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## Time to Lupus Low Disease Activity State in the Hopkins Lupus Cohort: Role of African-American Ethnicity.

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### Abstract

**Background:** Lupus Low Disease Activity State (LLDAS) is a potential treat to target goal in systemic lupus erythematosus (SLE). This study determined predictors of time to reach LLDAS in a longitudinal cohort.

**Methods:** Patients were grouped according to LLDAS status at cohort entry. Those who did not satisfy LLDAS at cohort entry were analyzed prospectively. The Kaplan Meier approach was used to estimate the time to LLDAS. Cox regression was used to identify patient characteristics that were associated with time to LLDAS.

**Results:** The probability of LLDAS attainment within one year was 52% for Caucasians, 36% for African-Americans and 33% for SLE patients with renal involvement. The median time to LLDAS was 1.1 years. In multivariable models, African-American ethnicity, baseline prednisone >10 mg daily, hypocomplementemia, baseline damage, and baseline renal activity remained significant predictors of longer time to attain LLDAS, while disease duration <1 year and cutaneous activity were associated with earlier attainment.

**Conclusions—**LLDAS is potentially attainable in the majority of SLE patients. The time to LLDAS was found to be longer in African-American SLE patients. Characteristics of African-American SLE patients such as renal activity and hypocomplementemia were also independent predictors of slower attainment of LLDAS. These findings point to the need to include African-American SLE patients in both clinical and pharmaceutical research.

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## Introduction

Control of both SLE disease activity and corticosteroid use are important targets in the management of patients with SLE. In the principles of treat-to-target recommendations for SLE, the main target state was “remission”, but where remission could not be reached, the lowest acceptable disease activity might be the target (1). Thus, Franklyn et al.(2) developed and validated a less stringent targeted state than remission, the Lupus Low Disease Activity State (LLDAS). They found that SLE patients who were in LLDAS for more than half of the observation period had a lower risk of new damage (2). External validation of LLDAS included studies from Padova, Amsterdam and Pisa, which reported up to 86.7% of SLE patients attained LLDAS at a single point of time, and confirmed that attainment of LLDAS lowered the risk of new damage (3–5). The previous analysis of our cohort found that, if more than 50% of the follow-up time satisfied LLDAS, there was a 50% reduction in later organ damage (6). Furthermore, LLDAS has now been found to be a meaningful and discriminative endpoint in both primary and post-hoc analyses of several SLE randomized clinical trials (7–9).

Baseline characteristics that predicted the likelihood of attaining LLDAS were evaluated in several studies. Younger age, discoid rash, disease duration < 1 year, elevated anti-dsDNA (10), renal disease and hypocomplementemia (10, 11) were found as negative independent predictors of attaining LLDAS, while cumulative prednisone dose, physician global assessment (PGA)>1 (3), higher SLEDAI score, joint and skin (3, 4) involvement were found to be negative predictors of sustained LLDAS.

The presentation and course of SLE is affected by ethnicity. African-American SLE patients are known to experience more severe SLE, more chronic disease activity pattern (12–14), and worse survival (15–18). African-Americans require a longer time to achieve remission (19) compared with other ethnicities. LLDAS in African-American patients has not been fully elucidated. In this study, we determined the time to LLDAS and predictors of time to LLDAS in the Hopkins Lupus Cohort, a United States cohort with both Caucasian and African-American representation.

## Methods

The Hopkins Lupus Cohort is a prospective longitudinal single-center cohort of SLE patients ongoing since 1987, which was approved on an annual basis by the Johns Hopkins University School of Medicine Institutional Review Board. All patients gave written informed consent to participate. Visits were scheduled quarterly by protocol. Patients were seen by one rheumatologist (MP). This analysis was based on cohort data from its inception until January, 2019. A total of 2,512 SLE patients diagnosed according to the Systemic Lupus International Collaborating Clinics (SLICC) classification criteria (20) or the classification criteria as defined by the American College of Rheumatology (21) (22) and as updated in 1997 (23), were included in the analyses. At each clinic visit, the physician global disease activity on a 0–3 visual analog scale (PGA) (24), the Safety of Estrogens in Lupus Erythematosus National Assessment version of the SLE disease activity index

(SELENA-SLEDAI) (25, 26), SLICC/ACR Damage Index (SDI) (27), relevant serologies (anti-dsDNA, complement), and treatment were recorded.

In this study, we applied LLDAS (2) to the Hopkins Lupus Cohort. LLDAS was defined as a SELENA-SLEDAI score of  $\leq 4$  with no scores for the renal, central nervous system, cardiopulmonary, vasculitis, fever, no hemolytic anemia or gastrointestinal activity, no increase in any SELENA-SLEDAI component since the previous visit, a PGA of  $\leq 1$ , and a prednisone dose of  $\leq 7.5$  mg/day. Immunosuppressant and hydroxychloroquine treatment were allowed for LLDAS. Patients were grouped according to LLDAS status at baseline.

Statistical Analysis System software was used (SAS Institute Inc. Cary, North Carolina, SAS 9.4). Chi-square test for categorical variables, the Wilcoxon Rank sum test, or the independent samples T test for continuous variables (where appropriate) were used to determine whether there was a significant difference between baseline characteristics of patients grouped according to LLDAS status at baseline.

Patients who did not satisfy LLDAS at cohort entry were analyzed prospectively. The time to LLDAS was defined as the time between the cohort entry and the first clinic visit at which LLDAS was attained. We used the Kaplan Meier approach to estimate the distribution of time to LLDAS and probability of patients achieving LLDAS after cohort entry, censoring patients who had a gap of 7 or more months in their follow-up time or who dropped out of the study before attaining LLDAS. We used Cox regression to identify patient characteristics that were associated with LLDAS. First, we assessed the relationship between each variable and time to LLDAS, one at a time. Those with significant association with time to LLDAS were then entered into the multivariable model and those that remained significant were retained in the final model. Variables that were highly collinear were included separately in multivariable model.

## Results

### Cohort Entry

Table 1 details the patient characteristics according to LLDAS status at baseline. The cumulative classification criteria were 49% malar rash, 19% discoid rash, 52% photosensitivity, 53% oral ulcer, 72% arthritis, 49% serositis, 45% renal disorder, 12% neurological disorder, 67% hematological disorder, 83% immunological disorder and 97% ANA positivity based on revised ACR classification criteria. Additional SLICC classification criteria included 21% direct Coombs test, 55% low C3, 48% low C4 and 16% low CH50. A total of 2,512 SLE patients were analyzed. 1086 (43.2%) patients were in LLDAS at the first cohort visit. Of these patients, 94% were female, 30.1% were African-American and 61.8% were Caucasian. The mean age at baseline was 40 years. Thirty-nine percent had been diagnosed with SLE within the past year, while 33.6% had SLE for 5 or more years. Patients, who were not in LLDAS at baseline, were significantly younger, and were more likely to be male and African-American. Disease duration was comparable between the groups.

## Follow Up

Figure 1 details the probability of patients achieving LLDAS at stated time points. Based on our Kaplan-Meier analysis, the estimated probability of LLDAS attainment within one year was 52% for Caucasian-Americans, and 36% for African-Americans. Among those with renal involvement, the estimated probability of achieving LLDAS within one year was 33%. Ninety-three percent of Caucasian-Americans, 82% of African-Americans and 89% of patients with renal involvement would achieve LLDAS at the end of 5 years of follow up.

## Predictors of time to LLDAS

Table 2 shows the median time to LLDAS, with baseline characteristics of patients that were associated with time to LLDAS based on Kaplan-Meier models. Table 2 also shows estimated rate ratios for LLDAS attainment based on both univariate and multivariable Cox regression models. The median time to LLDAS was 1.1 years. We found that disease duration <1 year, taking prednisone <10 mg daily, taking hydroxychloroquine, normal level of C3, C4 and anti-dsDNA, PGA score of 1, SELENA-SLEDAI score of 4, and cutaneous activity were associated with attaining LLDAS faster, while African-American ethnicity, baseline renal activity, baseline damage accrual and presence of lupus anticoagulant were associated with later attainment of LLDAS.

In the multivariable model, African-American ethnicity, taking prednisone >10 mg, baseline hypocomplementemia, baseline damage accrual, and baseline renal activity remained significant predictors of later attainment of LLDAS. Disease duration <1 year and cutaneous activity remained significant predictors of earlier attainment of LLDAS.

We also performed a subgroup analysis of inception patients. Five-hundred and thirty-six patients, who entered the cohort within 18 months of SLE diagnosis date and were not in LLDAS at cohort entry, were analyzed. African-American ethnicity (CI 0.50,0.76), taking prednisone >10 mg (CI 0.52,0.79), baseline hypocomplementemia (CI 0.61,0.92), and baseline renal activity (CI 0.59,0.94) were found to be predictors of later attainment of LLDAS.

## Discussion

In view of the ethnic disparities in SLE outcomes, it is important to study results in cohorts which include different ethnicities. The Hopkins Lupus Cohort has a balanced representation of Caucasian and African-American ethnicities. Other studies of LLDAS (2–5, 10, 11) cannot generalize to patients with SLE within the United States. In general, though, studies of time to LLDAS are lacking. We applied the LLDAS definition to the Hopkins Lupus Cohort and identified the frequency of LLDAS, time to LLDAS and clinical determinants of time to LLDAS.

First, African-American SLE patients were found to require a longer time to achieve LLDAS. This is the first study confirming the association between African-American ethnicity and its effect on LLDAS. Most SLE cohorts do not contain different ethnicities (3, 11). One that was predominantly of Chinese patients (10), reported that ethnicity had no effect on LLDAS. However, it has been well established that disease prevalence, severity and

mortality are increased in the African-American population compared to the white population (6, 12–14, 17, 28, 29). Moreover, lupus nephritis (LN), discoid lupus, hematologic, serologic and immunologic SLE manifestations are more common in African-Americans (13, 30–32). However, the longer time until LLDAS in African-Americans persisted even after adjustment for renal activity. It is established that African-Americans are significantly underrepresented in SLE clinical trials(33). Our findings further emphasize the importance of including African-Americans in clinical and pharmaceutical research studies considering heterogeneity in outcomes among ethnicities.

Second, among patients who were not in LLDAS at cohort entry, we estimated that 45% percent of all and 36% of African-American patients would achieve LLDAS within one year. Eighty-seven percent of all, and 82% of African-American patients would achieve LLDAS at the end of 5 years of follow up. Whether cross-sectional or longitudinal, cohorts that analyzed the frequency of LLDAS were in general agreement with our results (3–5, 10). LLDAS should be an achievable treat to target goal in the majority of SLE patients, as opposed to remission. Although remission should remain the ultimate goal, our current therapies are insufficient to establish remission as the treat to target goal for standard of care. Our findings clarify that LLDAS is an achievable target for both African-American as well as Caucasian patients.

Third, the median time to LLDAS was found to be 1.1 years. The range of the follow up time until LLDAS was 0.3 to 180 months. Indeed, the importance of faster attainment of LLDAS comes from what we know about the association between pre-existing damage and further damage accrual (34), between early damage and higher mortality (34, 35) and, between LLDAS and reduced risk of new damage. A desirable treat to target state should be reachable early in the disease course to prevent damage. We previously reported that the median time to remission ranged between 1.8–11.0 years depending on the definition of remission (19). This is noteworthy since the median time to LLDAS showed that, in many patients, LLDAS is attainable in time to actually prevent early damage and during the duration of randomized clinical trials.

Fourth, we found that renal activity independently predicted a longer time to LLDAS, which is in agreement with previous studies (10, 11). Lupus nephritis remains associated with higher health care costs and remains an indicator of high morbidity and mortality (36, 37). In particular, lupus nephritis is more common (38), develops earlier (39), and has worse outcomes (40, 41) in African-Americans (28). Achievement of LLDAS was found to predict statistically significant reductions in end stage renal disease (ESRD) in our previous analysis (6). Thirty-three percent of our patients with baseline renal involvement would attain LLDAS within 1 year. Although renal involvement is a predictor of later attainment of LLDAS, LLDAS is still a potential target for patients with renal involvement, because it is associated with a low risk of progression to ESRD.

Fifth, we found baseline cutaneous activity as an independent predictor for early LLDAS attainment. Skin activity in SLE is an umbrella term for a family of manifestations with a wide range of prognosis. Unfortunately, our cohort database (based on SLEDAI) did not sub-categorize cutaneous manifestations at baseline and did not define discoid rash as a

distinct variable. Golder et al. found discoid rash as a negative predictor of LLDAS attainment (10).

Sixth, we showed that patients with disease duration <1 year were able to attain LLDAS faster. This is in contrast to Golder et al.'s multicenter cross-sectional study from 2016 (10), which reported a shorter disease duration as a negative predictor of LLDAS attainment. However, their mean disease duration at baseline was 8.64 years. Only 8% of their patients had a disease duration of <1 year at enrolment, as opposed to 38% of our cohort. In addition, their paper included patients that we excluded, those who were LLDAS at baseline. SLE disease activity decreases over time (42). The discrepancy between our result and previous studies might be explained by sample selection. We analyzed only patients who were not in LLDAS at cohort entry. Inception patients are much more likely not to be in LLDAS as their disease manifestations are evolving over time, irrespective of disease severity. However, patients with longer disease duration may have established (ingrained) SLE manifestations, and not being in LLDAS at cohort entry may implicate these patients had a more difficult disease to control.

We also performed a subgroup analysis of an "inception cohort" of our SLE patients with a disease duration less than 18 months at cohort entry. The results did not deviate from our main findings. African-American ethnicity, taking prednisone >10 mg, baseline hypocomplementemia, and baseline renal activity were found to be predictors of later attainment of LLDAS in these patients, as well. As one might expect, damage accrual was similar between the groups, and thus did not enter in multivariable analysis. Cutaneous activity became insignificant in the multivariable analysis in these inception patients.

Seventh, hydroxychloroquine is the cornerstone of the treatment of SLE with multiple benefits including improved survival (43–45), decreased frequency of lupus flares (46) and reduced risk of damage accrual (47). In the univariate model, hydroxychloroquine was significantly associated with earlier LLDAS attainment. However, this association was lost in the multivariable model. Our result was likely underpowered due to the high frequency of nonadherence we have previously reported (48).

Baseline damage, hypocomplementemia and prednisone >10 mg daily were found to be independent predictors of longer time to LLDAS. This is expected, as they are associated with active or refractory disease. Furthermore, we found that patients with baseline lower SELENA-SLEDAI and PGA scores more frequently and rapidly attained LLDAS, compared to those with higher scores at baseline. To differentiate the effects of different organ system involvement to the time to LLDAS, we did not include SELENA-SLEDAI and PGA into the multivariable models because of the collinearity. Other multivariable models that did include SELENA-SLEDAI or PGA instead of renal and cutaneous activity, showed that SELENA-SLEDAI and PGA are independent negative predictors of time to LLDAS, which agree with previous studies (3, 4).

A limitation of our analysis is the lack of sufficient other ethnicities such as Hispanic-American and Asian-American. SLE is also more severe in Hispanic-American patients (13). Hispanic-American patients tend to have more acute disease onset, more lupus



nephritis, higher disease activity and damage, compared to Caucasian patients (14, 28, 32, 49, 50). Our cohort represents the Baltimore area, with predominantly African-American and Caucasian patients.

This is the largest United States study to assess predictors of time to LLDAS. Besides the large population and long follow-up time, the Hopkins Lupus Cohort is the only ongoing cohort in which patients were followed quarterly by one rheumatologist (MP), and comprises both Caucasian and African-American patients. Moreover, we censored patients with a gap of > 7 months between visits to define time to LLDAS more accurately. It is the first to include a large number of African-Americans, and the first to analyze time to LLDAS. We demonstrated the achievability of LLDAS in both African-Americans and Caucasian patients, supporting the validity of LLDAS in multiple ethnicities. African-American SLE patients were found to take longer to achieve LLDAS. Characteristics of African-American SLE patients, such as renal activity and hypocomplementemia (38), were also independent predictors of longer time to LLDAS. These findings point to the need to include African-American SLE patients in both clinical and pharmaceutical research, as we cannot generalize from studies from Europe and Asia. LLDAS is an attainable and practical treatment target for both clinical trials and daily practice, as a part of the stepwise approach on the way to remission.

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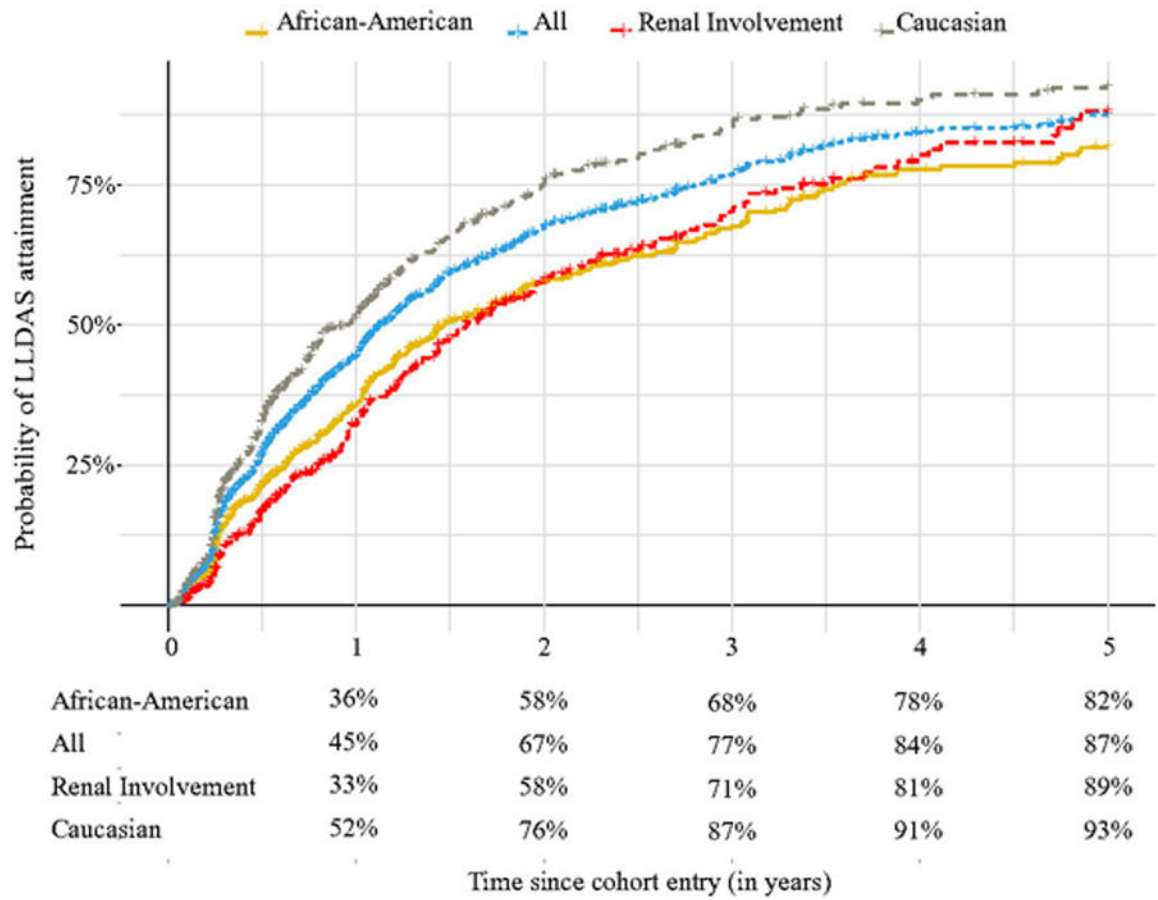


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### Significance and Innovation

1. The achievability of LLDAS in both African-Americans and Caucasian patients was demonstrated, supporting the validity of LLDAS in multiple ethnicities.
2. African-American SLE patients were found to take longer to achieve LLDAS.
3. These findings point to the need to include African-American SLE patients in both clinical and pharmaceutical research, as we cannot generalize from studies from Europe and Asia.



**Figure 1.** shows the probability of LLDAS attainment according to patients ethnicity and renal involvement at the stated time points.

**Table 1.**

Clinical and Demographic characteristics of the patients in the Hopkins Lupus Cohort, grouped according to LLDAS status at baseline

	LLDAS at cohort entry (n=1086)	no LLDAS at cohort entry (n=1426)	p-value
<b>Sex, Female</b>	1021 (94%)	1294 (90.7%)	0.0025
<b>Ethnicity</b>			<0.0001
Black	327 (30.1%)	663 (46.5%)	
White	671 (61.8%)	655 (45.9%)	
Other	88 (8.1%)	108 (7.6%)	
<b>Age at baseline, years</b>			<0.0001
<30	284 (26.2%)	526 (36.9%)	
30–39	298 (27.4%)	422 (29.6%)	
40–49	252 (23.2%)	266 (18.7%)	
50	252 (23.2%)	212 (14.9%)	
Mean (SD)	39.8 (13.1)	36.2 (12.6)	<0.0001
<b>History of smoking</b>	395 (36.6%)	514 (36.1%)	0.8256
<b>Duration of SLE prior to baseline</b>			0.199
<1 year	426 (39.2%)	524 (36.7%)	
1 to 5 years	295 (27.2%)	374 (26.2%)	
>5 years	365 (33.6%)	528 (37%)	
Median (IQR)	2.3 (0.3 – 7.5)	2.5 (0.3 – 8.1)	0.243
<b>Baseline Prednisone Dose</b>			<0.0001
10 mg/day	1086 (100%)	495 (34.7%)	
>10 mg/day	0 (0%)	931 (65.3%)	
<b>Baseline Hydroxychloroquine</b>	546 (50.3%)	703 (49.3%)	0.6274
<b>Baseline immunosuppressant</b>	120 (11.0%)	425 (29.8%)	<0.0001
<b>Baseline Low C3</b>	186 (17.7%)	523 (37.9%)	<0.0001
<b>Baseline Low C4</b>	170 (16.2%)	431 (31.3%)	<0.0001
<b>Baseline Anti-dsDNA positivity</b>	201 (19.6%)	586 (43.2%)	<0.0001
<b>Baseline PGA 1</b>	1086 (100%)	723 (50.7%)	<0.0001
<b>Baseline SLICC/ACR Damage Index Score &gt;1</b>	200 (18.6%)	400 (28.2%)	<0.0001
<b>Baseline SELENA-SLEDAI</b>			<0.0001
4	1086 (100%)	767 (53.8%)	
>4	0 (0%)	659 (46.2%)	
<b>Baseline Musculoskeletal activity</b>	27 (2.5%)	258 (18.1%)	<0.0001
<b>Baseline Cutaneous activity</b>	199 (18.3%)	380 (26.6%)	<0.0001
<b>Baseline Renal activity</b>	0 (0%)	384 (26.9%)	<0.0001
<b>Baseline Hematological activity</b>	59 (5.4%)	146 (10.2%)	<0.0001
<b>Baseline Serositis activity</b>	0 (0%)	87 (6.1%)	<0.0001
<b>Baseline Vasculitis</b>	0 (0%)	43 (3.0%)	<0.0001
<b>Antiphospholipid antibodies</b>			

	<b>LLDAS at cohort entry (n=1086)</b>	<b>no LLDAS at cohort entry (n=1426)</b>	<b>p-value</b>
Anti-cardiolipin	486 (46.2%)	668 (48.2%)	0.3385
Lupus anti-coagulant (RVVT)	271 (25.6%)	367 (26.5%)	0.617

LLDAS=lupus low disease activity state, SLE=Systemic Lupus Erythematosus, C3=Complement 3, C4=Complement 4, Anti-dsDNA=anti double stranded DNA, PGA=Physician Global Assessment, SLICC/ACR= Systemic Lupus International Collaborating Clinics/ American College of Rheumatology, SELENA-SLEDAI= the Safety of Estrogens in Lupus Erythematosus National Assessment version of the SLE disease activity index, RVVT=Russell viper venom time.

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**Table 2.**

## Predictors of time to LLDAS

	Median time to LLDAS	Univariate		Multivariable	
		HR (95% CI)	p value	HR (95% CI)	p value
<b>Ethnicity</b>					
Non-African-American	0.95	Ref		Ref	
African-American	1.5	0.63(0.55–0.73)	<0.001	0.61(0.52–0.70)	<0.001
<b>Duration of SLE*</b>					
<1 year	1	Ref		Ref	
1–5 years	1.2	0.75(0.62–0.89)	0.001	0.8(0.67–0.96)	0.016
>5 years	1.4	0.72(0.62–0.85)	<0.001	0.79(0.66–0.94)	0.007
<b>Prednisone dose*</b>					
10 mg	0.6	Ref		Ref	
>10 mg	1.4	0.56(0.48–0.64)	<0.001	0.57(0.49–0.66)	<0.001
<b>Hydroxychloroquine use*</b>					
No	1.3	Ref			
Yes	1	1.23(1.07–1.41)	0.004		
<b>Hypocomplementemia*</b>					
No	0.9	Ref		Ref	
Yes	1.5	0.66(0.57–0.76)	<0.001	0.68(0.59–0.79)	<0.001
<b>anti-dsDNA positivity*</b>					
No	1.1	Ref			
Yes	1.3	0.85(0.73–0.98)	0.026		
<b>PGA*</b>					
1	1	Ref			
>1	1.3	0.78(0.68–0.90)	<0.001		
<b>SLICC/ACR Damage Index Score*</b>					
1	1	Ref		Ref	
>1	1.4	0.79(0.67–0.92)	0.003	0.84(0.71–0.99)	0.041
<b>SELENA-SLEDAI*</b>					
4	1	Ref			
>4	1.4	0.81(0.70–0.92)	0.002		
<b>Cutaneous activity*</b>					
Absent	1.2	Ref		Ref	
Present	0.9	1.23(1.06–1.44)	0.007	1.19(1.01–1.39)	0.035
<b>Renal activity*</b>					
Absent	1	Ref		Ref	
Present	1.6	0.70(0.59–0.82)	<0.001	0.72(0.61–0.85)	<0.001
<b>Lupus Anticoagulant</b>					
Never	1.1	Ref			
Ever	1.3	0.85(0.72–0.99)	0.042		

Median time to LLDAS was presented as years. There was no significant association between time to LLDAS and baseline age, sex, history of smoking, immunosuppressant use, musculoskeletal activity, hematological activity, serositis, and anticardiolipin antibody positivity. SELENA-SLEDAI and PGA were not included in the final multivariable model due to their collinearity with cutaneous and renal activity.

LLDAS=lupus low disease activity state, SLE=Systemic Lupus Erythematosus, C3=Complement 3, C4=Complement 4, Anti-dsDNA=anti double stranded DNA, PGA=Physician Global Assessment, SLICC/ACR= Systemic Lupus International Collaborating Clinics/ American College of Rheumatology, SELENA-SLEDAI= the Safety of Estrogens in Lupus Erythematosus National Assessment version of the SLE disease activity index, and \*= baseline.

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