



Cell-Autonomous and Non-cell-Autonomous Pathogenic Mechanisms in Huntington's Disease: Insights from *In Vitro* and *In Vivo* Models

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Published online: 16 September 2019

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Abstract

Huntington's disease (HD) is an autosomal dominant disorder caused by an expansion in the trinucleotide CAG repeat in exon-1 in the *huntingtin* gene, located on chromosome 4. When the number of trinucleotide CAG exceeds 40 repeats, disease invariably is manifested, characterized by motor, cognitive, and psychiatric symptoms. The huntingtin (Htt) protein and its mutant form (mutant huntingtin, mHtt) are ubiquitously expressed but although multiple brain regions are affected, the most vulnerable brain region is the striatum. Striatal medium-sized spiny neurons (MSNs) preferentially degenerate, followed by the cortical pyramidal neurons located in layers V and VI. Proposed HD pathogenic mechanisms include, but are not restricted to, excitotoxicity, neurotrophic support deficits, collapse of the protein degradation mechanisms, mitochondrial dysfunction, transcriptional alterations, and disorders of myelin. Studies performed in cell type-specific and regionally selective HD mouse models implicate both MSN cell-autonomous properties and cell–cell interactions, particularly corticostriatal but also with non-neuronal cell types. Here, we review the intrinsic properties of MSNs that contribute to their selective vulnerability and in addition, we discuss how astrocytes, microglia, and oligodendrocytes, together with aberrant corticostriatal connectivity, contribute to HD pathophysiology. In addition, mHtt causes cell-autonomous dysfunction in cell types other than MSNs. These findings have implications in terms of therapeutic strategies aimed at preventing neuronal dysfunction and degeneration.

Key Words Cell-autonomous · non-cell-autonomous · glia · medium spiny neurons · corticostriatal

Huntington's disease (HD) is an autosomal dominant neurodegenerative disorder characterized by motor deficits and involuntary movements, most commonly *chorea* at early stages, cognitive dysfunction, and psychiatric manifestations [1]. HD is caused by an expansion in the trinucleotide CAG repeat in exon-1 in the *huntingtin* gene, located on chromosome 4. When the number of trinucleotide CAG repeats exceeds 40, HD is fully manifested [2], as the polyglutamine (polyQ) tract confers neurotoxicity apparently via both gain- and loss-of-function. An inverse correlation exists between the CAG repeat length and age of onset [3]. Mutant huntingtin (mHtt) is expressed in all cells [4], but the gamma-aminobutyric acid (GABA)ergic striatal projection medium spiny neuron (MSNs) is the most, or differentially, vulnerable to

dysfunction and neurodegeneration [5, 6]. Cortical pyramidal neurons in layers V and VI are also highly perturbed by the expression of mHtt [7]. A long-standing question in understanding HD is whether MSN vulnerability is cell-autonomous or non-cell-autonomous [8], a question which now extends to cortical neurons and non-neuronal cell types.

mHtt causes dysfunction of protein degradation systems, including autophagy and the ubiquitin proteasome system [9, 10], leading to altered proteostasis. A major hallmark of HD is the presence of mHtt aggregates, and although not necessarily toxic on their own, Htt is a very large protein with the potential to interact and bind with many other proteins, altering their function [11]. Pre-deposition, oligomeric mHtt species may also comprise a principal component of mHtt toxicity [12, 13], similar to amyloid in Alzheimer's disease [14]. Transcriptional alterations in HD are partly attributed to mHtt sequestration of transcription factors [15–18]. Through interaction with mitochondrial proteins, mHtt perturbs mitochondrial function and induces bioenergetic deficits and calcium buffering abnormalities [19]. Finally, mHtt induces presynaptic neurotrophin deficits and postsynaptic signaling

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deficits [20, 21] and glutamate-induced excitotoxicity likely has pre- and postsynaptic etiologies [22]. These processes cannot be fully attributed to restricted expression of mHtt in MSNs and may not even be restricted to the corticostriatal system as alterations in the dopamine system are also implicated in HD [23]. It is impossible to cover all the possible interactions, but this review is focused on cell-autonomous and non-cell-autonomous mHtt-induced alterations in MSNs, corticostriatal communication, and other non-neuronal contributions to MSN dysfunction and neurodegeneration. It is therefore also of course impossible to create sections that do not overlap. For example, in discussing excitotoxicity, there is obvious overlap with the role of astrocytes.

HD Mouse “Models” to Explore Cell-Specific Vulnerability

In order to decipher cell-autonomous and non-cell-autonomous pathogenic mechanisms driving HD pathophysiology, novel mouse lines have been generated with cell-specific expression or deletion of mHtt. Phenotypes of animal models discussed herein are summarized in Table 1. Except for knock-in (KI) models, comparisons are hampered by differences in promoter, level of expression of transgene, and importantly, length of Htt, i.e., fragment *versus* full length (FL) (Fig. 1).

Pan-cellular HD Models

Creation of the original HD mouse models, and later KI models, resulted in pan-cellular models with either overexpression or endogenous levels of mHtt. R6 mice, generated by Bates’ group in 1996 [24], were the first HD transgenic mouse models. Both R6/1 and R6/2 mouse lines express 67 amino acids of the N-terminal human protein, driven by the human *HTT* promoter, and a single copy of the transgene with either 115 CAG repeats or three copies with 144 repeats, respectively [24, 45]. Both lines display weight loss, enlarged cerebral ventricles, neuronal atrophy, progressive increase of mHtt aggregates, motor alterations, and cognitive deficits. Cell death is not replicated in these lines [25]. Later, full-length mHtt transgenic mice, via yeast or bacterial artificial chromosomes (YAC and BAC), were generated [27, 32]. They express full-length mHtt and contain all the introns, exons, and regulatory sequences, with 72 or 128 CAG repeats in the YAC and 97 CAG/CAA in the BACHD. Insertion sites are unknown. Both models develop motor deficits, neuronal degeneration, corticostriatal synaptic dysfunction, and mHtt aggregates. Contemporaneously, mHtt KI mice were generated [46–48], in which exon 1 of the mouse gene was replaced by the human *HTT* exon 1 with an expanded number of CAG

repeats, resulting in the expression of the HD mutation in its appropriate genomic context. They recapitulate much of the HD phenotype although with a slower progression than the over-expressers, and with less neuronal loss than is seen in human HD. None of these pan-cellular models, however, is an effective tool to investigate specific cell subtype-specific contribution to HD physiopathology, without further manipulations, discussed below.

Pan-neuronal mHtt Fragment Transgenic Models

The *Prion* promoter was used to produce a mouse line, *Prp*-N171-82Q, which expresses the N-terminal 171 amino acids of mHtt with a CAG repeat of 82, principally in neurons [49]. This model has been valuable for unraveling the contribution of neuronal *versus* non-neuronal cells in HD. The *Nestin*-Cre mouse was used for this purpose as well in a line in which an Exon1 mHtt fragment was inserted into the *Rosa26* locus and mHtt expression was entirely dependent on Cre-mediated excision [35]. It should be noted that the *Nestin* promoter also directs expression to glial progenitors. The *Prp*-N171-82Q mice develop motor deficits, mHtt aggregates, astrogliosis, decreased lifespan, and neuronal degeneration, as demonstrated with cleaved caspase-3 immunostaining [34, 49]. The *Nestin*-Cre Exon1 mice driven by the *Rosa26* promoter present age-dependent motor deficits, mHtt aggregates, gliosis, degenerating “dark neurons” and reduced spontaneous IPSC frequency [35]. These data imply that these deficits are neuronal cell-autonomous.

Neuronal Subtype-Specific mHtt Fragment and Full-Length Mice

Two models were created to determine if there are cell-autonomous effects of mHtt in MSNs. The *RosaHD-Dlx5/6*-Cre mouse [50] expresses Exon1 mHtt only in MSNs and in a subset of cortical and olfactory interneurons during embryonic development. Although *RosaHD-Dlx5/6*-Cre mice accumulate mHtt aggregates and develop minor glutamatergic NMDA receptor alterations, they do not develop motor deficits. We generated the D9-N171-82Q line, which expresses a similar mHtt fragment as does the prion promoter mouse, but driven by select regulatory regions of the *Ppp1r1b*/DARPP-32 gene, restricting transgene expression to MSNs and Purkinje cells [36]. Comparisons between pan-cellular and pan-neuronal models demonstrated that mHtt expression in neurons, and selectively in MSNs in the forebrain, is sufficient to induce certain aspects of the murine HD molecular and behavioral phenotypes. Inclusions developed and comparison of striatal gene expression to other models revealed that transcriptomic abnormalities are induced largely, intrinsically by mHtt, independent of cortical or glial expression [51], as is

Table 1 Highlighted mouse lines contributing to study of cell-autonomous and non-cell-autonomous impact of mHtt on HD-like phenotypes

Pan-cellular	Motor deficits	Inclusions	Neuronal loss	Abnormal white matter	Microglial/astroglial activation	Transcriptional abnormalities	Mitochondrial	Corticostriatal synapse abnormalities
R6 [24]	Yes	Yes	Absent [25]	Yes	Yes	Yes	Yes	Yes [26]
YAC [27]	Yes	Yes	Yes	Yes [28]	Yes [29]	Yes [30]	Yes [31]	Yes
BAC [32]	Yes	Yes	Presence of dark neurons	Yes	Not evaluated	Yes	Not evaluated	Yes [33]
Pan-neuronal								
Prion [34]	Motor deficits	Inclusions	Neuronal loss	Abnormal white matter	Microglial/astroglial activation	Transcriptional abnormalities	Mitochondrial	Corticostriatal synapse abnormalities
RosaHD/Nestin-Cre [35]	Yes	Yes	Yes	Not evaluated	Astrogliosis	Yes	Yes	Not evaluated
Neuronal subtype-specific	Yes	Yes	Dark neurons	Not evaluated	Astrogliosis	Not evaluated	Not evaluated	Yes
D9-N171-82Q [36]	Motor deficits	Inclusions	Neuronal loss	Abnormal white matter	Microglial/astroglial activation	Transcriptional abnormalities	Mitochondrial	Corticostriatal synapse abnormalities
RosaHD/Emx1-Cre [35]	Yes	Yes	No striatal volume loss	Not evaluated	Not evaluated (no astrogliosis)	Yes	Yes [37]	Yes [37]
mHtt neuronal subtype-specific deletions	Absent	Yes	Absent (only degeneration vacuoles)	Not evaluated	Not evaluated (no astrogliosis)	Not evaluated	Not evaluated	No
mHtt neuronal subtype-specific deletions								
BACHD/Rgs9-Cre [38]	Motor deficits	Inclusions	Neuronal loss	Abnormal white matter	Microglial/astroglial activation	Transcriptional abnormalities	Mitochondrial	Corticostriatal synapse abnormalities
BACHD/Emx1-Cre [38]	Yes	Yes	Not evaluated	Not evaluated	Not evaluated	Not evaluated	Not evaluated	Yes?
BACHD/Nestin-Cre [35]	Improved	Not evaluated	Not evaluated	Not evaluated	Not evaluated	Not evaluated	Not evaluated	No
Non-neuronal subtype-specific deletions	Improved	Not evaluated	Recovered striatal volume	Not evaluated	Yes	Not evaluated	Not evaluated	Not evaluated
Non-neuronal subtype-specific deletions								
BACHD/GFAP-CreER ^{tg} [39]	Motor deficits	Inclusions	Neuronal loss	Abnormal white matter	Microglial activation	Transcriptional abnormalities	Mitochondrial	Corticostriatal synapse abnormalities
BACHD/Lyz2 [40]	Improved	Not evaluated	Partial recovery of striatal volume	Not evaluated	Not evaluated	Not evaluated	Not evaluated	No
BACHD/NG2-Cre [41]	Yes	Not evaluated	No recovery of striatal volume	Not evaluated	Absent	Not evaluated	Not evaluated	Not evaluated
Non-neuronal specific overexpressors	Improved	Not evaluated	No recovery striatal volume	No	Not evaluated	Improved	Not evaluated	Not evaluated
Non-neuronal specific overexpressors								
GFAP/160Q [42]	Motor deficits	Inclusions	Neuronal loss	Abnormal white matter	Microglial activation	Transcriptional abnormalities	Mitochondrial	Corticostriatal synapse abnormalities
RosaHD/Cx3er1-Cre [43]	Yes	Yes	No	Not evaluated	Not evaluated	qPCR for specific astroglial genes	Not evaluated	Not evaluated
P1p-150Q [44]	Not evaluated	Not evaluated	Not evaluated	Not evaluated	Yes	Yes	Not evaluated	Not evaluated
	Yes	Yes	Not evaluated	Yes	Not evaluated	Yes	Not evaluated	Not evaluated

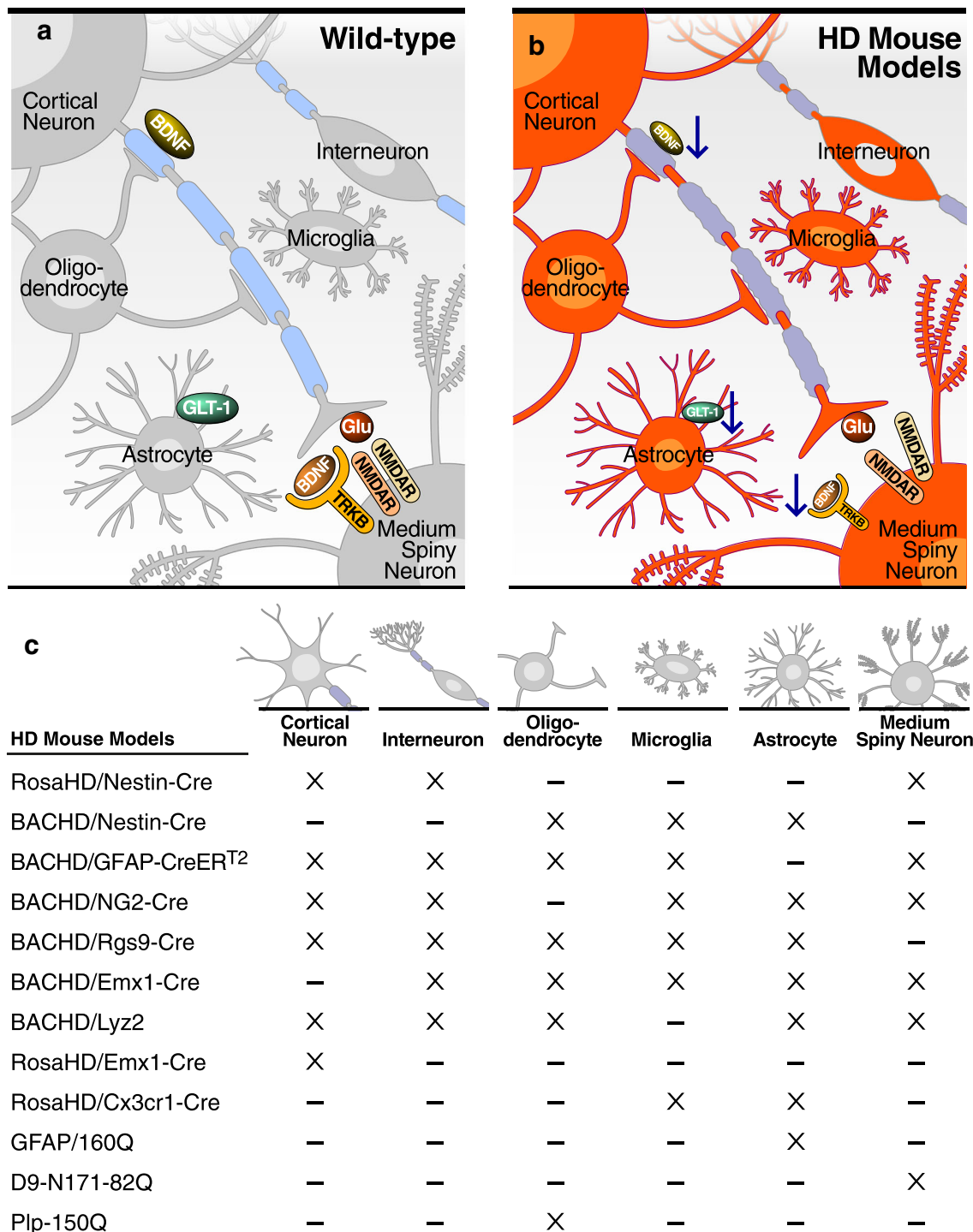


Fig. 1 Schematic representation of cell-specific contribution to striatal and corticostriatal function in (A) wild-type and (B) HD pancellular context. (C) Cell-type-specific mHtt overexpressers and deleters mouse models. "X": mHtt expression; "-": no mHtt expression

complex II mitochondrial dysfunction [37]. Corticostriatal synaptic dysfunction, albeit present, is minimal [51].

To further investigate the corticostriatal connection, cell-autonomous, and cell-cell interaction mechanisms in HD, Yang and colleagues [35] used the *Emx1-Cre* mouse crossed with the Exon1/Rosa26 mouse described above, thereby

restricting mHtt expression to cortical pyramidal neurons. Although *Emx1-Cre* HD mice developed mHtt aggregation in cortical neurons, they did not exhibit electrophysiologic or motor deficits, implying a role for interactions between cortical interneurons and pyramidal neurons in mHtt-induced cortical dysfunction [35]. Unfortunately, not all neuronal

subtype-specific models were analyzed for microgliosis, astrogliosis, or transcriptomic changes.

The BACHD mouse described above [32] is engineered with loxP sites flanking Exon1, allowing for cell-type specific and regional deletion, and simultaneously therefore the generation in many cases of cell-type specific overexpression models. Striatal (*Rgs9*) or cortical (*Emx-1*) Cre recombinase mice were crossed with the BACHD mouse to delete mHtt from MSN or cortical projection neurons, respectively [38]. These studies, discussed in more detail below, demonstrated the requirement for mHtt expression in projection neurons for a more representative and complete HD phenotype in MSNs, most notably including typical electrophysiologic abnormalities. It is useful also to consider these mice relative to those in which mHtt in non-neuronal cells has been investigated, as *Rgs9*-Cre-mediated deletion, for example, leaves striatal glial mHtt expression intact.

Deletion and Overexpression of mHtt in Non-neuronal Cells

The BACHD mouse and cell type-specific promoters have allowed for the creation of mice with mHtt either specifically deleted in non-neuronal subtypes on a pan-cellular mHtt background, or selectively overexpressed on an otherwise wild-type background.

Astrocytes

There are astrocytic changes in HD and in many pan-cellular and pan-neuronal HD mouse lines, including morphological changes, e.g., thicker processes and larger soma containing mHtt aggregates, and impaired glutamate metabolism and potassium homeostasis [52], discussed in detail below. The *GFAP*-CreER^{T2} transgenic mouse was used to conditionally delete *mHtt* in astrocytes in the BACHD mouse [39], and the *GFAP* promoter was used to drive mHtt Exon1 expression in astrocytes [42]. Astrocytic mHtt contributes to the BACHD phenotype as its deletion improved motor, psychiatric, pathological, and electrophysiological abnormalities [39]. Overexpression of mHtt in astrocytes led to decreased lifespan and body weight, as well as motor and transcriptional dysfunction [42], specifically implicating cell-autonomous and non-cell-autonomous effects of mHtt in astrocytes.

Microglia

Microgliosis is also observed in HD brains, and mHtt has therefore been deleted or expressed selectively in microglial cells in the BACHD mouse [40], and in this setting, no contribution to phenotype was observed with either modulation. Conversely, however, mHtt transgene expression driven with a microglial-specific promoter led to cell-autonomous

microglial activation and heightened inflammatory response to LPS in Q175 KI mice, thereby suggesting cell-autonomous effects of mHtt on microglia. However, it is not clear that there was otherwise a phenotypic effect without additional stimuli [43]. The possible role of neuroinflammation in HD is discussed further below.

Oligodendroglia

Finally, because myelin alterations are also present in human HD brain and mouse models of HD [28, 53–55], mHtt has been selectively expressed and repressed in oligodendrocytes. The *Plp* promoter was used to express N-terminal mHtt with 150 glutamines in murine oligodendroglia [44] and, the *Ng2*-Cre mouse line was used to delete oligodendroglial mHtt expression in BACHD mice [41]. In brief, with additional details provided below, both cell-autonomous effects in oligodendrocytes and non-cell-autonomous effects on neurons arise from mHtt expression in oligodendrocytes. These include transcriptional abnormalities that potentially explain the myelin deficit in HD and which could play a pivotal role in axonal integrity and associated motor coordination deficits.

Intrinsic Vulnerability of MSNs

The cell-autonomous nature of some HD phenotypes in MSNs and their selective vulnerability raises the question as to which MSN characteristics may induce this dysfunction. MSNs are unique in that they receive a massive glutamatergic, excitatory input from the cortex in addition to all being dopaminergic. Much effort has been expended in determining whether vulnerability is due to this combination of afferents, and/or MSN-enriched gene expression patterns uniquely sensitive to mHtt. In addition to being selectively vulnerable to mHtt expression, MSNs are also highly sensitive to quinolinic acid [56] or 3-nitropropionic acid (3-NP; [57]), which were utilized as the first models of HD prior to identification of the gene and its mutation [2]. Lesions from these toxins are due to excitotoxicity or inhibition of the citric acid cycle, respectively, yielding clues to cell intrinsic properties of MSNs leading to vulnerability in HD. Proteomic and transcriptomic studies have identified proteins selectively enriched in striatal neurons which might account for MSN-specific vulnerability to a toxic stimulus [58–66] and many of the candidates are involved in the pathways mediating neurotransmission and energy utilization. Unsurprisingly, there are multiple candidates, e.g., *Ptpn5*, *Rhes*, *Pde10A*, *Foxp2*, and *Bcl11b*, some of which we will specifically discuss. Individual validation is required for each gene.

Ptpn5, which encodes for STEP (striatal-enriched protein tyrosine phosphatase), is an example in which a targeted validation approach has been used to assay the role of a striatal-

enriched protein that is not known to directly interact with Htt. STEP protein regulates the endocytosis of NMDA receptor subunits [67] and via dephosphorylation modulates intracellular signaling pathways, including those requiring mitogen activated protein kinase (MAPK) or Fyn [68, 69]. In R6/1 and several other mouse models, phosphorylation of STEP is increased, and STEP is thereby inactivated, hypothetically exerting a compensatory, neuroprotective role inducing resistance to excitotoxicity [70]. Genetic and pharmacologic inhibition of STEP in the R6/1 mouse delays the appearance of motor dysfunction, improves cognitive function, and decreases the size of mHtt aggregates [71]. Contrary to these data, in a full-length HD model, STEP activity is actually increased, hypothetically increasing pathogenic, extrasynaptic NMDA receptor localization, which is reduced by inactivation of STEP [72]. These studies highlight the need to look at multiple models, including fragment and full-length, and at different disease stages.

PDE10A is a second example of a protein that does not interact with mHtt protein but has a relevant role in HD pathology. This phosphodiesterase directly impacts cyclic AMP levels and consequently cAMP response element-binding protein (CREB), which modulates gene expression. *Pde10A* expression is increased in R6/2 MSNs [73], and its inhibition improves motor function, prevents striatal atrophy, reduces microgliosis, and restores brain-derived neurotrophic factor (BDNF) and active CREB protein levels in the R6/2 model [73–75]. The basic function of PDE10A in fact makes it a therapeutic target in multiple hyperkinetic movement disorders [76].

Amongst the many Htt interacting proteins, Ras homologue enriched in striatum (*Rhes*) is selectively expressed within the striatum and increases mHtt toxicity via sumoylation [77]. However, using different models, there are again discrepant reports regarding the role of this protein in HD. *Rhes* deletion is neuroprotective in a 3-NP model, ameliorating motor dysfunction and preventing striatal degeneration [78]. Silencing of *Rhes* in HD genetic mouse models, however, exacerbated both striatal pathology and the “psychiatric” phenotype [79] and, in agreement with these data, its overexpression improved motor function and brain pathology [80].

Two well-characterized transcription factors (TFs) with enriched expression in the striatum, *Bcl11b/Ctip2* and *Foxp2*, are decreased in some HD models, although *Foxp2* is not decreased in patients. Both appear to interact with mHtt, as determined by co-immunoprecipitation [81, 82]. *Bcl11b* overexpression in STHdhQ111 cells rescues mitochondrial metabolic activity [81], but only *Foxp2* contribution to HD pathology has been evaluated *in vivo*. Interestingly, *Foxp2* overexpression in the BACHD model rescues motor coordination deficits, whereas knockdown in wild-type mice leads to symptoms overlapping with those of HD, both unsurprisingly implying cell-autonomous effects [82]. Much work, however, remains to determine whether any individual

striatal-enriched gene, or their combination, actually accounts for MSN vulnerability.

Differential Vulnerability of Striatal Neuronal Subtypes

MSNs are morphologically homogeneous, but are sub-grouped based on molecular properties, and origins and destinations of afferent and efferent projections. The striatum also contains heterogeneous interneuron subtypes, all of which are considered relatively resistant to neurodegeneration in HD, although they may be dysfunctional. Amongst MSN subtypes, there is some evidence of further differential vulnerability. MSNs are divided approximated 50/50 amongst direct pathway MSNs (dMSNs), which project to the substantia nigra and express the dopamine type 1 receptor (*Drd1/D1R*), substance P and dynorphin [83] and the apparently more vulnerable indirect pathway MSNs (iMSNs), which project to the globus pallidus pars externa and express D2-type dopamine receptors (*Drd2/D2R*) and enkephalin, [84, 85]. Because dMSNs and iMSNs express similar levels of mHtt [86], other mechanisms must account for their different responses in HD. Physiologically, iMSNs are more excitable than dMSNs, perhaps due to higher expression of NMDA receptors [87]. dMSNs also display lower input and membrane resistance, higher branching complexity, along with increased whole-cell capacitance relative to iMSNs, phenomena that may also contribute to differences in excitability [88]. As already inferred, iMSNs and dMSNs also differ in their transcriptomes, and a selectively expressed gene could cell autonomously confer either vulnerability or protection. For example, iMSNs express higher levels of the potassium channel *Kir2.3* subunit that might contribute to relative excitability [89], and higher levels of the BDNF receptor, *TrkB* [90, 91], suggesting greater susceptibility to a BDNF deficit. Déglon and colleagues [92] identified additional genes selectively expressed in dMSNs and specifically downregulated in Hdh KI mouse striatum, e.g., glutathione peroxidase *Gpx6*, interferon alpha-inducible protein 27 like 2B (*Ifi272b*) and protein tyrosine phosphatase *Ptpn7*. To date, only *Gpx6* has been experimentally validated, in addition to having been identified by others as modulating the HD phenotype using a synthetic lethal screen. Overexpression in R6/2 HD mice improved motor function and ameliorated biochemical abnormalities [93], validating this approach, and again pointing to cell-autonomous actions of neuronal genes altered in HD.

Animal models poorly recapitulate the early loss of iMSNs observed in HD patients, but electrophysiological assays of iMSNs and dMSNs at pre- and postsymptomatic stages support differential vulnerability, including of their output structures [94, 95], although not always in the same direction as in human samples. Morphologically, corticostriatal inputs to

dMSNs are altered whereas inputs to iMSNs are spared in HD140Q KI mice [96]. Similarly, dMSNs obtained from Q175 heterozygous symptomatic KI mice display reduced action potential amplitude and decreased rheobase, along with selective spine loss, more so than do iMSNs [97]. These are further examples of how mouse models, although very valuable in unraveling pathophysiology in HD, do not perfectly recapitulate human disease.

Generation of iPSCs to Unravel Cell-Autonomous Versus Non-cell-Autonomous Mechanisms in HD

Human-induced pluripotent stem cells (hiPSCs), somatic cells reprogrammed to a pluripotent state, are now a critical and unique cellular model to decipher pathogenic mechanisms of HD and are particularly useful in the study of cell-autonomous and non-cell-autonomous mechanisms, based on their differentiation into single or multiple cell types, including organoids. In less than a decade, many hiPSC lines have been generated by multiple groups, with different numbers of CAG repeats [98–106]. The mutant locus may be corrected by either homozygous recombination [107] or more commonly now, CrispR [108–110], creating control lines in isogenic backgrounds, thereby limiting the experimental variables. In all cases, when differentiated into “MSNs”, these cell lines recapitulate cell-autonomous transcriptional alterations, demonstrate increased cell death with excitotoxicity and/or neurotrophin deficiency including in a CAG repeat-dependent manner, and have bioenergetic deficits, all likely HD pathophysiologic mechanisms that are discussed below. iPSC-induced neural stem cells (NSCs) also recapitulate aspects of the HD phenotype, including altered cholesterol levels and increased levels of reactive oxygen species [111]. In fact, a highly novel aspect emerging from research using iPSCs is the revelation of CAG-dependent abnormalities very early in neuronal development, lending support to the notion that HD has a strong developmental component [112, 113].

Notably, cortical neurons differentiated from HD iPSCs also demonstrate altered transcriptional profiles and morphology, revealing cell-autonomous and developmental dysfunction in neuronal subtypes other than MSNs [114, 115]. With the rapid technical progress in the iPSC field, reports are soon likely to emerge characterizing glial subtypes and organoids derived from these lines.

Pathogenic Mechanisms: Cell-Autonomous, Non-cell-Autonomous, or Both

As current knowledge regarding intrinsic properties of MSNs does not adequately explain their selective vulnerability in HD, there is great interest in non-cell-autonomous and

circuit-based pathogenic mechanisms in HD, including the role of non-neuronal cells. This is similar to the thought processes for other neurodegenerative disorders, e.g., amyotrophic lateral sclerosis [116–118] and Parkinson's disease [119, 120]. Here, we will review the proposed HD pathogenic mechanisms in the context of cell-autonomous *versus* non-cell-autonomous etiology.

Aberrant Corticostriatal Communication

The MSNs receive major glutamatergic input from the cortex [121, 122], and these afferents also carry much of their neurotrophic support in the form of BDNF [123, 124], highlighting the direct impact of cortical projection neurons on MSN function and survival. Corticostriatal communication deficits are detectable in early stages of HD [125], and within the cortex, human pyramidal cortical neurons from layers V and VI are particularly vulnerable, with a 30% reduction in number [126]. As in the striatum, cortical interneurons are largely spared from degeneration [127], although data from a mouse model suggest that interneuron-pyramidal interactions are required for pyramidal neuron dysfunction [35]. Evidence from HD mouse models exists suggesting that cortical alterations, involving mainly cortical layers II/III, may actually precede striatal pathology [128, 129]. For example, there are dendritic alterations including a reduced number of spines in cortical pyramidal neurons in *Hdh*^{Q7/Q111} KI and R6/2 mice, prior to striatal structural changes [130, 131]. The physiologic status of cortical neurons in HD models is highly complex and may change with disease stage. Functionally, cortical neurons in R6/1 mice have, at various stages of disease, altered resting membrane potential, input resistance and cell capacitance [26, 132] along with abnormal firing rate and an imbalance between excitatory and inhibitory inputs [26, 125].

We have already noted that the D9-N171-82Q mouse did not display the full range of MSN electrophysiologic alterations seen in models with more widely expressed mHtt [37], and the expression of an Htt fragment rather than full-length likely also impacts the phenotype. The BACHD model allowed for more extensive analysis of the corticostriatal pathway in mice in which full-length mHtt expression is restricted to select neuronal populations [35, 38, 50]. Mice with knock-down of cortical expression showed improvement of the motor and psychiatric alterations relative to mice with expression in both striatum and cortex, but suppression of mHtt in cortical afferents did not prevent striatal atrophy. This result is complementary to what is seen in *Emx1-Cre-Exon1-103Q* Htt mice, an animal model that only expresses mHtt in cortical neurons and does not display striatal pathology [35], and also confirms the existence of MSN cell-autonomous pathology.

Pan-cellular HD mouse models have decreased levels of pre- and postsynaptic proteins at the corticostriatal synapse,

including VGlut1 [133, 134], rabphilin3A [135], PSD-95, and SAP-97 [136]. Furthermore, in HD corticostriatal co-cultures from Q140 KI mice, and in Q175 KI mice *in vivo*, there is a decreased number of corticostriatal contacts [33, 137]. Suppression of mHtt expression in the striatum in the BACHD mouse restores the levels of postsynaptic, but not presynaptic, proteins as well as preventing MSN degeneration. Interestingly, reduction of mHtt expression only in the cortical output neurons of BACHD mice restores the levels of presynaptic proteins and also exerts benefits at the postsynaptic level in the MSNs, but does not prevent neurodegeneration. Furthermore, in the BACHD mice with reduced mHtt expression in cortical output neurons, there is an amelioration of striatal evoked excitatory and inhibitory postsynaptic current (EPSCs/IPSCs) imbalance [38] and improvement in bursting properties, but not firing rate, of MSNs *in vivo* [138]. These data indicate the requirement for corticostriatal cell–cell communication for the full pathophysiological state to develop.

Drivers of Cre recombinase are rarely entirely cell type or region specific, and recombination is usually below 100%, so there are caveats in the interpretation of these experiments. For example, *Emx1* directs expression to pallial precursors, including glia [139], so the knockdown in the BAC mice may include glia along with excitatory cortical neurons, leaving open the possibility that glia may be contributing to the improvement. In addition, although there was a comprehensive phenotyping of the BACHD variants, mitochondrial function and the transcriptome were not assessed, and none of the studies has determined the transneuronal effect of cortical mHtt on striatal gene expression. Despite any caveats, however, these results from multiple mouse models demonstrate that mHtt acts cell autonomously in both MSNs and cortical neurons, so that expression in either neuronal set causes a phenotype. However, as would be expected, expression in the cortical projection neurons has a greater impact on the striatum than vice versa, but amelioration of symptoms likely requires “treatment” of both compartments.

To further examine the cortical and striatal interactions *in vitro*, microfluidic chambers were introduced to reconstitute the network, and these represent an improved strategy over co-culture of striatal and cortical neurons. In this system, presynaptic mHtt expression in HD cortical neurons is necessary and sufficient to produce abnormalities of the corticostriatal network as determined by single cell calcium imaging, using GCaMP6f as a calcium sensor. Conversely, HD MSNs receiving WT cortical inputs were normal in terms of synaptic function as determined by the same assay [33]. These results support the hypothesis that the origin of specific, and most, aspects of corticostriatal synaptic dysfunction lies in the cortical neurons. A caveat to this conclusion is that cultured neurons, like iPSCs, are relatively immature, and other aspects of MSN dysfunction were not assayed.

Finally, in addition to perturbation of corticostriatal glutamatergic projections, there is an early reduction of thalamostriatal glutamatergic projections in HD (reviewed by Reiner and Deng, [140]). Thalamic inputs to MSNs are reduced in HD140Q KI mice in presymptomatic stages, prior to corticostriatal disconnection [141]. Notably, thalamocortical abnormalities do not worsen with disease progression, suggesting that most probably developmental alterations are selectively affecting this synapse [141]. Experimental, isolated manipulation of mHtt in thalamus has not been performed.

Contribution of Glial Cells to HD Pathophysiology

The focus of the study of mHtt pathogenic mechanisms was long restricted to neurons, but the last decade has witnessed an explosion of knowledge as to how non-neuronal central nervous system cells may contribute to neurodegeneration. Notably, Htt expression level, and therefore of course its mutant form, is similar between astrocytes, microglia, and oligodendrocytes [142]. Furthermore, mHtt inclusions develop in all three cell types, although in different proportions [142], which may contribute to, or protect from, certain aspects of mHtt toxicity. We noted above that there are cell-autonomous effects of mHtt in all three major glial types, but we will now discuss cell–cell interactions and non-cell-autonomous effects arising from non-neuronal cells in more detail.

Astrocytes and Neuronal Support

Neurodegenerative disorders are usually accompanied by astrogliosis in the most affected brain regions. In fact, the Vonsattel scale commonly used for grading of human HD neuropathology is partly based on the presence of glial cells in the putamen, which accumulate during disease progression [143]. HD astrocytes express higher levels of GFAP relative to WT, have altered arborization, and increased soma size [143]. Adding to the complexity of non-neuronal cells in neurodegenerative disease is the recent identification of the role of microglia in inducing a toxic astrocyte phenotype detrimental to neurons [144, 145], so that non-cell-autonomous actions of a glial subtype may be exerted on another glial subtype and not solely on neurons.

In mouse models, expression of mHtt restricted to astrocytes induced their activation, and expression restricted to neurons altered glial gene expression [42, 146], but in several pan-cellular models, astrogliosis is poorly recapitulated. For example, astrogliosis is undetectable in symptomatic R6/2

mice [147], with the most aggressive phenotype amongst HD mouse models. Despite the lack of gliosis, however, astrocytes are dysfunctional in full-length and fragment models of HD. Overall, they demonstrate decreased efficiency in glutamate and potassium buffering, due to altered expression of glutamate transporters, *Glt-1*, and potassium channels, *Kir2.1*, *Kir2.3*, *Kir4.1*, and *Kv2.1* [52]. Electrophysiological parameters in striatal HD astrocytes of pan-cellular models show mHtt-induced alterations, including increased depolarization and membrane resistance, along with lower membrane conductance [147]. Restricted expression of mHtt in astrocytes recapitulates some of these phenotypes, including loss of glutamate transporters [42], indicating that this deficit is cell-autonomous. Astrocytes generated from human HD iPSCs display vacuolization in a CAG repeat-length dependent manner [148] and importantly, bipotential glial progenitor cells derived from human cells do not mature normally after engraftment and differentiation *in vivo*. Furthermore, HD embryonic stem cell (ESC)-derived glial progenitor cells (GPCs) have lower morphological fiber network complexity relative to WT, accompanied by transcriptional abnormalities with prominent downregulation of glial differentiation factors [149].

Restricted expression of mHtt in astrocytes also appears to lead to non-cell-autonomous neuronal deficits. Thus, transgenic or viral-induced expression of mHtt in astrocytes leads to motor deficits, although this may not require that there be neuronal abnormalities [149, 150], and expression in astrocytes exacerbates neuronal dysfunction via non-cell-autonomous mechanisms, with both cell types contributing to alterations in the glutamate cycle. In another study, there was an increased response to LPS in mice that expressed mHtt in both astrocytes and neurons relative to neurons only, which was ascribed to I κ b activity, supporting the non-cell-autonomous exacerbation of an HD neuronal phenotype by astrocytes [150].

In microfluidic chamber experiments [33] in which striatal astrocytes were included with mHtt-expressing MSNs, but without cortical neuron mHtt expression, corticostriatal connectivity alterations were absent. However, *in vivo*, deletion of mHtt in astrocytes BACHD mice restores the levels of post-synaptic proteins and the amplitude of NMDA currents in MSNs [39]. These results again suggest a non-cell-autonomous effect of astrocytic mHtt on MSN synaptic structure and function. Most recently, Benraiss and colleagues [151] generated HD glial chimeras by injecting mHtt-expressing human glial progenitor cells (HD-hGPCs) into the striatum of immunodeficient mice within 24 h of birth. These mice developed motor deficits accompanied by hyperexcitability of striatal neurons. Conversely, transplanted healthy astroglia improved motor function, prolonged life span, and normalized MSN excitability in R6/2 mice. These results demonstrate a clear contribution of HD glia to MSN dysfunction, in a non-cell-autonomous manner. Please note

that the role of astrocytes and glutamate uptake in HD is discussed below.

Microglia/Neuroinflammation

Reactive microglia and molecular evidence of neuroinflammation are associated with most neurodegenerative disorders, but the role of microglia in HD pathophysiology is much less definitive than in, for example, Alzheimer's disease. In addition, again similar to other neurodegenerative diseases, the answer to the question as to whether microglia play a pathogenic or protective role remains elusive. Microglial cells express high levels of mHtt [152] and there is an increased number of microglial cells prior to the onset of neuronal degeneration, as presymptomatic HD patients contain activated microglia within the striatum [153]. Microglia progressively increase in number, correlating with HD severity [154]. There is also an increase of pro-inflammatory cytokines in HD human striatum [155–157]. Using published RNA seq datasets, Botas and colleagues identified over 300 differentially expressed genes that overlap between early human HD brain and mouse models, and these included many genes related to immune function which, when assayed in a *Drosophila* HD model, were deemed to be pathogenic and driving disease via the NF κ B pathway [65].

This last study drew heavily from previously published databases from HD mouse models with transcriptional profiles associated with the striatal inflammatory response [158–160]. Morphologically, some pan-cellular HD models, e.g., R6/2, display an increased number of Iba1 positive cells in the striatum, particularly as compared to neuronal models, suggesting that MSN mHtt does not by itself induce microgliosis [29, 161, 162]. Characterization of microglia in activated states has rapidly advanced in the last few years using RNA seq, and the classification into the traditional M1 and M2 phenotypes, releasing pro-inflammatory or anti-inflammatory cytokines, respectively, now appears too simplistic. In older studies, however, the “M1” microglial phenotype was detected in symptomatic HD mice, based on an activated morphology with retracted processes, relative to the longer processes in quiescent microglia, and pro-inflammatory cytokine and lymphokine production [163]. Moreover, some therapies proven effective in ameliorating HD phenotype, e.g., the modulation of mHtt sumoylation, via knockdown of the HTT-selective E3 SUMO ligase *Pias1* or *Pde10A* inhibition, are linked with decreased microgliosis [74, 164]. Together, these human and mouse data might suggest that reactive microglia exacerbate HD pathology rather than exerting a neuroprotective role.

As with astrocytes, mouse models in which mHtt is selectively expressed or repressed in microglial cells have been derived and phenotyped to differentiate between cell-

autonomous and non-cell-autonomous microglial functions in HD. First, microglial cells in HD mice were proposed to be more sensitive to sterile inflammation [163] but Crotti and colleagues [43] demonstrated that mHtt expression restricted to microglia induces a transcriptional pro-inflammatory response. These same mice had increased striatal neuronal death relative to WT after a peripheral pro-inflammatory challenge with LPS, raising the specter of a possible non-cell-autonomous effect on neurons from microglia expressing mHtt. Furthermore, microglial-derived interleukin-34 contributes to HD pathology in a biolistic brain slice HD model, suggesting a non-cell-autonomous effect of microglia on mHtt neurons [165]. *In vitro*, WT microglia can be activated by mHtt-expressing neurons [166], suggesting a non-cell-autonomous effect in the other direction, i.e., of neuronal mHtt on microglia. These data are supported by experiments utilizing co-culture of mHtt-expressing microglia with WT neurons, in which cell death was induced *ex vivo* and subsequently, *in vivo* (after sterile inflammation) [43], but mice were not assayed for motor deficits or neuropathology. In summary, although there is convincing evidence of microglial dysfunction in HD, the gaps in knowledge along with the absence of phenotype in the *in vivo* selective expression experiments discussed above [40] leave the field with an unclear picture as to the role of microglia in HD. Likewise, the cross-talk between mHtt expression astrocytes and microglia requires more investigation, including in the absence of neuronal expression.

Oligodendrocytes/Myelination/Dysmyelination

As with neuroinflammation, white matter abnormalities are prevalent in several neurodegenerative diseases. Abnormalities in myelin-related proteins together with axonal myelination deficits are present in several brain regions in HD, including the corpus callosum and posterior white matter tracts [53, 54, 167] and occur early in disease [168–170] and in some, but not all, animal models [55, 171]. Mhtt aggregates in oligodendrocytes are present in multiple models, including R6/2 and HD140Q KI and zQ175 mice [142]. Analysis of oligodendroglial mHtt expression was enhanced by crossing a HD140Q KI mouse with a *P1p*-GFP line, allowing the visualization of the cell body and also oligodendrocyte processes, which are reduced in this mouse relative to WT [44].

The cell-autonomous and non-cell-autonomous contributions of oligodendrocytes to the HD phenotype are being scrutinized using similar methods as for other cell subtypes, i.e., selective expression and knockdown *in vivo*, and assays of iPSC-derived oligodendroglial lineage cells. Expression of the N-terminal fragment of mHtt with either 23 or 150 CAG repeats driven by the *P1p* promoter leads to compromised oligodendrocyte process length and myelination, but not cell

viability, with 150CAG relative to 23CAG [44]. *P1p*-150Q mice displayed decreased expression of myelin-related genes, motor deficits, and decreased life span. Interestingly, there was also axonal degeneration, suggesting that mHtt could act non-cell autonomously on neurons, although the axonal degeneration presented after demyelination was already present, so it may be secondary and not directly due to mHtt [44]. Selective suppression of mHtt in the NG2+ oligodendrocyte progenitor cell population in BACHD mice prevented the development of white matter abnormalities and ameliorated some motor alterations [41]. In summary, there appear to be primary cell-autonomous and non-cell-autonomous effects of mHtt in oligodendroglia, with a significant contribution to motor dysfunction [41, 44].

Dysregulation in the expression of myelin-related proteins is commonly observed in HD models. Huang et al. [44] demonstrated that mHtt expression in oligodendrocytes is directly responsible for myelin gene expression deficits, because it has the ability to bind myelin regulatory factor, perturbing its function as a transcriptional activator. However, these microarray analyses could reflect changes in gene expression caused by demyelination and axonal degeneration secondary to some other process. *In vitro*, profound transcriptional abnormalities were detected in purified HD-hGPCs, affecting principally genes related to glial differentiation and function, and also regulation of synaptic function [151], implying a primary, cell-autonomous deficit. Importantly, specific oligodendrocyte- and myelin-related genes were altered. Transplantation of HD-hGPCs cells demonstrated a myelination defect due to altered oligodendrocyte differentiation and myelin production. These data strongly support the presence of a cell-autonomous detrimental effect of mHtt in the oligodendroglial lineage.

Neurotrophic Supply/BDNF Deficit

BDNF is synthesized in the cortex and is anterogradely transported to the striatum, in which it binds to tyrosine receptor kinase B (TrkB) which is highly expressed in MSNs. BDNF production [172], transport [173] and intracellular signaling pathways [174] are altered in HD. First, mHtt compromises BDNF at a transcriptional level through binding to transcription factors that regulate its expression [172]. Second, Htt indirectly binds to kinesin and dynein/dynactin [175, 176], and the HD mutation thereby compromises vesicular transport, directly affecting BDNF supply to the MSNs. Because MSNs do not produce BDNF [123, 177], lack of cortical production of BDNF results in a non-cell-autonomous negative effect on MSNs. In addition, however, there are cell-autonomous impacts on this system within MSNs, as there is some evidence, albeit contradictory, showing decreased TrkB levels in striatal neurons of HD patients and in some HD

models [178–180]. It is also possible that TrkB localization in MSN dendrites is compromised, along with its signal transduction pathway [181].

The striatum does not develop normally in the absence of BDNF or TrkB [90, 182–184], but the survival of MSNs is not entirely dependent on BDNF. BDNF withdrawal induces increased cell death in HD iPSC-derived neural progenitor cells (NPCs) and more differentiated iPSC-derived MSNs relative to controls [98, 102, 185], perhaps due to increased excitotoxicity. Reducing cortical expression of BDNF worsens HD pathology *in vivo*. Overexpression or ectopic supply of BDNF ameliorates HD pathology in R6/1 and YAC128 mice and also in an HD rat model [186–190].

Corticostriatal co-cultures in microfluidic chambers again provide an ideal system in which to evaluate the impact of mHtt on both sides of the corticostriatal connection. MHtt-expressing cortical neurons from HD140Q KI and BACHD mice displayed cell-autonomous deficits in anterograde, and also retrograde in the latter, transport of BDNF [33, 191, 192], that could be pharmacologically corrected [192]. Restoration of BDNF transport by targeting TriC subunits, a subtype of cytosolic chaperonins, prevented striatal atrophy in BACHD cultured neurons [192]. The velocity of BDNF transportation in BACHD cortical neurons was slower than in WT neurons.

Like BDNF, TrkB receptor plays a pivotal role in the development, survival, and function of mature MSNs, and its early deletion [90] or knockdown [182] leads to neuronal loss. Post-mitotic TrkB deletion restricted to MSNs induces specific alterations in their gene expression, some of which overlap with genes altered in HD [193]. As alluded to above, retrograde and anterograde TrkB transport in striatal axons also depends on Htt, which participates in the transport of selective cargos, such as BDNF, APP, and TrkB. Htt knockdown retards TrkB movement in striatal axons [181], possibly due to alterations in the binding of TrkB-loaded vesicles to the cytoskeleton [33, 181]. Cultures in microfluidic chambers showed that retrograde transport of TrkB is specifically altered in HD striatal dendrites compromising dendrite-to-nucleus signaling [181, 194]. In conclusion, mHtt disrupts the BDNF/TrkB system cell autonomously in both cortical and striatal neurons, and non-cell autonomously via the MSN requirement for BDNF delivered by cortical afferents.

Notably, although most of the striatal BDNF is supplied by the cortex, cortical and striatal astrocytes are also important for BDNF production and release, particularly after injury [195, 196], adding complexity to understanding the mechanism of deficient neurotrophic supply in HD. In fact, although BDNF is strongly decreased in pan-cellular models of HD and in the putamen of HD patients [197–199], cortical BDNF is not decreased in an animal model in which mHtt is expressed only in neuronal cells (PrP-N171-82Q) [34] or specifically in MSNs (D9-N171-98Q) [36]. Unfortunately, BDNF has not been assayed in mice in which mHtt is selectively increased in

astrocytes [146], but the induction of BDNF synthesis and release from astrocytes has been demonstrated to be beneficial in different models of HD [189].

In vitro, transduction of mHtt into cultured astrocytes with adenovirus reduced BDNF transcription and secretion by disrupting the function of transcription factors CREB-binding protein (CBP) and Sp1, and impairing clathrin and the Golgi complex, respectively [200]. However, in a HD140Q KI mouse, transcription of BDNF is not decreased in astrocytes [201]. This divergence could arise due to higher levels of mHtt after viral transduction than in the HD140Q KI mouse. BDNF exocytosis, however, is reduced in the HD140Q KI astrocytes, due to disruption of the conversion of Rab3a-GTP to Rab3a-GDP, highlighting the multiplicity of pathogenic mechanisms via which mHtt disrupts the BDNF/TrkB system.

Mitochondrial/Energy Dysfunction: Respiratory Chains and ATP Production

The generation of truncated N-terminal and full-length transgenic and then KI HD mouse models largely replaced the use of toxin models, including 3-NP, a drug that specifically targets the mitochondrial respiratory chain in striatal neurons, resulting in lesions resembling HD [202]. Alterations in structure, function, and dynamics of mitochondria exist in multiple HD models and in patients. In fact, mHtt has been shown to directly or indirectly alter mitochondrial dynamics (biogenesis, fission, fusion, trafficking, and degradation), calcium buffering capacity, transcription, membrane potential, ATP production, and protein import [203, 204]. Mitochondria produce the high level of ATP required to maintain MSNs in a hyperpolarized state, and for calcium buffering, and both processes are dysregulated in HD. The use of 3-NP as a toxin model for HD demonstrated that striatal neurons are vulnerable to inhibition of respiratory chain complex II, succinate dehydrogenase. Lentiviral or transgenic expression of mHtt in cultured neurons or MSNs *in vivo*, respectively, decreases components of complex II and/or its function, and importantly, overexpression of complex II components confers neuroprotection [31, 37].

Most of the studies regarding mitochondrial alterations in HD were performed in the striatum or in neuronal primary cultures. Striatal mitochondria are selectively more vulnerable to the expression of mHtt possibility due to intrinsic properties [205]. First, neuronal and astrocytic striatal mitochondria are less efficient in buffering calcium than their cortical counterparts [205]. Second, cortical neurons have higher maximal respiration in comparison with striatal neurons [206]. Third, *PGC-1 α* , a crucial transcription factor in mitochondria, is decreased in HD models [207, 208]. This protein regulates mitochondrial/nuclear DNA (mtDNA/nDNA) ratio and citrate

synthase activity. Interestingly, *PGC-1 α* transcription is equally altered in both HD striatum and cortex, but only the striatum manifests decreased mtDNA/nDNA ratio [209]. These data suggest that selective striatal vulnerability in HD may be mediated, in part, by the intrinsic properties of striatal neuronal mitochondria. Surprisingly, however, some full-length models, e.g., YAC128 [206] and HD iPSC-derived neural cells [210] do not display the mitochondrial respiratory chain activity alterations that are present in pan-neuronal (R6/1 and R6/2) and MSN-specific (D9-N171-98Q) truncated models [37, 51].

The discrepancy in the effects of mHtt on mitochondrial function in full-length and truncated models may arise from multiple molecular mechanisms. For instance, aggregated forms of mHtt may account for the mitochondrial dysfunction, because truncated models generate larger and more numerous mHtt aggregates than do full-length. Consistent with this explanation, mitochondria are not dysfunctional in heart tissue from R6/2 mice, in which mHtt is expressed but does not aggregate, whereas in quadriceps muscle in R6/2 mice, aggregates are present and respiratory chain complex activities are decreased [211]. Interestingly, aggregates and mitochondria are frequently closely apposed [212].

Another process mediated by mitochondria which is perturbed in HD striatum is energy metabolism, with both cell-autonomous and non-cell-autonomous etiologies. In co-cultures of WT and BACHD neurons and astrocytes, the inclusion of mHtt-expressing astrocytes impairs glucose uptake in WT neurons but importantly, neuronal genotype does not alter metabolism [213]. Mass spectrometry studies in R6/2 mice reveal altered expression of proteins related to energy metabolism in astrocytes, pointing again to an astrocytic pathogenic role in HD [214]. On the other hand, HD iPSC-derived neural cells have decreased glycolytic capacity relative to corrected cells, attributed mainly to glycolytic deficits contributing to ATP depletion [210]. These results suggest that mHtt cell autonomously compromises metabolism in neurons. Future work should include assays of mitochondrial function in animal models in which mHtt expression is restricted to single cell types, e.g., astrocytes and microglia.

Finally, mHtt also disrupts the levels and activity of proteins that mediate mitochondrial fission and fusion. Both events regulate mitochondrial morphology, number, size, and functionality. In general, fusion proteins are downregulated and fission is upregulated in HD striatum [215–219]. Striatal neurons are intrinsically more sensitive to the presence of mHtt increasing fission than are cortical neurons. In fact, the activity of Drp1, a GTPase that mediates mitochondrial fission, is strongly upregulated in the striatum compared with cortex [216]. Furthermore, the non-aggregated form of mHtt disrupts striatal mitochondrial transport [220], whereas in

cortical neurons mitochondrial transport is only affected in the presence of mHtt aggregates [221]. In total, data support a large component of cell-autonomous factors impacting on MSN mitochondria in the presence of mHtt.

Huntingtin Aggregation

Generation of mHtt aggregates is a hallmark of HD and occurs in all cell types discussed herein. Full-length mHtt has a lower tendency to aggregate than do N-terminal fragments [222], accounting for the differences in detectable aggregates between full-length and fragment mouse models. Post-translational modifications (PTMs) differentially affect mHtt toxicity and aggregation, particularly those on the N-terminal 17 amino acids [223]. Thus, BACHD mice lacking the N17 domain have a more severe phenotype than does the original BACHD mouse [224], although they express lower levels of mHtt. There are of course PTMs outside the N17 terminus, including on serine 421, which is phosphorylated via Akt and GSK, thereby protecting against NMDA-mediated toxicity and regulating mHtt toxicity and clearance [225]. Remarkably, there are lower levels of serine 421 phosphorylation in the striatum than in the cortex or cerebellum [226], suggesting that striatal neurons could be selectively vulnerable in a cell-autonomous manner to mHtt aggregation and its possible downstream effects due to decreased phosphorylation on serine 421. Along with these data, imbalance in kinase/phosphatase levels and activity participates in neuronal viability and dysfunction in HD [227, 228]. In fact, Akt activation and level inversely correlate with the most affected brain regions in HD [229].

Striatal neurons clear mHtt more slowly than do cortical neurons [230], adding to the cell-autonomous, intrinsic mechanisms contributing to striatal neuronal vulnerability in HD. Furthermore, astrocytes degrade mHtt more rapidly than neurons, which is in line with the higher ubiquitin proteasome system (UPS) activity detected in astrocytes compared with neurons [231]. The non-cell-autonomous mechanism via which astrocytes can modulate aggregation in HD neurons is being unraveled with the use of cellular specific models. First, astrocytic exosomes reduce mHtt aggregation in HD140Q KI mice [232], suggesting that these exosomes carry cargo which participates in prevention of mHtt aggregation. The authors specifically suggest that α B-crystallin, a small heat shock protein that reduces mHtt aggregation and toxicity, participates in this exosome secretion because its overexpression in HD astrocytes prevents these deficits. However, the direct link between the restoration of α B-crystallin astrocytic protein and mHtt aggregation has not been established, although reduction of mHtt in astrocytes is known to redistribute mHtt neuronal aggregates [39].

Excitotoxicity and Potassium and Calcium Buffering

The use of quinolinic acid (QA) as a toxin-induced model of HD revealed that MSNs demonstrate greater vulnerability to glutamate-mediated excitotoxicity than do other neuronal subtypes [233, 234]. Presymptomatic and symptomatic HD models exhibit different levels of sensitivity to QA-induced excitotoxicity. Increased resistance to excitotoxicity at late stages of HD may be attributed to the redistribution of NMDA receptors within the neuron, and the differential activation of signaling pathways dependent on the complexed proteins, e.g., PSD-95 [235] or regulatory element antagonist modulator (DREAM) [236], and/or their subcellular localization [237, 238]. Young D9-N171-98Q mice respond to QA similarly to their WT counterparts, but pan-neuronal HD models present increased sensitivity to excitotoxicity at presymptomatic stages, which suggests a non-cell-autonomous mechanism. Once symptomatic, both D9-N171-98Q and pan-cellular HD models respond similarly, suggesting that at advanced stages this non-cell-autonomous mechanism is lost and a specific MSN quality confers resistance to excitotoxicity. Under physiologic conditions glutamate clearance is accelerated in R6/2 mice [239], possibly accounting for the resistance to excitotoxicity at this stage. At later stages, the number of functional excitatory synapses is reduced, compromising glutamate release.

Finally, MSN vulnerability to glutamate excitotoxicity in the presence of mHtt is partly attributed to neurodevelopmental alterations, because expression of mHtt restricted to the developmental period recapitulates this selective vulnerability at early stages of disease [112]. These data lend further support to the notion that has evolved from iPSC-based studies of HD as a neurodevelopmental disorder [112, 113] and it has been suggested that epigenetic-based, HDAC-associated therapies could reverse presymptomatic alterations [240].

Vulnerability to excitotoxicity in HD is also determined by the cell-autonomous effects of MSN mHtt on the activation, localization, and stabilization of glutamate receptors. The NMDA receptor mislocalization hypothesis has emerged as a major contributor to the increased sensitivity of HD MSNs to excitotoxicity. Briefly, stimulation of synaptic NMDA receptor activity is neuroprotective, whereas extrasynaptic NMDA receptor stimulation can lead to cell death [238]. Previously discussed results regarding the role of STEP highlight the relevance of synaptic *versus* extrasynaptic NMDA receptor imbalance at early stages of HD. Other findings include the enhanced GluN2B extrasynaptic expression in YAC128 MSNs [241], which may be further enhanced by the increased extrasynaptic localization of PSD-95, which acts as scaffolding protein for GluN2B. Mhtt also upregulates GluN3A subunit expression [242], which possibly

participates in GluN2B localization [243]. GluN2B cleavage is induced, but its phosphorylation is reduced, contributing to extrasynaptic GluN2B localization [72].

Deciphering the role of excitotoxicity in HD increasingly includes the study of the contribution of astrocytes. An increased level of glutamate at HD synapses, which may arise due to altered clearance, would represent a source of excitotoxicity. There are discrepant reports regarding the functionality and level of glutamate transporters in HD [244–249]. The astrocytic glutamate transporter *SlcA12*, aka *Glt-1* or *EAAT2*, that regulates glutamate uptake [214, 250], is decreased in astrocytes transduced with viral vectors expressing mHtt [143]. Although the BACHD mouse has normal levels of *Glt-1* mRNA [39], other HD mouse models including R6/1 [251], R6/2 [147, 250–253], YAC128 [254] and Q175 homozygotes [147] express decreased levels of *Glt-1* in striatal lysates, including at corticostriatal synapses. These data suggest an additional non-cell-autonomous mechanism via which mHtt expression in astrocytes could increase QA vulnerability of MSNs at initial disease stages. HD striatal astrocytes also display alterations in glutamate buffering and consequently aberrant calcium signaling that further impacts MSNs [255]. Intrinsically, mHtt expression in cultured astrocytes enhances calcium-dependent glutamate release, possibly due to increased levels of pyruvate carboxylase, an enzyme which facilitates glutamate synthesis [256]. Conversely, silencing of mHtt in HD astrocytes generated from monkey iPSCs restored *Glt-1* levels and glutamate uptake ability [257], implying that cell-autonomous effects may be overwhelmed when astrocytes are in their natural milieu with intact cell–cell communication.

Although it is easy to speculate about how inadequate glutamate buffering might increase QA susceptibility, there is no evidence yet of early dysregulation of *Glt-1* expression in astrocytes in HD models. In addition, HD pathology is not worsened by *Glt-1* deletion [250], and presymptomatic knock-down of *Glt-1* does not affect glutamate clearance in the YAC128 model [239]. On the other hand, virally mediated overexpression of *Glt-1* prevents the appearance of motor deficits in a Q175 KI mouse model and normalizes neuronal striatal firing frequency [258]. Finally, R6/2 mice treated with ceftriaxone, an antibiotic that increases striatal expression of *Glt-1*, improves motor function in the early symptomatic stage [252]. Further work is required to resolve the study of GLT-1 status, perhaps with a focus on genetically accurate models.

In addition to the glutamate transporter, the astrocytic Kir4.1 potassium channel is also altered in HD. This channel maintains low levels of potassium in the extracellular space, maintaining neurons in a hyperpolarized state and regulating MSN excitability. R6/2 striatal neurons are in fact hyperexcitable and striatal interstitial potassium levels are increased in this animal model [151]. Engraftment of healthy glia into R6/2 mice normalized interstitial potassium levels and MSN

excitability, implying a non-cell-autonomous mechanism. In addition, although mHtt suppression in astrocytes does not restore *Kir4.1* expression [39], viral-mediated restoration of Kir4.1 levels in HD astrocytes ameliorates pathology in R6/2 mice, reducing MSN excitability [147]. These data are similar to those for *Glt-1*. However, the improvement of the HD phenotype by the potentiation of *Kir4.1* expression cannot be attributed solely to potassium levels, because overexpression of *Kir4.1* increases the level of *Glt-1*. Finally, although reduced Kir4.1 protein levels were detected in R6/2 and Q175 striatal homogenates, not all striatal astrocytes have decreased levels of *Kir4.1* [147], and thus how mHtt reduces *Kir4.1* level is not entirely clear.

Like excitotoxicity, a biphasic alteration in dopamine release exists, with an initial increase, participating in the characteristic hyperkinetic phenotype, to a decrease at later stages [23, 259]. Dopamine (DA) signaling non-cell autonomously contributes to NMDA receptor modulation, possibly playing a role in excitotoxicity, and dopamine also trans-synaptically regulates specific MSN transcripts known to be dysregulated in HD, e.g., neuropeptides. One study ascribes early changes in dopamine release in the pan-cellular R6/1 model to transcriptional dysregulation of SK3 channels [260], and its mislocalization, but there is little known as to whether DA release dysregulation is cell-autonomous due to substantia nigra DAergic neuron dysfunction, or non-cell-autonomous, due to feedback from MSNs.

Transcriptional Alterations

New data are constantly emerging regarding transcriptional dysregulation in HD, both from human brain and from mouse models [30, 58, 261–267]. Although as discussed above, specific transcription factors (TFs) are implicated, e.g., *Foxp2* [82], it is unlikely that HD transcriptional pathology can be attributed to any single TF. The question of causation *versus* compensation for specific genes has also only been approached for a limited number of candidates, e.g., PGC1 α , CBP *in vitro*, and *Foxp2* [82, 207, 208], with a larger screen in *Drosophila* [61]. This question persists because although regional deep sequencing from Hdh KI mice revealed that there are more dysregulated genes in the striatum than in the cortex [58]. The cerebellum, which is frequently used as a “control” as it is relatively resistant in HD, displays gene expression changes that qualitatively highly overlap with the striatum [268–270].

There are three main mechanisms proposed to account for the alterations in the HD transcriptome. First, mHtt sequesters TFs thru interactions of the polyQ tracts, impeding their function and compromising the transcription of selective genes [11, 271–273]. mHtt directly interacts with repressor element-1 silencing transcription factor (REST), retaining it in the

nucleus and thereby repressing the expression of neuronal-related genes [274, 275]. Second, the presence of mHtt is associated with histone hypoacetylation [276–278], that in some cases, may arise due to TF sequestration. One example is acetyltransferase CBP, which is found in mHtt aggregates thereby reducing its function [18, 279]. Third, there is likely reduced trans-synaptic regulation of transcription, specifically that by BDNF [172]. This last possibility, which would be non-cell-autonomous, has not been directly addressed.

In all studies using bulk sequencing, neuronal transcriptomic changes could easily mask glial transcriptional alterations, both in older microarray experiments and in RNA seq assays. To date, in most cases, studies of the cell-specific transcriptome in mouse lines in which mHtt is expressed exclusively in non-neuronal cells are lacking. There are, however, isolated reports examining cell-autonomous and non-cell-autonomous transcriptional regulation of specific genes. For example, as discussed above, viral-mediated expression of mHtt in MSNs leads to dysregulation of the glutamate transporters *Glast* and *Glt-1* in astrocytes [143]. Also as previously noted, mHtt expression restricted to microglia promotes a pro-inflammatory profile, but unfortunately the neuronal transcriptome was not assayed in these studies. There are almost certainly sequencing studies in process from cell subtype-specific mice expressing mHtt which will tell us much more about transcriptional dysregulation and the transcriptome in neuronal subtypes, e.g., dMSNs and iMSNs, non-neuronal cells, single cells, and their interactions.

Other approaches are being used to unravel selective cell-type gene expression patterns in adult mouse brain. To avoid the generation of genetically modified mice to perform translational profiling, Merienne et al. [92] developed an approach in which direct and indirect MSNs, astrocytes, and microglia are identified with selective transcriptomic signatures. Briefly, laser capture microdissection was performed in mice expressing *Drd2-eGFP* (iMSNs), *Glt-1-eGFP-eGFP* (astrocytes), *Cx3cr1-eGFP* (microglia), and *Drd1-Tomato* (dMSNs). Comparison between existing transcriptional data bases of HD models and data bases generated from different striatal cell types, revealed that most upregulated genes observed in HD are highly represented in glia, and conversely, most of the downregulated transcripts, in neurons. These data suggest that mHtt induces transcriptional alterations in a cell type-specific manner, but do not speak to whether they are cell-autonomous.

As iPSC differentiation methods evolve, transcriptome assays in more mature cells will be able to significantly contribute to such studies. As noted, these experiments have already revealed specific gene expression networks selectively altered during development and have recapitulated epigenetic alterations in iPSC-derived neurons and glial cells. There is always some heterogeneity in the differentiated cells, but these transcriptional changes are largely considered to be cell-autonomous in neuronal preparations.

In summary, although the striatal MSN is the most vulnerable neuronal subtype in HD, it has long been a question as to whether their dysfunction and degeneration is cell-autonomous or non-cell-autonomous, the latter particularly due to the corticostriatal connection. Definitive data have emerged demonstrating that both mechanisms are at work, but that the corticostriatal connection is required for the full picture of striatal pathology in HD. Additional work is therefore required to identify the major pathogenic mechanisms in cortical neurons, which may differ from those in MSNs due to intrinsic differences between the two neuronal subtypes, and to further characterize the mechanisms via which the cortical neurons impact the MSNs, e.g., trans-synaptic transcriptional dysregulation. Additional tools have been developed to more carefully examine these questions in cortical and MSN neuronal subtypes, for example, differences in vulnerability between direct and indirect pathway, and striosome and matrix, MSNs. The question of the effects of mHtt on cell types and/or their interactions has increased in relevance based on enormous strides in identifying the roles of non-neuronal cells in HD pathophysiology. Much of this is due to advances in the derivation of mouse lines with cell subtype mHtt expression *in vivo*, and the use of HD iPSC-derived neurons and non-neuronal cells, which has also led to an important notion of neurodevelopmental abnormalities in HD, suggesting that early treatment may be required.

Acknowledgments This work was supported by R01NS100529. Thank you to John Carroll for graphics in Figure 1.

Required Author Forms Disclosure forms provided by the authors are available with the online version of this article.

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