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## Exocyst complex member EXOC5 is required for survival of hair cells and spiral ganglion neurons and maintenance of hearing

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### Abstract

The exocyst, an octameric protein complex consisting of Exoc1 through Exoc8, was first determined to regulate exocytosis by targeting vesicles to the plasma membrane in yeast to mice. In addition to this fundamental role, the exocyst complex has been implicated in other cellular processes. In this study, we investigated the role of the exocyst in cochlear development and hearing by targeting EXOC5, a central exocyst component. Deleting *Exoc5* in the otic epithelium

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#### Conflict of Interest

The authors declare that they have no conflict of interest.

with widely used Cre lines resulted in early lethality. Thus, we generated two different inner ear-specific *Exoc5* knockout models by crossing *Gfi1<sup>Cre</sup>* mice with *Exoc5<sup>fl/fl</sup>* mice for hair cell-specific deletion (*Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>*) and by *in utero* delivery of rAAV-*iCre* into the otocyst of embryonic day 12.5 for deletion throughout the otic epithelium (rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>*). *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice showed relatively normal hair cell morphology until postnatal day 20, after which hair cells underwent apoptosis accompanied by disorganization of stereociliary bundles, resulting in progressive hearing loss. rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>* mice exhibited abnormal neurite morphology, followed by apoptotic degeneration of spiral ganglion neurons (SGNs) and hair cells, which led to profound and early-onset hearing loss. These results demonstrate that *Exoc5* is essential for the normal development and survival of cochlear hair cells and SGNs, as well as the functional maintenance of hearing.

## Keywords

Exoc5; exocyst; cochlea; spiral ganglion; hearing loss

## Introduction

The exocyst is an octameric protein complex comprising Exoc1 (also known as Sec3 in *Saccharomyces cerevisiae*), Exoc2 (Sec5), Exoc3 (Sec6), Exoc4 (Sec8), Exoc5 (Sec10), Exoc6 (Sec15), Exoc7 (Exo70), and Exoc8 (Exo84) [1]. It was first identified in budding yeast *Saccharomyces cerevisiae* where it plays a role in exocytosis of secretory vesicles and polarized membrane growth in developing daughter cells [1,2]. Homologs of the eight yeast exocyst subunits (Exoc1–8) have been identified in many multicellular organisms including fungi, plants, insects, and vertebrates; and the structure and function of the exocyst have been shown to be highly conserved throughout the animal kingdom [3–5]. In addition to its basic function in exocytosis, the exocyst has been implicated in a wide range of cellular processes including epithelial polarization [6,7], cell migration [8], neuronal morphogenesis [9], cell cycle progression [10], autophagy [11], and primary ciliogenesis [12].

The assembly and function of the exocyst is regulated by the Arf [13], Rho, Rab, and Ral families of small GTPases. A number of *in vitro* and *in vivo* studies in yeast, *Drosophila*, zebrafish, and mice have shown that small GTPases, including Rho3, Cdc42, Sec4 (Rab8), Rab11, RalA, and RalB, interact with specific exocyst subunits either on transport vesicles or at target sites of the plasma membrane to regulate various aspects of exocyst function [5,14]. For example, in yeast, Cdc42, a Rho family GTPase, directly interacts with Sec3, and this interaction is required for targeting the exocyst to the plasma membrane [15]. In renal tubule epithelial cells, Cdc42 interacts and colocalizes with Exoc5, the homolog of yeast sec10, and contributes to ciliogenesis by targeting vesicles carrying ciliary proteins to the primary cilium [16]. Consistently, several *in vivo* studies have shown that deletion of Cdc42 or Exoc5 causes characteristic ciliopathy phenotypes, such as cystic kidney disease and retinal degeneration [13,17,18]. Similarly, Sec4, a Rab family GTPase, interacts with Sec15 and transports vesicles to target sites in yeast, and inactivation of the Sec4 vertebrate homolog, Rab8, in mice has been shown to cause cystic kidney disease and retinal degeneration [19,20].

Interestingly, some exocyst regulators, such as Cdc42 and Rab8b, have been shown to play an essential role in cochlear development and hearing function [21–23]. Cdc42 is required for normal development as well as maintenance of hair cells and supporting cells, and Cdc42 deficiency causes severe sensorineural hearing loss in mice [21,23]. In addition, Rab8b has been shown to interact with otoferlin, which is essential for synaptic vesicle exocytosis at the ribbon synapse of inner hair cells (IHCs) [24]; mutations in *OTOF* gene encoding otoferlin lead to autosomal recessive DFNB9 deafness in humans [22]. These reports suggest that exocyst function may also be important for cochlear development and hearing function, but the role of the exocyst in the inner ear has not been explored.

Thus far, several mouse models have been generated that carry knockout alleles of specific exocyst subunits, including *Exoc3*, *Exoc4*, *Exoc5*, and *Exoc8*; however, all these mice displayed early embryonic lethality, precluding analyses of cochlear development and function [25]. Currently, the only available conditional allele (floxed) is for *Exoc5*, which is a central component of the exocyst complex and acts as a bridge between transport vesicles and other exocyst subunits [26]. Previous studies using *Exoc5* tissue-specific knockout mice have focused on the kidney, showing that inactivation of *Exoc5* in the kidney and ureter epithelium causes polycystic kidney disease and ureter obstruction due to abnormal ciliogenesis [17,13]. Given the commonalities in pathogenic mechanisms between the kidney and inner ear [27–29], the exocyst is also expected to play a crucial role in inner ear development and function.

In this study, we examined the role of the exocyst in the inner ear by specifically deleting *Exoc5* in otic tissues using two different methods: by crossing *Exoc5<sup>fl/fl</sup>* with *Gfi1<sup>Cre</sup>* mice for hair cell-specific deletion, and by *in utero* delivery of recombinant adeno-associated virus vector carrying improved Cre recombinase (rAAV2/1-*iCre*) into otocysts of *Exoc5<sup>fl/fl</sup>* embryos for deletion in the entire otic epithelium. We observed that inactivation of *Exoc5* caused progressive hearing loss that resulted from degeneration of stereocilia, followed by apoptotic hair cell loss, as well as abnormal neuritogenesis and loss of spiral ganglion neurons (SGNs). These results demonstrate that the exocyst plays a crucial role in hearing function by regulating the development and maintenance of hair cells and SGNs.

## Materials and Methods

### Animals

Deletion of *Exoc5* exons 7–10 in cochlear hair cells was achieved by mating *Exoc5<sup>fl/fl</sup>* mice with *Gfi1<sup>Cre/+</sup>* mice, which express *Cre* recombinase in developing hair cells (Supplementary figure 1) [17,30]. Deletion of *Exoc5* exons 7–10 in the otic epithelium was achieved by *in utero* delivery of rAAV2/1-*iCre* into the otocyst at embryonic day (E) 12.5. *Rosa26-tdTomato* reporter mice were purchased from the Jackson Laboratory (Bar Harbor, ME, USA). All the mouse strains used in this study were on the C57BL/6J genetic background. All animal procedures were conducted in accordance with the Institutional Animal Care guidelines issued by the Committee of Animal Research of Kyungpook National University.

## Recombinant adeno-associated virus (rAAV) and trans-uterine microinjection

rAAVs containing an inverted terminal repeat from AAV serotype 2 and a capsid from AAV serotype 1 (rAAV2/1) were purchased from Vector Biolabs (Malvern, PA, USA). Pre-packaged rAAVs expressing codon-improved *Cre* recombinase (*iCre*) along with enhanced green fluorescent protein (eGFP) driven by the CAG2 promoter (rAAV2/1-*iCre*) were used. The “self-cleavage” of *Thosea asigna* virus 2A, a peptide bridge between eGFP and *iCre*, allows two proteins to be separated after translation. The rAAV2/1 titer was defined as vector genome copies per milliliter (GC/mL). rAAV2/1 was stored at  $-80^{\circ}\text{C}$  and thawed immediately before surgery. Pregnant *Exoc5<sup>ff</sup>* mice were anesthetized on E12.5 with an intramuscular injection of tiletamine–zolazepam (1.8 mg/100 g) and xylazine hydrochloride (0.7 mg/100 g).  $2.0 \times 10^{13}$  GC/ml of rAAV2/1-*iCre* was microinjected unilaterally into the left otocyst of E12.5 embryos, in contrast, right otocyst was used by internal control as previously described [31].

## In situ hybridization

Digoxigenin-labeled antisense RNA probes were synthesized using a DIG-RNA labeling kit (Roche, Basel, Switzerland) according to the manufacturer’s instructions. The RNA probe for *Exoc5* was generated from mouse *Exoc5* cDNA containing c.246 to c.1150 coding regions (NM\_207214.3). The synthesized RNA probe was hybridized with the target mRNA for 20 h at  $70^{\circ}\text{C}$ , and then the hybridized RNA probes were bound with an anti-digoxigenin antibody conjugated to alkaline phosphatase (Roche) for 12 h at  $4^{\circ}\text{C}$ . Gene expression was detected through a reaction with nitro-blue tetrazolium/5-bromo-4-chloro-3-indoly phosphate solution (Roche).

## Antibodies

The primary antibodies used in this study are as follows: rabbit polyclonal anti-Exoc5 (Abcam Inc., Cambridge, MA, USA); rabbit polyclonal anti-Myo7a (Proteus BioSciences, Ramona, CA, USA) and mouse polyclonal anti-Myo7a (Developmental Studies Hybridoma Bank, Iowa City, IA, USA); rabbit polyclonal anti-cleaved Caspase3 (Cell Signaling Technology, Danvers, MA, USA); mouse monoclonal anti-NF200 (Millipore Filter Corporation, Billerica, MA, USA); mouse polyclonal anti-TuJ1 (BioLegend, San Diego, CA, USA); rabbit polyclonal anti-*Cre* (Novagen, San Diego, CA, USA); anti-Arl13b (gifted from Hyuk-Wan Ko of Dongguk University College of Pharmacy); mouse monoclonal anti-Acetylated tubulin (Sigma–Aldrich, St. Louis, MO, USA). The secondary antibodies used for immunofluorescence were Alexa Fluor 405-, 488-conjugated goat anti-mouse IgG and 555- or 568-conjugated goat anti-rabbit IgG (Invitrogen, Waltham, MA, USA). All antibodies were diluted in 5% normal goat serum (NGS) with 0.1% Triton X-100 in 1X PBS (PBS–Tx).

## Immunofluorescence and histological analysis

Inner ears were harvested and fixed with 4% paraformaldehyde (PFA). The fixed inner ear tissues were embedded in optimal cutting temperature compound (OCT; Leica Microsystems, Nussloch, Germany) or in paraffin. OCT- and paraffin-embedded tissues were serially sectioned at 10 and 6  $\mu\text{m}$ , respectively, and were then subjected to

immunofluorescence analysis or hematoxylin-eosin (H&E) staining. The cryosections were rehydrated in 1X PBS, whereas paraffin sections were incubated for 1 h at 65°C, deparaffinized with xylene, and rehydrated using a graded ethanol series. The tissue sections were permeabilized with PBS-Tx for 30 min and blocked using a blocking solution containing 5% NGS in PBS-Tx for 1 h at room temperature (RT). The sections were then incubated overnight at 4°C with primary antibodies. After washing with PBS, the tissue sections were incubated for 1 h at RT with secondary antibodies. 4'-6-Diamidino-2-phenylindole (DAPI, 1 µg/mL) was used to visualize nuclei. The stained sections were mounted with Fluoromount (Sigma-Aldrich) and sealed with a microscope cover slip (Marienfeld Laboratory, Lauda-Königshofen, Germany). To verify the transduction efficiency of rAAV2/1-*iCre*, we measured the percentage of *iCre*-positive cells in the spiral ganglion of *Exoc5<sup>fl/fl</sup>* and rAAV2/1-*iCre*;*Exoc5<sup>fl/fl</sup>* mice at P0, by counting average number of *iCre*-positive cells in 100 µm<sup>2</sup> of paraffin section in each turn.

### Immunostaining of cochlear whole mounts

For whole-mount immunostaining of the cochlea, inner ears were dissected out of the temporal bone, and a small opening was made in the oval window of the cochlea before fixation in 4% PFA in 1x PBS (pH 7.4) overnight at 4°C. After fixation, Reissner's membrane, the lateral wall, and tectorial membranes were removed, and the remaining tissues were permeabilized by immersion in 5% Triton X-100 in PBS for 30 min, blocked with blocking solution containing 5% NGS in PBS for 1 h at RT, and stained overnight at 4°C with primary antibodies. Next day, the specimens were washed with PBS and incubated with secondary antibodies for 2 h at RT. The specimens were visualized using a Zeiss LSM 700 confocal microscope (Zeiss, Oberkochen, Germany). Zen 2012 software (Zeiss) was used for image acquisition, and 3D reconstruction was performed using Imaris 7.2.3 software (Bitplane, Zurich, Switzerland). To examine the transduction efficiency of rAAV2/1-*iCre*, we measured the percentage of GFP-positive cells in organ of Corti of *Exoc5<sup>fl/fl</sup>* and rAAV2/1-*iCre*;*Exoc5<sup>fl/fl</sup>* mice at postnatal day (P) 0, by counting average number of GFP-positive hair cells of cochlear whole mounts in 200 µm region of each turn.

### Auditory brainstem response (ABR) measurement

Mouse auditory function was assessed by measuring ABR with an ABR workstation (System 3; Tucker Davis Technology (TDT Inc., Alachua, FL, USA) as previously described [31]. Auditory function was measured with click stimuli and tone burst sound frequencies of 8, 16, and 32 kHz, and acoustic thresholds of sound pressure level (SPL) were determined using BioSigRP software (TDT Inc.). All results were obtained from at least three mice.

### Scanning electron microscopy (SEM) analysis

Scanning electron micrographs of inner ears were obtained as previously described [32]. Briefly, inner ear tissues were fixed with 0.1 M sodium cacodylate buffer (pH 7.4) containing 2% PFA and 2.5% glutaraldehyde, dried using a critical point drier (HCP-2; Hitachi, Tokyo, Japan), and coated with platinum by a sputter coater (E1030; Hitachi). The coated specimens were examined under a cold-field emission scanning electron microscope (SU8220; Hitachi) operated at 5 or 10 kV. Average number of inner and outer hair cells

which had stereocilia was measured in 60  $\mu\text{m}$  length at each turn of organ of Corti of *Exoc5<sup>fl/fl</sup>* and *Gfi1-Cre;Exoc5<sup>fl/fl</sup>* mice, at P20 and P50.

### Analysis of positional deviation of kinocilia

The cochleae of *Exoc5<sup>fl/fl</sup>* and *rAAV2/1-iCre;Exoc5<sup>fl/fl</sup>* mice at P0 were labeled with phalloidin, anti-Arl13b (or anti-Acetylated tubulin) antibody, and anti-iCre antibody by whole mount immunostaining, and were imaged at basal (10%), middle (50%), and apical (90%) regions of the cochlea using confocal microscopy (LSM780; Zeiss). A line connecting from the center of the hair cell to the base of kinocilia and another line connecting the centers of three adjacent hair cells were drawn, and the angle between the two lines was measured using the ImageJ (NIH, Rockville, USA) angle measurement tool. The base of kinocilia were determined as previously described [33]. If a hair cell had a normally localized kinocilium, the angle between the two lines yielded 90 degrees, which was considered as 0 degree. Deviations from the right angle were measured as deviation degrees.

### Terminal deoxynucleotidyl transferase dUTP nick end labeling (TUNEL) assay

To assess apoptotic cell death in the inner ear, we evaluated DNA fragmentation using the TUNEL assay according to the manufacturer's protocol (Promega, Madison, WI, USA). Paraffin-embedded inner ear sections were performed with deparaffinization and rehydration. They were then permeabilized with 0.1% Triton X-100 and 0.1% sodium citrate in distilled water for 20 min at RT, and stained with TUNEL working solution for 1 h at 37°C, without light. The specimens were mounted on glass slides using Fluoromount and visualized using a Zeiss Axio Imager A2 fluorescence microscope (Zeiss).

### Statistical analyses

Statistical analyses were performed using 2-tailed Student's *t*-tests;  $P < 0.05$  was considered statistically significant. The data were analyzed by comparing treated and untreated contralateral structures, or by comparing treated and control mice.

## Results

### *Exoc5* is broadly expressed in the developing and mature mouse cochlea

The spatial and temporal expression patterns of *Exoc5* in the mouse inner ear were examined by *in situ* hybridization and immunofluorescence analysis at P0 and P20 (Fig. 1). In the P0 cochlea, *Exoc5* mRNA and proteins were broadly expressed in the sensory and non-sensory epithelia surrounding the scala media, as well as in the SGNs (Fig. 1A–1I). At P20, when hearing is functional, EXOC5 was broadly expressed in the cochlear sensory and non-sensory tissues, including the hair cells and supporting cells such as Deiters' cells, inner border cells, inner phalangeal cells, inner and outer pillar cells, and Claudius cells in the organ of Corti (Fig. 1L and 1M), SGNs (Fig. 1N and 1O), Reissner's membrane, and the fibrocytes of the lateral wall (Fig. 1J and 1K). The specificity of the *Exoc5* antibody was validated in *Exoc5<sup>fl/fl</sup>* and *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice by immunofluorescence at P20, and hair-cell specific *Exoc5* knockout in *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* cochlea was confirmed (supplementary

figure 2). The continuous and broad expression levels of EXOC5 in the mouse cochlea suggest that the exocyst may play an important role in cochlear development and function.

### **Exoc5 deficiency in hair cells results in progressive hearing loss**

To examine the role of EXOC5 in inner ear development, we first attempted to delete *Exoc5* in the entire otic epithelium by crossing *Exoc5<sup>fl/fl</sup>* mice with either *Pax2-Cre* or *Foxg1<sup>Cre</sup>* mice [34,35]. However, only cystic inner ear structures were observed in these conditional knockout mice, suggesting a crucial role of *Exoc5* in early specification or maintenance of the inner ear tissues. Since the absence of recognizable inner ear structures in these mutants precluded further examination, we thus explored the role of EXOC5 more specifically in hair cells at later developmental stages by crossing *Exoc5<sup>fl/fl</sup>* mice with *Gfi1<sup>Cre/+</sup>* mice, which induce Cre-mediated recombination in the developing hair cells in the cochlea starting at E15.5 [30]. We confirmed the Cre recombination activity of *Gfi1<sup>Cre/+</sup>* mice by crossing them with *Rosa26-tdTomato* reporter mice, which showed specific expression of red fluorescence in hair cells [36,37] (Supplementary figure 1).

We first examined whether hair cell-specific deletion of *Exoc5* would cause functional deficits of hair cells by measuring hearing thresholds. *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice were subjected to ABR testing with a broadband click stimulus and with frequency-specific stimuli at 8 kHz, 16 kHz, and 32 kHz from P20 to P50 (Fig. 2). At P20, when mouse hearing ability is sufficiently matured, *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice exhibited significantly higher hearing thresholds ( ~20 dB SPL threshold shift) in click, and all frequency tone burst stimuli tested, than *Exoc5<sup>fl/fl</sup>* controls (Fig. 2). The ABR thresholds of *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice rapidly increased with age, and hearing function was severely impaired for all frequencies by P50 ( ~50 dB SPL threshold shift), which is a typical pattern of progressive hearing loss observed in humans. These results indicate that *Exoc5* deficiency in hair cells causes severe progressive sensorineural hearing loss in mice, suggesting a crucial role of *Exoc5* in hair cell development or function.

### **Progressive degeneration of stereocilia and hair cells in *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice**

To determine the cause of the progressive hearing loss observed in *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice, we first compared the histological characteristics of the cochlea of *Exoc5<sup>fl/fl</sup>* and *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice at P20 and P50 using H&E staining (Fig. 3). No noticeable difference was observed in the cochlear histology between *Exoc5<sup>fl/fl</sup>* and *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice at P20 (Fig. 3A–3F), suggesting that the cochlea develops in a relatively normal fashion in *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice. In contrast, we observed degeneration of outer hair cells (OHCs) in *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice at P50, while the IHCs remained intact (Fig. 3K–3L). No distinguishable difference was observed in other cochlear regions, such as the spiral ganglion, spiral limbus, Reissner's membrane, and lateral wall (Fig. 3I–3J).

We next examined the stereociliary bundles of hair cells using SEM (Fig. 4). At P20, overall morphologies of the organ of Corti of *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice were relatively normal and were comparable to those of *Exoc5<sup>fl/fl</sup>* mice, displaying a typical single row of IHCs and three rows of OHCs with crescent-shaped and 'W'-shaped arrangements of stereociliary bundles, respectively (Fig. 4A–4F). However, at P50, severe degeneration of hair cells

occurred in *Gfi1<sup>Cre/+</sup>;Exoc5<sup>ff/ff</sup>* mice (Fig. 4G–4L). Although staircase-pattern stereociliary arrangements were collapsed in both IHCs and OHCs, OHCs appeared more severely affected than IHCs, which mostly retained their stereociliary bundles (Fig. 4G–4L). In addition, these abnormalities were more severe in the basal turn compared with those in the middle and apical turns, showing that almost all OHCs lost their stereocilia in the basal turn, while gradually more hair cells retained their stereocilia towards the apical turn (Fig. 4J–4L). The quantitation analysis of the remaining hair cells at each turn showed a statistical significance of this phenomenon, supporting the results of SEM (Supplementary figure 3). These histological and morphological deficits of *Gfi1<sup>Cre/+</sup>;Exoc5<sup>ff/ff</sup>* mutant cochlea were consistent with the functional deficits exhibiting progressive hearing loss. These results suggest that EXOC5 plays a crucial role in the maintenance of stereociliary bundles of cochlear hair cells.

### Inactivation of *Exoc5* by *in utero* injection of rAAV2/1-*iCre* into the *Exoc5<sup>ff/ff</sup>* otocysts

Our results from *Gfi1<sup>Cre/+</sup>;Exoc5<sup>ff/ff</sup>* mice demonstrated that EXOC5 plays a crucial role in maintenance of hair cells and hearing function, but this model is limited in the study of the role of *Exoc5* in developmental aspects of the cochlea due to the relatively late onset of *Gfi1* expression [30]. Although *Pax2-Cre* or *Foxg1<sup>Cre</sup>* mice have been often used to inactivate specific genes in the otic epithelium from placodal stages [38], we could only find a small cyst-like structure of the inner ear in *Pax2-Cre;Exoc5<sup>ff/ff</sup>* or *Foxg1<sup>Cre/+</sup>;Exoc5<sup>ff/ff</sup>* mutant embryos, which precluded further analysis of inner ear development. To overcome this limitation of the conventional Cre/loxP system using Cre mice, we deleted *Exoc5* in the developing otocyst using a viral-mediated gene delivery system. We used a rAAV2/1-*iCre*. rAAV2/1-*iCre* was injected *in utero* into the developing otocyst of E12.5 *Exoc5<sup>ff/ff</sup>* embryos (Supplementary figure 4A).

To verify the safety and feasibility of rAAV injection into the otocyst via a trans-uterine approach, we delivered rAAV2/1-*iCre* directly into the otocyst of wild-type mouse embryos at E12.5 and evaluated inner ear morphology and hearing function at P20. No significant differences in ABR thresholds were observed between the rAAV2/1-*iCre*-injected and non-injected inner ears ( $n = 5$  for each group,  $P > 0.05$ ; Supplementary figure 4B). Consistently, H&E stained sections of the rAAV2/1-*iCre* injected inner ear did not show any histological defects compared with those of non-injected control ears (Supplementary figure 4C). These results demonstrated that our virus injection system does not cause any detectable physiological or functional damage in the injected inner ears.

We next verified transduction efficiency of the *in utero* injected rAAV2/1-*iCre* in the inner ear by immunostaining at P0, to confirm the effectiveness of this mosaic knockout model (Supplementary figure 5). In whole-mount immunostaining of the organ of Corti, iCre-transduced hair cells were labeled with GFP, and the result showed that at least 80 % of hair cells are GFP-positive at each turn (Supplementary figure 5A–5B). This high transduction efficiency was also found in SGNs by detecting iCre-positive cell bodies of SGNs (Supplementary figure 5C–5D). Thus, we could expect that wild-type *Exoc5* expression might be efficiently suppressed in the inner ears of rAAV2/1-*iCre;Exoc5<sup>ff/ff</sup>* mice.



### Profound and early-onset hearing loss in rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice

The auditory function of rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice was determined by the ABR test at P20 (Fig. 5). While the non-injected *Exoc5*<sup>ff</sup> mice had normal hearing function, the virus-injected rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice exhibited severe hearing impairments (Fig. 5). Approximately 50~60 dB shifts of the ABR thresholds were detected for click stimuli, as well as tone burst stimuli, at all frequencies tested (8, 16, and 32 kHz) (Fig. 5). The hearing impairment in rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice began much earlier than those of *Gfi1*<sup>Cre/+</sup>;*Exoc5*<sup>ff</sup> mice, which only had mild hearing loss with approximately 10~20 dB threshold shifts at P20, though they exhibited profound hearing loss at P50 (Fig. 2).

### Early-onset hair cell degeneration in rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice

The early-onset hearing loss exhibited by rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice suggested a crucial role of *Exoc5* in the development or survival of hair cells and SGNs. We thus examined the stereociliary bundles of hair cells using SEM at P20 (Fig. 6). Unlike the relatively normal stereociliary bundles in *Gfi1*<sup>Cre/+</sup>;*Exoc5*<sup>ff</sup> mice at P20 (Fig. 4), rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice showed severe degeneration of stereociliary bundles, with the remaining hair cells displaying sparse and irregular arrangements on a bumpy apical surface of the organ of Corti (Fig. 6D–6F). Similar to those of *Gfi1*<sup>Cre/+</sup>;*Exoc5*<sup>ff</sup> mice, OHCs were more severely affected than IHCs in rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice (Fig. 6D–6F). However, the varying severity of hair cell degeneration along the cochlear duct seen in *Gfi1*<sup>Cre/+</sup>;*Exoc5*<sup>ff</sup> mice was not observed in rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice, in which the degeneration occurred throughout the cochlear turns (Fig. 6D–6F). This result is consistent with the severe hearing loss of rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice at all frequencies at P20.

Since hair cell degeneration and hearing loss were evident at P20, we performed histological analysis of rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> inner ears from earlier postnatal days, including P8, P15, and P20 (Fig. 7). The sensory epithelium of the rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> cochlea already appeared abnormal at P8, exhibiting a more compact and thinner epithelial structure compared with that of the non-injected *Exoc5*<sup>ff</sup> cochlea (Fig. 7C–7F). The abnormalities of the sensory epithelia were more severe at P15 and P20, with OHCs more affected than IHCs, consistent with the SEM results (Fig. 6D–6F, 7K–7N and 7S–7V).

In the kidney, *Exoc5* deficiency caused abnormal ciliogenesis, which resulted in cystic kidney disease, a characteristic phenotype of ciliopathies [13]. We thus asked if the degeneration of stereociliary bundles and hair cell loss was due to abnormal ciliogenesis in the organ of Corti. We stained the organ of Corti of rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> mice with an anti-Arl13b or anti-Acetylated tubulin antibodies to visualize kinocilia, the primary cilia of the hair cells, and with phalloidin to stain stereocilia (Supplementary figure 6). We observed that kinocilia were present in all the hair cells including *iCre*-positive cells of rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> cochlea, similar to those in *Exoc5*<sup>ff</sup> cochlea, indicating that ciliogenesis is not affected by *Exoc5* deficiency in the cochlea. The locations of kinocilia in each hair cell were generally normal except for a few IHCs in the middle turn of rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> cochlea compared with *Exoc5*<sup>ff</sup> cochlea. Consistently, we observed a few extra hair cells in the middle turn of rAAV2/1-*iCre*;*Exoc5*<sup>ff</sup> cochlea. These extra hair cells tend to show abnormal stereociliary morphologies with deviated kinociliary locations (Supplementary figure 6). In

total, although approximately 60–80% of hair cells in the rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>* were deficient by *iCre* transduction, less than 20% of *iCre*-positive hair cells exhibited defective ciliary bundle. These results show that, unlike the kidney, primary ciliogenesis is unaffected by *Exoc5* deficiency in the cochlea, and suggests that the degeneration of stereociliary bundles and hair cells in rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>* cochlea was probably not due to abnormal ciliogenesis or planar cell polarity.

### Abnormal neurite morphology and degeneration of SGNs in rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>* mice

We also observed from the histological analyses that SGN degeneration was already evident at P8, with sparser neuronal cell populations in rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>* cochlea compared with those in non-injected ears (Fig. 7G and 7H). These phenotypes became more severe at P15 and P20 (Fig. 7O, 7P, 7W, and 7X), suggesting that EXOC5 is required for the maintenance of SGNs in the cochlea.

Previous studies have suggested that the exocyst complex plays a role in neurite outgrowth by mediating neuronal vesicle trafficking [39]. We thus examined the radial fibers of developing SGNs at P0 and P9 with whole-mount immunostaining of the cochlea and examining 3D-reconstructed images (Fig. 8). At P0, the neuronal radial fibers of the spiral ganglia were less densely packed (Fig. 8A and 8B, arrowheads) and were much thinner near the IHCs in rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>* mice than in *Exoc5<sup>fl/fl</sup>* mice (Fig. 8C and 8D, brackets). At P9, the innervation of the spiral ganglion neurites was even more severely affected in the rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>*, with misrouted and swollen neuronal fibers near IHCs (Fig. 8E and 8F). Together, these results suggest that EXOC5 is important for proper projection of the neuronal fibers of the SGNs and SGN survival.

### *Exoc5* deficiency leads to apoptotic cell death in the sensory epithelia and spiral ganglia

We next examined whether the degeneration of hair cells and SGNs caused by *Exoc5* deficiency was due to apoptosis using activated Caspase3 immunostaining (Fig. 9) and TUNEL assays (Supplementary figure 7). Our functional and morphological analyses showed that cellular degeneration and hearing loss of rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>* cochlea were obvious at P20, while *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* cochlea showed progressive hearing loss after P20. Thus, we examined apoptotic cell death at P15 for rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>* cochlea and at P30 for *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* cochlea. Activated Caspase3- or TUNEL-positive cells were greatly increased in the sensory epithelium in *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice compared with *Exoc5<sup>fl/fl</sup>* mice at P30 (Fig. 9A; Supplementary figure 7). Similarly, the population undergoing apoptotic cell death increased in the sensory epithelium and spiral ganglia in the rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>* mice at P15 (Fig. 9B; Supplementary figure 7). These results suggest that EXOC5 plays an important role in hearing function by preventing hair cell and SGN apoptosis.

## Discussion

In this study, we examined the role of the exocyst complex in hearing function by analyzing *Exoc5*-deficient mice generated by two different strategies. Hair cell-specific deletion of *Exoc5* with a conventional Cre/loxP system caused disorganization of stereociliary bundles

followed by hair cell loss, resulting in progressive hearing loss. Knockdown of *Exoc5* in the otic epithelium using *in utero* gene delivery (rAAV2/1-*iCre;Exoc5<sup>fl/f</sup>*) resulted in hair cell loss, abnormal neuritogenesis and degeneration of SGNs, leading to early-onset hearing loss. These results demonstrate that the exocyst plays essential roles in hearing by regulating the development and maintenance of hair cells and SGNs.

### Role of *Exoc5* in hair cell survival

Our results showing increased active Caspase3- and TUNEL-positive cells indicate that hair cell degeneration caused by *Exoc5* deficiency is due to apoptosis. Several studies have demonstrated that the exocyst is associated with apoptotic pathways. In *Drosophila*, the exocyst promotes sensory cell survival by counteracting c-Jun N-terminal Kinase (JNK)-dependent apoptosis through a direct interaction between Exoc2 (Sec5) and HPK1/GCK-like kinase, a JNK signaling activator [40]. In malignant peripheral nerve sheath tumors, Exoc3 (Sec6) and Exoc4 (Sec8) have been demonstrated to inhibit apoptosis by regulating transcription of the anti-apoptotic proteins Bcl-2 and Mcl-1 [41]. In addition, *Exoc5* (*Sec10*)-knockdown in Madin-Darby canine kidney cells and conditional knockout of *Sec10* in the mouse kidney enhanced sensitivity to apoptotic stimuli, resulting in an increased rate of apoptotic cell death, likely through repression of primary ciliogenesis [42]. How EXOC5 deficiency leads to hair cell apoptosis in the cochlea is currently unclear. However, unlike the kidney in which EXOC5 deficiency caused abnormal ciliogenesis and planar cell polarity, which renders the renal cells more sensitive to apoptotic stimuli [42], ciliogenesis and planar cell polarity were unaffected in hair cells in EXOC5 mutant cochlea, suggesting that the mechanisms leading to EXOC5 deficiency-induced apoptosis is different between hair cells and renal cells. Further investigation is required to understand how EXOC5 inhibits apoptosis in hair cells.

Interestingly, hair cell loss caused by EXOC5 deficiency predominantly affects OHC; stereociliary disarrangement and degeneration were more prominent and occurred earlier in OHCs than IHCs in *Exoc5* mutants. Similar patterns of OHC-dominant defects have been reported in several mouse models. In particular, lack of tight junction (TJ) proteins, such as claudin 14 [43], claudin 9 [44], occludins [45], and tricellulins [46], led to pronounced loss of OHCs with collapsed cellular arrangement. In the cochlea, TJs are important for maintaining selective permeability to specific ions and molecules between endolymphatic and perilymphatic compartments, which is crucial for generating the endocochlear potential required for normal hearing [47]. TJ abnormalities cause endolymph leakage, altering the fluidic microenvironment surrounding OHCs, resulting in prolonged depolarization that leads to cell death [48]. In contrast, IHCs are heavily surrounded by supporting cells and are thus less sensitive to the environmental changes caused by TJ abnormalities [46,49]. Since the exocyst complex is also localized at TJs and plays an essential role in the establishment of apical junctional complexes [12,50,51], the OHC-dominant loss caused by EXOC5 deficiency may be associated with abnormal formation or maintenance of TJs in hair cells.

Another example of predominant OHC loss has been reported in mice lacking 14-3-3eta, which is encoded by the *Ywhah* gene [39]. The 14-3-3 proteins have chaperone activity and bind various phosphorylated proteins to regulate their subcellular localization, stability,

activity, or protein-protein interactions [52]. Interestingly, 14-3-3 controls exocyst function by regulating the activity of an exocyst regulator, Ral GTPases, in 293T cells [53]. The regulation of exocyst function by 14-3-3 has also been observed in filamentous fungi and yeast [54]. Thus, it is possible that the OHC-dominant loss caused by the lack of 14-3-3eta in *Ywhah* null mutants is due to abnormal exocyst function. Together, these results suggest that EXOC5 may promote hair cell survival via multiple mechanisms.

### Role of *Exoc5* in SGN development and survival

Previous research has shown that neurite outgrowth is one of the developmental processes regulated by the exocyst. In *Drosophila*, *Exoc2* (*Sec5*) mutants fail to initiate neurite outgrowth due to impaired trafficking of newly synthesized proteins to the membrane [55]. In cultured hippocampal neurons, the Exoc3 and Exoc4 are localized to the growth cones or tips of growing neurites, and induce membrane fusion and surface expansion to support polarized neurite outgrowth [56]. The requirements of other exocyst subunits, including Exoc5, Exoc7, or Exoc8, in polarized neurite outgrowth and branching have also been demonstrated in PC12 cells and cultured cortical neurons [9,57]. Consistently, the peripheral radial fibers of SGNs in the cochlea are less compact in rAAV2/1-*iCre*;*Exoc5*<sup>f/f</sup> mice than in controls at P0, suggesting that EXOC5 also plays a role in normal neuritogenesis in SGNs. Previous studies have shown that the exocyst regulates polarized neurite growth by interacting with Par complexes or microtubules [9]. Whether EXOC5 uses similar mechanisms to regulate neurite outgrowth in SGNs requires further study.

We also observed swollen neuronal endings near IHCs and degeneration of SGN cell bodies in rAAV2/1-*iCre*;*Exoc5*<sup>f/f</sup> cochlea at P8–9. Phenotypes similar to the swelling SGN nerve terminals have been reported in some pathogenic conditions, such as noise-induced hearing loss and peripheral tinnitus as well as in *Wbp2*-deficient cochlea [58,59]. These conditions are associated with excitotoxicity caused by abnormal glutamate release from hair cells to SGN postsynaptic endings [60]. Neurotransmitters such as glutamate are released by exocytosis in which the SNARE complex plays a role by regulating the docking and fusion of synaptic vesicles with the plasma membrane [61]. Since the exocyst complex is involved in SNARE-mediated exocytosis [62], the dysregulation of vesicle exocytosis caused by *Exoc5* deficiency in hair cells may potentially cause swollen nerve endings and subsequent degeneration of SGNs. Consistent with this idea, disrupted glutamate release from hair cells in mice lacking *Vglut3*, which mediates the regulated exocytosis of glutamate, results in degeneration of SGNs, suggesting an important role for the regulated exocytosis of glutamate by hair cells in SGN survival [63]. However, unlike rAAV2/1-*iCre*;*Exoc5*<sup>f/f</sup> mice, we did not observe obvious SGN degeneration in *Gfi1*<sup>Cre/+</sup>;*Exoc5*<sup>f/f</sup> mice, suggesting that abnormal exocytosis in hair cells does not directly cause SGN degeneration. Thus, the SGN degeneration observed in rAAV2/1-*iCre*;*Exoc5*<sup>f/f</sup> cochlea more likely results from EXOC5 deficiency in SGNs rather than indirectly from abnormal exocyst function in hair cells. Although hair cell death is another potential underlying mechanism of SGN degeneration, this proposed mechanism does not seem to contribute, since SGN degeneration occurred earlier than hair cell death in rAAV2/1-*iCre*;*Exoc5*<sup>f/f</sup> cochlea. How EXOC5 supports SGN survival also requires further study.

## Complementary knockout strategies to fully understand EXOC5 functions in cochlea

To overcome the limitations of whole body knockouts to study gene function in specific tissues or organs, conditional knockout techniques using Cre/loxP recombination have been used extensively and successfully. However, even in spatially and temporally controlled manners, gene deletion can lead to early lethality if the expression domain of Cre recombinase is not strictly restricted, and the target gene is essential for animal survival. To examine the role of EXOC5 in inner ear development and function, we first used two widely utilized Cre lines, *Pax2-Cre* transgenic and *Foxg1<sup>Cre</sup>* knock-in mice [34,35]. Unfortunately, both *Foxg1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* and *Pax2-Cre;Exoc5<sup>fl/fl</sup>* mice succumb to early embryonic lethality, and their inner ears were barely detected, exhibiting only cystic structures, which is probably due to the early and relatively wide expression of *Foxg1* and *Pax2*. The cystic inner ear phenotypes of these mutants clearly demonstrated the necessity of EXOC5 in early stages of otic specification, but we were unable to investigate the role of *Exoc5* in developing and mature cochlea, and in hearing.

Thus, we used *Gfi1<sup>Cre/+</sup>* mice to specifically delete *Exoc5* in developing hair cells [30]. Although we still observed neonatal lethality in approximately 50% of *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* newborn mice, we managed to examine hair cell differentiation, survival, and function using the surviving pups. However, our expression studies indicate broad expression of *Exoc5* in the cochlea, including the organ of Corti and SGNs. Since conventional Cre/loxP techniques had limitations for thorough analyses of the function of EXOC5 in the cochlea, we used a viral gene delivery system that can induce expression of Cre recombinase in specific target tissues, leading to tissue-specific Cre/loxP-mediated gene deletion [64]. We introduced the rAAV2/1-*iCre* vector by *in utero* delivery into the otic vesicle at E12.5 to avoid the cystic inner ear phenotypes caused by the early recombinase activity of *Pax2<sup>Cre</sup>* and *Foxg1<sup>Cre</sup>* mice. Although this delivery system is a mosaic knockout strategy, it greatly enhanced survival of knockout mice and allowed us to investigate cochlear abnormalities and the resulting hearing loss phenotypes caused by *Exoc5* deficiency. Combination of these two different knockout strategies, *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* and rAAV2/1-*iCre;Exoc5<sup>fl/fl</sup>*, allowed us to complement the drawbacks of each knockout technique, and the consistency of the defective phenotypes observed in the two different knockout models strengthens the reliability of our finding that *Exoc5* function is required for the maintenance and function of cochlear hair cells and SGNs.

In summary, we report that *Exoc5* deficiency results in disorganized stereociliary bundles in hair cells and defective neurite outgrowth of SGNs, followed by apoptosis of both cell types, eventually leading to progressive hearing loss. This study is the first to demonstrate the importance of *Exoc5* in the maintenance of structural integrity, and in the hearing function of the cochlea. In addition, our complementary inner ear-specific gene deletion strategies using conventional and viral vector-mediated Cre/loxP techniques will be useful in studies of gene functions contributing to cochlear development and function that might otherwise be hampered by early lethality or developmental arrest.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations

<b>IHCs</b>	inner hair cells
<b>SGNs</b>	spiral ganglion neurons
<b>E</b>	embryonic day
<b>rAAV</b>	recombinant adeno-associated virus
<b>rAAV2/1</b>	AAV serotype 2 and a capsid from AAV serotype 1
<b>iCre</b>	codon-improved Cre recombinase
<b>eGFP</b>	enhanced green fluorescent protein
<b>GC/mL</b>	genome copies per milliliter
<b>NGS</b>	normal goat serum
<b>PBS-Tx</b>	0.1% triton X-100 in 1X PBS
<b>PFA</b>	paraformaldehyde
<b>OCT</b>	optimal cutting temperature compound
<b>H&amp;E</b>	hematoxylin-eosin
<b>RT</b>	room temperature
<b>DAPI</b>	4'-6-Diamidino-2-phenylindole
<b>ABR</b>	auditory brainstem response
<b>P</b>	postnatal day
<b>TDT</b>	Tucker Davis Technology
<b>SPL</b>	sound pressure level
<b>SEM</b>	scanning electron microscopy
<b>RT-PCR</b>	reverse-transcription polymerase chain reaction
<b>TUNEL</b>	Terminal deoxynucleotidyl transferase dUTP nick end labeling
<b>OHCs</b>	outer hair cells

<b>JNK</b>	c-Jun N-terminal Kinase
<b>TJ</b>	tight junction

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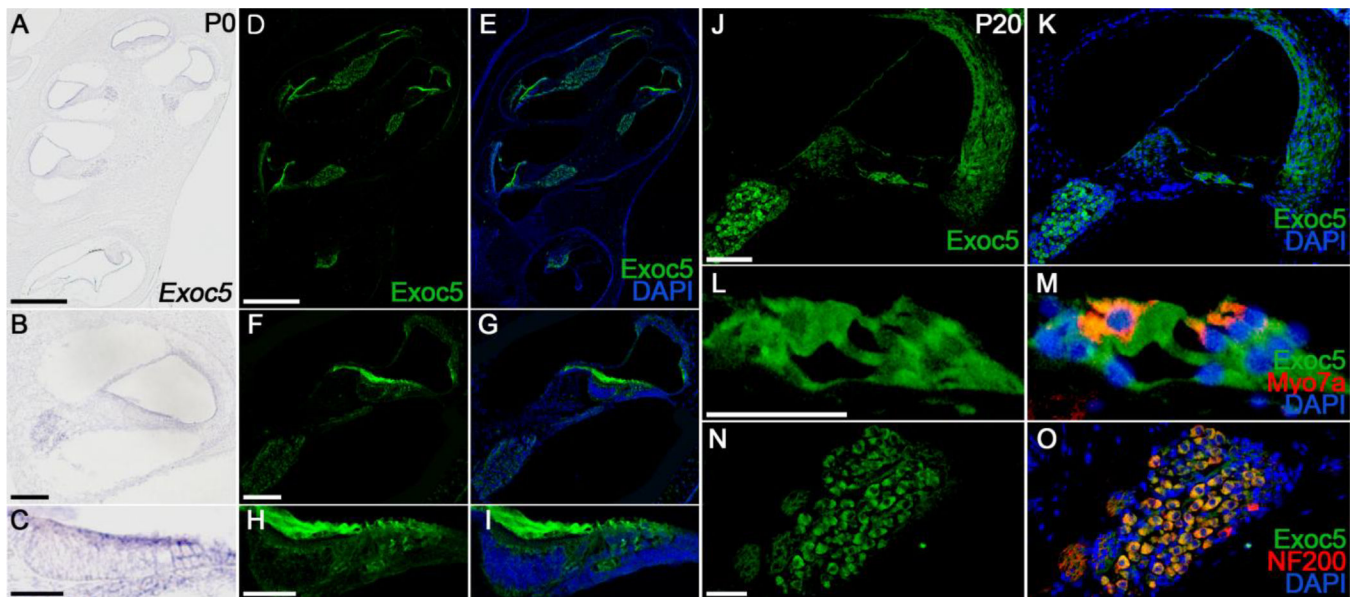
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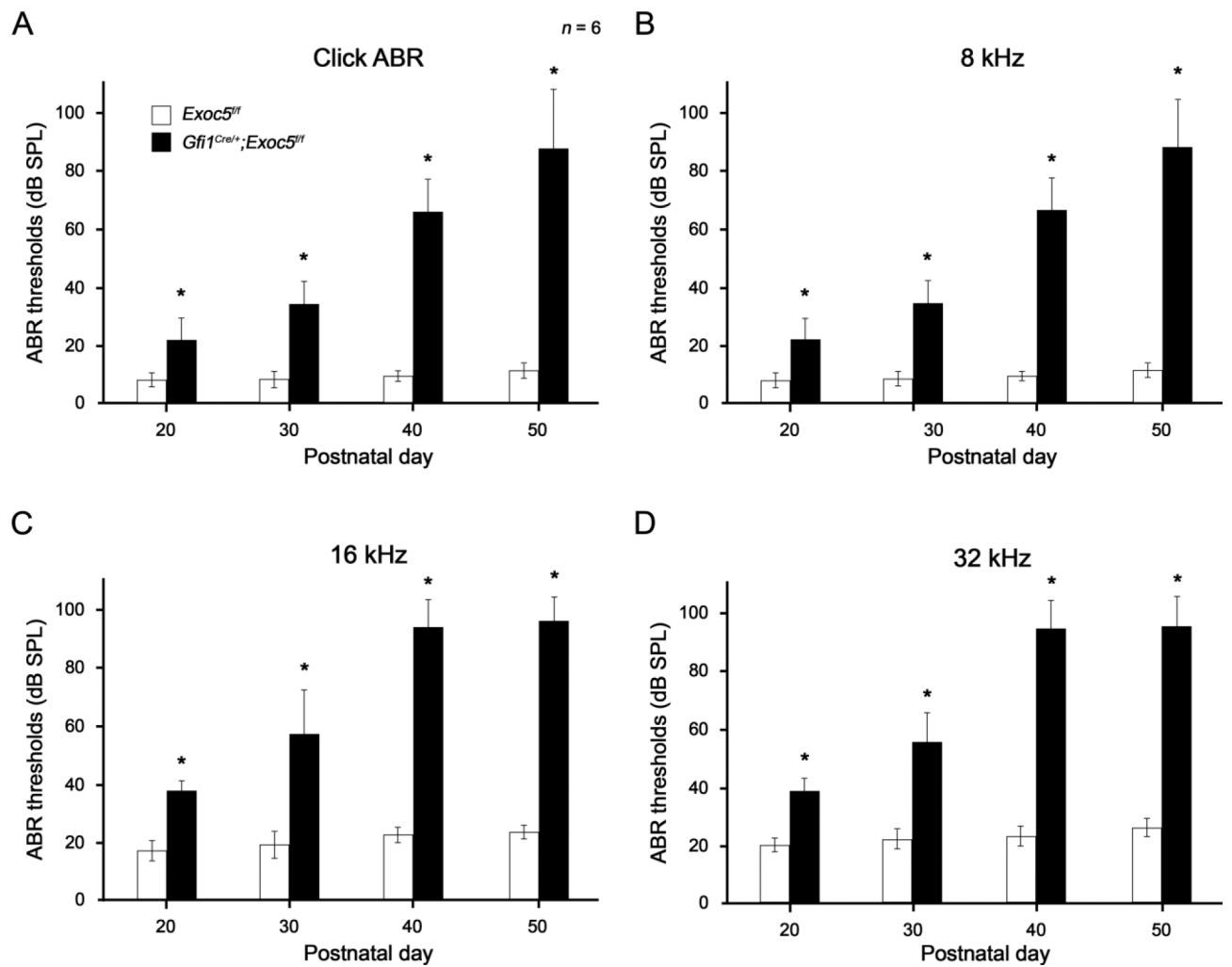
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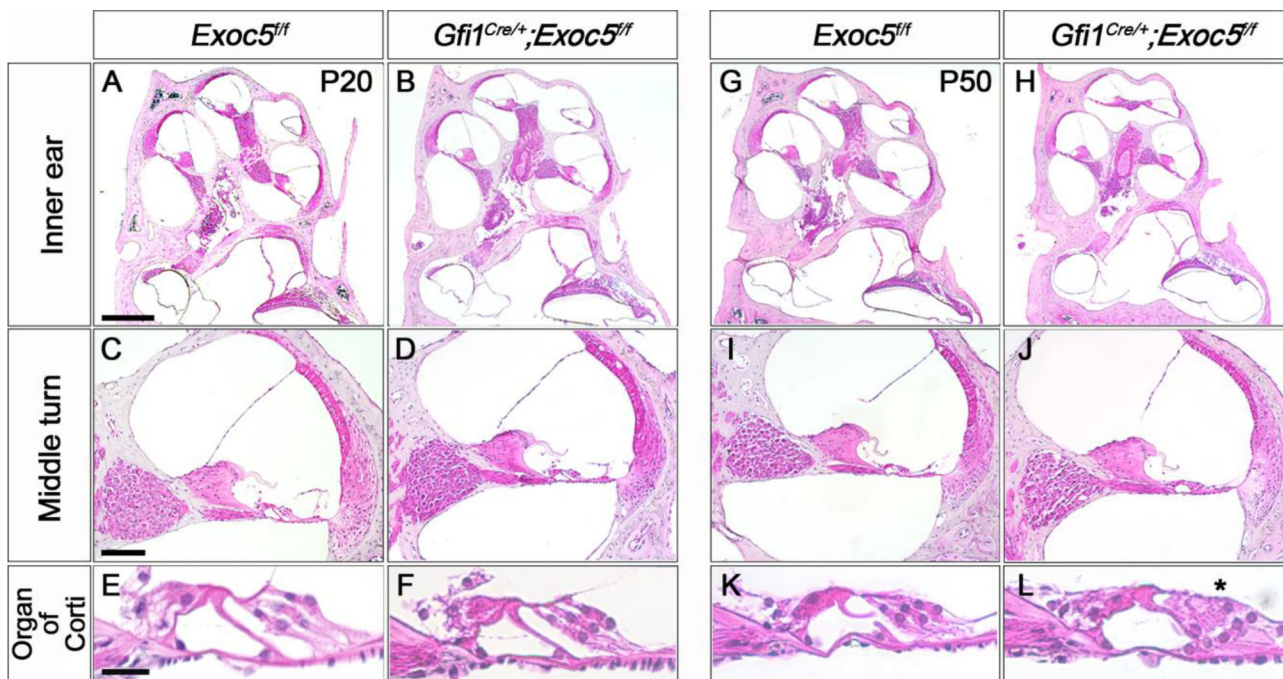
**Fig. 1. Spatial pattern of EXOC5 expression in the inner ear.**

(A-I) Expression pattern of EXOC5 was examined by *in situ* hybridization (A-C) and immunohistochemistry (D-I) at P0. The mRNA (violet) and protein (green) expression levels of EXOC5 were compared between the whole inner ear (A, D, and E), middle turn of the cochlea (B, F, and G) and the organ of Corti (C, H, and I). (J-O) EXOC5 immunohistochemistry was performed in the middle turn of the cochlea at P20. EXOC5 expression (green) was observed in both the Organ of Corti (L and M) and spiral ganglion (N and O). Hair cells and spiral ganglions were labeled with Myo7a (M, red) and NF200 (O, red), respectively. Nuclei were counterstained with DAPI (blue). Scale bars, 200  $\mu$ m in (A, D, E), 50  $\mu$ m in (B, F, G, J, K), 20  $\mu$ m in (C, H, I), and 25  $\mu$ m in (L-O).



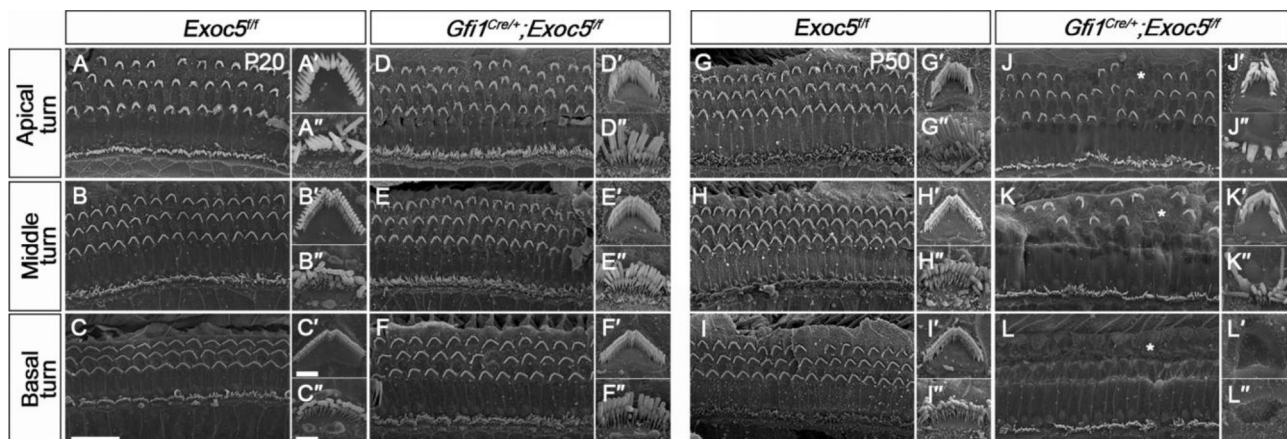
**Fig. 2. Progressive hearing loss of *Gfi1<sup>Cre/+</sup>;Exoc5<sup>f/f</sup>* mice.**

The click (A) and tone burst 8 kHz (B), 16 kHz (C), and 32 kHz (D) ABR thresholds were compared between *Exoc5<sup>f/f</sup>* (white rectangle) and *Gfi1<sup>Cre/+</sup>;Exoc5<sup>f/f</sup>* mice (black rectangle) at differential postnatal days. The data are shown as the mean $\pm$ SD ( $n = 6$  for each group,  $*P < 0.05$ ).



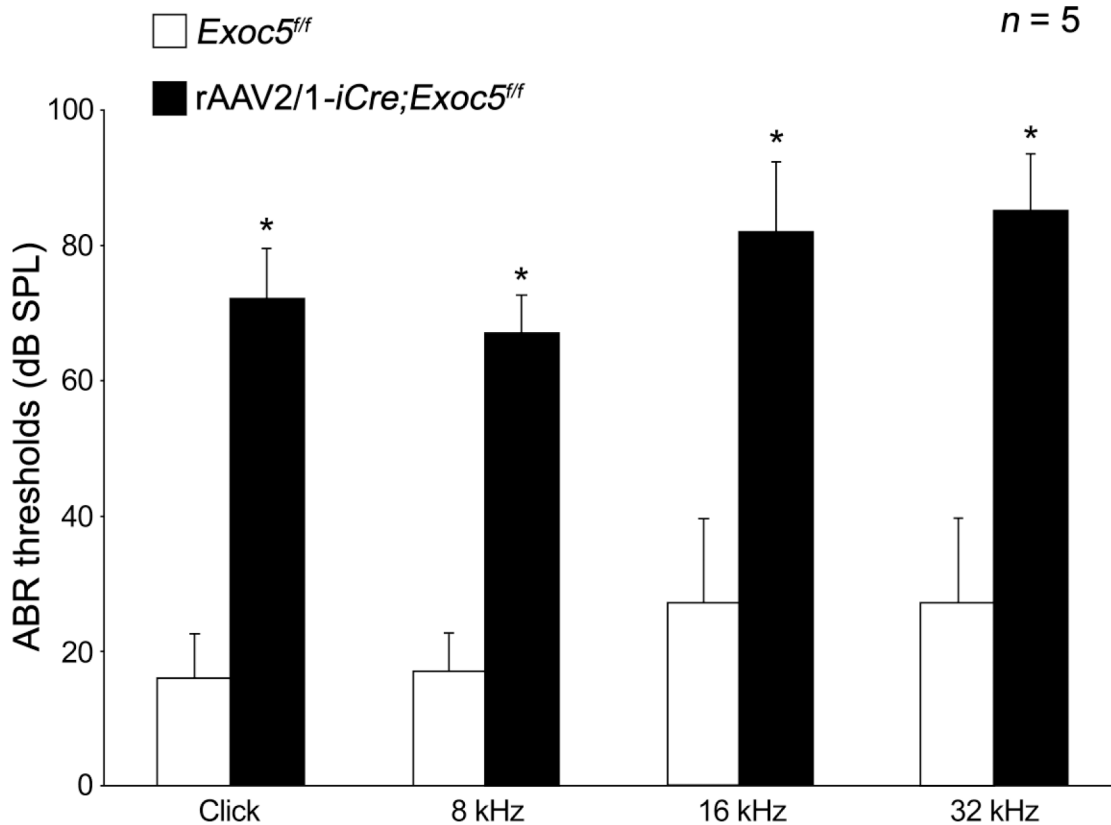
**Fig. 3. Histological evaluation of the inner ear of *Gfi1<sup>Cre/+</sup>;*Exoc5<sup>ff</sup>** mice.**

H&E staining was performed on the inner ear sections of *Exoc5<sup>ff</sup>* and *Gfi1<sup>Cre/+</sup>;*Exoc5<sup>ff</sup>** mice at P20 (A-F) and P50 (G-L). (A, B, G, and H) Whole inner ear. (C, D, I, and J) Middle turn of the cochlea. (E, F, K, and L) High magnification of the organ of Corti. Asterisk indicate degenerating outer hair cells. Scale bars, 200  $\mu$ m in (A, B, G, H), 50  $\mu$ m in (C, D, I, J), and 10  $\mu$ m in (E, F, K, L).



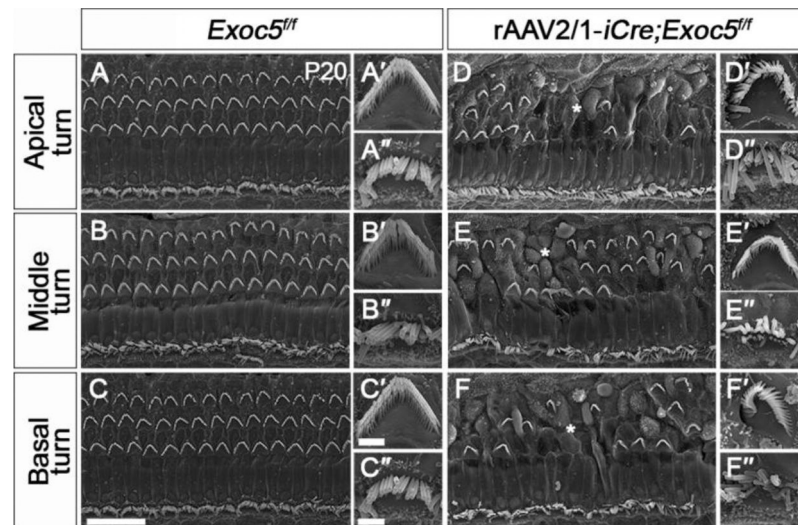
**Fig. 4. Ultrastructural evaluation of the organ of Corti and stereocilia of hair cells in *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice.**

The ultrastructure of stereociliary bundles from *Exoc5<sup>fl/fl</sup>* and *Gfi1<sup>Cre/+</sup>;Exoc5<sup>fl/fl</sup>* mice was analyzed using SEM at P20 (A-F, A'-F', and A''-F'') and P50 (G-L, G'-L', and G''-L''). (A-L) The Organ of Corti. (A'-L') High magnification of an outer hair cell (OHC). (A''-L'') High magnification of an inner hair cell (IHC). Abnormal arrangement of rows of OHCs are indicated by asterisks. Scale bars, 15  $\mu\text{m}$  in (A-L) and 2  $\mu\text{m}$  in (A'-L' and A''-L'').



**Fig. 5. Profound hearing loss of *rAAV2/1-iCre;Exoc5<sup>ff</sup>* mice at P20.**

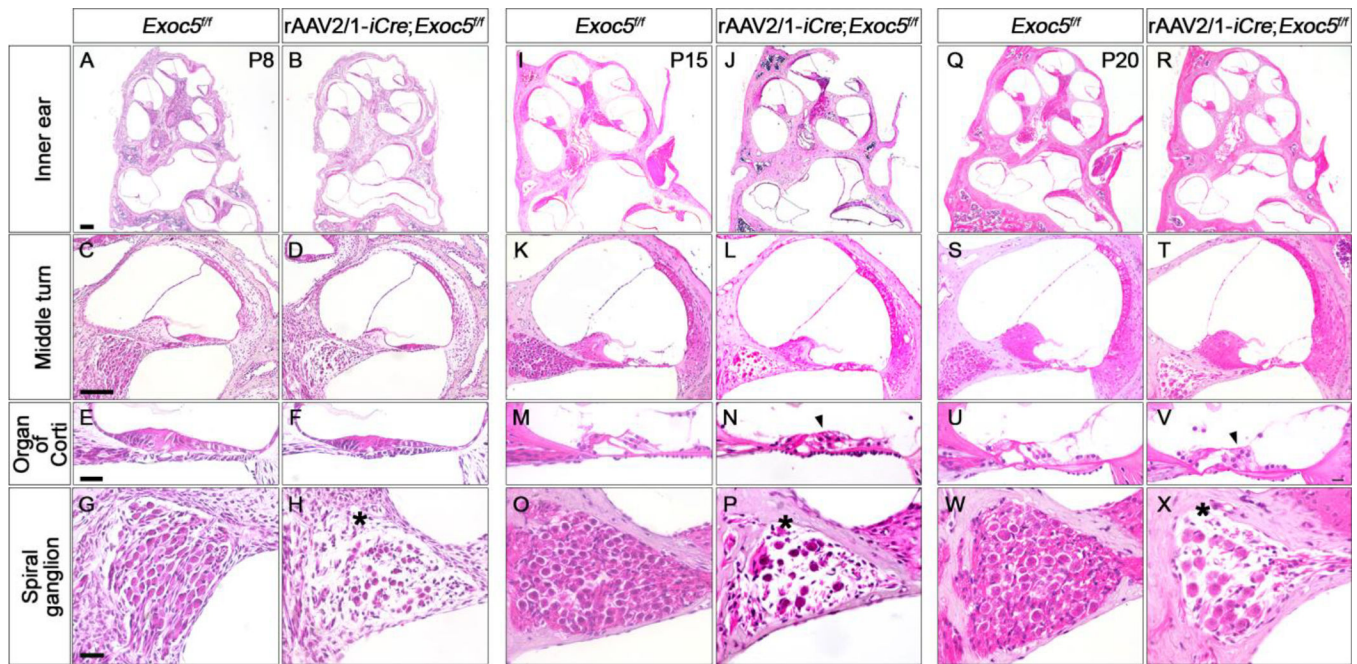
The click and tone burst (8, 16, and 32 kHz) ABR thresholds of *rAAV2/1-iCre;Exoc5<sup>ff</sup>* mice were measured in *rAAV2/1-iCre* non-injected inner ear (white) and *rAAV2/1-iCre*-injected inner ear (black). The data are shown as the mean $\pm$ SD ( $n = 5$  for each group,  $*P < 0.001$ ).



**Fig. 6. Ultrastructural evaluation of the organ of Corti and stereocilia of hair cells in rAAV2/1-*iCre;Exoc5<sup>ff</sup>* mice.**

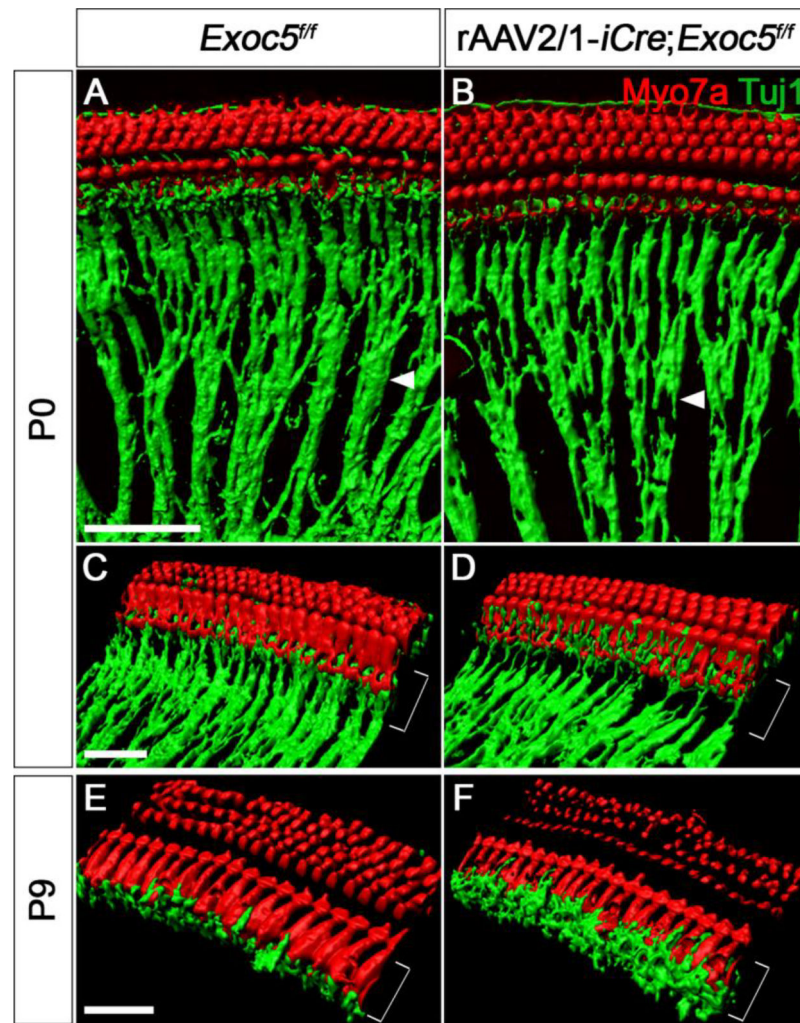
Morphological changes in hair cells and stereocilia were analyzed by SEM in rAAV2/1-*iCre* non-injected inner ear (*Exoc5<sup>ff</sup>*) and rAAV2/1-*iCre*-injected inner ear (rAAV2/1-*iCre;Exoc5<sup>ff</sup>*) at P20. (A-F) The Organ of Corti. (A'-F') High magnification of an outer hair cell (OHC). (A''-F'') High magnification of an inner hair cell (IHC). Asterisks indicate abnormal arrangement of rows of OHC. Scale bars, 15  $\mu$ m in (A-F) and 2  $\mu$ m in (A'-F', A''-F'').





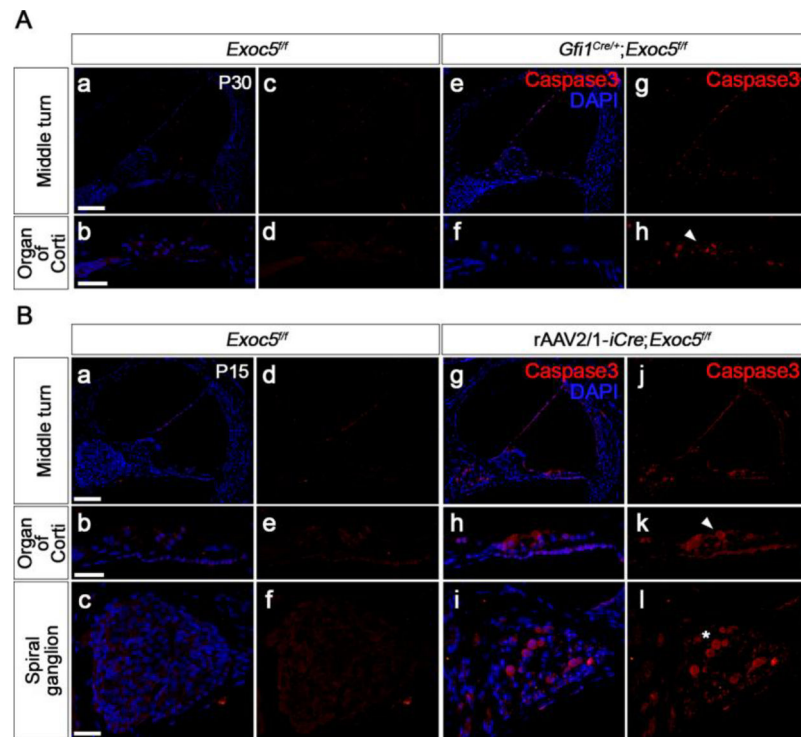
**Fig. 7. Histological evaluation of the inner ear of rAAV2/1-iCre;Exoc5<sup>fl/fl</sup> mice.**

Morphological changes in the inner ear were examined by H&E staining in rAAV2/1-iCre non-injected inner ear (*Exoc5<sup>fl/fl</sup>*) and rAAV2/1-iCre-injected inner ear (rAAV2/1-iCre;*Exoc5<sup>fl/fl</sup>*) at P8 (A-H), P15 (I-P), and P20 (Q-X). (A, B, I, J, Q, and R) Whole inner ear. (C, D, K, L, S, and T) Middle turn of the cochlea. (E, F, M, N, U, and V) High magnification of organ of Corti. (G, H, O, P, W, and X) High magnification of a spiral ganglion. Arrow heads and asterisks indicate degeneration of hair cells and spiral ganglions, respectively. Scale bars, 200  $\mu$ m in (A, B, I, J, Q, R), 100  $\mu$ m in (C, D, K, L, S, T), and 25  $\mu$ m in (E-H, M-P, U-X).



**Fig. 8. Diminished afferent innervation of spiral ganglion neurites in *rAAV2/1-iCre;Exoc5<sup>ff</sup>* mice during the early postnatal period.**

3D renderings of confocal z-stacks were acquired from whole-mounted *rAAV2/1-iCre* non-injected (*Exoc5<sup>ff</sup>*) and *rAAV2/1-iCre*-injected inner ear (*rAAV2/1-iCre;Exoc5<sup>ff</sup>*). Hair cells and neuronal fibers were stained for Myo7a (red) and TuJ1 (green) at P0 (A-D) and P9 (E and F). Degeneration of neurite outgrowth (arrow heads) and misrouted nerve fibers (square brackets) are shown in *rAAV2/1-iCre;Exoc5<sup>ff</sup>* mice. Scale bars, 30  $\mu$ m.



**Fig. 9. Apoptosis in the sensory epithelium of *Gfi1<sup>Cre/+</sup>;Exoc5<sup>ff</sup>* and *rAAV2/1-iCre;Exoc5<sup>ff</sup>* mice.**

Apoptosis was examined by Caspase3 immunostaining in the inner ear of *Gfi1<sup>Cre/+</sup>;Exoc5<sup>ff</sup>* mice at P30 (A) and *rAAV2/1-iCre;Exoc5<sup>ff</sup>* mice at P15 (B). Activated Caspase3-positive cells were labeled with Alexa Fluor® 555. Caspase3 activation in hair cells and spiral ganglions are indicated by arrow heads and asterisks, respectively. Nuclei were counterstained with DAPI (blue). Scale bars, 100  $\mu$ m in (middle turn panels) and 25  $\mu$ m in (organ of Corti and spiral ganglion panels).