Original Article

Differential expression of HSP90 isoforms and their correlations with clinicopathologic factors in patients with colorectal cancer

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Abstract: Heat shock protein 90 (HSP90), a molecular chaperone, plays critical roles in cellular protection against various stressful stimuli and in the regulation of cellular growth and apoptosis. HSP90 has four human isoforms; HSP90α, HSP90β, glucose related protein 94 (GRP94), and tumor necrosis factor (TNF) receptor-associated protein 1 (TRAP1). We evaluated the differential expression of these HSP90 isoforms in colorectal cancer (CRC) and correlated their expression levels with clinicopathological factors and patient survival rates. We performed immunohistochemical staining for HSP90α, HSP90β, GRP94, and TRAP1 in 129 CRC tumor samples and found that HSP90α expression was significantly associated with advanced pT stage (P = 0.011) and shorter recurrence-free survival (RFS) (P = 0.010), whereas GRP94 expression was correlated with low grade (P = 0.029) and better RFS (P < 0.001). HSP90β and TRAP1 had no prognostic impact, although HSP90β expression was positively correlated with tumor size (P = 0.008). Based on our results, HSP90α and GRP94 are potential prognostic biomarkers of CRC. In addition, the differences in expression and functional activities among four HSP90 isoforms imply that isoform selectivity should be seriously considered when HSP90 inhibitors are studied or adopted for the treatment of CRC.

Keywords: Colorectal cancer, HSP90, GRP94, TRAP1, immunohistochemistry, prognosis

Introduction

Colorectal cancer (CRC) is the third most common cancer and the second leading cause of cancer-related deaths worldwide [1]. Over the last few decades, the incidence and mortality of CRC in Korea have gradually increased [2]. Although the widespread use of advanced screening techniques has enabled early detection and treatment of the disease, there are sti-II a considerable number of patients suffering from unresectable metastatic CRC. Several treatment regimens are available for patients with metastatic CRC, including conventional chemotherapies with 5-fluorouracil-based regimens, irinotecan, oxaliplatin, and targeted therapies such as anti-epidermal growth factor receptor (EGFR) treatment with cetuximab or panitumumab and anti-vascular endothelial growth factor (VEGF) treatment with bevacizumab [3]. Unfortunately, metastatic CRC becomes unresponsive to these medical therapies in the end. Currently, there are no effective treatments for refractory CRC patients, and thus, novel therapeutic approaches are necessary to improve the clinical outcomes of these patients.

Heat shock proteins (HSPs) are highly conserved proteins found in all cell types, and are induced by diverse environmental and pathophysiological stressors [4, 5]. HSPs function as molecular chaperones that fold and stabilize newly translated or denatured proteins to maintain cellular integrity and to protect cells from stressful conditions [5, 6]. The client proteins of HSPs include several oncogenic signal trans-

duction molecules involved in the regulation of cellular growth and apoptosis [7]. HSPs are frequently expressed at high levels in various cancers and are related to tumor growth, differentiation, resistance to treatment, and prognosis [8, 9]. Therefore, suppression of HSPs by their specific inhibitors has been attracting much attention as a novel therapeutic approach for cancer patients [10-12].

HSPs are classified into several families according to their molecular mass [10]. Ninety kilodalton HSP (HSP90) is a representative family that is the most widely studied target for cancer therapy and includes several human isoforms such as the cytoplasmic isoforms HSP90a (inducible) and HSP90ß (constitutional), an endoplasmic reticulum isoform, glucose related protein 94 (GRP94), and a mitochondrial isoform, tumor necrosis factor (TNF) receptorassociated protein 1 (TRAP1) [10]. The relative importance of these four HSP90 isoforms for cancer development and treatment remains poorly understood. With regards to CRC, some previous studies have showed the relationship between the expression of an individual HSP90 isoform in tumor tissues and clinicopathologic factors [13-20], but there is no comprehensive study incorporating the differential expression of four HSP90 isoforms simultaneously.

In this study, we investigated the differential expression of HSP90 isoforms in CRC and the correlation of their expression levels with various clinicopathological factors, including overall patient survival. This allowed us to assess the potential of HSP90 expression to be a predictive biomarker for isoform-specific HSP90 inhibitor therapy or as a prognostic biomarker of CRC.

Materials and methods

Patients and tissue samples

One hundred and twenty nine patients who underwent CRC resection at Samsung Changwon Hospital from January 2007 to December 2009, were included in this study. No patients had neoadjuvant chemotherapy. Demographic and clinicopathological data were obtained from medical and pathological reports. The pathological stage was determined according to the 7th edition of the American Joint Committee on Cancer TNM staging system [21]. Follow-up data were included the period from

CRC resection until September 2017 or until death or loss to follow-up.

Tissue microarrays and immunohistochemistry

Representative areas of tumors were marked on hematoxylin and eosin-stained slides and used for tissue microarrays (TMAs). Two tissue cores per tumor with a diameter of 2 mm were taken from donor paraffin blocks and put in blank recipient paraffin blocks. TMA blocks were sectioned at 4 µm for immunohistochemical staining using a Ventana Benchmark XT (Roche-Ventana, Tucson, AZ, USA). All sections were deparaffinized and subjected to pretreatment with CC1 (Roche-Ventana) for 60 min at 100°C. Sections were washed with reaction buffer followed by incubation with primary antibodies for 32 or 60 min at 37°C. Primary antibodies were against HSP90α (clone D7a, 1:100, Abcam, Cambridge, UK), HSP90β (clone E296, 1:50, Epitomics, Burlingame, CA, USA), GRP94 (clone EPR3988, 1:100, Epitomics, Burlingame, CA, USA), and TRAP1 (clone EPR5381, 1:100, Epitomics, Burlingame, CA, USA). An UltraView Universal DAB kit (Roche-Ventana) was used according to the manufacturer's recommendations to detect primary antibodies, which was followed by counterstaining with hematoxylin (Roche-Ventana). For the negative control, buffer was used instead of the primary antibody.

Assessment of immunohistochemical results

Immunostained slides were evaluated by two independent pathologists (HWL and EHL) without clinicopathological information. Discrepant cases were reviewed on a multihead microscope until consensus was reached. For each HSP90 isoform, cases were considered positive when 10% or more of the tumor cells expressed the protein. The staining intensity of the positive cases was scored as 1 (weak), 2 (moderate), or 3 (strong).

Statistical analysis

Statistical analyses were performed with SPSS Ver. 18 (SPSS Inc., Chicago, IL, USA). To evaluate the relationships between the expression of HSP90 isoforms and clinicopathological parameters, we used Fisher's exact test for categorical variables or the Mann-Whitney test for ordinal variables. The Impact of parameters on overall survival (OS) and recurrence-free sur-

HSP90 expression in colorectal cancer

Table 1. Proportion of patients with high HSP90 isoform expression according to clinicopathological characteristics of patients with colorectal cancer

Variables	No. ofHSP90 α		HSP90β		GRP94		TRAP1		
	cases	No. (%)	Р	No. (%)	Р	No. (%)	Р	No. (%)	Р
Total	129	99 (77)		68 (53)		90 (70)		83 (64)	
Age (years)									
< 70	71	56 (79)	0.538	37 (52)	1.000	46 (65)	0.184	47 (66)	0.713
≥ 70	58	43 (74)		31 (53)		44 (76)		36 (62)	
Sex									
Male	76	61 (80)	0.293	36 (47)	0.156	52 (68)	0.846	51 (67)	0.460
Female	53	38 (72)		32 (60)		38 (72)		32 (60)	
Location									
Right	30	25 (83)	0.393	15 (50)	0.814	20 (67)	0.952	19 (63)	0.128
Transverse	11	7 (64)		5 (46)		8 (73)		4 (36)	
Left	88	67 (76)		48 (55)		62 (71)		60 (68)	
Differentiation		, ,		` ,		, ,		, ,	
Well	30	23 (77)	1.000	14 (47)	0.389	26 (87)	0.029	22 (74)	0.105
Moderately	91	70 (77)		49 (54)		59 (65)		58 (63)	
Poorly	8	6 (75)		5 (63)		5 (63)		3 (38)	
Size (cm)	-	/		(/		(/		ζ/	
< 4	66	47 (71)	0.148	27 (41)	0.008	46 (70)	1.000	40 (61)	0.462
≥ 4	63	52 (83)		41 (66)		44 (70)		43 (68)	
Pathological T stage		()		(,		()		()	
pT1	2	2 (100)	0.011	1 (50)	0.712	1 (50)	0.254	1 (50)	0.138
pT2	<u> </u>	8 (47)	0.011	9 (53)	0	13 (77)	0.20	12 (71)	0.200
pT3	85	67 (79)		46 (54)		60 (71)		58 (68)	
pT4	25	22 (88)		12 (48)		16 (64)		12 (48)	
Pathological N stage	20	22 (00)		12 (10)		10 (01)		12 (10)	
pN0	65	46 (71)	0.288	33 (51)	0.871	46 (71)	0.914	41 (63)	0.753
pN1	43	38 (88)	0.200	25 (58)	0.011	29 (67)	0.01	28 (65)	0.700
pN2	21	15 (71)		10 (48)		15 (71)		14 (67)	
Distant metastasis	21	10 (11)		10 (40)		10 (11)		14 (01)	
No metastasis	109	82 (75)	0.405	58 (53)	0.812	76 (70)	1.000	71 (65)	0.800
Metastasis	20	17 (85)	0.403	10 (10)	0.012	14 (70)	1.000	12 (60)	0.000
TNM stage	20	11 (00)		10 (10)		14 (10)		12 (00)	
	15	8 (53)	0.073	7 (47)	0.856	11 (73)	0.721	10 (67)	0.861
ı II	45	35 (78)	0.073	24 (53)	0.650	32 (71)	0.721	27 (60)	0.801
III	49	39 (80)		27 (55)		32 (71)		34 (69)	
IV	20	17 (85)		10 (50)		14 (70)		12 (60)	
	20	11 (65)		10 (50)		14 (70)		12 (00)	
Lymphovascular invasion	100	77 (77)	1.000	55 (55)	0.400	70 (70)	0.260	62 (62)	0 201
Negative Positive	100	, ,	1.000	55 (55)	0.400	72 (72)	0.360	62 (62)	0.381
	29	22 (76)		13 (45)		18 (62)		21 (72)	
HSP90α	20			40 (40)	0.444	07 (00)	0.000	00 (77)	0.400
Low	30			12 (40)	0.144	27 (90)	0.006	23 (77)	0.130
High	99			56 (57)		63 (64)		60 (61)	
HSP90β	04	40 (74)	0.4.4.4			44 (40)	0.570	04 (50)	0.000
Low	61	43 (71)	0.144			41 (46)	0.570	34 (56)	0.066
High	68	56 (82)				49 (54)		49 (72)	
GRP94		00 (00)		40 (40)				40 (40)	
Low	39	36 (92)	0.006	19 (49)	0.570			19 (49)	0.017
High	90	63 (70)		49 (54)				64 (71)	
TRAP1				46			: -		
Low	46	39 (85)	0.130	19 (41)	0.066	26 (30)	0.017		
High	83	60 (72)		49 (59)		64 (71)			

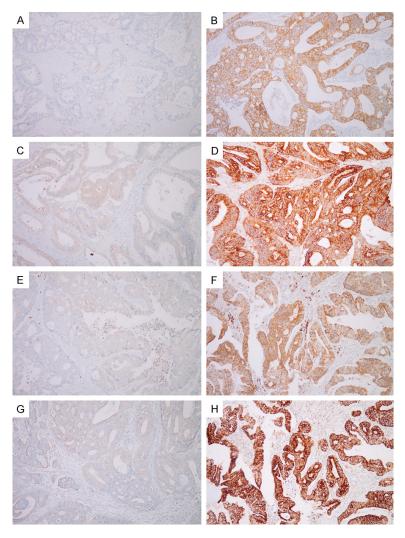


Figure 1. Immunohistochemical staining of HSP90 isoforms in colorectal cancers. Low (A) and high (B) expression of HSP90 α , low (C) and high (D) expression of HSP90 β , low (E) and high (F) expression of GRP94, and low (G) and high (H) expression of TRAP1.

vival (RFS) were analyzed by the Kaplan-Meier method, and differences were compared using the log-rank test. Multivariate analyses for OS and RFS used the Cox proportional hazards model. A P < 0.05 was considered statistically significant.

Results

Clinicopathological characteristics

The 129 patients with CRC included in this study consisted of 76 men and 53 women. At the time of diagnosis, the median patient age was 68 years (range 24-93 years). Regarding tumor location, 30 (23%) were found in the cecum and ascending colon, 11 (9%) in the transverse colon, 8 (6%) in the descending

colon, 45 (35%) in the sigmoid colon, and 35 (27%) in the rectum. The median tumor size was 4.0 cm (range 1.6-9.0 cm). Thirty tumors (23%) were well differentiated, 91 (71%) were moderately differentiated, and 8 (6%) were poorly differentiated. Pathologic T stage was T1 (pT1) for 2 tumors (2%), pT2 for 17 (13%), pT3 for 85 (66%), and pT4 for 25 (19%). Lymphovascular invasion and nodal metastasis were detected in 29 (22%) and 64 cases (50%), respectively. Distant metastasis was present at initial diagnosis in 20 (16%) patients. Fifteen tumors (12%) were stage I, 45 (35%) were stage II, 49 (38%) were stage III, and 20 (16%) were stage IV. These clinicopathological characteristics are summarized in Table 1.

Expression and correlation of HSP90 isoforms

All four HSP90 isoforms were expressed in the cytoplasm of CRC tumor cells with high positive rates and a wide range of staining intensities (**Figure 1**). Of the 129 tumors, positivity was seen in 110 (85%) for HSP90 α , 129 (100%) for HSP90 β , 112 (87%) for GRP94,

and 128 (99%) for TRAP1. Expression was moderate or strong, namely high expression, in 99 (77%) for HSP90 α , 68 (53%) for HSP90 β , 90 (70%) for GRP94, and 83 (64%) for TRAP1. There was a negative correlation between HSP90 α and GRP94 expression (P = 0.006), whereas a positive correlation was seen between GRP94 and TRAP1 expression (P = 0.017). HSP90 β had no statistically significant correlation with the other HSP90 isoforms (**Table 1**).

Correlation between HSP90 isoforms and clinicopathological factors

Expression levels of HSP90 isoforms were compared with clinicopathological factors (**Table 1**). Of the isoforms, HSP90 α was expressed more

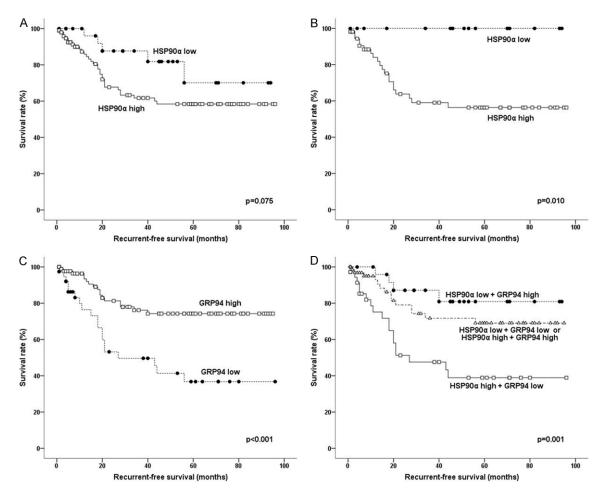


Figure 2. Survival analysis using the Kaplan-Meier method: Recurrence-free survival (RFS) according to the expression levels of HSP90α in all patients (A) and in patients younger than 70 years old (B). RFS according to the expression levels of GRP94 (C) and the combined expression levels of HSP90α and GRP94 (D) in all patients.

highly in CRCs with advanced pT and TNM stages es than those with early pT and TNM stages (P = 0.011 and P = 0.073, respectively). HSP90 β expression was higher in CRCs with a diameter of 4 cm or larger than those with a diameter smaller than 4 cm (P = 0.008). Well-differentiated CRCs showed a high expression of GRP94 more frequently than poorly-differentiated tumors (P = 0.029). TRAP1 expression was not significantly associated with clinicopathological factors.

Survival analysis

The median follow-up period was 38 months (range 1-96 months). During follow-up, 48 (37%) of the 129 patients died and 36 (28%) developed recurrent disease. In univariate analysis, the HSP90 isoforms did not show a significant impact on OS. For all patients, the HSP90 α high-expression group had a tendency

towards shorter RFS, but this was not statistically significant (Figure 2A; P = 0.075). When stratified by patient age at diagnosis, high expression of HSP90α was significantly associated with worse RFS in CRC patients younger than 70 years old (Figure 2B; P = 0.010). CRC patients with high expression of GRP94 had longer RFS (Figure 2C; P < 0.001). When HSP-90α and GRP94 expression results were combined, CRC patients with high HSP90α and low GRP94 expression had the worst RFS (Figure **2D**; P = 0.001). Both HSP90 β and TRAP1 had no significant association with RFS. Of the measured clinicopathological variables, higher pT, pN, or TNM stage (P = 0.045, P = 0.010, and P = 0.025, respectively), and lymphovascular invasion (P < 0.001) were significantly correlated with shorter RFS. Multivariate Cox regression analysis including GRP94 and clinicopathological variables that were significantly as-

Table 2. Multivariate analysis for recurrencefree survival in 129 patients with colorectal cancer

Factors	HR	95% CI of HR	Р				
GRP94							
Low	2.62	1.33-5.14	0.005				
High	1.00						
pT stage							
pT4	1.72	0.77-3.85	0.190				
pT1-3	1.00						
Lymph node metastasis							
Present	1.65	0.77-3.53	0.201				
Absent	1.00						
Lymphovascular invasion							
Present	2.36	1.13-4.91	0.022				
Absent	1.00						
CL confidence intervals HD beyord ratio							

CI, confidence interval; HR, hazard ratio.

sociated with prognosis in univariate analysis showed that GRP94 was an independent prognostic factor for RFS (**Table 2**).

Discussion

The major functions of HSP90 as a molecular chaperone are to facilitate the appropriate folding of unfolded or misfolded proteins and to prevent their aggregation and malfunction. These functions contribute to the crucial roles of HSP90 in the protection and maintenance of cellular viability against environmentally and pathophysiologically stressful stimuli [5, 6]. In addition, HSP90 is involved in the regulation of cell signaling, protein trafficking, and apoptosis [7, 22]. On the basis of these functions, cancer cells are considered to be more dependent on HSP90 than normal cells. Transformed cells are faced with oncogenic stresses caused by overexpressed abnormal oncoproteins and higher metabolic requirements; high HSP90 expression thus promotes the growth and survival of tumors [8-10]. Previous studies have shown that the overexpression of HSP90 isoforms is involved in oncogenesis and is associated with tumor aggressiveness and poor prognoses in patients with various types of cancers, including colon, stomach, breast, and lung cancer [15, 23-26].

With regards to CRC, there have been many studies that have investigated the expression

of HSP90 isoforms. These studies focused on the association between only one or two of the HSP90 isoforms and clinicopathologic factors [13-20]. However, differences in expression among four HSP90 isoforms in the same tumor cohort have not been reported yet. The relative expression and functional importance of HSP90 isoforms could be different according to cell type and cellular microenvironment, and this could influence potential treatment regimens with HSP90 inhibitors, especially isoform-specific inhibitors. Therefore, it is worth evaluating the expression of four HSP90 isoforms simultaneously in the same tumor tissue.

In our study, CRCs with advanced pT and TNM stages had higher expression of HSP90α than those at an early stage of cancer progression. Based on this result, two hypotheses could be considered. First, $HSP90\alpha$ could directly or indirectly encourage tumor growth and stromal invasion. Second, advanced stage tumors could be exposed to more stressful conditions and require higher expression of molecular chaperones than early stage tumors. HSP90a might function as a major molecular chaperone in advanced CRCs. These hypotheses are supported by previous studies. Chen et al. [27] and Nagaraju [28] demonstrated that HSP90a enhanced CRC cell migration and invasion. Moreover, HSP90α overexpression was associated with advanced tumor stage in CRC and gastric cancer [15, 23]. We found that high $HSP90\alpha$ expression was significantly correlated with worse RFS in CRC patients younger than 70 years old when adjusted for age. There are only a limited number of studies on the relationship between HSP90α expression and CRC patient survival. Drecoll et al. [14] showed that high HSP90 levels could predict prolonged survival of colon cancer patients. However, this result is exceptional and inconsistent with ours. One potential reason for this discrepancy is that they used an anti-HSP90 primary antibody, which could detect both HSP90α and HSP90β at once, and not a specific anti-HSP90α antibody. In our study, HSP90ß did not have any prognostic significance. More validation studies with a specific anti-HSP90α antibody are needed to determine the exact impact of $HSP90\alpha$ on the prognosis of CRC patients.

Larger CRC tumors had significantly higher $HSP90\beta$ expression than smaller ones. Al-

though there have been no published data on the association between HSP90 expression and tumor size in CRC, some studies have reported that HSP90 expression was positively correlated with tumor size in advanced gastric cancer and gastrointestinal stromal tumors [23, 29]. These results imply that HSP90 β could contribute to tumor growth or be increasingly expressed in larger tumors due to their higher metabolic stresses. HSP90 β overexpression has been associated with worse patient survival in lung cancer [26, 30]. However, we could not find any prognostic significance for HSP90 β in ours and other CRC studies.

It has been suggested that GRP94 is involved in cancer progression by chaperoning its oncogenic client proteins or regulating tumor-associated macrophages [31, 32], and that elevated expression of GRP94 correlates with higher tumor grade, advanced stage, and poor outcome in a variety of cancers [17, 24, 31]. In contrast with these previous results, we found that well-differentiated CRCs showed higher GRP94 expression than moderately- to poorly-differentiated ones, and that CRC patients with high GRP94 expression had significantly better RFS than those with low GRP94 expression. The discrepancy could be explained by two conflicting functions of GRP94, in that it can promote tumor progression and cause an anti-tumor immune response depending on the cellular conditions or microenvironment [31, 32]. In addition, Slotta-Huspenina et al. [33] demonstrated that esophageal adenocarcinomas with high GRP94 expression were more sensitive to chemotherapy than those with low GRP94 expression, although they could not determine the exact mechanism. The prolonged RFS of CRC patients with high GRP94 expression in our study might result from higher sensitivity to chemotherapy. Further investigations are warranted to elucidate the role of GRP94 on CRC patient survival and to identify its mechanism of action.

Recent studies uncovered that TRAP1 overexpression was associated with advanced stage and poor outcome in CRC patients [18-20]. In contrast, we could not find any correlation between TRAP1 expression and clinicopathological factors and patient survival. However, there were differences in the TRAP1 antibody used for immunostaining, as well as the inter-

pretation method for the immunohistochemical results between the previous studies and our current study. Thus, the clinicopathological significance of TRAP1 suggested in previous studies should be further verified with a standardized method.

In this study, we evaluated the association among four HSP90 isoforms. There was a negative correlation between HSP90 α and GRP94, while GRP94 was positively correlated with TRAP1. Taken together with other results, we speculate that HSP90 α plays the most important role among the four HSP90 isoforms in the carcinogenesis of CRC, whereas GRP94 could have anti-tumor effects. Down-regulation of GRP94 could promote tumor progression and predict poor prognosis in CRC. Before the present study, the differential expression of all four HSP90 isoforms in the same CRC tumor tissues has not been reported. Therefore, validation of our results is required.

Several HSP90 inhibitors have been studied as novel therapeutic agents, and some have been evaluated in clinical trials for different types of cancers [10-12]. Our study showed that a high proportion of CRCs had increased expression of HSP90 isoforms. This suggests that HSP90 isoforms could be therapeutic targets in CRC. Furthermore, based on our results, HSP90 α -specific inhibitors might be more effective against CRC and have less adverse side effects than inhibitors of other isoforms or pan-HSP90 inhibitors. The impact of isoform selectivity on the treatment index and toxic effects of HSP90 inhibitors should be explored in future studies.

In conclusion, this study is the first to investigate the differential expression of four HSP90 isoforms and the correlation of their expression levels with various clinicopathological factors and patient survival in CRC. HSP90a expression was significantly associated with advanced pT stage and shorter RFS, whereas GRP94 expression was correlated with low grade and better RFS. HSP90\u03b3 and TRAP1 had no prognostic impact although HSP90β expression was positively correlated with tumor size. These differences in expression and functional activities among four HSP90 isoforms indicate that isoform selectivity should be seriously considered when HSP90 inhibitors are studied or adopted for the treatment of CRC. $HSP90\alpha$ and GRP94 could be prognostic biomarkers in CRC. Our study is retrospective, includes a relatively small number of cases, lacks molecular validation, and contains contradictory results to those found in previous studies. Thus, large-scale, prospective studies with standardized methods and molecular validation are needed to verify our results.

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Disclosure of conflict of interest

None.

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