino acids) that binds to the ESCRT-associated factor Alix. Although the dominant late domain for HIV-1 is the Tsg101-binding PTAP motif (Demirov et al. 2002; Gottlinger et al. 1991; Huang et al. 1995), the Alix-binding YPX_nL motif is also required for efficient HIV-1 replication in relevant cell types (Fujii et al. 2009). The PTAP–Tsg101 and $YPX_nL-Alix$ interaction interfaces, for which structures are available (Fisher et al. 2007; Im et al. 2010; Lee et al. 2007; Pornillos et al. 2002; Zhai et al. 2008) (Fig. 6), could in theory be amenable to high-throughput screening for small-molecule inhibitors. It is not clear from a therapeutic perspective whether both interactions would need to be targeted simultaneously to achieve a high degree of antiviral potency or whether disrupting PTAP–Tsg101 binding would be sufficient.

p6 also contains a binding site for the HIV-1 accessory protein Vpr, thereby enabling Gag to recruit Vpr into virions. A number of functions for Vpr in culture have been described, and this protein appears to contribute to viral replication and pathogenesis in vivo [for reviews see (Kogan and Rappaport 2011; Planelles and Barker 2010)]. Whether potent inhibitors of p6–Vpr binding could be obtained remains to be determined.

7 Conclusions

The advances made in suppressing HIV-1 replication in infected patients represent one of the greatest success stories of modern medicine, and infected patients receiving treatment can now expect to live many decades longer than they would without therapy. This success has not been complete, however. Although manageable, HIV-1 infection remains typically incurable and the drugs used to control it cause harmful side effects in many patients. The evolution of drug resistance by HIV-1 continues to be an ongoing challenge. In this background, it is clear that improved drugs and drugs with novel targets are essential to maintain the efficacy of ART in the long term. As the protein primarily responsible for driving HIV-1 assembly, Gag is an attractive target for such efforts and the compounds and strategies discussed above exploit a range of distinct targets within the Gag protein, acting early and late in the replication cycle (Fig. 7). Compounds that inhibit maturation by blocking CA–SP1 cleavage or by binding CA possess encouraging properties and disrupt HIV-1 replication at reasonably low concentrations. Although much work remains to

produce compounds suitable for use in humans, these studies demonstrate the potential value of compounds targeting Gag and suggest that in the future these inhibitors may join the armamentarium of drugs available to combat the ongoing AIDS pandemic. In addition to clinical benefits for HIV-infected patients, drug discovery efforts will also continue to provide novel and fundamental insights into the molecular mechanisms regulating HIV-1 replication.

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Fig. 1.

Schematic of the HIV-1 replication cycle. Viral and cell components are labeled in *italics*, processes in plain text, and processes that can be inhibited by current anti-retrovirals are boxed. MA, red; CA, blue; NC, green; p6, orange; Env, purple; viral RNA, cyan; viral cDNA, brown

Fig. 2.

Schematic of HIV-1 Gag indicating major functional motifs. The myristic acid and highly basic region of MA mediate membrane interactions of Gag. Residues in MA that have been shown to affect Env incorporation are indicated with dashed vertical lines. CA is divided into N-terminal and C-terminal domains, NTD and CTD, respectively. The NTD promotes pentamer formation, while the CTD, which also contains the MHR, is required for CA dimerization and multimerization. NC contributes to Gag assembly by binding nucleic acid, typically the viral genome, via its zinc finger motifs, leading to long-range Gag multimerization. p6 contains the late domains PTAP and YPXL, which bind TSG101 and ALIX, respectively, thereby recruiting the ESCRT machinery to facilitate virus budding from the cell membrane. MA, red; CA, blue; NC, green; p6, orange. Spacer peptides SP1 and SP2 are indicated, as is the approximate length of the Gag precursor (500 amino acids)

Fig. 3.

Crystal structures of the NTD (**a**) and CTD (**b**) of CA. Binding sites of CA inhibitors are indicated, where known, as transparent overlays. **a** The binding site of BM, BD, and CAP-1 is known as "site 1"; the binding site of PF-3450074 and BI-1/2 is known as "site 2." **b** The binding site of CAI, NYAD-1, and CAC1 at the CTD dimer interface is indicated. Helix (h) numbers are indicated. Structures for NTD and CTD were generated in Pymol based on Protein Data Bank (PDB) coordinates 1GWP and 1A80, respectively (Gamble et al. 1997; Tang et al. 2002)

Structure of HIV-1 NC. Residues 12–53 of NC are shown in green. The zinc coordinating residues are shown, with side chains, in red around the two zinc ions (gray spheres). Structure generated using Pymol, based on PDB coordinates 1ESK

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Fig. 5.

The MA myristyl switch. HIV-1 MA exists in two conformations: **a** In the cytoplasm, the hydrophobic myristic acid moiety is sequestered into a groove on the surface of the protein. **b** At the plasma membrane, MA binds to $PI(4,5)P_2$ (di-C4-PI[4,5]P₂ in this structure), causing a conformational shift and exposure of myristic acid. a and b were generated using Pymol and PDB coordinates 2H3I and 2H3Q, respectively (Saad et al. 2006). MA, red; myristic acid, green; myristate-binding groove, blue; PI(4,5)P₂ binding residues, orange; di-C4-PI(4,5) P_2 , cyan

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Fig. 6.

Late-domain peptide binding to ESCRT proteins. **a** TSG101 ubiquitin E2 variant (UEV) domain bound to PTAP peptide. **b** View of UEV–PTAP interaction, facing into the binding groove. **c** ALIX V domain bound to YPLTSL peptide. **d** Close-up view of the ALIX-YPLTSL binding site. Host proteins are shown in *gray*, with binding sites in *blue*. Latedomain peptides are shown in *orange*, with interacting residues in *red*. Structures of latedomain interactions with TSG101 and ALIX are generated using Pymol with PDB coordinates 1M4P and 2RO2, respectively (Pornillos et al. 2002; Zhai et al. 2008)

Fig. 7.

Regions of the Gag molecule that may be targeted to inhibit HIV-1 replication. Domain colors as in Fig. 1. Text colors indicate the stage of the replication cycle that is primarily affected: assembly, red; maturation, blue; infection, green

Table 1

Capsid-targeting compounds with demonstrated activity against HIV-1

