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The Prognostic Impact of the Lymphocyte-to-Monocyte Ratio in Resected Pancreatic Head Adenocarcinoma

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Significance of the Study

This study aimed to investigate the prognostic value of the preoperative lymphocyte-to-monocyte ratio (LMR) in patients with resectable pancreatic head cancers. The LMR may carry important prognostic information in this condition.

Keywords

Lymphocyte-to-monocyte ratio · Pancreatic head adenocarcinoma · Systematic inflammation-based scores

Abstract

Objective: Although the prognostic significance of systematic inflammation-based scores, such as the neutrophil-tolymphocyte ratio (NLR), the platelet-to-lymphocyte ratio (PLR), and the prognostic nutritional index (PNI), has been explored in pancreatic cancers, few reports have investigated the lymphocyte-to-monocyte ratio (LMR). We aimed to retrospectively investigate the prognostic value of the preoperative LMR in patients with resectable pancreatic head cancer (PHC). Methods: From 2005 to 2016, 165 patients underwent pancreatoduodenectomy for PHC. All samples of peripheral blood were collected within 2 weeks prior to surgery. The best cutoff values of the LMR for predicting sur-

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vival were determined by using a minimum p value approach (cut-off value: 2.8). The clinicopathological features of LMR $<2.8 (n = 25) \text{ and } \ge 2.8 (n = 140) \text{ were compared. } \text{Results: } Pa$ tients with LMR \geq 2.8 showed significantly lower NLR and PLR, and significantly higher PNI. Levels of CEA and CA19-9 were similar, and the pathological findings were comparable between the groups. The overall survival of patients with LMR \geq 2.8 (66.2% at 1 year) was superior to that of patients with LMR < 2.8 (36.1% at 1 year, *p* = 0.015). Multivariate analysis identified LMR < 2.8 (hazard ratio 1.72, 95% CI 1.02-2.89, p = 0.042), lymphatic and venous invasion and positive surgical margin as independent prognostic factors. Conclusions: LMR may carry important prognostic information for patients with resectable PHC. Preoperative LMR may be considered for use in risk stratification for individual patients with PHC. © 2019 The Author(s)

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Introduction

Recent studies have confirmed that cancer-related inflammation affects tumour malignancy, including tumour proliferation and survival, angiogenesis, metastasis, and response to treatment [1]. It was reported that the preoperative measurement of a variety of systematic inflammation-based prognostic scores, including the neutrophil-to-lymphocyte ratio (NLR), the platelet-to-lymphocyte ratio (PLR), the prognostic nutritional index (PNI), and the Glasgow prognostic score, can predict cancer-specific survival in several digestive cancers [2–8].

In recent years, the prognostic significance of these inflammation-based scores has also been explored in pancreatic cancers (PCs) [9-15]. Although surgical resection is the only available treatment option for cure or longterm survival for resectable and borderline resectable PCs, predicting the prognosis would be valuable for determining therapeutic strategies, that is, determining whether undergoing neoadjuvant treatment or upfront resection with adjuvant treatment would be more appropriate [16]. Among these systematic inflammation-based prognostic scores, monocyte levels have not been widely investigated as biomarkers in PC despite evidence implicating them in carcinogenesis. In particular, tumor-associated macrophages, which are derived from circulating monocyte populations, have been reported to be a key player in the tumor microenvironment, encouraging metastasis, and tumor progression [2, 17]. Nonetheless, only a few reports to date have investigated the lymphocyteto-monocyte ratio (LMR) as a prognostic marker in patients with PC [18-20].

Here, we analysed the clinical features of pancreatic head carcinoma in a group of patients in a single institution who were treated by resection. The present study aimed to investigate the prognostic value of preoperative LMR in patients with resectable pancreatic head cancers compared with other inflammation-based prognostic markers, such as NLR, PLR, and PNI.

Methods

Patient Characteristics

We identified 165 consecutive patients who underwent pancreatoduodenectomy (PD) for pancreatic head adenocarcinoma from January 2005 to July 2016 in the Department of Surgery, Ogaki Municipal Hospital. This study was approved by the Human Research Review Committee of Ogaki Municipal Hospital. All peripheral blood samples were collected within 2 weeks prior to surgery. For patients with jaundice and/or cholangitis, either percutaneous transhepatic biliary drainage or endoscopic biliary drainage was routinely performed according to clinical indications. Every patient in this study underwent pancreatic resection after the preoperative serum bilirubin level was normalized (\leq 2.0 mg/dL).

None of the patients underwent diagnostic laparoscopy or peritoneal cytology. The operative procedures were divided into PD, pylorus-preserving PD, and sub-stomach preserving PD, according to the degree of direct invasion of the duodenum and the stomach. Reconstruction was performed using a modified Child's method; end-to-side pancreaticojejunostomy, biliojejunostomy, and gastro/duodenojejunostomy were performed using a jejunal limb in the order listed. Portal and/or superior mesenteric vein resection (PVR) was planned based on both preoperative multidetector-row computed tomography and the intraoperative findings of PV/SMV involvement, which indicated venous narrowing and/ or tumour abutment to the vein. The selection criteria for autologous vein graft reconstruction were the length of the PVR (longer than approximately 5 cm) and the existence of venous collateral formation resulting from severe PV/SMV stenosis based on preoperative imaging [21]. PV/SMV invasion, defined as local cancer cell infiltration through the vessel wall, was evaluated microscopically for cases with PVR.

The surgical indications in each patient were discussed with a gastroenterologist; distant metastasis including para-aortic lymph node enlargement and apparent invasion of the celiac artery or SMA were considered contraindications for curative operation. However, cases with invasion to the plexus around the SMA were considered to be resectable.

For each of the 165 patients, operative specimens were cut at intervals of 0.5 cm. Tumour size and pathological findings were assessed. Overall survival of patients was determined from the time of surgery to the time of death or the most recent follow-up.

Definitions and Quantitative Determination of LMR, NLR, PLR, and PNI

Blood LMR was calculated as the ratio of the total count of lymphocytes divided by the total count of monocytes, NLR as the ratio of the total count of neutrophils divided by the total count of lymphocytes, and PLR as the ratio of the total count of platelets divided by the total count of lymphocytes. PNI was calculated as albumin (g/L) + 0.005 × lymphocyte count × 10^9 /L. Blood samples were obtained from patients within 2 weeks prior to surgery. For patients with inflammation (such as cholangitis), which affects blood counts, the normalized blood counts after biliary drainage were evaluated.

Concerning the impact of these systematic inflammationbased scores on survival, the "minimum *p* value" approach, which was performed using the log-rank test for the overall survival, was used to determine the best cutoff values of each score for predicting survival for the entire cohort.

Statistical Analysis

Measurement values for continuous variables are expressed as the median (range). The characteristics were compared using the Kruskal-Wallis test for continuous variables and the χ^2 test for categorical variables. In addition, Fisher's exact test was applied where appropriate. Postoperative survival was calculated using the Kaplan-Meier method. Differences in survival curves were compared using the log-rank test. Multivariate survival analyses were performed using the Cox proportion hazards regression model.

Table 1. Clinical characteristics of the 165 patients in this study

	<i>n</i> = 165
Age, years	72 (45-83)
Gender	
Men	89 (54)
Women	76 (46)
BMI, kg/m ²	20.8 (16.4-30.2)
Surgical procedure	
PD	17 (10)
PpPD	86 (52)
SSpPD	62 (38)
PVR	
None	61 (37)
End-to-end anastomosis	79 (48)
Graft construction	25 (15)
Operative time, min	299 (198–664)
Blood loss, mL	410 (100-4,900)
Blood transfusion	
Absent	147 (89)
Present	18 (11)
Adjuvant chemotherapy	
Neoadjuvant therapy	8 (5)
Postoperative adjuvant therapy	66 (40)
Histological type	
Well differentiated	12 (7)
Moderately differentiated	129 (78)
Poorly differentiated	16 (10)
Other	8 (5)

Expressed as *n* (%) or median (range).

PD, pancreatoduodenectomy; PpPD, pylorus-preserving PD; SSpPD, sub-stomach preserving PD; PVR, portal vein resection; SMV, superior mesenteric vein; BMI, body mass index.

For the multivariate model, backward variable selection was used to identify independent prognostic factors. The assumptions of proportional hazards were checked by the time-dependent Cox regression model. The results were considered statistically significant if the *p* value was <0.05. All calculations were performed using the IBM SPSS Statistics 23 software package (IBM Japan Inc., Tokyo, Japan).

Results

Clinical characteristics of the entire cohort are shown in Table 1. At the time of operative exploration, the median age of all patients was 72 years, and the patient cohort included 89 men and 76 women. PVR was performed in 104 (63%) patients. Neoadjuvant and postoperative adjuvant chemotherapy was administered in 8 and 66 patients (5 and 40%) respectively. None underwent adju-



Fig. 1. Correlation between lymphocyte and monocyte counts.

vant radiotherapy. The median follow-up period was 1,788 days, and 106 (64%) patients died in the follow-up period.

Cut-Off Values of LMR, NLR, PLR, and PNI

We first examined the correlation between LMR levels and overall survival in the cohort of 165 cases. There was weak positive correlation between lymphocyte and monocyte counts (Fig. 1). The best cutoff values of LMR for predicting survival were determined by using a minimum p value approach for the entire cohort. When sliding the cutoff value of LMR from 2.0 to 5.0, the minimum p values were obtained at 2.8 (Fig. 2a), which was comparable with the cutoff value found in the previous series [2, 18– 20]. In the same way, optimal cutoff values of NLR, PLR, and PNI were assessed (Fig. 2b–d).

Comparison between LMR <2.8 and ≥2.8

Table 2 shows the comparison of the clinicopathological features of LMR <2.8 (n = 25) and ≥ 2.8 (n = 140). The age distributions were similar between the 2 groups, whereas the proportion of men was larger in LMR <2.8. Betweengroup differences were observed in systematic inflammation markers such as NLR, PLR, and PNI. Patients with a greater LMR showed a decreased likelihood of NLR and PLR and an increased likelihood of PNI. In contrast, the CEA and CA19-9 levels were similar. The pathological findings were comparable between the groups.



Fig. 2. a Optimal cutoff value of LMR for predicting overall survival. When testing LMR cutoff values from 2.0 to 5.0, the minimum *p* value was obtained at an LMR cutoff of 2.8 (arrow). **b–d** In the same way, optimal cut-off values of NLR, PLR, and PNI were assessed (arrows). LMR, lymphocyte-to-monocyte ratio; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; PNI, prognostic nutritional index.

The overall survival of patients with LMR ≥ 2.8 was superior to that of patients with LMR < 2.8 (p = 0.015; Fig. 3a), in which the proportional hazard assumption was fulfilled (p = 0.946). The 1-year survival rate and median survival time were 66.2% and 565 days in patients with LMR ≥ 2.8 and 36.1% and 267 days in patients with LMR < 2.8 respectively.

Three preoperative laboratory variables, one operative procedure and 12 postoperative pathological variables were analysed as potential prognostic factors in the 165-patient cohort (Table 3). The univariate analysis showed that 10 of the 16 variables were potential predictors of survival. The multivariate analysis identified 4 of these, that is, LMR <2.8 (preoperative variable), lymphatic and venous invasion (postoperative variables), and positive surgical margin (postoperative variables), as independent prognostic factors.

Assessment of NLR, PLR, and PNI

Because LMR was strongly correlated with NLR, PLR, and PNI (online suppl. Fig. 1; for all online suppl. material, see www.karger.com/doi/10.1159/000501017), the data were analysed separately.

The survival rates of NLR <3.2, PLR <250, and PNI \geq 38 were significantly better than those of NLR \geq 3.2, PLR <250, and PNI <38, respectively (Fig. 3b–d). Multivariate analysis identified all 3 variables as independent prognostic factors (online suppl. Tables 1–3).

Table 2.	Clinicopathological	features of patients	according to the LMR
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	LMR <2.8 (<i>n</i> = 25)	LMR \ge 2.8 (<i>n</i> = 140)	p value
Age, years	68 (52-81)	72 (40-85)	0.174
Gender			0.049
Men	18 (72)	71 (51)	
Women	7 (28)	69 (49)	
Size, cm			0.176
<2.0	1 (4)	18 (13)	
≥2.0	24 (96)	122 (87)	
Neutrophil count (/µL)	4,343 (2,071-9,464)	3,348 (911-8,820)	0.002
Platelet count ($\times 10^4/\mu$ L)	24.3 (12.3-39.8)	24.7 (7.0-52.8)	0.989
Lymphocyte count (/µL)	1,103 (499-2,275)	1,524 (615-3,595)	< 0.001
Monocyte count (/µL)	470 (234–1,227)	312 (85-900)	< 0.001
NLR	4.0 (1.5-8.9)	2.2 (0.5-6.9)	< 0.001
PLR	249 (102-608)	160 (37–551)	0.001
PNI	44.2 (36.4–56.4)	47.8 (29.9-66.0)	0.023
CEA, ng/dL	3.2 (1.0-77.0)	3.2 (1.0-74.0)	0.900
CA19-9, U/mL	239.0 (2.0-3,768.0)	126.1 (2.0-15,760.0)	0.243
Pathological findings (present)			
Lymphatic invasion	23 (92)	128 (91)	0.642
Venous invasion	18 (72)	78 (58)	0.128
Perineural invasion	25 (100)	126 (90)	0.090
Anterior serosal infiltration	14 (56)	66 (47)	0.414
Retroperitoneal invasion	19 (76)	94 (67)	0.380
Bile duct invasion	17 (68)	88 (63)	0.622
Duodenal invasion	13 (52)	72 (51)	0.958
Plexus invasion	9 (36)	52 (37)	0.913
PV/SMV invasion	11 (44)	48 (34)	0.351
Lymph node metastasis	17 (68)	94 (67)	0.933
Surgical margin (positive)	9 (36)	26 (19)	0.050

Expressed as *n* (%) or median (range).

NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; PNI, prognostic nutritional index; PV, portal vein; SMV, superior mesenteric vein; LMR, lymphocyte-to-monocyte ratio.

Discussion

Our findings demonstrate that preoperative LMR is an independent predictor of overall survival for patients who have undergone PD for pancreatic head adenocarcinoma, as well as other inflammation-based prognostic markers, such as NLR, PLR, and PNI. To the best of our knowledge, this is the first report to correlate overall survival after operative resection of pancreatic "head" adenocarcinoma with preoperative LMR. We excluded PCs requiring distal pancreatectomy when we investigated prognostic markers because carcinomas of the body and tail are particularly associated with poor prognosis, even more so than carcinoma of the pancreatic head, due to a lack of symptoms such as obstructive jaundice and consequent difficulty in early detection [22]. In addition, resectable adjacent organs and vessels in cancers of the pancreatic head are distinctively different from those in cancers of the body and tail [16, 22, 23]. Therefore, we focused on only pancreatic "head" adenocarcinoma in the present series, although 2 studies previously reported that LMR was a prognosticator after surgical resection in PCs including those of the body and tail [18, 19]. Recently, the preoperative NLR, PLR, and PNI have been actively studied in PCs [9-15]. However, the multivariate analysis in this cohort revealed that the LMR could be a predictor of survival (Table 3), as well as the NLR, the PLR, and the PNI (online suppl. Table 1–3). Notably, these scores, which are routinely measured by laboratory tests, are simple and inexpensive. Further, our data showed that preoperative CEA and CA19-9 were not prognosticators in either the univariate or multivariate analysis. Besides, the optimal

Variable	Patients	Survival, %		p (Log-rank	Multivariate	
		1-year	2-year	test)	hazard ratio (95% CI)	<i>p</i> value
LMR				0.015		0.042
<2.8	25	36.1	18.6		1.72 (1.02-2.89)	
≥2.8	140	66.2	40.5		1.00	
CEA, ng/dL				0.120		
≤5.0	121	58.6	34.3			
>5.0	40	71.1	43.3			
CA19-9, U/mL				0.057		
<37	40	74.7	46.1			
≥37	120	56.7	32.7			
PV resection				< 0.001		
None	61	80.4	56.7			
Resected	104	51.3	26.0			
Size, cm				0.069		
≤2.0	19	94.4	63.8			
>2.0	146	57.6	33.9			
Lymphatic invasion				0.001		0.030
Absent	14	92.3	92.3		1.00	
Present	151	59.1	31.9		2.81 (1.17-7.12)	
Venous invasion				< 0.001		0.006
Absent	69	76.1	54.6		1.00	
Present	96	51.0	23.3		1.87 (1.19-2.93)	
Perineural invasion				0.001		
Absent	14	100.0	90.0			
Present	151	58.4	32.9			
Anterior serosal infiltration				0.003		
Absent	85	70.7	45.1			
Present	80	52.0	28.3			
Retroperitoneal invasion				< 0.001		
Absent	52	78.4	61.3			
Present	113	54.6	26.5			
Bile duct invasion				0.135		
Absent	60	68.6	44.7			
Present	105	58.2	33.2			
Duodenal invasion				0.428		
Absent	80	63.5	42.6			
Present	85	60.4	32.2			
Plexus invasion				< 0.001		
Absent	104	70.4	47.5			
Present	61	48.4	20.5			
PV/SMV invasion				< 0.001		
Absent	106	72.2	45.6			
Present	59	44.3	23.2			
Lymph node metastasis				0.002		
Absent	54	72.5	53.9			
Present	111	56.8	29.4	0.007		
Surgical margin	100	- -		< 0.001	1.00	< 0.001
Negative	130	73.5	45.5		1.00	
Positive	35	19.5	7.8		2.88 (1.81–4.59)	

Table 3. Univariate and multivariate analyses in 165 patients with resected pancreatic head carcinoma

Expressed as *n* (%) or median (range).

LMR, lymphocyte-to-monocyte ratio; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; PNI, prognostic nutritional index; PV, portal vein; SMV, superior mesenteric vein.



Fig. 3. a Cumulative overall survival rates of patients in PHC with LMR \geq 2.8 and that of patients with LMR <2.8. Log-rank test. **b** Cumulative overall survival rates of patients in PHC with NLR \geq 3.2 and that of patients with NLR <3.2. Log-rank test. **c** Cumulative overall survival rates of patients in PHC with PLR \geq 250 and

that of patients with PLR <250. Log-rank test. **d** Cumulative overall survival rates of patients in PHC with PNI ≥38 and that of patients with PNI <38. Log-rank test. LMR, lymphocyte-to-monocyte ratio; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-tolymphocyte ratio; PNI, prognostic nutritional index.

cut-off value of neutrophil, platelet, lymphocyte, and monocyte counts were investigated using the minimum p value approach method; however, no value could be considered statistically significant. Although several histologic parameters of resected PC specimens have been shown to represent significant prognostic variables, these parameters are invariably amenable to assessment only after surgery. Consequently, the LMR could be one of the most significant inflammation-based prognostic scores in resectable pancreatic head carcinoma. This study revealed that there was no significant association between LMR and pathological findings, although a low LMR can be considered one of the reliable prognostic biomarker.

An exact preoperative evaluation of tumors based on radiographic findings is difficult or even impossible [16], as radiographic findings do not often coincide with pathological findings; thus, the LMR could be useful when considering the malignant potential of pancreatic head adenocarcinomas. A recent large study of colorectal cancer reported that there was some association between the LMR and pathological findings [2]; that study demonstrated that a low LMR was associated with more advanced colorectal tumors. The differences between pancreatic and colorectal cancers are thus unclear in these findings. However, they could suggest that pancreatic tumors are more susceptible to mediators of inflammation than colorectal cancers. Moreover, in the present study, potential prognostic markers such as CEA and CA19-9 were comparable between low and high LMR [24]; the LMR may be a more sensitive prognostic marker than CEA and CA19-9. Notably, the present series showed a positive correlation with PNI, and a negative correlation with both NLR and PLR. Thus, our study supports the prognostic predictive value of LMR in resectable pancreatic head adenocarcinomas.

We defined an optimal cutoff point for the LMR of 2.8 in pancreatic head adenocarcinoma. To date, few studies have investigated the association between LMR and survival in resectable PCs, although NLR and PLR were frequently evaluated. Two studies on groups of 144 and 442 patients with curatively resected PCs showed that LMR <2.86 and <3 were independent prognostic factors respectively [18, 19]. A similar observation was reported in another study of 474 patients who underwent either curative resection or palliative chemotherapy, where LMR >2.8 indicated a reduced risk of death [20]. In a large colorectal cancer series, a cutoff point of 2.38 for the LMR was found to have the highest log-rank statistic of any cutoff point [2]. Therefore, our cutoff value of 2.8, which was determined by the minimum p value approach, is a valid result. Of note, the minimum *p* value approach has been proposed as a means of reducing the risk of missing a significant association [25]. However, this approach may give false positive associations. Because there is no agreement on the best way of determining a cutoff value, the potential validity and use of such an approach should be further tested.

Our study has some limitations. First, this was a retrospective study performed over a long period of time, which included a small population with a low rate of adjuvant chemotherapy. Our model did not identify several potential predictors of survival, that is, lymph node metastases, invasion to the portal vein, and so on, as predictors for mortality. This lack of identifying certain predictors is likely not because these factors are not predictors but rather because the present sample size was too small. Nevertheless, the importance of LMR was shown. Second, LMR could not provide a specific strategy for treatment of PC. Because the benefit of evaluating inflammation-based prognostic markers remains unclear, further prospective studies and/or multi-institutional studies are needed to clarify their clinical value. Third, CRP was not routinely examined in our patient cohort, although previous studies have confirmed that the Glasgow prognostic score and the prognostic index based on CRP were useful and important predictors of overall survival in PC [10, 11]. To compare these indexes with LMR, additional cohort studies are needed.

Conclusion

LMR may provide important prognostic information for patients with resectable pancreatic head adenocarcinoma, as well as other inflammation-based prognostic markers. Our study confirmed that preoperative LMR can be used to enable optimal risk stratification of individual patients prior to treatment and to predict post-curative prognosis of patients with pancreatic head adenocarcinoma.

Disclosure Statement

The authors indicate no potential conflict of interest.

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