



# Genome-wide association study of cerebrospinal fluid neurofilament light levels in non-demented elders

Li-Dong Niu<sup>1#</sup>, Wei Xu<sup>1,2#</sup>, Jie-Qiong Li<sup>1</sup>, Chen-Chen Tan<sup>1</sup>, Xi-Peng Cao<sup>3</sup>, Jintai Yu<sup>4</sup>, Lan Tan<sup>1</sup>; Alzheimer's Disease Neuroimaging Initiative<sup>†</sup>

<sup>1</sup>Department of Neurology, Qingdao Municipal Hospital, Qingdao University, Qingdao 266071, China; <sup>2</sup>College of Medicine and Pharmaceutics, Ocean University of China, Qingdao 266003, China; <sup>3</sup>Clinical Research Center, Qingdao Municipal Hospital, Qingdao University, Qingdao 266071, China; <sup>4</sup>Department of Neurology and Institute of Neurology, WHO Collaborating Center for Research and Training in Neurosciences, Huashan Hospital, Shanghai Medical College, Fudan University, Shanghai 200040, China

**Contributions:** (I) Conception and design: J Yu; (II) Administrative support: None; (III) Provision of study materials or patients: Alzheimer's Disease Neuroimaging Initiative; (IV) Collection and assembly of data: LD Niu, W Xu, CC Tan, JQ Li; (V) Data analysis and interpretation: LD Niu, W Xu, CC Tan, JQ Li; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

<sup>#</sup>These authors contributed equally to this work.

**Correspondence to:** Prof. Jintai Yu. Department of Neurology, Huashan Hospital, Fudan University, 12th Wulumuqi Zhong Road, Shanghai 200040, China. Email: yu-jintai@163.com; Prof. Lan Tan. Department of Neurology, Qingdao Municipal Hospital, School of Medicine, Qingdao University, No.5 Donghai Middle Road, Qingdao 266071, China. Email: dr.tanlan@163.com.

**Background:** Cerebrospinal fluid (CSF) neurofilament light (NFL) is a general biomarker for axonal damage.

**Methods:** This genome-wide association study (GWAS) consisted of 169 mild cognitive impairment (MCI) subjects and 94 cognitively normal (CN) subjects from the Alzheimer's Disease Neuroimaging Initiative (ADNI) cohort. Analyses of associations between CSF NFL and genetic polymorphisms were performed using an additive genetic model. The novel single nucleotide polymorphisms (SNPs) identified by GWAS were further examined for their correlation with other AD-related phenotypes at baseline and during follow-up using multiple linear regression model and mixed effects model respectively. Survival analysis was performed to evaluate the respective risks of progression from CN to prodromal AD and from MCI to AD among populations with different genotypes.

**Results:** Two novel SNPs (rs465401 and rs460420), both near the *ADAMTS1* gene on chromosome 21, showed genome-wide significant associations with CSF NFL. The minor allele (A) of rs465401 was also associated with higher CSF total tau (t-tau) levels, lower amyloid- $\beta$  (A $\beta$ ) levels as well as greater longitudinal change in both A $\beta$  and t-tau among the CN group. Furthermore, the Cox proportional hazards models showed increased risks for prodromal AD among the cognitive normal AA homozygotes.

**Conclusions:** We found that two SNPs (rs465401 and rs460420) were associated with CSF NFL in non-demented elders. The associations identified in this study may make the SNPs and *ADAMTS1* ideal candidates for future genetic studies on aging and neurodegenerative disorders.

**Keywords:** Genome-wide association study (GWAS); Alzheimer's disease (AD); neurofilament light (NFL); a disintegrin-like and metalloproteinase with thrombospondin type 1 motif (ADAMTS1)

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## Introduction

Neurofilaments are major structural components of neuronal cytoskeleton, comprising neurofilament heavy, neurofilament medium and neurofilament light (NFL). As an emerging biomarker for axonal damage and neuronal death, NFL is primarily expressed in large-caliber myelinated axons in the subcortical brain regions (1). When axonal membrane integrity is disrupted, NFL will be released into the extracellular space and may diffuse into cerebrospinal fluid (CSF) (2-4). CSF NFL concentrations are associated with varieties of pathological conditions, including Alzheimer's disease (AD), frontotemporal dementia and amyotrophic lateral sclerosis (2,5-8). Further, elevated CSF NFL levels are also found in normal cognitive aging and early clinical stage of AD (5,7,9). They are associated with brain atrophy and could predict hippocampal atrophy rate (5,10,11). Little is known about the early influence of genetic factors on the CSF NFL levels. Identifying variants that influence CSF NFL levels during the stages preceding dementia may provide insight into the molecular processes underlying the brain aging and etiology of neurodegenerative diseases, and may ultimately help delay or prevent diseases.

Here, we performed a genome-wide association study (GWAS) of non-demented elders to identify novel genetic variants that modulate the levels of CSF NFL. In addition, we further investigated whether the identified genetic variants have association with other AD features.

## Methods

### *Alzheimer's disease neuroimaging initiative (ADNI)*

Data used in this study were obtained from the ADNI database. ADNI is an international longitudinal study with approximately 50 sites which was initiated in 2003 across the United States and Canada. The initial aim of ADNI is to identify and investigate whether the combination of neuroimaging, genetics, other biological markers and neuropsychological tests can measure the progression of mild cognitive impairment (MCI) and early AD (12). ADNI has covered more than 1,500 participants including cognitively normal (CN), MCI and early AD (13). Further information about ADNI can be found online (<http://adni-info.org/>).

## Subjects

Individuals from ADNI were included in our study if they were judged clinically as CN or MCI at baseline. A total of 977 non-demented participants who had available baseline and 7-year follow-up data remained in this study. The number of patients who had data of CSF NFL is limited in the ADNI database. The data of CSF NFL and genotype was available from 285 participants who are non-demented elders. To reduce the potential influence of population stratification, all participants of our genetic analyses were restricted to non-Hispanic white individuals. Multidimensional scaling (MDS) plot was performed to confirm the population substructure (*Figure S1*). Finally, 263 participants who passed the quality control (QC) were included in GWAS analysis.

Participants of MCI was included if with memory complaint, a Mini-Mental State Exam (MMSE) score between 24 and 30, a global Clinical Dementia Rating (CDR) score of 0.5, a CDR memory score of 0.5 or greater and abnormal memory function on the Wechsler Memory Scale-Logical Memory II test. The National Institute on Aging and the Alzheimer's Association (NIA-AA) criteria has been proposed to identify non-demented subjects with the risk of AD based on the presence of AD biomarkers (14). The stage of preclinical AD (Pre-AD) was intermediate between the appearance of neuropathological brain lesion and the AD symptoms onset (15). During this stage, amyloid positive may be the first signs to occur and can be established using CSF (15,16). The Pre-AD patients were defined as CN participants who had abnormal amyloid, with no impairment in cognition or subtle cognitive decline (14). Therefore, in order to further deepen the understanding of the early asymptomatic stages of AD, Pre-AD was separated from CN as a group for analysis besides the existing groups based on diagnoses. Amyloid positive (A+) and negative (A-) were divided by the proposed CSF amyloid- $\beta$  ( $A\beta$ ) cutoff value of 192 pg/mL (17).

### *Phenotypic evaluation*

AD-related phenotypes including CSF biomarkers, cognitive assessment and brain magnetic resonance imaging (MRI) scan data were selected for analysis, all of which were obtained from the ADNI dataset at baseline and during follow-up. CSF samples were collected from ADNI subjects

in the morning after an overnight fast. All the CSF samples were collected at University of Pennsylvania Alzheimer's Disease Clinical Core using standardized methodology including storage of aliquots in polypropylene vials maintained in the repository at  $-80^{\circ}\text{C}$ . CSF concentrations of total tau (t-tau), phosphorylated tau (p-tau) and  $\text{A}\beta$  were measured using the multiplex xMAP Luminex platform (Luminex Corp, Austin, TX, USA) with the INNO-BIA AlzBio3 kit (Fujirebio, Ghent, Belgium), as described by the manufacturer. CSF NFL levels were determined by a commercial enzyme-linked immunosorbent assay (NF-light ELISA, Uman Diagnostics) (5). The scores of the two cognitive assessments—MMSE and CDR-Sum of Boxes (CDR-SB) were used for the association analysis. And three regional volumes on MRI were analyzed: ventricular, hippocampal volumes and white matter hyperintensities (WMHs). Data on brain MRI measures were obtained with 1.5T or 3.0T MRI scanners. FreeSurfer, version 4.3, was used for quantification of brain regional volumes. WMH volumes were not normally distributed and therefore were transformed using the logarithm. A detailed description of collecting data on CSF biomarkers and brain MRI scan can be found elsewhere (17,18).

### Genotyping and QC

Genotyping of the ADNI samples was performed using the Illumina 610-Quad BeadChip (Illumina, Inc., San Diego, CA, USA) (19). The *APOE* gene has three alleles, *APOE*  $\epsilon 2$  (cys112 and cys158), *APOE*  $\epsilon 3$  (cys112 and arg158) and *APOE*  $\epsilon 4$  (arg112 and arg158), defining six genotypes:  $\epsilon 2/\epsilon 2$ ,  $\epsilon 2/\epsilon 3$ ,  $\epsilon 2/\epsilon 4$ ,  $\epsilon 3/\epsilon 3$ ,  $\epsilon 3/\epsilon 4$  and  $\epsilon 4/\epsilon 4$ . TaqMan Genotyping Technology was used to genotype rs7412 and rs429358, which can determine *APOE*  $\epsilon 2/\epsilon 3/\epsilon 4$  status. Genotype data underwent stringent QC procedures including: minimum call rate for single nucleotide polymorphisms (SNPs) and individuals  $>97\%$ , Hardy-Weinberg equilibrium test  $P > 1 \times 10^{-3}$ , and minor allele frequency (MAF)  $>0.02$ . After QC, 441,611 single SNPs were available for analysis.

### Statistical analyses

Baseline age, gender, education years, *APOE*  $\epsilon 4$  status and two MDS components were included as covariates in GWAS. Demographic differences between groups were tested using Wilcoxon rank-sum test or Chi-square tests for continuous or categorical variables, respectively. We considered values of  $P < 0.05$  as significant and all of the

genetic analysis was performed under an additive genetic model. Analysis of associations between SNPs and the phenotype at baseline was carried out using multiple linear regression. Longitudinal analysis of follow-up data was performed using mixed effects models. Baseline age, gender, education years and *APOE*  $\epsilon 4$  status were included as covariates both in cross-sectional and longitudinal analyses. To facilitate the comparisons between modalities, all outcome variables in genetic analysis were standardized to z scores. The Kaplan-Meier survival curves were plotted to estimate the effect of genotype on the risks of progression from no cognitive impairment to the prodromal stage of AD and from MCI to incident AD dementia. And the CDR was used to describe the severity of dementia. Individuals with CDR = 0 were categorized as controls, and those with CDR scores higher than 0.5 were defined as the prodromal stage of AD (20). Cox proportional hazards regression was used to investigate which factors were associated with the progression. GWAS and all further analyses were conducted using PLINK and R (Version 3.4.4; The R Foundation). The Manhattan-type and quantile-quantile (Q-Q) plots were generated by a software program (R Studio, version 1.1.383) and regional association plots were visualized with the LocusZoom web tool (<http://locuszoom.org/>).

## Results

### Baseline characteristics of included subjects

Table 1 summarizes group-wise CSF biomarker variables as well as demographic and psychometric data in the baseline clinical assessment of participants included in the present study. In the samples for GWAS, *APOE*  $\epsilon 4$  status are significantly different ( $P < 0.05$ ) among baseline diagnostic groups (CN and MCI). As for the samples included in further genetic analyses, MCI subgroup were younger ( $P = 0.002$ ) and less educated ( $P = 0.043$ ) with higher frequency of *APOE*  $\epsilon 4$  allele ( $P < 0.001$ ) and worse performance on MMSE ( $P < 0.001$ ). As expected, baseline CSF concentrations of p-tau, t-tau and NFL were higher and  $\text{A}\beta$  was lower in MCI than in CN ( $P < 0.001$  for all).

### GWAS

Through GWAS analysis, we identified genome-wide significant association with two novel SNPs (rs465401, rs460420) for CSF NFL at a threshold of  $P = 5 \times 10^{-8}$  (Figure 1A and Table 2). The QQ plot is shown in Figure S2.

**Table 1** Demographic and clinical characteristics of ADNI participants at baseline

Variable	CN	MCI	All participants
Genome-wide association study			
N	94	169	263
Age (years)	75.7 (5.1)	74.5 (7.7)	74.9 (6.9)
Gender (male/female)	50/44	113/56	163/100
Education (years)	15.9 (2.8)	15.7 (3.1)	15.8 (3.0)
APOE $\epsilon$ 4 carriers (%)	23.4	55.0	43.7
CSF NFL (pg/mL)	1,107.2 (384.8)	1,541.8 (1,238.5)	1,386.5 (1,040.1)
Further genetic analysis			
N	339	638	977
Age (years)	75.2 (5.4)	73.4 (7.5)	74.0 (6.9)
Gender (male/female)	177/162	388/250	565/412
Education (years)	16.3 (2.7)	15.9 (2.8)	16.0 (2.8)
APOE $\epsilon$ 4 carriers (%)	27.1	49.2	41.6
MMSE (scores)	29.1 (1.1)	27.6 (1.8)	28.1 (1.7)
CSF t-tau (pg/mL)	70.6 (32.1) (n=228)	90.6 (52.8) (n=450)	83.9 (47.8) (n=678)
CSF p-tau (pg/mL)	31.2 (16.3) (n=229)	39.4 (22.8) (n=453)	36.6 (21.2) (n=682)
CSF A $\beta$ (pg/mL)	199.2 (53.1) (n=229)	170.5 (51.5) (n=453)	180.2 (53.8) (n=682)

Values in the table represent means followed by (standard deviation) unless specified otherwise. ADNI, Alzheimer's Disease Neuroimaging Initiative; A $\beta$ , amyloid- $\beta$ ; CN, cognitively normal; CSF, cerebrospinal fluid; MCI, mild cognitive impairment; MMSE, Mini-Mental State Exam; NFL, neurofilament light; p-tau, phosphorylated tau; t-tau, total tau.

Since the 2 SNPs on chromosome 21 were in high linkage disequilibrium (LD) ( $r^2 = 1$ ,  $D' = 1$ ), we selected one of them with the peak P value (rs465401) for further analysis. The strongest association for CSF NFL is found with rs465401 ( $P = 2.35 \times 10^{-8}$ ), located near the *ADAMTS1* (a disintegrin-like and metalloproteinase with thrombospondin type 1 motif) gene. The LD pattern between rs465401 and nearby SNPs is shown in *Figure 1B*. These nearby SNPs showed association with CSF NFL levels with the  $P < 0.01$ . After controlling for the top SNP (rs465401), these SNPs associated with CSF NFL also disappeared, indicating that all the associations of this locus were driven by the top SNP (*Figure 1C*).

#### **Rs465401 and CSF NFL levels**

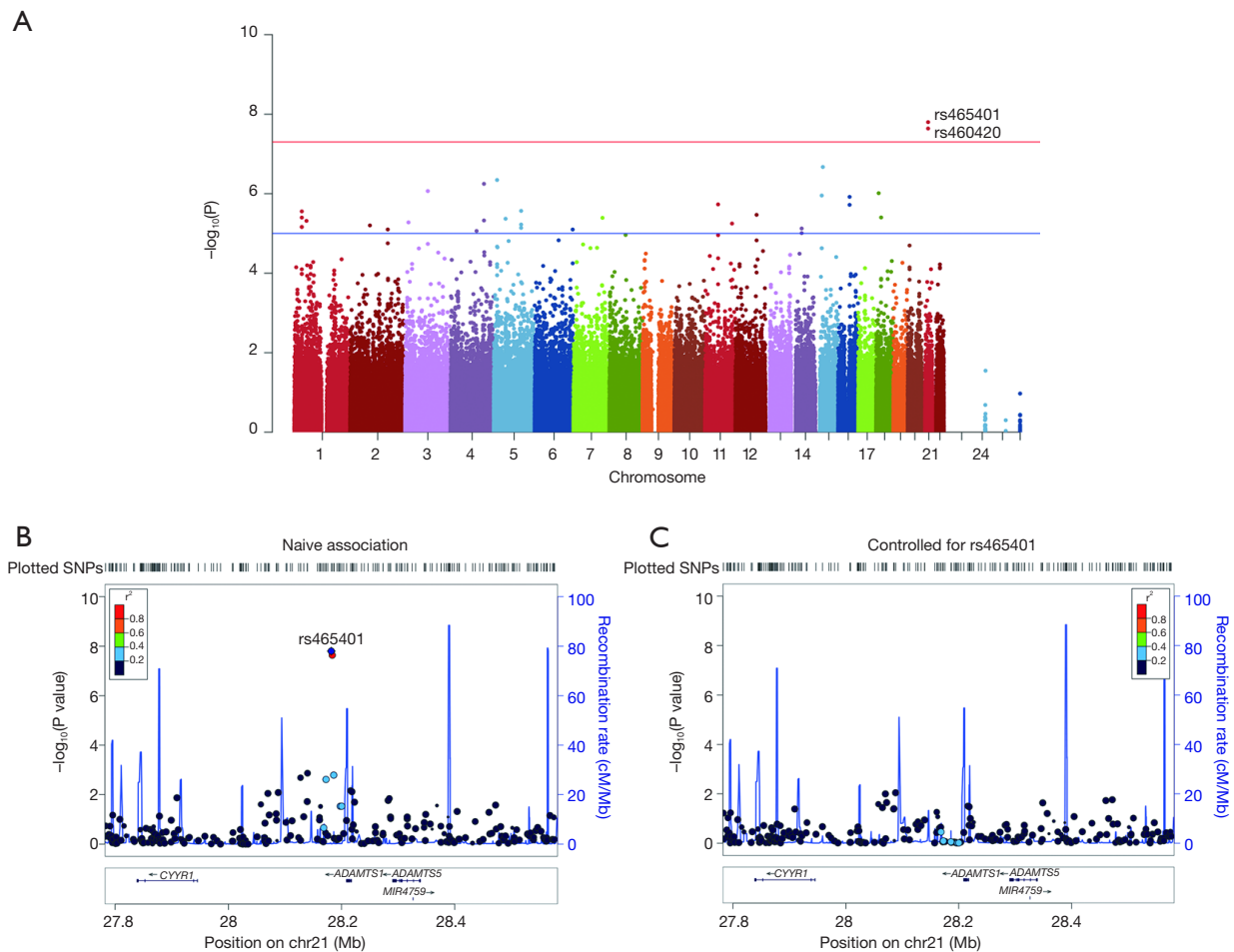
Rs465401 was analyzed further to evaluate possible associations of CSF NFL levels with baseline diagnosis and genotypes (*Figure 2*). An association was revealed between the minor allele of rs465401 (A) and increased CSF

NFL concentration in a dose-dependent effect in a hybrid population without dementia (AA:  $5,960.25 \pm 4,802.78$  pg/mL, AG:  $1,480.30 \pm 855.34$  pg/mL, GG:  $1,275.49 \pm 52.85$  pg/mL) as well as in MCI group (AA:  $7,578.33 \pm 4,503.64$  pg/mL, AG:  $1,674.25 \pm 1,039.42$  pg/mL, GG:  $1,383.66 \pm 630.40$  pg/mL). However, no significant association has been found between rs465401 and CSF NFL levels in CN.

#### **Rs465401 and AD-related phenotypes**

Since the most significant variant associated with CSF levels of NFL was rs465401, we examined the relation of this SNP to other CSF analytes, cognition and neuroimaging measures data (*Table 3*).

In our primary analysis, we evaluated the associations between the minor allele of rs465401 (A) and AD endophenotypes at baseline (*Figure 3*). Analysis stratifying the participants based on diagnostic groups showed that rs465401 was associated with CSF t-tau ( $P = 0.002$ ,  $\beta = 0.440$ ) and A $\beta$  ( $P = 0.019$ ,  $\beta = -0.312$ ) in CN group. When taking

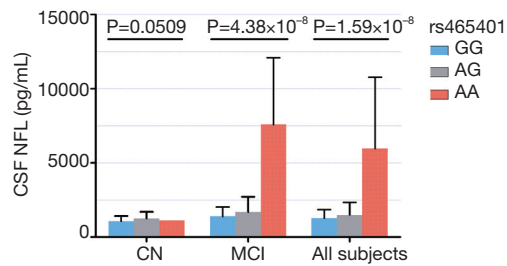


**Figure 1** Association plots from single variant analyses of CSF NFL levels. (A) Manhattan plot shows the significance of association between each SNP and CSF NFL levels, from GWAS. Observed  $\log_{10}$  P values (y-axis) are displayed for all tested SNPs on each autosomal chromosome (x-axis). The horizontal line indicates the p value thresholds: blue line for  $P=5 \times 10^{-8}$ , and red line for  $P=1 \times 10^{-5}$ . (B,C) Regional visualization of the results for the most associated SNP (rs465401). Symbols are colored according to the degree of linkage disequilibrium with rs465401. The location of genes is represented below the plots. CSF, cerebrospinal fluid; NFL, neurofilament light; SNP, single nucleotide polymorphism; GWAS, genome-wide association study.

**Table 2** Peak associations ( $P < 10^{-6}$ ) for the GWAS of CSF NFL

CHR	SNP	MAF	Closest gene	SNP type/location	$\beta$	95% CI	P value
21	rs465401	0.1385	<i>ADAMTS1</i>	Intergenic	0.7240	0.4770–0.9709	$2.35 \times 10^{-8}$
21	rs460420	0.1385	<i>ADAMTS1</i>	Intergenic	0.7135	0.4668–0.9601	$3.52 \times 10^{-8}$
15	rs12440564	0.1613	<i>GOLGA8B</i>	Intergenic	0.5817	0.3650–0.7984	$2.75 \times 10^{-7}$
5	rs16903631	0.1157	<i>OTULIN</i>	Intron	0.6781	0.4185–0.9376	$5.34 \times 10^{-7}$

GWAS, genome-wide association study; CSF, cerebrospinal fluid; NFL, neurofilament light; CHR, chromosome; SNP, single-nucleotide polymorphism; MAF, minor allele frequency; CI, confidence interval.



**Figure 2** Mean and standard errors of CSF NFL levels of different diagnostic groups and genotypes. The minor allele of rs465401 (A) was associated with the increase in CSF NFL concentration among all diagnostic groups except CN with a multiple linear regression model, including age, gender, education and APOE  $\epsilon 4$  as covariates. The p-values for the main effect of SNP were shown in each diagnostic group. CSF, cerebrospinal fluid; NFL, neurofilament light; CN, cognitively normal; MCI, mild cognitive impairment.

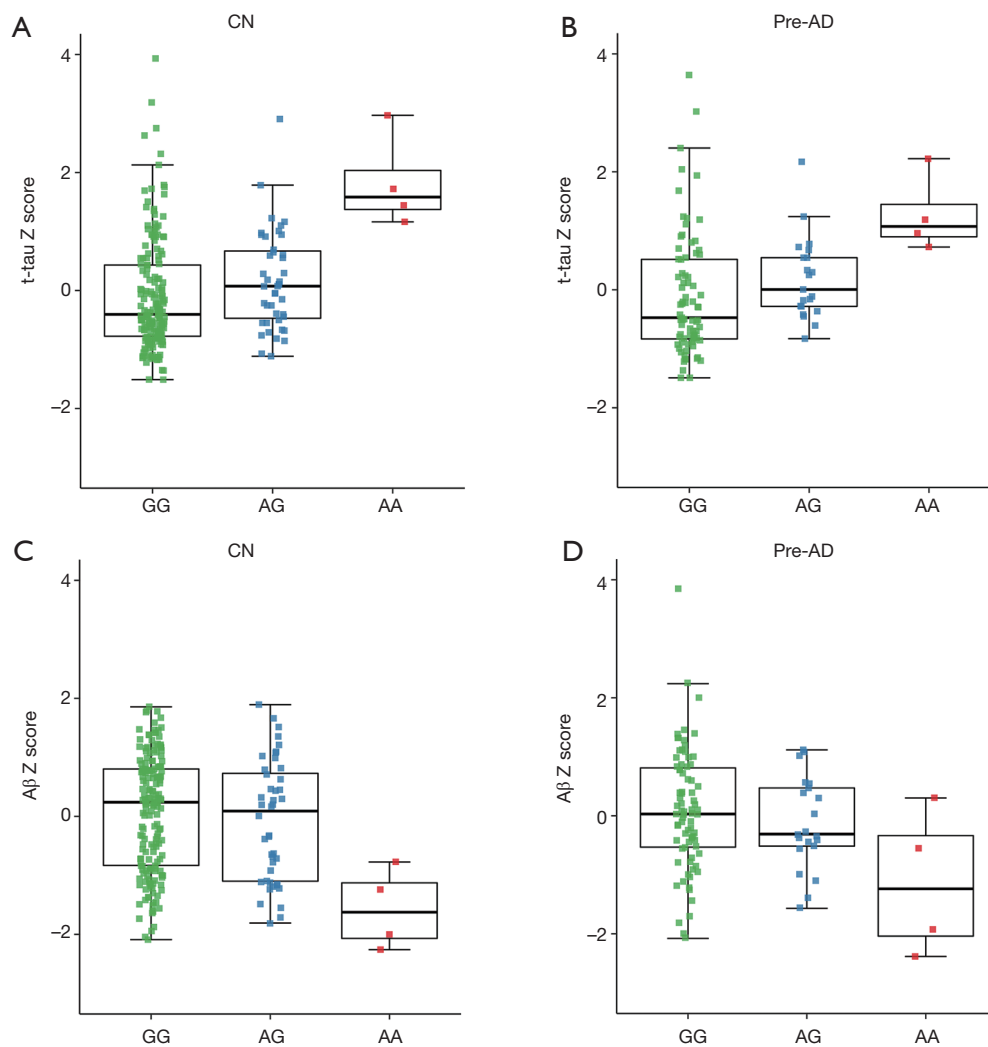
amyloidosis into account, mutations of rs465401 still had correlations with CSF t-tau ( $P=0.020$ ,  $\beta=0.431$ ) and A $\beta$  ( $P=0.041$ ,  $\beta=-0.383$ ) in Pre-AD group. No associations were observed of rs465401 with MRI scans and cognitive assessment. Although a significant correlation has been reported between CSF NFL concentration and white matter change (5), there was no significant association of rs465401 with log transformed WMH in this study.

In the secondary analysis, longitudinal analysis was performed to evaluate whether rs465401 also influenced these analytes over the follow-up period. The analysis showed that rs465401 was associated with the longitudinal elevation of CSF t-tau ( $P=0.003$ ,  $\beta=0.411$ ) as well as the decrease of CSF A $\beta$  ( $P=0.035$ ,  $\beta=-0.266$ ) in CN. Furthermore, mutations of rs465401 had correlation with an elevation of t-tau ( $P=0.024$ ,  $\beta=0.410$ ) within Pre-AD subgroup.

**Table 3** Associations of rs465401 with AD-related phenotypes<sup>†</sup>

Phenotype	CN		Pre-AD		MCI	
	$\beta$ coefficient	P value	$\beta$ coefficient	P value	$\beta$ coefficient	P value
<b>Baseline</b>						
CSF A $\beta$	-0.312*	0.019*	-0.383*	0.041*	-0.031	0.733
CSF t-tau	0.440*	0.002*	0.431*	0.020*	0.073	0.462
CSF p-tau	0.081	0.576	0.001	0.994	0.090	0.383
MMSE	-0.081	0.506	0.023	0.901	-0.168	0.051
CDR-SB	0.198	0.112	0.064	0.741	-0.027	0.769
Ventricles	0.197	0.086	0.341	0.071	0.028	0.725
Hippocampus	0.041	0.739	0.104	0.616	-0.103	0.211
WMH (log-transformed)	0.189	0.134	0.140	0.481	0.064	0.501
<b>Follow-up (7 years)</b>						
CSF A $\beta$	-0.266*	0.035*	-0.333	0.059	-0.047	0.606
CSF t-tau	0.411*	0.003*	0.410*	0.024*	0.076	0.437
CSF p-tau	0.169	0.205	0.132	0.448	0.115	0.250
MMSE	-0.070	0.329	0.072	0.492	-0.080	0.061
CDR-SB	0.073	0.355	-0.021	0.830	-0.019	0.569
Ventricles	0.055	0.556	0.145	0.344	-0.046	0.471
Hippocampus	0.037	0.744	0.091	0.622	-0.082	0.274
WMH (log-transformed)	0.217	0.059	0.174	0.335	0.127	0.141

\*, significant correlations ( $P<0.05$ ); <sup>†</sup>, all phenotypes standardized to z score. AD, Alzheimer's disease; CN, cognitively normal; Pre-AD, preclinical AD; MCI, mild cognition impairment; CSF, cerebrospinal fluid; A $\beta$ , amyloid- $\beta$ ; t-tau, total tau; p-tau, phosphorylated tau; MMSE, Mini-Mental State Exam; CDR-SB, Clinical Dementia Rating-Sum of Boxes; WMH, white matter hyperintensity.



**Figure 3** Baseline associations between rs465401 carrier status and CSF AD biomarkers (t-tau and A $\beta$ ). Analyses performed by multiple linear regression models with age, gender, education years and *APOE*  $\epsilon$ 4 status as covariates. (A,B) CSF t-tau; (C,D) CSF A $\beta$ . Statistical significance was observed for both biomarkers in CN and Pre-AD samples. CSF, cerebrospinal fluid; CN, cognitively normal; Pre-AD, preclinical Alzheimer's disease; t-tau, total tau; A $\beta$ , amyloid- $\beta$ .

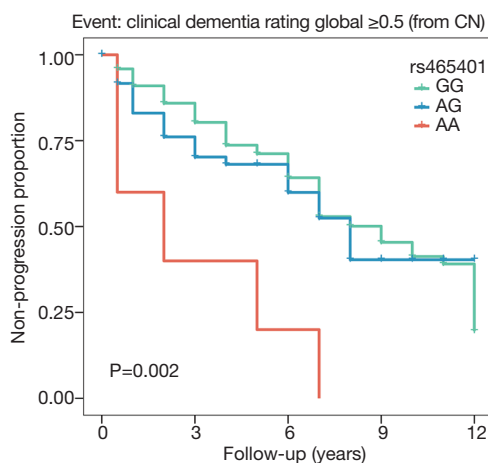
In the tertiary analysis, survival analysis showed that rs465401 was associated with the risk of incident prodromal AD within the follow-up in CN (*Figure 4*). AA homozygotes had a 3.59 (95% CI: 1.40–9.20) increased hazard, indicating a 3.59 larger relative risk for progression to the prodromal stage of AD compared with GG homozygotes. No difference in the risk of progression from MCI to AD was identified among the three rs465401 carrier statuses.

## Discussion

In the present study, we identified two genetic variants

(rs465401 and rs460420) near the *ADAMTS1* gene that are genome-wide significantly associated with CSF NFL levels in the ADNI samples without dementia. The minor allele (A) of rs465401 was associated with CSF NFL elevation. We also found that greater rs465401 minor-allele (A) dosage was associated with higher CSF A $\beta$ , lower t-tau levels and greater longitudinal change in both A $\beta$  and t-tau within CN participants. CN AA homozygotes had a more than threefold risk of clinical progression within the follow-up compared with GG homozygotes.

The molecular mechanism through which rs465401 could affect CSF levels of NFL has not been studied



**Figure 4** Survival analysis of CDR score  $\geq 0.5$ . Cox regression models show the effects of rs465401 carrier status on the outcome of cognitive decline over time in CN with adjustment for covariates (age, sex, years of education and *APOE*  $\epsilon 4$  status). CN, cognitively normal; CDR, clinical dementia rating.

yet. These SNPs map to an intergenic region near the *ADAMTS1* gene on chromosome 21. *ADAMTS1* is a protein coding gene which is most known for its effect on atherosclerosis (21), muscle injury (22) and normal follicular development and ovulatory process (23). Only recently more attention is paid to its role in the central nervous system (CNS) (24). The Brain RNA-Seq database ([http://web.stanford.edu/group/barres\\_lab/brainseqMariko/brainseq2.html](http://web.stanford.edu/group/barres_lab/brainseqMariko/brainseq2.html)) shows that *ADAMTS1* was primarily expressed in oligodendrocytes and neurons in the temporal cortex (25). This gene encodes a matrix metalloprotease whose natural substrates are proteoglycans (aggrecan and versican) (26,27). Aggrecan and versican are expressed in the brain, belonging to the chondroitin sulphate proteoglycans (CSPGs) family. As a group, CSPGs have been shown to play both neuroprotective and neurotoxic roles within the CNS (28,29). The ADAMTS-mediated CSPG degradation may be favorable to the healing of injured CNS tissue. Meanwhile, it also enables the infiltration of inflammatory cells and potentiate brain injury (30,31). That may lead to neuronal damage and NFL leakage into CSF, suggesting a possible role of *ADAMTS1* in CSF NFL elevation. Meanwhile, research also revealed that the area enriched with CSPGs in the extracellular matrix of human cortex rarely undergoes cytoskeletal alterations in AD (28). *ADAMTS1*, which shows a manifold approximately sevenfold overexpression in AD individuals (32),

specifically degrades CSPGs, suggesting a pathological relevance to disease progression of AD. Recently, rs2830500 was identified to be the risk locus of AD, and research nominated *ADAMTS1* as a likely risk gene of AD (33). We also explored the associations in ADNI database, however there was no significant association between rs2830500 and CSF NFL levels ( $P=0.419$ ,  $\beta=-0.107$ ).

Combined with previous results, our findings found that rs465401 was significantly associated with CSF levels of t-tau and A $\beta$  among CN and Pre-AD groups, suggesting that *ADAMTS1* may play a potential role in AD pathology. T-tau and A $\beta$  are the most well-established AD biomarkers of neurofibrillary tangle pathology and amyloid plaque respectively. T-tau is found at high levels due to cortical neuronal loss in AD patients, and A $\beta$  at low concentrations due to cortical amyloid deposition. *ADAMTS1* variants maybe be related to AD via tau pathway and amyloid pathology in the CN stage and influence the disease's progression prior to extensive and irreversible neural damage.

NFL is released into the extracellular space after axonal damage. The levels of NFL can be measured in both CSF and blood. It has been reported that the levels of NFL in CSF were correlated with those in blood (34). The concentrations of NFL in blood is about 40-fold lower than those in CSF. Li *et al.* has reported that two variants in *LUZP2* gene and *GABRB2* gene were associated with plasma NFL at suggestive levels in elders including AD patients (35). But in our study, we have found that rs465401 and rs460420 near *ADAMTS1* gene were significantly associated with CSF NFL levels in non-demented elders. It was found that the levels of NFL can be elevated 16.2 years before the estimated symptom onset of AD (36). So, we have restricted the study population to non-demented elders to identify the genetic modifier of NFL in our study. Our findings that variants near *ADAMTS1* gene were associated with CSF NFL levels suggested that rs465401 and rs460420 might be regulators of CSF NFL.

More research is needed to elucidate the specific actions of *ADAMTS1* in CNS, and understanding the effect of rs465401 on non-demented elders may play a role in risk stratification among non-demented elders. It is also possible that the effect of rs465401 on CSF levels of NFL as well as AD-related biomarkers is through its effect on genes rather than *ADAMTS1*. Therefore, the exact mechanism needs to be explored in further research.

This study also has some potential limitations. The GWAS sample size was relatively small. That may lead to



insufficient power of detecting a statistically significant effect on AD endophenotypes. Thus, replicating these findings with independent, larger samples in future studies will be necessary to help understand the biology underlying pathology. And studies of longitudinal change in NFL levels may reveal additional associations. Another limitation is that the sample was restricted to non-Hispanic white participants to avoid genetic stratification across ethnicities. Further studies are required in other populations.

## Conclusions

Overall, our study provides insight into the relationship of genetic variants with CSF NFL and AD-related phenotypes. Although the pathogenic mechanism remains unclear, the associations identified in this study may make the SNPs ideal candidates for future genetic studies on aging and neurodegenerative disorders.

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## Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study procedures were approved by the institutional review boards of all participating centers ([https://adni.loni.usc.edu/wp-content/uploads/how\\_to\\_apply/ADNI\\_Acknowledgement\\_List.pdf](https://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf)), and written informed consent was obtained from all participants or their authorized representatives. Ethics approval was obtained from the institutional review boards of each institution involved: Oregon Health and Science University; University of Southern California; University of California—San Diego; University of Michigan; Mayo Clinic, Rochester; Baylor College of Medicine; Columbia University Medical Center; Washington University, St. Louis; University of Alabama at Birmingham; Mount Sinai School of Medicine; Rush University Medical Center; Wien Center; Johns Hopkins University; New York University; Duke University Medical Center; University of Pennsylvania; University of Kentucky; University of Pittsburgh; University of Rochester Medical Center; University of California, Irvine; University of Texas Southwestern Medical School; Emory University; University of Kansas, Medical Center; University of California, Los Angeles; Mayo Clinic, Jacksonville; Indiana University; Yale University School of Medicine; McGill University, Montreal-Jewish General Hospital; Sunnybrook Health Sciences, Ontario; U.B.C. Clinic for AD & Related Disorders; Cognitive Neurology—St. Joseph's, Ontario; Cleveland Clinic Lou Ruvo Center for Brain Health; Northwestern University; Premiere Research Inst (Palm

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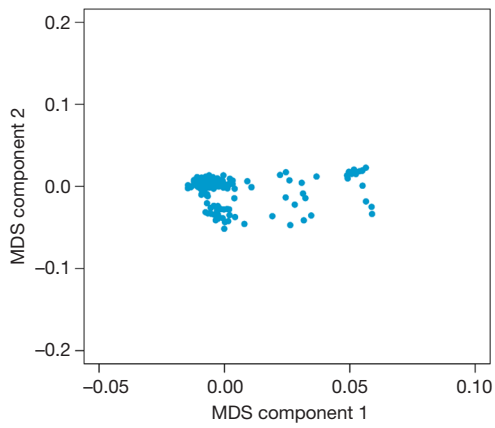
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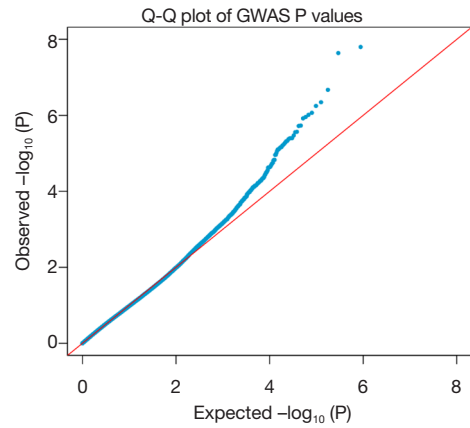
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Supplementary



**Figure S1** MDS plot of ADNI non-Hispanic white participants. MDS, multidimensional scaling; ADNI, Alzheimer's Disease Neuroimaging Initiative.



**Figure S2** Q-Q plot. GWAS, genome-wide association study; Q-Q plot, quantile-quantile plot.