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## The neuroinflammatory component of negative affect in patients with chronic pain

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### Abstract

Negative affect (NA) is a significant cause of disability for chronic pain patients. While little is known about the mechanism underlying pain-comorbid NA, previous studies have implicated neuroinflammation in the pathophysiology of both depression and chronic pain. Here, we tested the hypothesis that NA in pain patients is linked to elevations in the brain levels of the glial marker 18kDa translocator protein (TSPO), and changes in functional connectivity.

25 cLBP patients (42.4±13 years old; 13F, 12M) with chronic low back pain (cLBP) and 27 healthy control subjects (48.9±13 years old; 14F, 13M) received an integrated (i.e., simultaneous) positron emission tomography (PET) / magnetic resonance imaging (MRI) brain scan with the second-generation TSPO ligand [<sup>11</sup>C]PBR28. The relationship between [<sup>11</sup>C]PBR28 signal and NA was assessed first with regression analyses against Beck Depression Inventory (BDI) scores in patients, and then by comparing cLBP patients with little-to-no, or mild-to-moderate depression against healthy controls. Further, the relationship between PET signal, BDI and frontolimbic functional connectivity was evaluated in patients with mediation models.

PET signal was positively associated with BDI scores in patients, and significantly elevated in patients with mild-to-moderate (but not low) depression compared to controls, in anterior middle and pregenual anterior cingulate cortices (aMCC, pgACC). In the pgACC, PET signal was also associated with this region's functional connectivity to the dorsolateral PFC (pgACC-dlPFC), and mediated of the association between pgACC-dlPFC connectivity and BDI.

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#### CONFLICT OF INTEREST

The authors declare no conflicts of interest.

These observations support a role for glial activation in pain-comorbid NA, identifying in neuroinflammation a potential therapeutic target for this condition.

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## INTRODUCTION

The experience of chronic pain is intimately linked with negative affect (NA), which can significantly complicate presentation, clinical course and treatment response.<sup>1</sup> Indeed, approximately 40% of chronic low back pain (cLBP) patients, the most common chronic pain disorder, exhibit comorbid NA, including major depression, anxiety, and high levels of pain catastrophizing.<sup>2-5</sup> Patients with comorbid chronic pain and high NA report significantly higher pain severity and interference, and lower quality of life, than individuals with chronic pain or mood disorders alone.<sup>6-8</sup>

A growing body of evidence suggests that neuroinflammation is associated with chronic pain and NA, and may in fact be a common substrate contributing to both conditions. For instance, elevated levels of circulating inflammatory markers have been detected in patients with chronic pain and/or depression;<sup>9, 10</sup> preclinical studies demonstrate brain glial activation in models of both chronic pain<sup>11-14</sup> and chronic stress;<sup>15-19</sup> and finally, human imaging studies provide evidence of central nervous system (CNS) glial activation in chronic pain patients,<sup>20-22</sup> as well as patients with depression<sup>23-26</sup> (although see<sup>27</sup>). While these data support a role for glial activation in the pathophysiology of both chronic pain and affective disorders, no study has yet investigated the neuroinflammatory component of depressive symptoms comorbid with chronic pain.

The principal aim of the current study was to test the hypothesis that cLBP with comorbid NA is accompanied by neuroinflammation in frontolimbic and insular cortices.<sup>23-25</sup> We studied cLBP patients with depression scores ranging from low to mild/moderate, as well as healthy, non-depressed controls, using integrated positron emission tomography/magnetic resonance imaging (PET/MRI) and [<sup>11</sup>C]PBR28. This radioligand binds to the 18-kDa translocator protein (TSPO), a mitochondrial protein that is considered a putative imaging biomarker of inflammation<sup>28</sup> because it is expressed at low levels in healthy CNS tissue,<sup>29</sup> but is consistently upregulated in activated microglia and/or astrocytes during neuroinflammatory responses.<sup>30</sup>

In addition, a secondary aim was to evaluate the relationship between neuroimmune activation and functional connectivity. This aim is motivated by the potential existence of a bidirectional relationship between glial activation and neural communication, as the former per se is able to modulate synaptic transmission,<sup>31</sup> and conversely, neural activity is also able to trigger activation of neuroimmune cells, e.g. in neurogenic neuroinflammation.<sup>32</sup> Given the interplay between neuroinflammation and neural communication, and because aberrant functional connectivity has been documented in patients with chronic pain<sup>33, 34</sup> and in those with depression,<sup>35, 36</sup> we tested for the presence of an association between glial activation and functional connectivity as assessed using resting-state blood oxygen level dependent functional magnetic resonance imaging (BOLD fMRI) data collected simultaneously to the [<sup>11</sup>C]PBR28 PET signal.

## MATERIALS AND METHODS

### Subjects

Twenty-five patients diagnosed with cLBP more than 6 months before enrollment, and rating their average pain at least 3/10 during a typical week for at least half the week. In addition, an existing dataset of 27 healthy, pain-free controls was used to perform the (secondary) group analyses. While no formal calculation was performed to specifically estimate the power needed to detect an association between [<sup>11</sup>C]PBR28 signal and BDI, previous studies have identified a statistically significant correlation between TSPO signal and measures of negative affect with smaller samples<sup>23, 25</sup>, suggesting that we would have sufficient power to detect such an association in our own sample. Data for 10 patients and 9 controls have been previously reported as part of a study not investigating the role of neuroinflammation in negative affect.<sup>21</sup> Exclusion criteria included any PET/MRI contraindications (including pregnancy, metallic implants, claustrophobia), any past or present major medical, neurological, or psychiatric illness (general anxiety disorder, PTSD, and depression were only exclusionary if severe enough to require hospitalization in the past 5 years), or illicit drug use as confirmed by subjective report and urine drug screening. Recruitment took place between April 4, 2012 and November 27, 2017.

All study procedures were performed at the Athinoula A. Martinos Center for Biomedical Imaging at Massachusetts General Hospital. All protocols were approved by the Institutional Review Board and Radioactive Drug Research Committee, and all subjects signed a written informed consent.

### Behavioral visit

At the first visit, all subjects underwent a medical history and physical examination, and provided a urine sample was obtained for drug screening. Subjects were genotyped for the Ala147Thr *TSPO* polymorphism, which affects [<sup>11</sup>C]PBR28 binding to TSPO,<sup>37, 38</sup> and were included in the subsequent imaging portion of the study only if they exhibited the ala/ala (“high affinity binders”, HABs) or ala/thr (“mixed affinity binders”, MABs), but not the thr/thr (“low affinity binders”) genotype. Participants also completed the Beck Depression Inventory BDI-1A;<sup>39</sup> which has shown good psychometric properties in individuals with chronic pain,<sup>40</sup> as well the McGill Pain Questionnaire, short form.<sup>41</sup>

### Imaging visit

Dynamic [<sup>11</sup>C]PBR28 PET and structural MR data were acquired for 90 minutes as described previously.<sup>21, 42</sup> Simultaneously to the PET data, a 6-minute blood oxygen level-dependent (BOLD) resting-state fMRI scan was acquired for each subject (TR/TE=2sec/30ms, flip angle=90°, voxel size=3.1×3.1×3mm, 37 slices), with eyes open. All subjects rated their current clinical pain during the scan, using a Numerical Rating Scale from 0 (no pain) to 100 (the most intense pain tolerable).

### Data preprocessing

**[<sup>11</sup>C]PBR28 PET data**—SUV images, 60–90 minutes post-injection, normalized by whole brain uptake (SUVR), were generated as described previously.<sup>21, 42, 43</sup> Briefly, a 90-

minute dynamic [ $^{11}\text{C}$ ]PBR28 PET acquisition and MR-imaging were performed with an integrated PET/MRI scanner consisting of a dedicated brain avalanche photodiode-based PET scanner in the bore of a Siemens 3T Tim Trio MRI.<sup>44</sup> [ $^{11}\text{C}$ ]PBR28 was produced in-house using a procedure modified from the literature.<sup>45</sup> A multi-echo MPRAGE volume was acquired prior to tracer injection (TR/TE1/TE2/TE3/TE4=2530/1.64/3.5/5.36/7.22 ms, flip angle=7°, voxel size=1mm isotropic) for the purpose of anatomical localization, spatial normalization of the imaging data, as well as generation of attenuation correction maps.<sup>46</sup> MPRAGE-based attenuation correction was performed according to published methods.<sup>46</sup> SUV maps were nonlinearly transformed to MNI space and smoothed with an 8mm full width at half maximum Gaussian kernel. Finally, SUV frames were normalized by average whole brain uptake to obtain SUV ratios (SUVR), as our group has described previously for [ $^{11}\text{C}$ ]PBR28.<sup>21</sup> This method has been used in several [ $^{11}\text{C}$ ]PBR28 studies, including in cLBP patients, and demonstrates good ability to detect signal elevations in regions where neuroinflammation is known or expected to occur, e.g., motor cortex in amyotrophic lateral sclerosis, basal ganglia in Huntington's Disease.<sup>21, 43, 47–50</sup> Importantly, in order to validate the use of SUVR as an outcome metric, we compared SUVR against  $V_T$  ratio (DVR) in a subset of subjects for whom arterial plasma data were available. These correlations reached statistical significance for all regions evaluated in this study (a-priori ROIs and regions identified in the voxelwise regression analyses;  $0.59 < r < 0.85$ ,  $0.034 < p < 0.001$ ), except for the prefrontal ROI, which showed a strong trend ( $r=0.55$ ;  $p=0.053$ ; Supplementary Figure 1). These analyses therefore provided support to the use of SUVR as viable PET metric in our study.

**Resting-state fMRI data**—6-minute BOLD scans were pre-processed using FSL (FMRIB's Software Library, <http://www.fmrib.ox.ac.uk/fsl/>), AFNI (<http://afni.nimh.nih.gov/afni>), and FreeSurfer (<http://surfer.nmr.mgh.harvard.edu/>) software packages. Data were corrected for slice-timing, physiological motion, and B0 field inhomogeneities. Brain extraction, co-registration to the MPRAGE, spatial smoothing with a 6mm Gaussian kernel, high-pass temporal filtering ( $f = 0.008\text{Hz}$ ), and nonlinear transformation to MNI space were subsequently performed. To reduce physiological noise, we employed denoising with a principle component analysis (PCA), aCompCor.<sup>51</sup> In order to reduce physiological noise in the resting-state BOLD data, MPRAGE images were segmented in probabilistic maps of gray matter (GM), white matter (WM), and cerebrospinal fluid (CSF) using SPM 12 (<http://www.fil.ion.ucl.ac.uk/spm/>). To minimize potential partial volume effects, WM and CSF masks were thresholded at 90% and eroded by one voxel. BOLD data were masked with WM and CSF inclusive masks, and a principal component analysis was performed on the masked data. WM and CSF noise time courses were extracted only from unsmoothed functional BOLD data. To determine whether NA-related neuroinflammation was associated with neural communication, we performed seed-based functional connectivity analyses investigating the regions demonstrating the strongest association between [ $^{11}\text{C}$ ]PBR28 signal and BDI scores: the anterior middle and pregenual anterior cingulate cortices (aMCC, pgACC; see Results). To this end, the average BOLD time series was extracted from 3mm-radius spheres placed around the peak voxels identified in the voxelwise regression analysis (MNI coordinates, x,y,z [mm]): (−10,36,22) and (2,40,−2) for the aMCC and pgACC, respectively.

## Statistical analysis

To test the relationship between neuroinflammation signal and negative affect we adopted a multi-stage approach. In broad terms, we first identified regions demonstrating a statistically significant association between [<sup>11</sup>C]PBR28 signal and BDI scores in patients, using both region-of-interest (ROI) and voxelwise regression analyses. The mean [<sup>11</sup>C]PBR28 signal extracted from the regions identified in the voxelwise regression analyses was then compared between patients, split into subgroups with “mild-to-moderate” or “low-to-none” depression, and a group of healthy non-depressed controls. The purpose of these follow-up group analyses was to further elucidate the link between negative affect and TSPO signal, by specifically testing the hypothesis that only patients with “mild-to-moderate depression” would demonstrate statistically elevated [<sup>11</sup>C]PBR28 compared to controls (and not patients with levels of NA comparable to those of healthy controls), in those regions.

More in detail, we first performed a partial correlation analysis between BDI scores and [<sup>11</sup>C]PBR28 SUVR in patients, correcting for *TSPO* genotype, in three anatomically-defined ROIs (PFC, insula, and ACC), because a recent study in major depression disorder demonstrated an association between depression scores and TSPO level in these regions.<sup>25</sup> Significance was set at  $p < 0.05$  (Bonferroni-corrected for multiple comparisons). As a follow-up analysis, the same analysis was run for “control” regions we previously showed to exhibit elevated [<sup>11</sup>C]PBR28 signal in cLBP patients, but not expected to be related to NA: left and right thalamus (anatomically defined), and a subregion of the left thalamus identified in voxelwise analyses.<sup>21</sup> PFC, insula, and left and right thalamic ROIs were created from the Harvard-Oxford Cortical Structural Atlas (Centre for Morphometric Analyses, [http://www.cma.mgh.harvard.edu/fsl\\_atlas.html](http://www.cma.mgh.harvard.edu/fsl_atlas.html)) according to landmarks described in the study, and thresholded at the arbitrary value of 30 as performed previously.<sup>21</sup> Because the Harvard-Oxford label of the ACC contains posterior portions likely belonging to middle and posterior cingulate cortex, the ACC ROI was obtained with Neurosynth,<sup>52</sup> using “anterior cingulate cortex” as a term in a reverse inference search, thereby excluding posterior portions of the cingulate cortex present in the Harvard-Oxford label. The left thalamus cluster ROI corresponded to the left thalamic cluster that exhibited statistically significant differences across groups in our previous study.<sup>21</sup> Because the distribution of residuals for the partial correlations comparing SUVR values from all ROIs with BDI scores did not significantly deviate from normality ( $p$ 's  $> 0.076$ , Shapiro-Wilk), the use of Pearson's correlation was appropriate.

Because a statistically significant association between TSPO PET signal and BDI was either reached (ACC) or approached (PFC, insula) in all primary ROIs (see Results), we performed a follow-up voxelwise regression analysis to test if any subregions within the above-mentioned primary ROIs were driving these effects, again controlling for *TSPO* genotype. To this end, we used nonparametric permutation testing (randomise, FSL) with 10000 permutations, 5mm variance smoothing, threshold-free cluster enhancement, and a search volume restricted to include only the primary a-priori ROIs. We then compared the PET signal from patients with little-to-no depression (BDI score=0–9; n=18) or mild-to-moderate depression (BDI score=10–18; n=7) against that from healthy, non-depressed controls, using ranges recommended in patients with medical illness for the BDI version used in the current

study.<sup>53</sup> Using ANCOVAs, we compared mean [<sup>11</sup>C]PBR28 SUVR, extracted from clusters significant in the voxelwise regression analysis (aMCC and pgACC), between these subgroups of patients and healthy, non-depressed controls (CTRL). Of note, because the primary aim of the study was to perform cross-sectional analyses within the patient group only, healthy controls were evaluated under different protocols, and were not specifically recruited to match the patients. Because group differences in injected dose and age reached or approached statistical significance (see Results), these variables were included, in addition to *TSPO* polymorphism, as regressors of no interest in our analyses. Because previous research in depressed patients demonstrated elevated *TSPO* PET signal compared to controls, and our own regression analyses in the patient group demonstrated a positive correlation between depression scores and *TSPO* PET signal, statistically significant Group effects in the ANCOVA were decomposed using one-sided Dunnett's post-hoc pairwise comparisons to test the hypothesis that mean PET signal in the two subgroups of cLBP patients was higher than mean PET signal in healthy controls. The use of an ANCOVA was justified because the distribution of the residuals for all regions or any groups did not significantly vary from normality ( $p$ 's>0.078, Shapiro-Wilk), and there were no violations of the equal variance assumption for either region ( $p$ 's>0.48, Levene's test).

Next, we evaluated functional connectivity of the regions showing an association between PET signal and BDI scores in the voxelwise regression analysis, using resting-state fMRI data collected simultaneously to the PET data. First-level general linear model analyses were performed, modeling seed region time series as a regressor of interest, as well as the following nuisance regressors: 6 motion parameters (3 translations, 3 rotations from FSL's *mcfliirt* tool), motion-flagged volumes (identified by *fsl\_motion\_outliers*), and the first 5 PCA components from CSF and WM.<sup>54</sup> The resulting connectivity maps were passed up to a second-level analysis, where they were regressed against the [<sup>11</sup>C]PBR28 SUVR extracted from the same seed, in order to determine whether PET signal in a given region was associated with the functional connectivity between that brain region and others. These analyses were carried out with the nonparametric *randomise* tool, as described above. As the regions used as seeds in the connectivity analyses were selected because of their association between PET signal and BDI scores, we further hypothesized that any connectivity patterns identified as showing an association with the PET signal (pgACC to dorsolateral prefrontal cortex, pgACC-dlPFC; see Results) would also be associated with BDI scores. To test this hypothesis, mean pgACC-dlPFC z-scores were regressed against BDI. Finally, in order to gain a more mechanistic understanding of the relation between neuroinflammation, functional connectivity and depressive scores, we performed exploratory mediation analyses. Of all the possible model configurations, we elected to test only mediation models in which depressive symptoms were dependent on [<sup>11</sup>C]PBR28 PET signal (but not vice versa), because inflammatory signaling is known to *induce* depressive behaviors in humans and animal models.<sup>55, 56</sup> As such, we designed three mediation models with the following independent, mediator and dependent variables (IV/M/DV): IV=connectivity, M=[<sup>11</sup>C]PBR28 signal, DV=BDI (model 1); IV=[<sup>11</sup>C]PBR28 signal, M=connectivity, DV=BDI (model 2); IV=[<sup>11</sup>C]PBR28 signal, M=BDI, DV=connectivity (model 3). The unstandardized regression coefficients in this mediation model and the bootstrap 95% confidence intervals (CIs) for total and indirect effects of the independent variable on the

dependent variable through M (1000 bootstrap samples) were estimated using the Preacher and Hayes Indirect Mediation Analysis tool for SPSS,<sup>57</sup> version 20 (IBM Corp, Armonk, NY). As recommended, the indirect (i.e., mediation) effect was considered statistically significant if the bias corrected 95% CI did not include zero.

## RESULTS

### Subject characteristics

Patients reported significantly more back pain ( $p<0.001$ ) and higher BDI scores ( $p<0.001$ ) compared to controls. Patient BDI scores ranged from low to mild/moderate, and were not correlated with either MPQ score or current pain ratings ( $r's<0.145$ ;  $p's<0.48$ ). See Supplementary Table 1 for more details.

### [<sup>11</sup>C]PBR28 signal is associated with depressive symptoms

First, we performed a region-of-interest (ROI) partial correlation analysis to assess the association between BDI scores and [<sup>11</sup>C]PBR28 SUVR in patients (Figure 1). Prefrontal cortex (PFC), insula, and anterior cingulate cortex (ACC) were selected as a-priori ROIs.<sup>25</sup> In these analysis, TSPO PET signal demonstrated a positive correlation with BDI scores that was statistically significant in the ACC ( $r=0.494$ ,  $p=0.042$ , corrected), but not for insula ( $r=0.47$ ,  $p=0.06$ , corrected) or PFC ( $r=0.44$ ;  $p=0.099$ , corrected; Figure 1), after correction for multiple comparisons. There were no significant associations between [<sup>11</sup>C]PBR28 signal and BDI in control thalamic ROIs (regions we previously showed to exhibit elevated [<sup>11</sup>C]PBR28 signal in cLBP patients, but not expected to be related to NA), even when explored uncorrected ( $r's<0.163$   $p's>0.446$ ). In cLBP patients, clinical pain during the scan was not significantly correlated with BDI scores ( $p=0.263$ ), or [<sup>11</sup>C]PBR28 PET signal in any of the three a priori ROIs ( $p's>0.14$ ).

In agreement with the ROI analyses, which show the strongest association in the ACC region, two clusters within the boundaries of this anatomical label were the only ones reaching significance in a follow-up nonparametric voxelwise permutation analysis: the pregenual anterior and the anterior middle cingulate cortices (pgACC and aMCC) (Figure 2A–2B). There were no regions showing significant negative correlations between BDI and TSPO PET signal.

After demonstrating a linear association between [<sup>11</sup>C]PBR28 PET signal and BDI scores in the patients, we sought to test the hypothesis that the PET signal in patients with higher depression symptom scores was significantly elevated compared to an existing dataset of healthy, non-depressed volunteers (Supplementary Table 1). We split the patients into those with little-to-no depression or mild-to-moderate depression.<sup>53</sup> ANCOVA analyses revealed a significant Group effect on [<sup>11</sup>C]PBR28 signal in both regions identified in the voxelwise analyses (aMCC:  $p<0.001$ ; pgACC:  $p=0.005$ ). Post-hoc analyses indicated that this effect was driven by patients with mild-to-moderate depression, which showed elevated PET signal compared to controls (aMCC: difference, 0.11; 95% CI, 0.08 to 0.13;  $p<0.001$ ; pgACC: difference, 0.12; 95% CI, 0.09 to 0.16;  $p=0.003$ ), whereas the non-depressed patients did not

(aMCC: difference,  $-0.03$ ; 95% CI,  $-0.04$  to  $-0.02$ ;  $p=0.937$ ; pgACC: difference,  $-0.02$ ; 95% CI,  $-0.03$  to  $-0.01$ ;  $p=0.868$ ; Figure 2C).

### **Pregenua TSPO PET signal mediates the association between depressive symptoms and frontolimbic connectivity**

Next, we used BOLD resting state fMRI data collected simultaneously to the PET data to evaluate functional connectivity of the regions showing an association between PET signal and BDI scores in the voxelwise regression analysis. Using pgACC as a seed region, we observed that functional connectivity between the pgACC and dorsolateral PFC (dlPFC) was positively associated with pgACC [ $^{11}\text{C}$ ]PBR28 signal (Figure 3A–B). Average pgACC-dlPFC connectivity also showed a trend-level association with BDI ( $p=0.054$ ; Figure 3C). TSPO PET signal in the aMCC was not significantly associated with aMCC functional connectivity.

Because of the observed intercorrelations between three variables of interest (BDI, pgACC [ $^{11}\text{C}$ ]PBR28 signal, and pgACC-dlPFC connectivity), we conducted three exploratory bootstrapped mediation analyses to investigate whether one variable was potentially mediating the relationship between the other two. Of these three models, only model 1 (IV, connectivity; M, [ $^{11}\text{C}$ ]PBR28 PET signal; DV, BDI) reached statistical significance. This model revealed that the strength of the association between pgACC-dlPFC connectivity and BDI (path c;  $\beta \pm \text{standard error} = 0.969 \pm 0.48$ ,  $p=0.054$ ) was significantly reduced after accounting for the effects of the mediator, pgACC [ $^{11}\text{C}$ ]PBR28 signal, (path c';  $\beta = -0.172 \pm 0.61$ ;  $p=0.780$ ). The bias corrected 95% CIs for the indirect effect of pgACC-dlPFC on BDI through pgACC SUVR (path a  $\times$  b;  $\beta = 0.06 \pm 0.57$ ) yielded a lower limit of 0.352 and an upper limit of 2.57. A 95% CI range not containing zero suggests that pgACC [ $^{11}\text{C}$ ]PBR28 signal significantly mediates the association between pgACC-dlPFC connectivity and BDI score (Figure 4).

## **DISCUSSION**

Here, we show that [ $^{11}\text{C}$ ]PBR28 PET signal in the pgACC is associated with frontolimbic connectivity and depressive symptoms, and mediates the relationship between the former and the latter, in chronic pain patients.

Because TSPO is upregulated by glial cells during neuroimmune activation,<sup>28</sup> our observations agree with, and extend, results from a growing body of literature supporting a role for neuroinflammation in mood disorders, including both *in vivo* and *in vitro* studies. For example, postmortem studies in depressed or suicidal patients showed elevated inflammatory cytokine levels or markers of glial activation in ACC and PFC.<sup>58–61</sup> Preclinical studies have demonstrated brain microglial activation and increased neuroinflammatory markers in models of chronic stress<sup>15–19</sup> in regions consistent with those observed in the present study. In clinically depressed patients, brain TSPO PET binding was elevated compared to healthy volunteers,<sup>23–26</sup> and positively correlated with depressive symptoms in the ACC, PFC, and insula.<sup>25</sup> Here, we have identified in the very same regions a positive association between [ $^{11}\text{C}$ ]PBR28 signal and BDI scores, although significantly only for the ACC after correction for multiple comparisons (with the PFC and insula being



significant only at an uncorrected level). Interestingly, no such relationship was observed for the thalamus, a region demonstrating consistently elevated TSPO PET signal in cLBP patients compared to healthy controls in our previous work.<sup>21</sup> This observation suggests that different spatial patterns of glial activation may contribute independently to specific symptoms.

Our study further shows a relationship between pgACC [<sup>11</sup>C]PBR28 PET signal and pgACC-dlPFC connectivity, a neural metric that previous fMRI research found to be positively correlated with negative self-focused thought in depressed patients.<sup>36</sup> The association between TSPO PET signal and functional connectivity could reflect different mechanisms. First, glial cells can modulate neuronal activity,<sup>62, 63</sup> and might therefore play a role in dysfunctional neuronal communication in numerous pathologies,<sup>64</sup> including depression.<sup>65</sup> Studies show that manipulation of fractalkine receptors, expressed only on microglia, is sufficient to alter synaptic function: fractalkine receptor activation releases pro-inflammatory cytokines and increases excitatory signaling,<sup>31</sup> whereas attenuation of fractalkine signaling inhibits pro-inflammatory signaling.<sup>66</sup> Furthermore, fractalkine receptor knockout animals show deficient synaptic pruning during development, weakened synaptic transmission and reduced functional brain connectivity.<sup>67</sup> While these studies highlight the ability of glial cells to regulate neural activity in preclinical models, the results of our analyses suggest that connectivity may be instead driving neuroinflammation in our dataset. In our analyses, pgACC TSPO PET signal significantly mediated the relationship between pgACC-dlPFC connectivity and BDI. Importantly, the mediation model in which the directionality of the association between connectivity and PET signal was reversed (model 2) was not statistically significant. Therefore, our results lead us to speculate that changes in frontolimbic connectivity may cause NA indirectly, by exerting an effect on glial activation. As glial cells are sensitive to neural activity and can change activation states as a result of activity changes,<sup>32</sup> it is possible that aberrant communication between the dlPFC and pgACC predates and contributes to neuroinflammation in the pgACC. While statistical modeling can provide hints at the possible neuroglial mechanisms leading to depressive symptoms, it is important to stress that causality cannot be conclusively demonstrated solely on the basis of the existing data, and specifically designed studies will be ultimately needed to provide empirical evidence corroborating our interpretation.

Several caveats should be considered when interpreting the results of our report. First, contributions of specific glial subtypes cannot be resolved with TSPO PET imaging. In fact, while elevations in TSPO levels are most commonly interpreted as evidence of microglial activation, in some circumstances this protein can (also) be upregulated by reactive astrocytes.<sup>68, 69</sup> However, studies implicating astrocytic involvement in major depression<sup>70</sup> support a reduction, rather than an increase, in astrocytic density and expression of astrocytic markers. As such, it seems more likely that the depressive symptom-associated elevations in TSPO observed here are reflective of microglial activation. Moreover, we have recently shown that TSPO elevations observed in a different chronic pain disorder (fibromyalgia) are not accompanied by elevations in [<sup>11</sup>C]-L-deprenyl-D<sub>2</sub> signal.<sup>71</sup> Because the latter is thought to reflect mostly astrocytic contributions,<sup>72</sup> this observation suggests that microglia and not astrocytes might be driving the TSPO signal, although whether this conclusion can be generalized to cLBP awaits experimental verification. Second, the healthy volunteer data

included in this study for secondary analyses came from an existing dataset of participants recruited through different protocols, and thus not specifically recruited to match these patients. As a result, the former happened to have a higher average injected dose and tended to be marginally older. However, neither injected mass nor specific activity were significantly different across groups, and increasing age is more likely to be associated with higher, rather than lower, TSPO signal.<sup>73–75</sup> Thus, we deem it unlikely that any of our results might be explained by the above-mentioned group differences. Perhaps most importantly, these group differences have no bearing on the main observation of the study, which is the association between PET signal and BDI in the cLBP group. Finally, in this study we used a simplified ratio metric (SUVR) as the primary outcome measure. Measures obtained with kinetic modeling using arterial input functions (e.g. distribution volume [ $V_T$ ] and  $V_T$  ratio [DVR]) are still largely considered the gold standard for quantification of TSPO tracers. However, in a subset of the participants we were able to verify that SUVR was significantly correlated with DVR in all regions evaluated in this study (with the exception of the prefrontal ROI, which showed a strong trend; Supplementary Figure 1), suggesting that this metric is an appropriate surrogate for TSPO quantification, at least in this population. Additionally, the use of ratio metrics such as SUVR or DVR, instead of an absolute metric such as SUV or  $V_T$ , may come with drawbacks. Because no brain region devoid of TSPO exists, a true reference region cannot be identified, and the selection of the pseudoreference region to be used to normalize the signal relies on the assumption that that region is not affected by pathology. On the other hand, this approach can increase the sensitivity to detect neuroinflammatory responses as it can correct for the large interindividual variability in global signal often observed with TSPO tracers.<sup>48</sup> Indeed, our group and others have used ratio metrics to demonstrate TSPO signal increases across multiple conditions, in spatial distributions overlapping with the known or expected distribution of neuroinflammation in each condition (e.g. motor/premotor cortices and corticospinal tracts in amyotrophic lateral sclerosis;<sup>43, 76</sup> basal ganglia in Huntington's Disease,<sup>50</sup> temporoparietal regions in Alzheimer's disease).<sup>77</sup>

In conclusion, the present results provide evidence supporting a role for glial activation in depressive symptoms comorbid with chronic pain and, more broadly, further corroborate the neuroinflammatory hypothesis of negative affect.<sup>25</sup> Our findings therefore support the exploration of neuroinflammation as a therapeutic target for conditions characterized by NA, including chronic pain.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## ACKNOWLEDGMENTS

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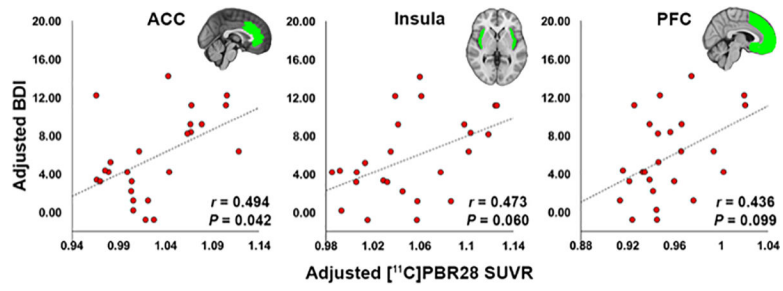
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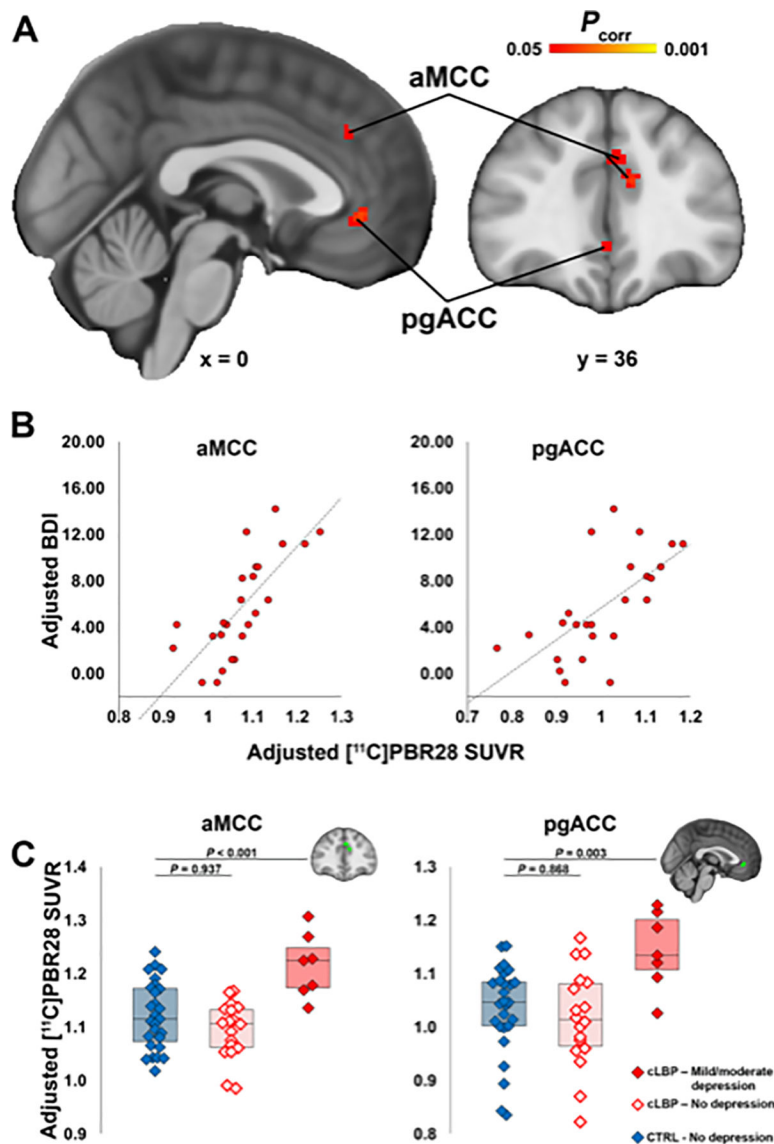
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**Figure 1. ROI [<sup>11</sup>C]PBR28 signal is associated with depressive symptoms.**

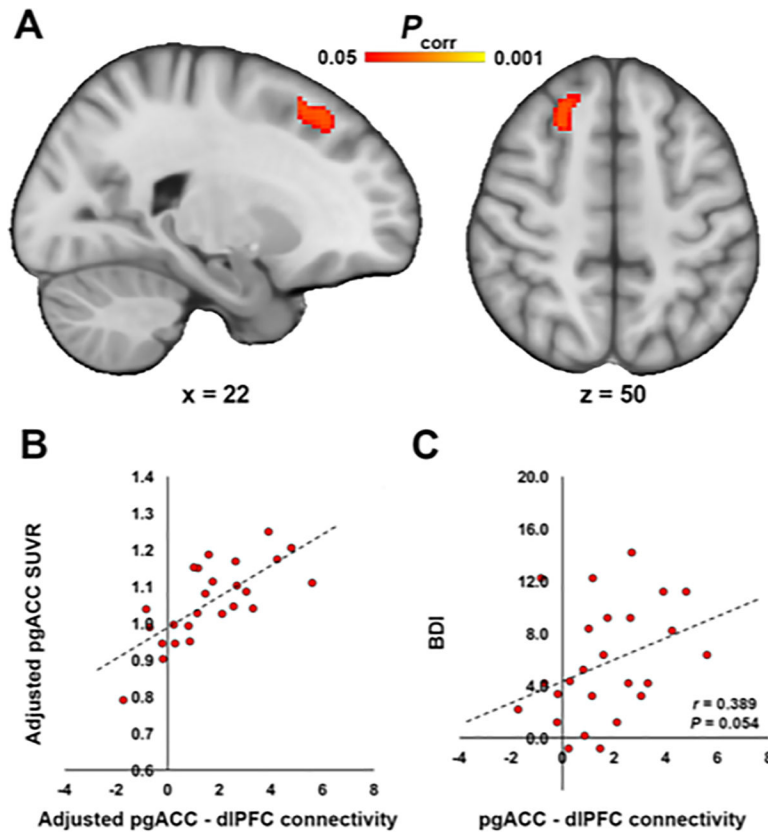
Scatterplots display the relationship between [<sup>11</sup>C]PBR28 SUVR in each ROI (displayed in green) and BDI in cLBP patients (n=25). All data have been adjusted for *TSPO* polymorphism. BDI – Beck Depression Inventory; ACC – anterior cingulate cortex; PFC – prefrontal cortex





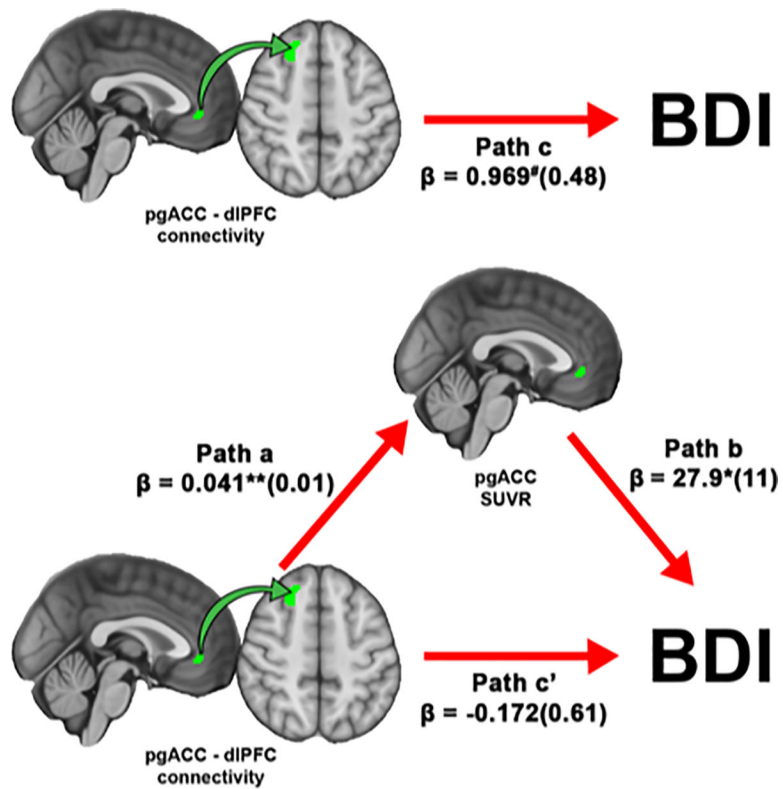
**Figure 2. Voxelwise [ $^{11}\text{C}$ ]PBR28 signal is associated with depressive symptoms and elevated in patients with mild-to-moderate depression.**

A. Results from the voxelwise analysis showing clusters where [ $^{11}\text{C}$ ]PBR28 SUVR is significantly positively associated with BDI. B. For visualization purposes, average SUVR from the aMCC and pgACC clusters in panel A are plotted against BDI, both adjusted for *TSPO* polymorphism. C. Results from the ANCOVA analysis comparing average aMCC and pgACC SUVR between cLBP patients with little-to-no depression, mild-to-moderate depression, and controls. *P*-values represent results from post-hoc Dunnett's tests comparing both patient subgroups against to controls. All values have been adjusted for age, injected dose, and *TSPO* polymorphism.



**Figure 3. Frontocingulate connectivity is associated with pgACC [ $^{11}\text{C}$ ]PBR28 signal, and with BDI**

A. Results from the voxelwise nonparametric permutation analysis showing a significant positive association between pgACC [ $^{11}\text{C}$ ]PBR28 SUVR and functional connectivity between pgACC and right dlPFC. B. For visualization purposes, the average z-statistic was extracted from the dlPFC cluster in panel A and plotted against pgACC SUVR. All data has been adjusted for *TSPO* polymorphism. C. A scatterplot shows the regression between average z-statistic connectivity extracted from dlPFC in Panel A and BDI.



**Figure 4. pgACC [ $^{11}\text{C}$ ]PBR28 signal mediates the relationship between pgACC-dIPFC connectivity and BDI**

A bootstrapped mediation analysis revealed that pgACC significantly mediated the relationship between pgACC-dIPFC connectivity and BDI. Values within parentheses represent bootstrap standard errors for each path.  $^{\#}p = 0.054$ ,  $^{*}p < 0.05$ ,  $^{**}p < 0.01$ .