## End-of-Life Population Study Methods

Grace M. Johnston, MHSA, PhD, 1 Frederick I. Burge, MD, MSc, 2 Chris I. Boyd, MSc,<sup>3</sup> Maureen MacIntyre, BScN, MHSA<sup>4</sup>

Palliation is the relief of suffering and improvement in the quality of life for persons living with or dying from advanced illness, and for the bereaved.1 The Special Senate Report "Of Life and Death"<sup>2</sup> and its recent update<sup>3</sup> call for greater action in Canada to meet these needs. While health promotion should span all stages of life,4,5 quaternary prevention to reduce end-of-life suffering and promote a good death<sup>6</sup> has been limited.7 Population-based research and information systems are underdeveloped for adequate identification of palliative needs, service provision, and outcomes.8-10 This short report provides methodological direction for end-of-life database development and research.

Since more than 90% of palliative care services are devoted to cancer patients, 11,12 research often focused on this disease. As palliative services become more available to persons dying of other chronic conditions, the methods presented herein can be expanded to non-cancer end-of-life care.

To identify inadequacies in palliative care provision, population-based retrospective studies can be carried out across Canada by learning from progress being made in Nova Scotia (NS). Concurrently, population-based methods must be developed to prospectively identify persons with

palliative care needs and to enable intervention studies.

NS research provides a model for equity studies reporting demographic and service variations in palliative program registration<sup>12</sup> and provision of palliative radiation.<sup>13</sup> Physician and hospital service utilization is now being investigated. 14,15 Studies of narcotic analgesic use16 and nursing workload measures<sup>17</sup> are planned.

For these retrospective studies, the study subjects are all persons dying of cancer. The subjects were identified from the Nova Scotia Cancer Registry that contains provincial Vital Statistics (VS) death registrations. The subjects are an unbiased set of persons likely to have end-of-life needs. The validity of the VS cause of death can be ascertained by comparison to diagnoses recorded in the disease registry.

Canadian provincial/territorial Cancer Registries (CR) identify all persons diagnosed with cancer since 196918 from pathology reports, hospital separations and cancer centre visits using International Classification of Disease - Oncology (ICD-O) codes. Vital Statistics (VS) death registrations have ICD-9 cause of death codes. The ICD-9 VS and ICD-O CR codes can be grouped into 10 common categories (Table I).

For NS studies, if a primary cancer site at diagnosis is also recorded as a cause of death, it is assumed that the person would probably require supportive cancer care at the end of life. The extent of agreement between CR and VS cancer sites was ascertained for NS by comparing underlying and other VS cancer causes of death to CR primary cancer(s) at diagnosis. At least one of the cancer causes of death was found as a primary cancer diagnosis for 90.5% of the people dying of cancer. Agreement for

diagnoses microscopically confirmed was similar to those clinically diagnosed.

For the 9.5% in disagreement, anatomically adjacent and metastatic sites, as well as diagnostic uncertainty, were typically involved. The VS cause of death appears plausible given the primary cancer site. Grouped ICD-9 VS cancer cause of death appears to be a valid classification for retrospective study subjects.

Disease registry managers should lead in linking death records to administrative databases for retrospective assessment of palliative care provision. Province-wide, patient-specific profiles of service provision should be generated over time, compared to other geographic areas, and analyzed by sociodemographic determinants such as age, sex, cause of death, urban/rural place of residence, and location of death (hospital, home, nursing home). In all Canadian provinces/territories, hospital and physician services databases exist. In many, palliative radiation, pharmaceutical and home care data are accessible. A major effort is now needed to enable retrospective, endof-life studies across Canada.

Concurrently, prospective study methods must be developed for the comparison of outcomes from various models of palliative care provision and the evaluation of palliative care interventions. As Canadian cancer registries progress to include stage of disease at diagnosis,19 consensus should be sought on which markers of disease progression should be added to identify persons likely to require palliative services.

New data collection for prospective studies must be feasible for palliative and home care programs, long term care facilities, and hospitals. Data should be meaningful for planning and policy setting by agencies providing data as well as chronic

<sup>1.</sup> Associate Professor, School of Health Services Administration, Dalhousie University, and Senior Epidemiologist, Nova Scotia Ćancer Registry, Halifax, NS

Associate Professor, Department of Family Medicine, Dalhousie University

Biostatistician, Nova Scotia Ćancer Registry in 1999/2000

<sup>4.</sup> Director, Nova Scotia Cancer Registry Correspondence and reprint requests: Dr. Grace Johnston, Associate Professor, School of Health Services Administration, Dalhousie University, 5599 Fenwick St., Halifax, NS, B3H 1R2, Tel: 902-494-1309, E-mail: grace.johnston@dal.ca

TABLE I
Number (and Row Percent) Agreement between Cancer at Diagnosis from Cancer Registry (CR)
and Cause of Death from Vital Statistics (VS), Nova Scotia, 1994 to 1998 Deaths

			VS CANCER CAUSE OF DEATH									
CR CANCER DIAGNOSIS	ICD-0 Codes	ENT	GI	Thoracic	Breast	GYN	GU	CNS	Haemato- logical	Other	Unknown Primary	
ENT	(140-160)	242 (77.8)	7 (2.2)	30 (9.6)	0 (0.0)	0 (0.0)	2 (0.6)	3 (1.0)	1 (0.3)	17 (5.5)	10 (3.2)	
GI	(151-159)	1 (0.0)	2428 (94.3)	25 (1.0)	5 (0.2)	5 (0.2)	9 (0.4)	0 (0.0)	5 (0.2)	18 (0.7)	78 (3.0)	
Thoracic	(162-165)	3 (0.1)	11 (0.4)	2590 (95.6)	3 (0.1)	1 (0.0)	8 (0.3)	8 (0.3)	2 (0.1)	14 (0.5)	68 (2.5)	
Breast	(174-175)	1 (0.1)	9 (1.0)	11 (1.3)	826 (94.5)	1 (0.1)	0 (0.0)	3 (0.3)	1 (0.1)	4 (0.5)	18 (2.1)	
GYN	(180-184)	1 (0.2)	13 (2.9)	5 (1.1)	1 (0.2)	409 (90.1)	1 (0.2)	0(0.0)	1 (0.2)	6 (1.3)	17 (3.7)	
GU	(185-188)	1 (0.1)	28 (2.4)	32 (2.7)	1 (0.1)	2 (0.2)	1085 (91.0)	1 (0.1)	0(0.0)	9 (0.8)	34 (2.9)	
CNS	(191-192)	0(0.0)	1 (0.5)	1 (0.5)	0 (0.0)	1 (0.5)	0 (0.0)	182 (93.3)	0(0.0)	8 (4.1)	2 (1.0)	
Haematological	(169)	0 (0.0)	3 (1.2)	1 (0.4)	0 (0.0)	0 (0.0)	1 (0.4)	0(0.0)	235 (92.2)	14 (5.5)	1 (0.4)	
Other	(All else)	0 (0.0)	13 (1.6)	12 (1.5)	2 (0.3)	3 (0.4)	4 (0.5)	2 (0.3)	16 (2.0)	716 (90.4)	24 (3.0)	
Unknown Primar	y (199)	3 (0.5)	156 (25.1)	71 (11.4)	6 (1.0)	12 (1.9)	11 (1.8)	6 (1.0)	0 (0.0)	36 (5.8)	320 (51.5)	

disease program managers, drug programs, and clinicians.

In conclusion, the authors recommend that VS cause of death be used to classify persons in retrospective studies of equity in service provision at the end of life to persons dying of cancer across Canada. This method should be used for other chronic diseases such as AIDS, Lou Gerhig's and multiple sclerosis. Concurrently, classification and design issues for prospective studies should be addressed.

## **REFERENCES**

- Canadian Palliative Care Association. How Close Are We to Consensus? A Report on the First Cycle of the National Consensus-building Process to Develop National Standards of Practice for Palliative Care in Canada. Ottawa: Canadian Palliative Care Association, 1998.
- Special Senate Committee on Euthanasia and Assisted Suicide. Of Life and Death. Ottawa: Ministry of Supply and Services, 1995.
- 3. Carstairs S. Final Report: Quality End-of-life Care: The Right of Every Canadian. Ottawa: Standing Senate Committee on Social Affairs, Science and Technology, Government of Canada, June 2000.

- Pickett M, Cooley ME, Gordon DB. Palliative care: Past, present, and future perspectives. Seminars in Oncology Nursing 1998;14(2):86-94.
- Canadian Public Health Association. Perspectives on Health Promotion: Towards National Consensus: Laying the Foundation for the Action Statement for Health Promotion in Canada. Ottawa: CPHA, 1996.
- 6. Emanuel EJ, Emanuel LL. The promise of a good death. *Lancet* 1998;351(suppl II):21-29.
- Achieving Health for All: A Framework for Health Promotion. Ottawa: Health Canada, 1986.
- 8. Canadian Palliative Care Association. Canadian Agenda for Research in Palliative Care. 1999. http://www.cpca.net/research/
- Carlow DR. The British Columbia Cancer Agency: A comprehensive and integrated system of cancer control. *Hospital Q* 2000; Spring: 31-45.
- Fisher R, Ross MM, Maclean MJ. A Guide to Endof-life Care for Seniors. Interdepartmental Division of Geriatrics, University of Toronto, 2000. http://www.rgp.toronto.on.ca/iddg/index.htm.
- 11. Eccleston R. The consumer's guide to palliative care. *The Australian* 1997;Feb 15:10-15.
- Johnston GM, Gibbons L, Burge FI, et al. Identifying potential need for cancer palliation in Nova Scotia. Can Med Assoc J 1998;158(13):1691-98.
- Johnston GM, Boyd CJ, Joseph P, MacIntyre M. Variations in delivery of palliative radiation for persons dying of cancer in Nova Scotia, 1994-1998. J Clin Oncol 2001;19(14):3323-32.
- 14. Burge FI, Johnston GM. Family Physician Palliative Care of those Dying of Cancer:

- Changes during the 1990's. National Health and Research Development Program (NHRDP) Project Number 6603-03-1999/2590121. Research proposal funded by NHRDP.
- Johnston G, Burge F. Dimensions of family physician palliative care and their measurement. *J Palliat Care* (accepted with revision).
- 16. Johnston G, Burge F, Sketris I, et al. Narcotic Analgesics in the Last Year of Life for Persons Dying of Cancer. Phase 1: Feasibility, Classification, and Data Quality Investigation. Faculty of Health Professions Research Development Grant Project, 2000.
- Johnston G, Gurnham ME, MacIntyre M. Research Plan: Provision of Palliative Care Nursing in Nova Scotia. Work-in-Progress Proposal, 2000.
- 18. Band PR, Gaudette LA, Hill GB, et al. The Making of the Canadian Cancer Registry: Cancer Incidence in Canada and its Regions, 1969 to 1988. Ottawa: Canadian Council of Cancer Registries, Health and Welfare Canada, and Statistics Canada, 1993.
- 19. Canadian Cancer Progress Report Working Group, Toronto, June 16,1999: Principal Findings and Recommendations. Report to Canadian Coalition on Cancer Surveillance.

Received: September 12, 2000 Accepted/minor revisions: December 1, 2000 Revised MS received: May 16, 2001 Accepted: June 14, 2001