



# Modeling Polyglutamine Expansion Diseases with Induced Pluripotent Stem Cells

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## Abstract

Polyglutamine expansion disorders, which include Huntington's disease, have expanded CAG repeats that result in polyglutamine expansions in affected proteins. How this specific feature leads to distinct neuropathies in 11 different diseases is a fascinating area of investigation. Most proteins affected by polyglutamine expansions are ubiquitously expressed, yet their mechanisms of selective neurotoxicity are unknown. Induced pluripotent stem cells have emerged as a valuable tool to model diseases, understand molecular mechanisms, and generate relevant human neural and glia subtypes, cocultures, and organoids. Ideally, this tool will generate specific neuronal populations that faithfully recapitulate specific polyglutamine expansion disorder phenotypes and mimic the selective vulnerability of a given disease. Here, we review how induced pluripotent technology is used to understand the effects of the disease-causing polyglutamine protein on cell function, identify new therapeutic targets, and determine how polyglutamine expansion affects human neurodevelopment and disease. We will discuss ongoing challenges and limitations in our use of induced pluripotent stem cells to model polyglutamine expansion diseases.

**Key Words** Triplet repeat disorders · neurodegeneration · polyglutamine · Huntington's disease · induced pluripotent stem cells.

## Polyglutamine Expansion Diseases

Polyglutamine (polyQ) expansion diseases belong to a large family of repeat expansion disorders characterized by repeating DNA sequences in affected genes, which can result in neurodegenerative disease. More than 40 rare genetic diseases are caused by repeat expansions. In polyQ expansion diseases, cytosine–adenine–guanine (CAG) expansion is localized in coding sequences of the gene (exonic). The disease phenotype manifests when the length of trinucleotide expansion of the mutated gene reaches a threshold which depends upon the disease (Table 1). When the mutated gene is transmitted through each generation, the length of the trinucleotide tract

increases. This leads to an earlier age of onset and often a more severe disease phenotype, in a phenomenon known as anticipation [1–3]. The abnormal expansion of CAG repeats within exonic regions expresses a polyQ tract, which typically results in a structurally altered protein. Currently, expansion of CAG repeats is implicated in 11 human neurodegenerative disorders (Table 1): dentatorubral–pallidoluysian atrophy (DRPLA) [4, 5], Huntington's disease (HD) [6, 7], Huntington's disease-like 2 (HDL2), spinobulbar muscular atrophy (SBMA, Kennedy disease) [8, 9], and spinocerebellar ataxia types (SCA1, 2, 3, 6, 7, 8, and 17) [10, 11]. PolyQ expansion diseases possess autosomal dominant inheritance, except for the SBMA, which is X-linked.

Induced pluripotent stem cells (iPSCs) have emerged as a valuable tool for disease modeling and generating relevant human neural subtypes *in vitro* [12–17]. This new approach has uncovered molecular mechanisms that contribute to neurodegenerative disease onset [18–22]. However, to better understand how expanded polyQ proteins lead to abnormal neuronal function in human tissue, we will need to fully harness iPSCs to generate specific neuronal populations that faithfully recapitulate polyQ expansion disorder phenotypes. Further understanding of the human neurodevelopmental process that leads to the formation of specific brain regions and cell types

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**Invited review:** Molecular Pathogenesis and Advances in Therapeutics of Repeat Expansion Disorders, guest edited by Dr. Lisa M. Ellerby

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**Table 1** PolyQ expansion diseases and their characteristics.

DISEASE	GENE	PROTEIN	NORMAL GENE FUNCTION	LOCALIZATION Preference In <b>Boldface</b>	REPEAT NORMAL	LENGTH DISEASE	TRANSMISSION	PREDOMINANTLY AFFECTED BRAIN REGION	PREDOMINANTLY AFFECTED CELL-TYPE
Dentatorubral-pallidoluysian Atrophy (DRPLA)	<i>ATN1</i>	Atrophin-1	Transcriptional Regulation	Nucleus <b>Cytoplasm</b>	7–35	49–88	Autosomal Dominant	Cerebellum, Cerebral Cortex, Basal Ganglia, Subthalamic Nuclei	Striatal, Medium Spiny and Pallidal Neurons
Huntington Disease (HD)	<i>HTT</i>	Huntingtin	Unknown	Nucleus <b>Cytoplasm</b>	6–35	36–250	Autosomal Dominant	Striatum, Cerebral Cortex	Striatal Neurons
Huntington Disease-Like 2 (HDL2)	<i>JPH3</i>	Junctophilin2	Ca <sup>2+</sup> Signaling	ER, Plasma Membrane	6–40	40–59	Autosomal Dominant	Striatum, Cerebral Cortex	Medium Spiny Neurons
Spinobulbar Muscular Atrophy (SBMA, Kennedy Disease)	<i>AR</i>	Androgen Receptor	Nuclear Receptor, Transcriptional Regulation	Nucleus <b>Cytoplasm</b>	5–34	37–70	X-linked, Dominant	Spinal Cord, Brainstem	Motor Neurons
Spinocerebellar Ataxia Type 1 (SCA1)	<i>ATXN1</i>	Ataxin-1	Transcriptional Regulation	<b>Nucleus</b> Cytoplasm	6–44	39–82	Autosomal Dominant	Cerebellum, Brainstem, Dentate Nucleus, Cerebral Cortex	Purkinje Neurons
Type 2 (SCA2)	<i>ATXN2</i>	Ataxin-2	RNA Metabolism	Cytoplasm	14–31	33–500	Autosomal Dominant	Cerebellum, Brainstem, Cerebral Cortex	Purkinje Neurons
Type 3 (SCA3)	<i>ATXN3</i>	Ataxin-3	RNA Metabolism, Metabolic Homeostasis, Transcriptional and Translational Regulation	<b>Nucleus</b> <b>Cytoplasm</b>	11–44	60–87	Autosomal Dominant	Cerebellum, Basal Ganglia, Brainstem, Spinal Cord	Motor Neurons
Type 6 (SCA6)	<i>CACNA1A</i>	Ca <sup>2+</sup> Channel Subunit $\alpha$ 1-A	Deubiquitinating Enzyme	<b>Nucleus</b> Cytoplasm	4–18	20–33	Autosomal Dominant	Cerebellum	Purkinje Neurons
Type 7 (SCA7)	<i>ATXN7</i>	Ataxin-7	Voltage-gated Calcium Channel and Transcription Factor	<b>Nucleus</b> Cytoplasm	4–19	34–460	Autosomal Dominant	Cerebellum, Retina, Brainstem, Visual Cortex	Retinal, Cerebellar, Medulla Oblongata Neurons
Type 8 (SCA8)	<i>ATXN8</i>	CAG/CTG	Unknown	Unknown	14–31	8–250	Autosomal Dominant	Cerebellum, Substantia Nigra	Purkinje Neurons
Type 17 (SCA17)	<i>TBP</i>	TATA-binding Protein	Transcriptional, Cytoskeletal and Microtubule Regulation	Nucleus	25–40	41–66	Autosomal Dominant	Cerebellum, Striatum, Substantia Nigra, Cortex	Purkinje, Medium Spiny, Cortical and Dopaminergic Neurons

will allow us to better model these diseases. Cortical and striatal neurons are needed to model HD and DRPLA, whereas motor neurons are relevant for SBMA, and Purkinje cells for SCA1s (Table 1, Fig. 1). Thus, protocols for differentiating iPSCs into relevant central nervous system (CNS) neurons are needed to closely mimic the affected neuronal phenotype. Furthermore, it is essential to determine how faithfully *in vitro* iPSC models correspond to native disease phenotypes. In this review, we will evaluate how these models, derived from patients with polyQ expansion disease, can shed light on molecular mechanisms related to expanded CAG tracts, selective vulnerability of neurons to the mutant polyQ protein, and the impact of other cells types on neuropathological states. We will discuss opportunities to improve our understanding of these diseases using iPSCs.

### Heterogeneity Within PolyQ Expansion Diseases: Distinct Protein and CAG Repeat Lengths

The 11 polyQ neurodegenerative disorders illustrate the heterogeneity within polyQ diseases and their affected proteins (see Fig. 1) [23]. Whereas expanded CAG repeats and resultant polyQ sequences broadly drive neurodegeneration, disease-specific features are unique to each protein (Table 1). These disorders feature a range of CAG repeat lengths in both normal and disease alleles. For example, *Atrophin-1* (*ATN1*), the gene involved in DRPLA, contains 7 to 35 repeats in wild-type *versus* 49 to 88 in disease conditions. The wild-type voltage-dependent calcium channel (*CACNA1A*) gene, involved in SCA6, contains 4 to 18 repeat expansions, whereas the disease phenotype contains 19 to 33 CAGs. For SCA8,

CAG and CTG expansions involve 80 to 250 repeats in the *ATXN8* gene (Table 1).

### PolyQ Disease Proteins: Dysregulation of Subcellular Localization

The protein product in each of these neurodegenerative disorders is unique with specific subcellular localization and function (Table 1). Cellular localization of conformationally altered polyQ protein is generally associated with neurotoxicity [27–24, 6]]. Many proteins involved in polyQ expansion disease have nuclear localization and export signals that guide cross-compartmental interactions with binding partners during disease progression. For example, SBMA is caused by CAG repeat expansion in the androgen receptor (*AR*) gene. Under basal conditions, the inactive form of AR is localized in the cytoplasm. Upon activation by androgen, AR undergoes post-translational modification that results in translocation to the nucleus, where the protein acts as a nuclear receptor by binding to chromatin and regulating the expression of androgen-responsive genes [28–30]. The polyQ-expanded AR has reduced intranuclear mobility, nuclear export rate, and transcriptional activity [31]. Another example is SCA1, which is caused by an abnormal number of CAG repeats in *Ataxin-1* (*ATXN1*). The ATXN1 protein is often localized within the nucleus, where it is involved in transcriptional regulation and RNA (ribonucleic acid) metabolism [32]. Irwin et al. [33] showed that polyQ expansion prevents ataxin-1 from exiting the nucleus, leading to accumulation and subsequent neurotoxicity in SCA1. SCA1 mice expressing ataxin-1 with a nonfunctional nuclear localization signal do not develop the

disease, suggesting that nuclear translocation is important in mutant ataxin-1-mediated neurotoxicity. This principle of improper subcellular localization can also affect cytoplasmic proteins; the accumulation of mutant ataxin-2 in the cytoplasm and stress granules correlates with toxicity in SCA2 [34, 35].

### PolyQ Expansion Diseases Affect Different Areas of the Brain and Neuronal Cell Types

The unique protein for each disease and its biology determine disease-specific features. Although proteins structurally altered by polyQ expansion are broadly expressed throughout different tissues and organs, their primary effect is neuronal dysfunction characterized by synaptic loss, atrophy of dendritic arborizations, axonal swelling, and loss of neuronal markers. Each CAG repeat disorder targets a different region in the brain (Fig. 1, Table 1) and neuronal subpopulations, leading to specific clinical and neuropathologic features, as reviewed by Lieberman et al. [36]. For example, SBMA neuropathogenesis is associated with loss of motor neurons in the brainstem and spinal cord as well as muscle atrophy (Table 1, Fig. 1). In SCA7, degeneration caused by polyQ-expanded ATXN7 is characterized by the loss of Purkinje

neurons and rapidly progressing cerebellar and retinal degeneration.

### Common Pathogenic Mechanisms of PolyQ Diseases

An enduring question in the field of polyQ expansion diseases is how the translation of CAG repeats into polyQ tracts at the molecular level can lead to neurodegeneration in different polyQ diseases. Numerous studies have identified a common pathogenic mechanism underlying all polyQ expansion diseases: mutant protein misfolding leads to aberrant interactions with key binding partners, protein aggregation, formation of nuclear and cytoplasmic inclusions, toxic fragment generation via mutant protein cleavage, transcriptional dysregulation, autophagy, repeat-associated non-ATG (RAN) translation, RNA toxicity, DNA damage, and mitochondrial dysfunction [37–39]. These mechanisms are carefully reviewed in accompanying articles of this special edition [40–46]. Our review covers a subset of the 11 diseases, as patient-derived iPSC models have not been characterized for all polyQ diseases. Many groups are generating patient-derived polyQ expansion disease iPSCs, but these have not yet been characterized with respect to disease modeling [47–52], and thus will not be

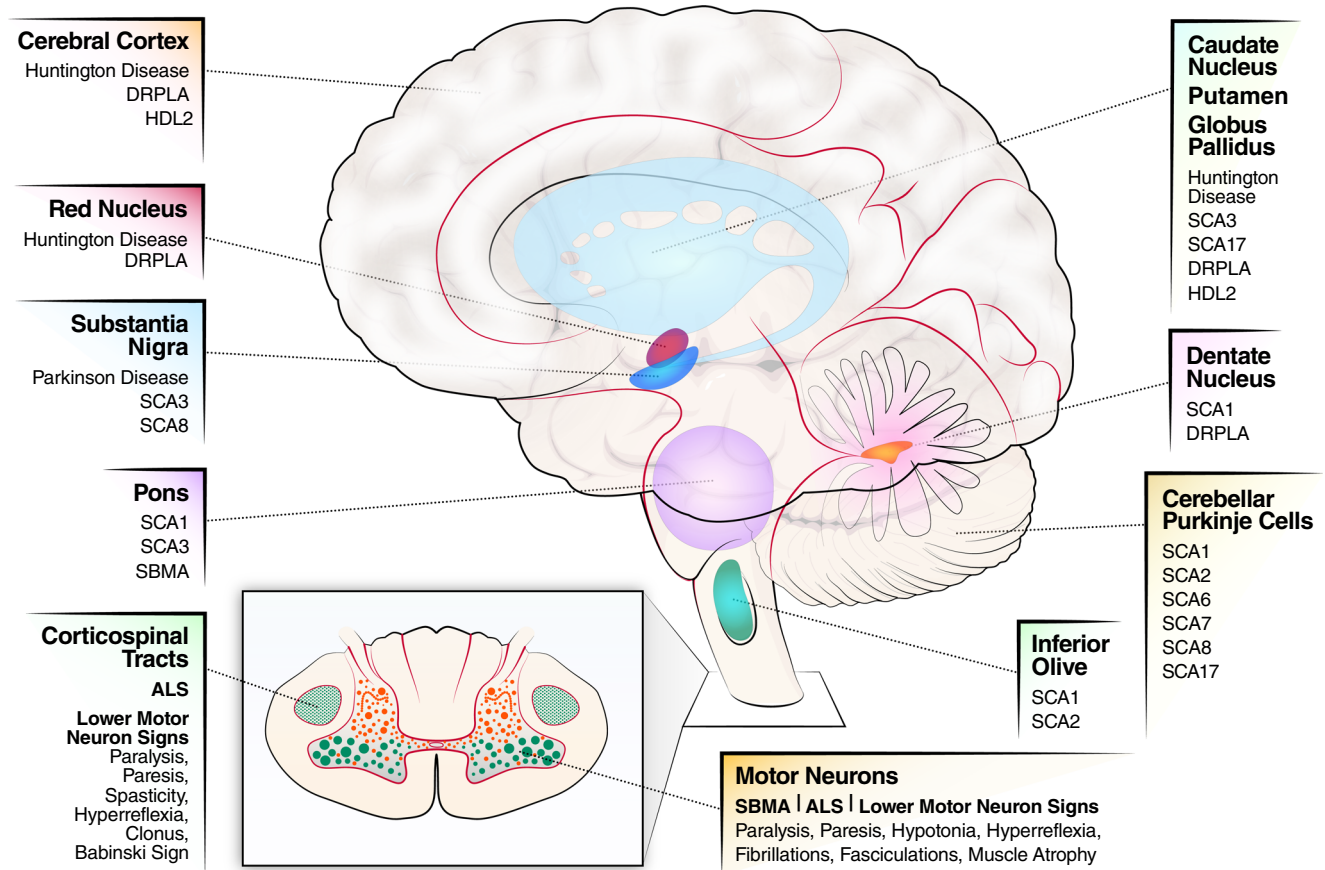


Fig. 1 Schematic of polyQ expansion diseases and affected areas of the brain.

included in our review. We will focus on research efforts directed at using iPSCs to model these diseases, starting with HD.

## Huntington's Disease

HD is a rare, progressive neurodegenerative disease that affects 1 in every 10,000 individuals [53]. HD is characterized by involuntary movements, emotional disturbances, and cognitive decline as the disease progresses [54]. HD arises from a mutation in exon 1 of the huntingtin (*HTT*) gene, resulting in CAG triplet repeat expansion [6]. CAG repeat length inversely correlates with disease severity and age of onset. However, CAG repeat length is not the only factor that determines age of onset, as other genetic and environmental modifiers account for ~35% of the variance. HD is associated with progressive neuronal loss in the striatum, cortex, and globus pallidus, resulting in a degenerative disease of the brain that shares some features with other polyQ diseases [55]. Neuronal dysfunction and cell death in HD are attributed to both “a toxic gain of function” of mutant HTT (mHTT) and “a loss of function” of normal HTT. Although monogenic in nature, HD pathogenesis is incredibly complex, and despite the current state-of-the-field, there is a need to determine the exact disease mechanism of the polyQ expansion in HTT in human-relevant models. We will consider advances in HD patient-derived iPSCs to model HD pathogenesis. Common themes from these studies are that neurodevelopmental changes occur due to repeat expansion in the HTT protein and that identification of new molecular pathways highlight opportunities for therapeutic intervention in HD [56–61].

**History of HD Embryonic Stem Cells** Prior to using iPSCs to model HD, embryonic stem cells (ESCs), derived from the inner cell mass of donated blastocyst-stage embryos, were used as models. ESCs are pluripotent stem cells that can self-renew and give rise to all cell types in the body [62]. Although ESCs can be used to model HD and for the development of treatments for HD, they have several limitations, including limited access to preimplantation HD embryos and ethical concerns associated with the process of obtaining human ESCs. Additionally, as a treatment model, ESC-derived allogeneic transplantation substantially increases the risk of immune rejection and tumor development. Despite these limitations, the studies described below established methods for creating cells similar to medium spiny neurons (MSNs), created human models for HD, and validated the efficacy of stem cell transplantation.

Aubry et al. [15] differentiated hESCs into gamma aminobutyric acid-, dopamine-, and cAMP-regulated neuronal phosphoprotein (GABAergic DARPP-32) striatal projection-like neurons. The therapeutic potential of these

hESC-derived striatal progenitors was evaluated by performing xenograft transplantations *in vitro* at 5 arbitrary stages of neuronal maturation in the quinolinate-lesioned right striatum of immunotolerant nude rats. All transplanted animals had surviving grafts that labeled positively for human nuclear antigen (HNA). Early-stage xenografts maximally differentiated into structures resembling neural rosettes and resulted in non-neuroectodermal teratoma-like regions. Whereas xenografts transplanted at later, progressively more mature stages of neuronal differentiation did not display graft overgrowth, they had limited capacity to produce mature DARPP-32-positive striatal neurons, depending on the precise *in vitro* stage of transplantation.

As hESC therapy evolved, improved protocols circumvented the issue of teratoma formation. Shin et al. [63] developed a robust hESC monolayer differentiation method that yielded functional GABAergic neurons that expressed putative glutamate receptors, formed functional inhibitory and excitatory synapses, and recapitulated electrophysiological properties relevant to the neuronal cell type. Upon *in vivo* transplantation, the graft-derived neurons exhibited a striatal phenotype with over 50% of the NeuN<sup>+</sup> (neuronal nuclei) cells coexpressing DARPP-32, suggesting that they were MSNs.

To overcome limitations posed by genetic heterogeneity between control and disease hESCs, Lu et al. [64] generated an allelic series of HD hESCs on an isogenic background by stable transfection of cDNA constructs encoding the HTT exon1 fragment with varying polyQ repeat lengths (Q23, Q73, and Q145). The hESC-derived neurons showed a quantitative relationship between neurodegeneration and soluble monomeric mHTT levels. The authors further confirmed that siRNA knockdown of HTT and guanosine triphosphatase Ras homolog enriched in the striatum (Rhes), a HD toxicity modifier, rescued the neurodegeneration phenotype. mHTT reduction of 10% was sufficient to prevent toxicity, whereas a 90% reduction of wild-type HTT was safely tolerated in these neurons. Thus, hESC-derived neurons could be valuable tools for high-throughput screening of compounds targeting either mHTT levels or toxicity. A limitation of this system is that the HTT protein contains only exon 1 fragment and it is overexpressed. Another study of hESC lines carrying CAG37 and CAG51 repeat expansions [65] revealed no discernible differences in pluripotent gene expression, mitochondrial activity, or forebrain neuronal specification, compared with healthy hESC controls. However, elevated glutamate-induced perturbations were observed only in terminally differentiated HD CAG51 neurons [66]. Interestingly, models derived from HD patient iPSCs have deficits in neurodevelopmental pathways and numerous phenotypes prior to differentiation into GABAergic neurons [56–61], which we will describe below.

**History of HD iPSC** First introduced in 2006, genetic reprogramming of easily accessible adult somatic cells, including skin fibroblasts or blood cells, to pluripotency created new possibilities for modeling hereditary diseases [67–69]. Similar to ESCs, iPSCs can be expanded without limits in culture and can potentially be differentiated into any somatic cell type. iPSC technology also obviates many ethical and resource-related concerns posed by ESCs, thus representing a superior alternative for basic science research and medical applications. The discovery of iPSCs has been so influential that the 2012 Nobel Prize in Physiology or Medicine was awarded to its creators Drs. Gurdon and Yamanaka. This technology continues to fuel the development of autologous iPSC-derived therapies using a patient's own cells in which the pathologic allele has been genetically corrected. In the context of HD, iPSCs facilitate the selection of donors with discrete genotypical and phenotypical associations (e.g., similar CAG repeat lengths but different ages of clinical onset) that can contribute to the identification of modifier genes.

Park et al. [70] in 2008 first demonstrated the feasibility of reprogramming HD patient fibroblasts into iPSCs. The HD iPSC cell line was generated from fibroblasts obtained from a 20-year-old female patient using lentiviral transduction of doxycycline-inducible human octamer-binding transcription factor 4 (OCT4), sex-determining region Y (SRY) box 2 (SOX2), avian myelocytomatosis virus oncogene cellular homolog (c-MYC), Kruppel-like factor 4 (KLF4), and NANOG cDNA vectors. The resulting iPSCs retained expanded CAG triplet repeat sequences in 1 allele, and 19 repeats in the other, were karyotypically normal, and exhibited elevated expression of pluripotency markers compared with their somatic cell controls. Upon further differentiation, iPSCs gave rise to all 3 germ layers (i.e., endoderm, mesoderm, and ectoderm). In a separate study, Zhang et al. [71] showed they maintained putative ESC markers (OCT4, NANOG, SOX2, and stage-specific embryonic antigen-4 (SSEA4)) in HD iPSCs after extended culture, and could differentiate iPSCs into neuronal stem cells (NSCs) that were positive for neuroectoderm stem cell marker (Nestin), SRY-box 1 (SOX1), and paired box 6 (PAX6). They also demonstrated that iPSCs can be differentiated even further into striatal-like neurons that expressed neuronal markers  $\beta$ III-tubulin, GABA, calbindin, and, at later stages of differentiation, the MSN marker DARPP-32. HD NSCs had higher levels of caspase-3 activity than normal NSCs, especially under conditions of growth factor withdrawal.

In 2012, an HD iPSC consortium reported the derivation and characterization of a panel of 14 iPSC lines: 8 from HD iPSC clones derived from 6 patients and 6 normal iPSC clones derived from 4 unaffected individuals, including 1 HD patient sibling [72]. The iPSC lines were karyotypically normal and were differentiated into NSCs. Hierarchical clustering analysis

and protein profiling revealed that both HD and control NSCs segregated into distinct clusters with specific gene and protein expression patterns. Transcriptomic analysis revealed that HD NSCs exhibited CAG expanded repeat-associated changes in actin cytoskeleton, cell–cell adhesion, and energy metabolism. Furthermore, compared with normal NSC-derived neurons, HD NSC-derived neurons displayed altered electrophysiological properties as well as increased vulnerability and cell death under the trophic stress of BDNF withdrawal, glutamate-induced excitotoxic stress, or addition of cellular stressors.

Although the HD consortium-generated iPSC lines serve as excellent models to study HD pathogenesis at the molecular and cellular levels, diverse genetic backgrounds between disease and control lines can potentially create interference by exaggerating or diminishing HTT-specific effects [73, 74]. To circumvent this issue, the first isogenically corrected HD iPSC line was developed by the Ellerby lab in 2012 [56]. The patient-derived HD iPSC line generated by Park et al. [70] was genetically corrected by replacing the expanded CAG repeat (72Q/19Q) with a normal repeat using targeted homologous recombination (HR) [56]. The corrected “C116 iPSCs” (21Q/19Q) retained characteristics of pluripotent stem cells. The merit of this approach is that differences in gene expression between the 2 lines can be solely attributed to mutant HTT-specific effects. Isogenic correction, which can ameliorate relevant HD disease phenotypes, also represents a powerful tool for patient-specific modeling of HD. CAG repeat contraction in C116 iPSCs corrected cadherin and transforming growth factor beta (TGF- $\beta$ ) signaling pathways. Additionally, upon transplantation, C116 iPSC-derived NSCs populated the striatum in the R6/2 HD mouse model and underwent further differentiation into DARPP-32-expressing MSN-like neurons.

Another study that emphasized the importance of using isogenic controls for disease modeling and evaluation of HD-specific effects employed a *piggyBac* transposon-based approach, which allowed excision of the selection cassette without a DNA scar [75]. Removal of the selection cassette in a previous work left several extra nucleotides in the sequence [23]. The expanded polyQ tract in a CAG180 HD human (h)iPSC line was edited by homologous recombination (HR) to yield 3 corrected clones (HD-C#1, HD-C#2, and HD-C#3) with a nonisogenic CAG33 hiPSC line as control. Removal of the selection cassette from the genomic locus of the HTT gene after targeting ensured optimal disease modeling. HD and isogenically corrected iPSC lines maintained pluripotency and normal karyotype, and could be further differentiated into synaptically active neurons. Evaluation of the isogenically corrected lines showed the rescue of characteristic phenotypic abnormalities associated with HD, including impaired neural rosette formation, increased susceptibility to growth factor withdrawal, and deficits in mitochondrial respiration. However, a study by Hamilton et al. [76] using human

neurons derived from HD iPSCs did not find mitochondrial dysfunction. Further investigations comparing conditions of culture and differentiation are required to understand the differences in these studies.

**Use of iPSC-Derived NSCs and Medium Spiny Neurons to Model HD** Normal and mutant HTT have important roles in pre- and postnatal development as established in HD models *in vitro* and *in vivo*. Therefore, elucidating HD pathogenesis along the differentiation axis of ESC or iPSC to NSC to mature neurons will help decipher cellular mechanisms relevant to developmental deficits that ultimately contribute to disease progression [61, 77]. Additionally, these studies will reveal important insights into the role of normal HTT during development [78].

Differentiation of MSNs from iPSCs typically comprises 3 steps: neural induction, regional patterning toward a lateral ganglionic eminence (LGE) identity, and terminal differentiation [79] (Fig. 2). Developmentally, the LGE of the fetal telencephalon gives rise to MSNs. Approaches used to differentiate iPSCs into MSNs are based on mimicking brain development.

Neural induction of iPSCs into primitive neural cells or NSCs is typically driven by dual inhibition of SMAD (homologies to the *Caenorhabditis elegans* “small” worm phenotype, or SMA, and *Drosophila* Mothers Against Decapentaplegic, or MAD, signaling) [80] using TGF- $\beta$  receptor 1/Activin A inhibitor (SB431542) in combination with either bone morphogenetic protein (BMP) antagonist noggin [80], BMP inhibitor dorsomorphin, or its analogue LDN193189 [81–83] (Fig. 2). Dual SMAD inhibition facilitates neural induction by driving the formation of neural rosettes [84], thereby favoring a neuroectodermal fate and suppressing other fates [80].

Morphogens, including sonic hedgehog (SHH), or its small-molecule agonist purmorphamine, determine dorsoventral patterning and induce specific neuronal identities within the neural tube [85, 86]. Dickkopf (DKK1), used in several differentiation protocols, inhibits wingless Int-1 (WNT) signaling [87, 88]. A combination of SHH and DKK1 is reported to favor GABAergic neuron generation, including MSNs [89]. Additionally, Activin A treatment can be used to prepattern iPSC- or ESC-derived NSCs selectively toward a DARPP-32-positive MSN fate through LGE induction in a SHH-independent manner [90]. The rationale for using Activin A is based on the reported presence of activin receptors in the developing striatum [91].

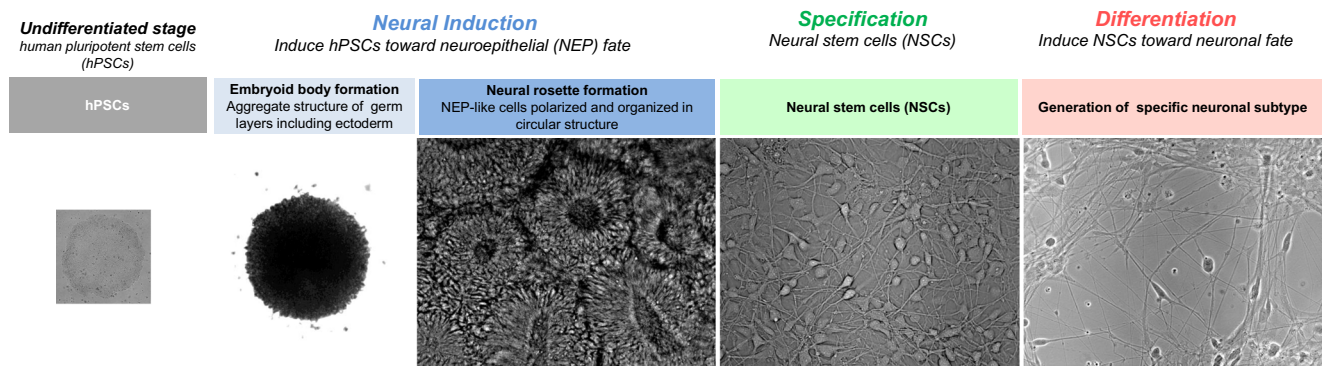
Terminal differentiation commonly involves supplementing the differentiation medium with neurotrophic growth factors, including brain-derived neurotrophic factor (BDNF) [15, 59, 60, 72, 89, 92–97] and/or glial cell-derived neurotrophic factor (GDNF) [74, 89, 90, 92, 96], required for neuronal maturation and survival [98–102]. Various protocols also call for additional chemical and recombinant protein supplements, including valproic acid, cyclic adenosine monophosphate (cAMP), vitamin

A, insulin, and insulin-like growth factor 1 (IGF-1) [90, 92, 94, 103, 104]. Kemp et al. [97] formulated a pair of enhanced defined media, termed Synaptojuice, which synchronizes neurogenesis in prepatterned, iPSC/ESC-derived NSCs by modulating GABA, cAMP response element-binding protein (CREB), and WNT signaling, then accelerating synaptogenesis and neuronal maturation by WNT and tyrosine kinase receptor B (TrkB) signaling modulation. Neurons generated using this protocol expressed synaptic markers and exhibited electrophysiological properties typical of GABAergic inhibitory neurons. Another method uses inducible expression of Gsx2 and Ebf1 in ESCs to make MSNs [105].

Alternatively, somatic cells, such as fibroblasts, can be transdifferentiated into MSNs by forced expression of COUP-TF-interacting protein 2 (*CTIP2*), distal-less homeobox 1 (*DLX1*), distal-less homeobox 2 (*DLX2*), myelin transcription factor 1 like (*MYT1L*), *miR-9/9*, and *miR-124* [106–108].

Several MSN differentiation protocols add recombinant proteins in the differentiation medium. However, using a recombinant protein is not desirable for manufacturing clinical-grade MSNs. The distinct advantages conferred by small molecules over recombinant proteins include better cell permeability, lack of immunogenicity, lower cost, and rapid, reversible biological effects. Therefore, Wu et al. [109] developed a small-molecule-based chemical recipe [110, 111]. The chemical recipe used in this study included small molecules for dual SMAD inhibition, and all patterning morphogens were replaced by small molecules, such as smoothed agonist (SAG) for SHH signaling [112, 113] and tankyrase inhibitor XAV939 for canonical WNT signaling inhibition [114]. Neurons derived using this methodology expressed putative MSN markers and demonstrated electrophysiological properties consistent with those of inhibitory GABAergic neurons. Upon transplantation into brains of neonatal mice or HD mouse models, the neurons exhibited efficacy as seen by correction of motor deficits. Thus, this study outlines a method to generate clinical-grade MSNs that facilitate industrial production and clinical use.

Consistent with observations in postmortem brain slices of HD patients [115], terminal differentiation of iPSCs into GABA medium spiny-like neurons (GMSLNs) recapitulates and models nuclear abnormalities, such as altered envelope integrity and increased levels of nuclear indentations in HD GMSLNs [95], compared with healthy GMSLNs. Premature neuronal aging mimicked by proteasome system inhibition (MG132 treatment) further increased the nuclear irregularity index in HD GMSLNs. HD GMSLNs also displayed perturbations in calcium homeostasis [116], increased number of lysosomes/autophagosomes, and enhanced neuronal cell death as a function of aging. These disease phenotypes were rescued by treatment with the quinazoline derivative EVP4593. Another study revealed decreased mitochondrial density and impaired



**Fig. 2** Schematic of steps involved in making neural stem cells and differentiated neurons.

trafficking in HD GMSLNs, and that these deficits were aggravated as a function of neuronal aging [117].

Although these methods allow us to model HD in a human “MSN”-like neuron, challenges still remain. We do not know if MSNs have similar identities to those formed during human development, nor have we developed conditions to make pure subpopulations of MSNs (e.g., striatonigral and striatopallidal, striosome, and matrix subpopulations). We also do not know if these models represent MSNs found in the human adult brain. A recent study has shown that hPSC-derived medial ganglionic eminence (MGE)/caudal ganglionic eminence (CGE)-like progenitors can differentiate into functional striatal interneurons [118] which will allow modeling in this cell type. With recent advances in single-cell technology, such as RNAseq and ATACseq, that can further our understanding of MSN profiling and development, we can expect rapid advances in disease modeling for HD.

**Use of iPSC-Derived Cortical Neurons to Model HD** Cortical neurons are a significant neuronal subtype affected in HD. There are now several methods to differentiate iPSCs into excitatory and inhibitory cortical neurons. Forced expression of neurogenin-2 (NGN2) transcription factor in iPSCs differentiates cells into a population of almost 100% pure excitatory cortical-like neurons with excitable membranes and synaptic function. NGN2-induced neurons have been used to model amyloid  $\beta$  and tau pathologies, which feature prominently in the cortex of human brains with Alzheimer disease and frontotemporal dementia [119, 120]. For forebrain cholinergic neurons, Ortiz-Virumbrales et al. [121] developed a method that includes adding dual SMAD inhibitors then a transition into BrainPhys medium supplemented with B27 and fluorescence-activated cell sorting (FACS) of p75+ neural precursor cells for purification. In another method, Mariani et al. [122] generated cortical neurons from iPSCs in ~1 month. Cortical neurons are identified by immunostaining with antibodies against T-box, brain transcription factor 1 (TBR1), and special AT-rich sequence-binding protein 2 (SATB2). Mehta et al. [123] differentiated HD patient-derived iPSCs into all

classes of cerebral cortical projection neurons using a method described by Shi et al. [124]. As described below and consistent with other transcriptomic analysis, altered developmental pathways and corticogenesis were identified. The next step is then to prepare defined subpopulations of cortical neurons to elucidate cell-specific mechanisms of vulnerability for HD.

**HD Astrocyte and Microvascular Endothelial Models Derived from iPSCs** HD is characterized by both autonomous and non-autonomous cellular mechanisms. Other cell types, such as astrocytes, contribute to aberrant corticostriatal connectivity found in HD [40]. Astrocytes are the most abundant CNS glial cell type and are robustly activated in postmortem HD brains [125]. They are essential for maintaining the blood–brain barrier (BBB) and have important roles in synapse formation, maintenance, and pruning, as well as providing trophic support to neurons [126]. Similar to neurons, astrocytes are of neuroectodermal origin. Thus, several *in vitro* differentiation protocols for astrocytes involve generating neural rosettes that give rise to NSCs that can be further differentiated into astrocytes by adding specific combinations of selection factors, including ciliary neurotrophic factor (CNTF), fibroblast growth factor 2 (FGF2), BMP2, and IGF-1 [126].

Juopperi et al. reported the presence of cytoplasmic, electron-clear vacuoles in iPSC-derived astrocytes generated from a father with late-onset HD (50 CAG repeats) and his daughter with juvenile HD (109 CAG repeats) under basal conditions. The disease phenotype was significantly more pronounced in juvenile HD astrocytes [127]. Additionally, treatment with chloroquine, an autophagy inhibitor, exacerbated the vacuolation disease phenotype. Most vacuoles were found to be autophagosomes, identified by positive immunoreactivity for the microtubule-associated proteins 1A/1B light chain 3B (LC3) marker. This is consistent with cytoplasmic vacuolation observed in lymphoblasts harvested from HD patients in which CAG repeat length correlated with cytoplasmic vacuole number [128, 129]. Further studies by Garcia et al. [130] showed that HD iPSC-derived astrocytes have impaired inward-rectifying  $K^+$  currents and altered  $Ca^{2+}$  signaling, and

that HD astrocytes do not protect neurons exposed to glutamate. These findings underscore how iPSC-derived astrocytes can be used for successful *in vitro* modeling of HD and pave the way for high-throughput therapeutic screens.

BBB is impaired in HD patients [131]. Lim et al. [132] advanced the field with an HD iPSC-derived brain microvascular endothelial model. This iPSC-derived blood brain model showed intrinsic abnormalities in angiogenesis and barrier properties using transcriptomic analysis. This model will allow the dissection of BBB disruption in HD and provide a model for evaluating potential therapeutics.

**Use of iPSC-Derived Microglia to Model HD** Microglia, resident macrophages of the brain, have important roles in normal brain development, CNS surveillance, and maintenance of homeostasis in both healthy and pathologic brains [133, 134]. They also act as key players in neuroinflammation, which is implicated in the pathogenesis of several neurodegenerative diseases, including HD [134]. Autonomous microglia activation in the presence of mHTT initiates sterile inflammation. Therapeutic strategies that dampen neuronal inflammation slow disease progression in HD mouse models [135, 136]. Traditionally, most research in microglia used either primary cells from postmortem brain tissue or cultured fetal or adult CNS tissue. These cellular models do not represent microglia in the native brain environment, and additionally lose the microglial transcriptome signature within the first few hours of *ex vivo* culture [137]. These findings demonstrate a need for more HD studies using iPSC-derived microglia. Several protocols have described the generation of iPSC-derived microglia. Some utilize complex coculture systems mimicking the brain microenvironment to promote precursor iPSC differentiation into microglia [138–140], whereas other methods rely entirely on cocktails of specific cytokines and growth factors to steer differentiation toward microglia [141–143]. Disease-relevant phenotyping can be performed in microglia monocultures in response to specific cytokine stimuli or drug treatments, or by using microglia neuron cocultures [144]. In addition, models of 2D and 3D cocultures, including organoids, allow us to assay neurotoxicity at higher levels of complexity [141, 144].

#### Importance of Oligodendrocytes for HD Modeling

Oligodendrocytes are myelinating cells of the CNS, and impaired remyelination has been reported in HD mouse models [145–147] as well as in the postmortem HD brain [148–150]. Oligodendrocyte defects are directly mediated by mutant HTT [151, 152]. Thus, generations of iPSC-derived oligodendrocytes [153, 154] may facilitate a deep exploration of demyelination in the HD.

**Brain Organoid Models for HD Disease Modeling** Recently, several studies reported protocols that generate cerebral organoids derived from human iPSCs to model brain

development and neurological disorders [155–158]. The term cerebral organoid was coined to emphasize the ability of this 3-dimensional (3D) tissue to develop a variety of regional brain identities that can model different aspects of neurogenesis, neuronal migration, and maturation. The self-patterning and self-organization processes that occur during organoid generation display regionalization patterns similar to early stages of human brain development, such as those of the forebrain, hindbrain, and cortex. Moreover, Paşca et al. [158] generated a novel organoid model to enable both neurogenesis and gliogenesis, including nonreactive astrocyte generation. Currently, several laboratories are working on HD organoid models to understand developmental issues with mHTT and to identify novel targets for the disease. The first HD organoid system was developed by Conforti et al. [159] using HD iPSCs. As reported in earlier studies on HD iPSCs, there were obvious deficits in neural development. Using the Lancaster protocol for cerebral organoids, Conforti et al. demonstrated that patient HD iPSCs of different CAG repeat lengths had abnormal cell organization and neuronal identities in cerebral organoids. Transcriptomic analysis demonstrated control organoids overlapped with mature human fetal cortical areas whereas HD organoids had overlap with the immature ventricular zone/subventricular zone. Using an ADAM10 inhibitor during HD organoid formation rescued some of these alterations [159].

#### Isogenic HD Allelic Series for hESC-Modeled Neurons, Hepatocytes, and Myotubes

Despite being a neurocentric disease, systemic effects are frequently observed in HD but are often overlooked [160–162]. Thus, Ooi et al. [163] used genome-editing techniques to generate a selection of cassette-free, isogenic HD (IsoHD) hESC allelic series with varying CAG repeats (30, 45, 65, and 81 CAGs) ranging from normal to juvenile onset. Each of these lines was further differentiated into NSCs, mature neurons, hepatocytes, and myotubes to investigate the transcriptional landscape of HD. Transcriptomic profiling revealed that changes in differentially expressed genes did not necessarily have a linear correlation across different CAG sizes. However, measurements of phenotypic abnormalities (e.g., mitochondrial respiration deficits, expression of DNA damage markers, and cell death) largely correlated with CAG repeat size with effects more readily observed in 81Q NSCs, followed by 65Q and 45Q NSCs. Interestingly, hepatocytes, which are considered not to be involved in HD pathology, were found to have the largest transcriptional response, with significant differential expression across CAG lengths in 36% of the expressed genes. Overall, the study outlined how research expanding on range of CAG sizes may help uncover both linear and complex CAG-dependent relationships.



**Altered Signaling Pathways and Potential Therapeutic Targets** Previous characterization of HD lines by differential gene expression and pathway analysis in our lab revealed that 4466 genes were differentially expressed in HD NSCs, in contrast to only 370 genes in HD iPSCs [58]. These findings indicated that HD-associated cellular and molecular phenotypes were evident only in differentiated neural-specific HD NSCs, but not in precursor HD iPSCs. This makes NSCs an attractive cell type for HD stem cell modeling. Further genomic analysis revealed the disruption of striatal neural development and identified new therapeutic targets for the disease. The study found that TGF- $\beta$  and Netrin-1 were among the most dysregulated pathways in HD NSCs. Treatment with exogenous TGF- $\beta$  and Netrin-1 rescued caspase-3-mediated apoptosis and mitochondrial respiration deficits in HD NSCs. Another study from our lab showed that HD NSCs displayed dysregulated or even elevated matrix metalloproteinase (MMP) expression, contributing to increased mHTT cleavage and generation of toxic fragments [57]. TGF- $\beta$  treatment upregulated expression of the endogenous MMP inhibitor, inhibitor of metalloproteinase-1 (TIMP-1), thereby suppressing MMP activity and the associated production of toxic N-terminal fragments. Thus, upregulation of TIMP-1 and correction of the dysregulated MMP/TIMP axis represent an important mechanism of TGF- $\beta$ -conferred neuroprotection in HD.

Unbiased omics analysis performed on neural cells from HD patient-derived iPSC lines with juvenile onset (CAG 60 and 109 repeats) and control iPSC lines (CAG 21 to 33 repeats) [59] identified 1869 differentially expressed genes (DEGs) and revealed altered neurodevelopmental pathways and synaptic homeostasis in HD lines. Dysregulated pathways relevant to neuronal differentiation and maturation included axonal guidance, Wnt signaling, Ca<sup>2+</sup> signaling, neuronal CREB signaling, and glutamate and GABA receptor signaling. RNAseq analysis comparing DEGs with 1647 mouse ortholog genes suggests that differentiated HD lines either mature more slowly than healthy control lines or abruptly shut off gene expression in earlier stages of development. HD-related histone marks strongly correlated with gene expression and peak profiles of the dysregulated genes, representing an altered epigenetic program. The omics-based approach used in this study also helped identify an existing small molecule, isoxazole-9 (Isx-9), that could target affected pathways. Isx-9 restored expression of select dysregulated genes, abrogated neuronal vulnerability in response to stress induced by BDNF withdrawal, and corrected Ca<sup>2+</sup> signaling deficits. Furthermore, Isx-9 treatment improved cognition and rescued synaptic pathology in the R6/2 HD model.

Proteomic and metabolomic studies have also been carried out on HD iPSCs to identify altered signaling pathways and points for therapeutic intervention in HD. Kedaigle et al. [164] found reduced ATP levels in HD cells compared with controls as well as lower expression of glycolytic enzymes, suggesting

that the addition of pyruvate or other metabolites may have therapeutic benefit in HD. Another study discovered the therapeutic target ubiquitin protein ligase (UBR5) in HD iPSC-derived models [165]. A quantitative proteomics analysis of HD iPSCs suggested that ataxia telangiectasia mutated (ATM) and p53 are therapeutic targets for HD [166].

**Gene Editing for HD: CRISPR-Cas9 *In Vitro* and *In Vivo*** Genetic correction of HD iPSCs using targeted HR in combination with antibiotic selection to generate an isogenically corrected “C116” iPSC line represented the first example of gene editing in patient-derived HD stem cells [56]. However, using traditional HR is inefficient. Although this proof-of-concept study demonstrates a feasible approach to edit expanded polyQ tracts, new advances in genome editing technology, such as CRISPR-Cas9 (clustered regularly interspaced short palindromic repeats), greatly enhance the ability to develop engineered lines with increased efficiency [167]. CRISPR-Cas9 can also be used to genetically correct the HTT gene or lower HTT levels *in vivo*. The Cas9 protein is an RNA-guided nuclease belonging to the CRISPR system that can be targeted to a specific gene locus using a 20-nucleotide guide RNA (gRNA) with homology to the target region, followed by a 5'-NGG protospacer adjacent motif (PAM). The complexed Cas9 then cleaves DNA upstream of PAM [168]. CRISPR-Cas9 thus enhances the rate of HR between genomic and donor DNA [169–172]. HR accuracy can be refined by using the mutant Cas9 D10A. The mutation changes wild-type Cas9, which normally creates double-stranded DNA breaks, into a nickase that produces single-stranded breaks, thereby favoring HR over nonhomologous end joining at the target site [173] and improving target sequence specificity [174–176].

As an extension of their previous work [56], An et al. [177] corrected HD-iPSCs with both CRISPR/Cas9 and a rapid antibody-based screening approach. The 12% recombination rate observed with Cas9 and HTT gRNA was remarkably higher than the 1% rate reported at this locus using traditional HR [56]. Xu et al. [74] used a piggyBac transposon-based approach for seamless cassette excision from the HTT genomic locus after Cas9 targeting. Dabrowska et al. [176] demonstrated that, in patient-derived HD fibroblasts transfected with Cas9 D10A nickase and 2 gRNAs with upstream and downstream polyQ tract targets, excision of expanded CAG repeats in at least 1 allele resulted in a 68 to 82% decrease in HTT mRNA and protein expression. Nonallele-specific editing was performed in this study. In an alternative approach, the presence of SNPs specific to the mutant CAG expanded allele was exploited to selectively inactivate the mutant allele without impacting the normal allele [178, 179].

gRNA/Cas9 complexes administered by viral delivery are also effective in HD mouse models *in vivo*. Yang et al. [180] found that CRISPR/Cas9-mediated reduction of endogenous

mouse mHTT expression in heterozygous 140Q knock-in HD mice effectively depleted striatal mHTT aggregates, attenuated early HD-associated neuropathology, and significantly improved grip strength and performance on rotarod and balance beam tests, alleviating typical HD motor deficits. CRISPR/Cas9-mediated gene editing also attenuated reactive astrogliosis in the HD brain. Another study showed a 40% reduction in human mHTT expression in a transgenic HD mouse model using SNP-dependent mutant allele-specific targeting [179].

These studies suggest that *mHTT* allele-specific CRISPR/Cas9-mediated gene editing could be used to efficiently and permanently eliminate polyQ expansion-mediated neuronal toxicity in the adult brain. Combining gene editing to correct existing diseased cells in the host striatum with isogenically corrected NSCs or MSN transplantation to replace lost neurons may facilitate optimal recovery and rescue HD progression, especially in patients with late-stage HD.

## Modeling Other PolyQ Diseases with iPSCs

In this section, we will discuss recent advances in polyQ-based iPSC models for SBMA and SCAs. We will review the progress made in the differentiation and characterization of iPSC-derived neuronal cells relevant for each disorder. The models described here take advantage of opportunities offered by iPSC technology to study diseases during different stages of development. iPSC-generated patient disease models generally include the following steps: (i) iPSC generation using reprogrammed adult cells, (ii) iPSC differentiation toward NSC fate, and (iii) NSC differentiation toward the desired neuronal fate.

### SBMA or Kennedy's Disease

SBMA, or Kennedy's disease, is an X-linked dominant neuromuscular disease characterized by late onset and progressive degeneration of lower motor neurons in the spinal cord and brainstem, as well as skeletal muscle atrophy [8, 9]. SBMA is caused by CAG repeat expansion in the coding region of the AR gene (Table 1). Normal AR is localized in the cytoplasm and is activated by ligand binding, after which the AR is translocated to the nucleus, where it acts as a nuclear receptor. SBMA neuropathogenesis is complex as it results from both loss and gain of function in the AR protein with polyQ tract. The toxic gain of function is likely in part due to altered function in the nucleus, resulting in aberrant interaction with other nuclear factors and transcriptional dysregulation. However, how polyQ expansions in AR lead to motor neuron vulnerability and degeneration remains unclear.

**Characterization of iPSCs Derived from SBMA patients** Nihei et al. [181] generated iPSC lines from an SBMA patient and a healthy control using retroviral transduction of 5 factors (OCT4, SOX2, KLF4, LIN28, and NANOG). Neither CAG repeat expansions nor contractions were observed during reprogramming and long passaging of SBMA iPSC models. These observations were consistent with results from Cortes et al. [182], in which SBMA iPSCs generated from 3 SBMA patients showed that CAG repeats in the AR gene were unchanged. On the other hand, Grunseich et al. [183] generated SBMA iPSCs from 6 patients and 3 controls using 3 reprogramming approaches: lentivirus with OCT4, SOX2, KLF4 and c-MYC, Sendai virus, and episomal vectors. Notably, they reported both expansion and contraction in stretches of CAG repeats when comparing SBMA iPSC clones with parental fibroblasts, but no variations were observed in the control lines. This CAG instability might be caused by mosaicism within the parental fibroblast population. Nuclear inclusions generally observed in patient tissue were not detected in the SBMA iPSC model. At the transcriptional level, AR mRNAs were similar in the SBMA iPSC and control lines, but AR protein levels were lower in SBMA iPSCs when compared with controls. Overall, Grunseich et al. [183] found that SBMA iPSCs expressed polyQ-expanded AR and that its nuclear translocation was ligand-dependent.

**Characterization of NSCs Derived from SBMA iPSC** SBMA NSCs recapitulate disease-specific phenotypes related to SBMA, including accumulation of mutant polyQ-AR aggregates and decreased mitochondrial membrane potential [182]. Furthermore, autophagy dysregulation was evident in SBMA NSCs. PolyQ expansion prevented mutant AR from physically interacting with the transcription factor EB (TFEB), a key regulator of the autophagy-lysosome pathway. Interestingly, the induction of TFEB activity in SBMA NSCs rescued autophagy and metabolic defects. SBMA NSCs thus appear to mimic SBMA disease hallmarks and can elucidate signaling pathways relevant to disease pathogenesis, such as TFEB, autophagy, and metabolic dysregulation [182].

### Characterization of Motor Neurons Derived from SBMA NSCs

For motor neuron differentiation, Nihei et al. [181] induced a neural fate by growing NSCs in N2 medium. To identify homeobox 9 (Hb9)-positive neurons, NSCs were transduced with a lentivirus expressing Hb9, a transcription factor specific to mature motor neurons. Positive NSCs for Hb9 expression were then selected and cultured for 2 weeks in motor neuron medium supplemented with N2, B27, retinoic acid (RA), and SHH. In these cultures, treatment with AR agonist led to increased AR protein levels in differentiated neurons. In mutant polyQ-expanded AR, AR ligand activation increased mutant AR accumulation in motor neurons derived from SBMA NSCs, compared with control lines. However, the authors

were unable to show formation of nuclear inclusions, one of the key hallmarks of SBMA [181].

Grunseich et al. [184] used an established protocol to differentiate motor neurons from SBMA NSCs. Neural induction was performed during the EB stage in N2 medium supplemented with SMAD inhibitors LDN-193189 and SB431542. Motor neuron specification was carried out in N2 medium containing BDNF, RA, and SHH. For the final maturation stage, cells were cultured with N2, B27, BDNF, GDNF, CNTF, SHH, and RA. Overall, protocol duration was about 40 days. Grunseich et al. [183] showed motor neuron differentiation efficiency was similar between control and SBMA iPSC patient cells, and both conditions were positive for SMI-32,  $\beta$ -tubulin, and neurofilament markers. These observations illustrate that mutant AR does not interfere with the differentiation potential of SBMA NSCs. Notably, motor neurons from patients with CAG expansions of over 60 repeats have more acetylated  $\alpha$ -tubulin than control lines, which may be correlated with reduced histone deacetylase 6 (HDAC6) activity. The authors also demonstrated that SBMA-derived motor neurons possess alterations in lysosomal localization, as illustrated by increased glycosylation of LAMP1, a lysosomal marker. Because HDAC6 is important for trafficking misfolded protein to the aggresome, Grunseich et al. [183] proposed that decreased HDAC6 activity reduces autophagic flux with changes in protein trafficking and lysosomal function. As SBMA also has neuromuscular symptoms, other relevant cell types should be investigated to model this disease with iPSCs.

## SCAs

Spinocerebellar ataxias (SCAs) belong to a large family of autosomal dominant cerebellar ataxias (ADCAs), and are characterized by progressive neurodegeneration of the cerebellum and its connections [185, 186]. The clinical phenotype is principally related to progressive ataxia, dysarthria, and oculomotor deficits. SCAs refer to a heterogeneous group of disorders classified according to their genetic mutations, such as those caused by nucleotide repeat expansions in coding or noncoding sequences, or by point mutations [11]. SCAs are chronologically numbered with each newly identified disease-causing gene, and 47 SCAs have been identified thus far. SCAs caused by unstable expansion of CAG repeats encoding for polyQ tracts are composed of 7 subtypes: SCA1, SCA2, SCA3, SCA6, SCA7, SCA8, and SCA17 (Table 1). Here, we will focus on studies that characterized iPSC-based disease modeling for SCAs. Currently, only SCA2, SCA3, SCA6, and SCA7 have been subjected to iPSC-based disease modeling.

### iPSC-Based Disease Modeling for SCA2

SCA2 is an, progressive cerebellar ataxia characterized by severe loss of cerebellar Purkinje cells. The pons, medulla

oblongata, and spinal cord are also affected [187]. SCA2 is caused by an unstable CAG repeat expansion in the coding region of the ataxin-2 (ATXN2) gene (Table 1). The normal polyQ-ATXN2 contains 14 to 31 repeats, but the mutant protein contains 33 to 500 repeats [188, 189]. ATXN2 is broadly expressed throughout the body and in the CNS, with high levels of expression in Purkinje cells [35, 189]. In the normal brain, ATXN2 protein is localized mainly in the cytoplasm. Notably, histopathological studies of the brain from SCA2 patients showed predominantly cytoplasmic, instead of nuclear, inclusion bodies [35, 189]. Although the normal function of ATXN2 and its role in SCA2 neuropathogenesis are not fully understood, the protein may be involved in RNA metabolism and metabolic pathways [190, 191].

**Characterization of iPSCs Derived from SCA2 patients** Xia et al. [192] generated iPSC lines from a SCA2 patient and healthy control using a retrovirus for each of the 4 factors OCT4, SOX2, KLF4, and c-MYC. They found that CAG repeats remained stable during reprogramming and long passaging of SCA2 iPSC models. Chuang et al. [193] generated SCA2 iPSCs from 4 SCA2 patients using a retrovirus with the same 4 factors. However, the authors did not investigate CAG repeat stability in *ATXN2* gene.

**Characterization of NSCs Derived from SCA2 iPSC** To generate NSCs, Xia et al. used an embryoid body (EB) approach that allowed subsequent formation of neural rosettes. However, they observed that during the rosette formation step, instead of forming rosette-like structures in normal conditions, SCA2 EB formed a cyst-like structure. Nevertheless, this did not prevent EB differentiation into NSCs. Furthermore, they showed that SCA2 NSCs express less ataxin-2 protein compared with normal conditions.

**Characterization of Neurons Derived from SCA2 NSCs** Notably, the neural differentiation protocol used by Xia et al. [192] and Chuang et al. [193] was not designed to generate a cerebellar neuronal population, which are the cells predominantly affected in SCA2. Using time-lapse imaging, Xia et al. showed that, compared with normal conditions, SCA2-neural cells were short-lived. Chuang et al. found that neurons derived from SCA2 iPSCs expressed Purkinje cell markers glutamate decarboxylase 67 (GAD67), LIM homeobox 5 (LHX5), and calbindin 1 (CALB1). They demonstrated that SCA2 neurons recapitulated disease-specific phenotypes related to SCA2, including accumulation of mutant polyQ-ATXN2 into soluble aggregates in the nucleus and occasionally the cytoplasm, as well as the distortion of mitochondrial microstructures. Furthermore, they showed that glutamate associated pathways may be preferentially affected in SCA2 iPSC-derived neurons. Interestingly, glutamate treatment of SCA2-derived neurons exacerbated SCA2-associated

pathologic phenotypes in relation to cell death and mitochondrial dysfunction [193]. Those effects were not observed in neurons derived from control iPSCs. The authors hypothesized that the effect of glutamate on SCA2 phenotype was mediated through the calcium signaling pathway. The vulnerability of SCA2 neurons to glutamate could be alleviated by antiglutamate or calcium flux drugs which reduced cell death and improved mitochondrial function. Although neither cytoplasmic nor intranuclear inclusion body formation was observed in either study, iPSC disease modeling for SCA2 appears to be a powerful tool for recapitulating SCA2 disease hallmarks and for deciphering signaling pathways relevant to disease pathogenesis. Notably, the model developed by Chuang et al. illustrates the possibility to use this system as a platform for screening novel therapeutics.

### iPSC-Based Disease Modeling for SCA3

SCA3, or Machado–Joseph disease, is the most common autosomal dominant, progressive cerebellar ataxia, and is characterized by neurodegeneration of the spinocerebellar tract, basal ganglia, dentate nuclei, substantia nigra, and spinal cord (Fig. 1, Table 1). Unlike the other SCAs, SCA3 only presents with a mild loss of Purkinje cells, and the inferior olives are typically spared [194]. SCA3 is caused by abnormal CAG repeat expansion in the coding region of the *Ataxin-3* (*ATXN3*) gene (Table 1). The CAG repeats within normal alleles contain only 11 to 44 repeats, whereas disease allele repeats have 60 to 87 [195]. Although *ATXN3* is characterized as a deubiquitinating enzyme (DUB) [196], its role in SCA3 neuropathogenesis remains unclear.

**Characterization of iPSCs Derived from SCA3 patients** Koch et al. [197] generated iPSC lines from 4 SCA3 patients and 2 healthy controls using retroviral transduction with factors OCT4, SOX2, KLF4, and c-MYC. They observed no expansion of CAG repeats during reprogramming and long passaging of SCA3 iPSC models, consistent with other studies [198–200]. Ou et al. [199] and Hansen et al. [198] used a nonintegrating method to generate iPSC lines from 1 and 2 SCA3 patients, respectively. Both normal and mutant polyQ-*ATXN3* were expressed in SCA3 fibroblasts and iPSCs [199].

**Characterization of Neurons Derived from SCA3 NSCs** To generate mature neurons derived from SCA3 NSCs, Koch et al. [201] differentiated iPSCs toward long-term, self-renewing neuroepithelial-like stem (lt-NES) cells. lt-NES cells retain neuro- and gliogenic potential after long-term proliferation. The authors found expression of normal and expanded *ATXN3* proteins in control and SCA3 lt-NES cells, respectively [197]. Both control and SCA3 lt-NES cells, in the absence of growth factor in a maturation medium, gave rise to a rich dominant fraction of  $\beta$ -III-tubulin neurons and a

smaller fraction of glial fibrillary acid protein (GFAP) glia, suggesting that the mutant *ATXN3* protein does not interfere with the neuronal differentiation process [197]. Because SCA3 neuropathogenesis affects the hindbrain, Hansen et al. [202] used a neuronal differentiation protocol developed by Yan et al., which gives rise to NSCs that express hindbrain genes, including *HOXA2* and *HOXB2*. Briefly, NSCs are cultured in neuronal maturation medium (N2 and B27) in the presence of BDNF, GDNF, ascorbic acid, and db-cAMP for 28 days. In addition to generating iPSC-derived neurons expressing *HOXA2*, *HOXB2*, and gastrulation brain homeobox 2 (*GBX2*), Hansen et al. demonstrated the presence of forebrain markers forkhead box G1 (*FOXP1*) and LIM homeobox protein 2 (*LHX2*). Interestingly, neuronal subtype analysis indicated the presence of cholinergic neurons of hindbrain origin with low expression of GABAergic and dopaminergic markers. However, further studies are needed to quantify the percentage of neurons with hindbrain identity [199]. Chuang et al. [193] also generated mature neurons from SCA3 iPSCs and showed that neuronal cultures expressed Purkinje cell markers GAD67, LHX5, and CALB1.

Functional analysis using whole-cell patch-clamp revealed no functional differences between SCA3 and control neurons which were differentiated for 5 to 6 weeks. This suggests that mutant polyQ-*ATXN3* does not interfere with the generation of functional neuronal networks. Furthermore, in response to excitatory neurotransmitters, such as L-glutamate, mature neurons increase  $Ca^{2+}$  levels, leading to *ATXN3* cleavage in both control and SCA3 conditions [197]. Interestingly, in SCA3 conditions only, *ATXN3* cleavage induces formation of SDS-insoluble *ATXN3*-containing aggregates, a common SCA3 pathologic marker. *ATXN3* cleavage is mediated by calpain proteases. This is a consistent finding by Chuang et al. [193], which showed that SCA3 disease modeling is sensitive to glutamate treatment, exacerbating SCA3 pathological phenotypes in terms of cell death and mitochondrial dysfunction. However, neither Hansen et al. [199] nor Ouyang et al. [200] could reproduce SDS-insoluble *ATXN3* aggregate formation in their SCA3-iPSC-derived mature neurons, which may be due to differences in neuronal differentiation protocols.

**Gene Editing by CRISPR/Cas9 in SCA3** Ouyang et al. [200] demonstrated that CRISPR/Cas9 genetically corrected CAG repeat expansion in the *ATXN3* gene in SCA3 patient-derived iPSCs. The authors first illustrated iPSC generation from a SCA3 patient with a Sendai reprogramming vector expressing OCT4, SOX2, KLF4, and c-MYC without affecting the stability of CAG repeats. After CRISPR/Cas9-mediated gene editing of polyQ-*ATXN3* in exon 10, a novel stop codon at the start of exon 11 was created, leading to a truncated *ATXN3* protein that lacked the toxic polyQ repeat.

## iPSC-Based Disease Modeling for SCA6

SCA6 is a progressive cerebellar ataxia characterized by neurodegeneration of the cerebellum with severe loss of Purkinje cells [203]. The thalamus, midbrain, pons, and medulla oblongata are characterized by mild atrophy [204]. SCA6 is caused by unstable CAG repeat expansion in the coding region of the calcium voltage-gated channel subunit  $\alpha 1$ -A (*CACNA1A*) gene (Table 1). Wild-type polyQ-CACNA1A contains 4 to 18 repeats but the mutant contains 20 to 33 [205, 206]. Notably, *CACNA1A* is a bicistronic gene encoding 2 proteins: the  $\alpha 1$ A subunit of P/Q-type voltage-gated calcium channel (Cav2.1) [207] and  $\alpha 1$ ACT, a newly discovered transcription factor [208]. Interestingly, these 2 structurally distinct proteins both contain the polyQ repeat tract. However, the neuropathogenesis of SCA6 is not fully understood. To date, 2 studies reported iPSC-based disease modeling for SCA6. Ishida et al. [209] interrogated SCA6 neuropathogenesis in an iPSC-derived 3D model of Purkinje cells, and Bavassano et al. [210] used a 2D approach to generate a heterogeneous population of mature neurons.

**Characterization of 3D Disease Modeling of SCA6** Ishida et al. [209] generated iPSC lines from 2 SCA6 patients and 2 healthy controls using an episomal reprogramming vector. The authors used an established protocol developed by Muguruma et al. [211] to generate an iPSC-derived 3D culture of Purkinje cells. The culture was based on an understanding of human cerebellum development characterized by the formation of the isthmus, which defines the midbrain–hindbrain boundary and secretes positional cues signals, including FGF8 and WNT1. During the first step of the protocol, iPSC-derived serum-free floating culture of EB-like aggregates (SFEBq) was cultivated in cerebellar differentiation medium supplemented with SB431542, Y-27632, insulin, and FGF2. Notably, iPSCs in culture can self-organize into polarized, neuroepithelial, rosette-like structures, precursors of the neuroectoderm. The presence of SB431542 promotes neuroectodermal differentiation by inhibiting TGF- $\beta$ , and Y-27632 promotes cellular survival. FGF2 and insulin act as caudalizing factors, promoting isthmus organizer-related functions by FGF8 and WNT1 induction. After 21 days in culture, SFEBq immunohistochemistry illustrated formation of polarized neuroepithelial cells expressing engrailed-2 (EN2) and gastrulation and brain-specific homeobox 2 (GBX2), rostral hindbrain markers. Subsequently, at ~35 days, iPSC-derived 3D cerebellar culture started to express Purkinje cell progenitor markers, including LHX5, kirre-like nephrin family adhesion molecule 2 (KIRREL2), pancreas transcription factor 1a (PTF1A), and SKI family transcriptional corepressor 2 (SKOR2). At this stage, FACS was used to purify KIRREL2-positive cells. Purified progenitor cells were cocultured with mouse rhombic lip (RL)-derived granule cells

to promote selective differentiation of mature Purkinje cells. The resulting iPSC-derived Purkinje mature culture started to express mature Purkinje cell markers, including L7, calbindin, GABA, and aldolase C. After more than 70 days in culture, L7-positive Purkinje-like cells were characterized by elaborate dendritic branches and spines positive for Purkinje cell-specific glutamate receptor GRID2. Overall, the authors observed no significant differences between SCA6-derived mature Purkinje cell and controls, suggesting that the mutant polyQ-CACNA1A did not interfere with the differentiation protocol.

Ishida et al. showed that mature Purkinje cells derived from 3D cerebellar culture expressed Cav2.1 in the cell body and dendrites of both SCA6 patient and control cells. Moreover, in SCA6-Purkinje cells, Cav2.1 protein levels were increased in the homozygous condition compared with the heterozygous one. The bicistronically translated  $\alpha 1$ ACT was detected as puncta in the nucleus of mature Purkinje cells but not in the cytoplasm, consistent with a previous study which demonstrated that, under normal conditions, the  $\alpha 1$ ACT fragment translocates into the nucleus where it acts as a transcription factor for TATA-box binding protein associated factor 1 (TAF1) and BTG antiproliferation factor 1 (BTG1) [208]. However, in SCA6-Purkinje cells, the  $\alpha 1$ ACT level was lower and negatively correlated with gene dosage. Interestingly, expression of  $\alpha 1$ ACT-targeted proteins, including TAF1 and BTG1, was lower in levels. Overall, mature Purkinje cells derived from SCA6 patients illustrate that the mutant ATXN6 interferes with  $\alpha 1$ ACT localization into the nucleus and blocks its function.

**Characterization of 2D Disease Modeling of SCA6** Bavassano et al. generated iPSC lines from 2 SCA6 patients and 2 healthy controls using a polycistronic lentiviral vector containing cDNAs coding for OCT4, SOX2, c-MYC, and KLF4. To generate NSCs, they used 2 different neural induction approaches: (i) an iPSC-derived EB approach and (ii) an iPSC monolayer approach cultured in the presence of dual inhibition of SMAD signaling by LDN-193189 and SB431542. For both protocols, neural rosette-like structures were selected, dissociated, and cultured in neural induction medium containing N2 in presence of FGF2 and EGF. For neuronal differentiation and maturation, NSCs were cultured in the absence of FGF2 and EGF in medium containing N2 and B27 and supplemented with BDNF and cytosine arabinoside. Notably, this protocol generated a heterogeneous population of mature neurons expressing Tau, synapsin, synaptophysin, MAP2, and GABAergic markers.

For functional analysis, Bavassano et al. used a whole-cell patch-clamp and found no functional differences between mature SCA6 and control neurons. These data suggest that the mutant polyQ-CACNA1A did not interfere with the generation of functional neuronal

networks. They observed that  $\alpha$ 1ACT had predominant nuclear localization, as similarly seen in Ishida et al. The authors showed differential expression in  $\alpha$ 1ACT-targeted genes only for GRN.

### iPSC-Based Disease Modeling for SCA7

SCA7 is a progressive cerebellar ataxia characterized by neurodegeneration of the retinal cerebellum. The brainstem, cerebral cortex, basal ganglia, thalamus, and midbrain are also affected. SCA7 is caused by an unstable CAG repeat expansion in the coding region of ATXN7 [204]. The normal polyQ-ATXN7 contains 4 to 19 repeats, whereas the mutant contains 34 to 460 [212]. Although, the ATXN7 protein is involved in transcription and cytoskeleton and microtubule regulation, how polyQ-ATXN7 is associated with SCA7 pathogenesis is not fully understood.

**Characterization of NSCs Derived from SCA7 iPSC** Ward et al. [213] used iPSC-disease modeling for SCA7 to address the role of mutant polyQ-ATXN7 in metabolic and mitochondrial dysregulation in SCA7 patients. Because they could not detect disease-specific phenotypes in iPSC-derived NSCs from SCA7 patients with 50, 65, and 70 CAG repeats, they used CRISPR/Cas9 to derive 2 stem cell systems: (i) a knockout rescue model with ATXN7-10Q and (ii) a severe model with ATXN7-113Q. Interestingly, ATXN7-113Q NSC models recapitulated disease-specific phenotypes related to SCA7, including accumulation of mutant polyQ-ATXN7 into aggregates and increased cell death. Furthermore, the ATXN7-113Q NSC model showed a dysregulation of metabolic and mitochondrial phenotypes characterized by decreased expression of enzymes required for nicotinamide adenine dinucleotide (NAD<sup>+</sup>) salvage in the nucleus and mitochondria.

### Summary

The field of polyQ expansion diseases is moving forward using patient-derived iPSCs to model these diseases in many relevant cell types. This includes patient iPSC-derived NSCs, MSNs, cortical neurons, motor neurons, astrocytes, oligodendrocytes, microvascular endothelial, organoids, 3D mature Purkinje cells, and cerebellar cultures. In many cases, the molecular details of polyQ disease can be recapitulated including developmental changes, aggregation, DNA damage, mitochondrial dysfunction, electrophysiological changes in neurons, and cell death. As iPSC-derived modeling of polyQ expansion diseases continues to improve, brain organoids with relevant disease mutations will likely provide further knowledge of developmental mechanisms and selective neuronal vulnerability. As more genetic disease modifiers are identified, the use of genomic engineering will allow us to

better understand the molecular details of SNPs that contribute to disease onset and progression. The iPSC models may also help us identify somatic expansions arising from the CAG composition of individual patients and its impact on disease phenotypes.

Another emergent area in this field is the impact of polyQ expansion on peripheral tissue in certain diseases. For example, in HD and SCA1, polyQ proteins in non-neural tissue, such as in the heart, cause metabolic deregulation and other negative effects [162, 215]. Further, HTT lowering was shown to impact the pancreas in HD mouse models [161]. To this end, iPSCs will allow us to model these diseases in non-neural tissue.

Current therapeutic targets for polyQ expansion diseases are focused on decreasing toxic disease protein levels. Tabrizi et al. [214] used antisense oligonucleotide (ASO) designed to reduce the concentration of mHTT in phase I clinical studies with HD patients, demonstrating a significant advancement in the safety and use of ASOs in HD treatment. HD iPSCs and patient-specific design of ASOs will likely contribute to applications in personalized genomic medicine.

As the iPSC technology evolves, we should see the accurate modeling of the polyQ expansion diseases in brain organoids and the development of neurons and other cell types that mimic or capture the processes that are driven by aging in these age-related neurological disorders.

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