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## Does Tonsillectomy Increase Obesity Risk in Children with Down Syndrome?

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### Abstract

**Objectives**—To examine weight changes relative to surgical success in children with Down syndrome and obstructive sleep apnea (OSA).

**Study design**—Retrospective chart review of children with Down syndrome undergoing tonsillectomy from 2005 to 2016 for OSA at a tertiary care children's hospital. Only patients with pre- and postoperative polysomnogram within 6 months of tonsillectomy were included. Demographics, weight, height, and polysomnogram data were collected. Body mass index (BMI), expressed as a percentage of the 95th percentile (%BMI<sub>p95</sub>), was calculated for 24 months prior to and following surgery. Pre- and postoperative OSA severity were also recorded. The postoperative obstructive/hypopnea index identified subjects with resolution of obstruction (obstructive/hypopnea index <2 events/hour) or persistent mild/moderate/severe obstructive apnea. Regression analyses were used to compare %BMI<sub>p95</sub> pre- and post-tonsillectomy with %BMI<sub>p95</sub> by OSA status following tonsillectomy.

**Results**—A total of 78 patients with Down syndrome whose mean age was 5.29 years at time of tonsillectomy were identified. There was no difference between best-fit curves of %BMI<sub>p95</sub> pre- and post-tonsillectomy. There was no difference between best-fit curves of %BMI<sub>p95</sub> in patients who saw resolution of OSA after tonsillectomy vs patients with residual OSA.

**Conclusions**—Tonsillectomy neither alters the BMI trajectory of children with Down syndrome, nor changes differentially the risk for obesity in children whose OSA did or did not resolve after surgery.

Down syndrome is associated with hypotonia, facial dysmorphology, feeding issues, congenital heart defects, visual and hearing impairments, cognitive impairment of varying severity, obstructive sleep apnea (OSA), thyroid abnormalities, and obesity.<sup>1–13</sup>

With an incidence of 20%–80%, OSA is a common disorder in children with Down syndrome.<sup>14–21</sup> The factors contributing to OSA are multifactorial: craniofacial structure, neuromuscular tone, adenotonsillar hypertrophy, and obesity. Studies have shown increased body mass index (BMI) and percent body fat in children with Down syndrome when compared with children without Down syndrome. The factors causing obesity in Down syndrome are not completely understood. It is hypothesized that endocrinological factors, including hypothyroidism<sup>22–26</sup> and leptin resistance,<sup>27–29</sup> contribute to elevated weight status in Down syndrome. Other mechanisms that are thought to contribute to obesity in Down syndrome are resting metabolic rate,<sup>30–34</sup> physical activity,<sup>35–37</sup> and dietary patterns.<sup>38–40</sup> In a retrospective study of 303 children with Down syndrome, the prevalence of obesity was calculated to be 48%, and 74% had polysomnogram confirming OSA.<sup>41</sup>

Adenotonsillectomy is the primary treatment for OSA.<sup>42–44</sup> Previous work suggests that nonsyndromic children gain weight following their recovery from a tonsillectomy for either obstructive sleep disordered breathing or recurrent infections.<sup>45–56</sup> Obesity is a known risk factor for persistent OSA, and the success of adenotonsillectomy is variable.<sup>57–64</sup> In 2017, Ingram et al reported a surgical cure of only 21% for OSA in Down syndrome when cure was defined as obstructive apnea hypopnea index (OAHI) of <2 events/hour; 52% had moderate/severe residual OSA with an OAHI ≥ 5 events/hour.<sup>65</sup> Because the surgical cure rate is worse in children with Down syndrome, who are more likely to be obese (BMI ≥ 95th percentile for age and sex) when compared with the general population, it is concerning that studies have shown tonsillectomy to accelerate weight in nonsyndromic children with OSA.<sup>46,48–58</sup> Weight gain may increase risk for residual OSA, recurrence of OSA after initial success, and obesity-related morbidity. The primary goal of this study was to assess whether children with Down syndrome who saw resolution of their OSA following a tonsillectomy were at increased risk for becoming obese, compared with those who had residual OSA.

## Methods

Colorado Multiple Institutional Review Board approval was obtained. The patient sample was identified using i2b2 software (HealthCare System, Boston, Massachusetts) to query the electronic health record (EHR) by The International Classification of Diseases, Ninth Revision (ICD-9) and Current Procedural Terminology (CPT) codes for children with Down syndrome who underwent tonsillectomy with or without concurrent adenoidectomy for OSA from 2003 to 2015 inclusive. A retrospective chart review was conducted on the identified dataset to confirm the following inclusion criteria: diagnosis of Down syndrome, polysomnogram confirmed, and tonsillectomy performed for OSA. To ensure data integrity, strict inclusion and exclusion criteria were defined and implemented (Table I). Collected data included sex, ethnicity, age at time of tonsillectomy and polysomnograms, comorbidities, and tonsil and adenoid size assessed by otolaryngologist at time of surgery. Polysomnogram characteristics collected included sleep architecture, respiratory events, oxygenation, and carbon dioxide level. OSA was defined as OAHI ≥ 2 events/hour on

polysomnogram. Preoperative OSA severity was categorized into mild (OAHI 2–4.9 events/hour), moderate (OAHI 5.0–9.9 events/hour), or severe (OAHI  $\geq$  10 events/hour). Postoperative OSA severity included a cure category defined by OAHI  $<$ 2 events/hour.

### Data Collection

Height and weight data for all available encounters 24 months prior to and following tonsillectomy were extracted from our EHR by Children’s Hospital Colorado Research Informatics team. Although BMI growth charts exist for children with Down syndrome in the US, a comparison of the Centers for Disease Control (CDC) BMI charts and Down syndrome-specific BMI charts revealed the CDC BMI charts to be a better indicator of excess adiposity with better sensitivity and specificity.<sup>66</sup> As a result, our study used the CDC BMI charts rather than the Down syndrome-specific charts.

Age- and sex-specific BMI, expressed as a percentage of the 95th percentile (%BMI<sub>p95</sub>) was calculated for every available time point using height and weight. The %BMI<sub>p95</sub> has advantages over other relative BMI metrics for validity of comparisons across age, sex, and severity of obesity.<sup>67</sup> Demographic characteristics included comorbidities present at time of surgery and were summarized via descriptive statistics. Prematurity, cardiovascular, respiratory, endocrine/renal, gastrointestinal, metabolic, and hematologic/immunologic diseases coexisting at time of tonsillectomy were collected. Regression and correlation analysis were used to examine the relationship between tonsillectomy and %BMI<sub>p95</sub>, and OSA status and %BMI<sub>p95</sub>. Children under age 2 years at time points prior to tonsillectomy were included in the model if they had at least 2 post-tonsillectomy measurements after turning 2 years of age. Study data were collected and managed using REDCap (Research Electronic Data Capture) data capture tools hosted at University of Colorado. REDCap is a secure, web-based application designed to support data capture for research studies, providing (1) an intuitive interface for validated data entry; (2) audit trails for tracking data manipulation and export procedures; (3) automated export procedures for seamless data downloads to common statistical packages; and (4) procedures for importing data from external sources.<sup>68</sup>

### Data Analyses

Piece-wise linear mixed effects regression models were used to model %BMI<sub>p95</sub> over time for the pre- and post-tonsillectomy time periods, in which the correlation among the repeated measures on a patient was considered. Regression lines and corresponding equations were generated for pre- and postoperative %BMI<sub>p95</sub> and stratified by OSA status. Regression equations were examined for differences in their constants and slope coefficients using hypothesis testing. %BMI<sub>p95</sub> changes were not assessed for children 0–2 years of age as sensitivity and specificity of BMI in infancy remains unclear, and it is not an accepted estimate of adiposity in this population. The data analysis for this article was generated using SAS software v 9.4 (SAS Institute Inc, Cary, North Carolina). A *P* value of .05 was considered statistically significant. Table II (available at [www.jpeds.com](http://www.jpeds.com)) includes a detailed review of the statistical design.

## Results

### Study Sample Characteristics

We identified 543 patients between 2003 and 2015 (inclusive) at Children's Hospital Colorado with a diagnosis code for Down syndrome and procedure code for tonsillectomy; 78 patients met the inclusion criteria and were retained for analysis (Table I). All patients underwent adenoidectomy concurrently with tonsillectomy or prior to tonsillectomy. The cohort included 42 male children with mean age at tonsillectomy of 5.3 years  $\pm$  3.8 years and range of 6.1 months to 16.6 years (Table III; available at [www.jpeds.com](http://www.jpeds.com)). Patients were stratified into 2 groups, obese (% BMI<sub>p95</sub>  $\geq$  100) and nonobese (% BMI<sub>p95</sub> < 100). The prevalence of overweight and obese at time of tonsillectomy were 21% and 24%, respectively. Mean OAH prior to tonsillectomy was 21.6  $\pm$  19.3 events/hour and range of 2.1 to 115.9 events/hour. Mean OAH following tonsillectomy was 13.4  $\pm$  14.2 events/hour and range of 0.2 to 81.8 events/hour. Sixteen patients saw a cure in their OSA with an OAH <2 events/hour at postoperative polysomnogram. Mean BMI percentile for nonobese group was 59.71  $\pm$  26.91 and range of 2.00–94.88 prior to tonsillectomy. Pre-tonsillectomy mean %BMI<sub>p95</sub> for obese group was 113.48  $\pm$  17.23 with a range of 95.69–155.21. A summary of BMI percentile and %BMI<sub>p95</sub> changes stratified by obesity and OSA status are summarized in Table IV.

### Comparison of Regression Lines for %BMI<sub>p95</sub> and OSA Status following Tonsillectomy

For patients with residual OSA on postoperative polysomnogram, the regression equation for %BMI<sub>p95</sub> and age >2 years showed an equation constant ( $Y_i$ ) of 84.4 and slope coefficient ( $b$ ) of 2.36 prior to tonsillectomy. Following tonsillectomy, the patients' regression equation had a  $Y_i$  of 84.3 and  $b$  of 2.43. On hypothesis testing, the difference in the slopes was not significant with a  $P$  value of .99 (Figure 1).

For children without residual OSA, the model required several regression equations. The preoperative results are as follows: %BMI<sub>p95</sub> and age = 2 years and age = 2–7 years ( $Y_i$  = 91.0,  $b$  = 0.29); %BMI<sub>p95</sub> and age >7 years ( $Y_i$  = 95.7,  $b$  = 1.5). Following tonsillectomy, the regression equation for children without residual were as follows: %BMI<sub>p95</sub> and age = 2 years and age = 2 – 7 ( $Y_i$  = 96.7,  $b$  = 1.63); %BMI<sub>p95</sub> and age >7 years ( $Y_i$  = 90.7,  $b$  = 0.38). On hypothesis testing, the differences between pre- and postoperative regressions did not reach significance with a  $P$  value of .81 (Figure 2).

Children with residual OSA on postoperative polysomnogram showed slightly higher preoperative and postoperative %BMI<sub>p95</sub> and tended to be older when compared with those without residual OSA post-tonsillectomy. Children without residual OSA on post-tonsillectomy polysomnogram were younger and had slightly lower pre- and post-tonsillectomy %BMI<sub>p95</sub> when compared with children with residual disease.

## Discussion

Tonsillectomy remains the first-line treatment for OSA for both children with Down syndrome and the general population.<sup>42–44</sup> However, tonsillectomy success for curing OSA is substantially lower among obese children and children with Down syndrome.<sup>42,43,62,65</sup>

The only randomized control trial for tonsillectomy showed that only 67% of obese children who underwent surgery had an OAH  $<2$  events/hour.<sup>63</sup> Increased risk for obesity following tonsillectomy has been reported in the literature. Thus, the interaction between obesity, OSA, and tonsillectomy is of great importance when considering management of OSA in children with Down syndrome who have a preexisting susceptibility to obesity, perioperative complications, and lower cure rates.

Because children's growth rate is dependent upon both their age and sex, the CDC recommends using BMI-for-age to define and assess obesity. However, recent literature has shown the limitations of using BMI-for-age and BMI z score for obese children.<sup>67</sup> BMI z scores are limited by an upper limit, and above the 97th percentile are difficult to estimate resulting in use of the BMI  $\geq 120\%$  of the 95th percentile to define severe obesity instead of assigning percentiles greater than the 95th. As we approach the upper limit for BMI-for-age, BMIs are compressed resulting in a wide range of BMIs mapping to similar z scores. Our study examines weight changes following tonsillectomy for OSA in children with Down syndrome using %BMI<sub>p95</sub>, a metric more appropriate than BMI z score in a population with high rates of obesity. The initial analysis did use the BMI z score and demonstrated no difference; however, the %BMI<sub>p95</sub> analysis was performed out of concern that the z score findings may be spurious.

For nonsyndromic children, the literature suggests that children gain weight following their recovery from a tonsillectomy.<sup>45-56</sup> Weight gain occurred for children who had either obstructive sleep disordered breathing or recurrent infections.<sup>45-56</sup> Previous investigations suggest that younger children are the ones more likely to gain weight.<sup>50,51,54</sup> The exact mechanism for increased growth velocity is unknown, but several hypotheses exist. A few studies have found increases in growth hormone secretion following tonsillectomy, resulting in weight gain.<sup>45,46,56</sup> Investigations have also implicated dietary habits, reporting that children who underwent tonsillectomy for sleep disordered breathing ate more sugar products and edible fats postoperatively.<sup>69</sup> For a cohort of children with moderate OSA (OAH  $>5$  events/hour), a decrease in C-reactive protein levels following a tonsillectomy correlated with an increase in body weight for boys. Another hypothesis is that OSA resolution results in decreased work of nighttime breathing and energy expenditure. Roemmich et al reported in a cohort of children with resolved OSA (OAH  $<1$  event/hour) that there was both a reduction in motor activity and hyperactivity scores and subsequently an increase percentage overweight.<sup>55</sup>

Growth patterns prior to tonsillectomy should be used to assess potential determinants of post-tonsillectomy weight changes. For example, when examining increased weight in younger children, as has been seen in the literature, normal growth trajectory of prepubertal children should be considered and models should be adjusted to account for normal developmental growth patterns. Children with poor weight gain prior to tonsillectomy may benefit from an acceleration in growth post-tonsillectomy as long as the accelerated growth pattern does not continue long term. However, if a tonsillectomy directly increases a child's risk of obesity or makes them more obese postoperatively, surgeons will need to carefully assess the risks and benefits of performing a tonsillectomy for children who are less likely to achieve surgical cure.

It is unclear why our Down syndrome sample behaved differently than nonsyndromic children. In a retrospective review by D'Esposito et al, children with Down syndrome who underwent adenotonsillectomy for sleep disordered breathing showed no difference in height, weight, BMI, and z score data when compared with the control children with Down syndrome who did not undergo adenotonsillectomy and did not have sleep disordered breathing at time of data collection.<sup>47</sup> Of note, trending z score over time in the group that underwent adenotonsillectomy were reported to stabilize long term, and the z score of the control group continued to increase.

Fortunately, our study demonstrated that children with Down syndrome are not predisposed to becoming heavier following a tonsillectomy with no significant difference between the %BMI<sub>p95</sub> regression line pre- vs post-tonsillectomy in children with residual OSA and without residual OSA. Besides using a more accurate metric to measure growth trajectory (%BMI<sub>p95</sub>), another strength of our investigation is that the cohort included not only children who achieved surgical cure (OAHI <2 events/hour) but also those who had moderate/severe persistent OSA (OAHI ≥5 events/hour). By requiring that the preoperative polysomnogram was performed within 6 months of surgery, it was less likely that severity of OSA worsened prior to surgery. Because the %BMI<sub>p95</sub> is a more accurate metric to assess for longitudinal changes in weight, we have higher confidence that the children are not becoming more obese due to the plateau effect seen when utilizing the BMI% for age to calculate a z score.

A potential limitation of our investigation is the short follow-up period. However, collecting all available data points for 2 years prior and following tonsillectomy provided repeated measures per each patient and sufficient data for the creation of growth curves to assess for any changes. Another potential limitation is that children who had either no OSA or successful surgery developed OSA during the study period. Most of these children are followed closely in our center for Down syndrome so a repeat polysomnogram would have been performed on any symptomatic child. Although a useful method when researching vulnerable populations like children with Down syndrome, a retrospective chart review has limitations, too. These include use of a convenience sample, potential errors in patient charts and data abstraction errors, especially prior to the launch of our fully integrated electronic health record in 2009. Retrospective chart reviews are also more susceptible to systematic and random error when compared with more rigorous study designs such as randomized controlled trials. Although the advantages of randomized controlled trials are well-known, designing and conducting trials with surgical interventions are logistically and ethically difficult. As a result, it is critical that retrospective studies are replicated to improve validity and reliability when randomized controlled trials are not feasible.

Because tonsillectomy cure rates are lower for children with Down syndrome, the risk/benefit ratio or therapeutic index is narrower and all variables associated with surgery should be critically analyzed for potential risk factors. Our investigation did not find an increase in BMI growth trajectories among children with Down syndrome following a tonsillectomy, regardless of post-tonsillectomy OSA status. These findings may provide valuable information to be included as an aid in the shared decision-making between parent and provider when considering tonsillectomy as a management option for OSA.

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## Glossary

<b>%BMI<sub>p95</sub></b>	Body mass index expressed as a percentage of the 95th percentile
<b>b</b>	slope coefficient
<b>BMI</b>	Body mass index
<b>CDC</b>	Centers for Disease Control
<b>OAHl</b>	Obstructive apnea hypopnea index
<b>OSA</b>	Obstructive sleep apnea
<b>Y<sub>i</sub></b>	Equation constant

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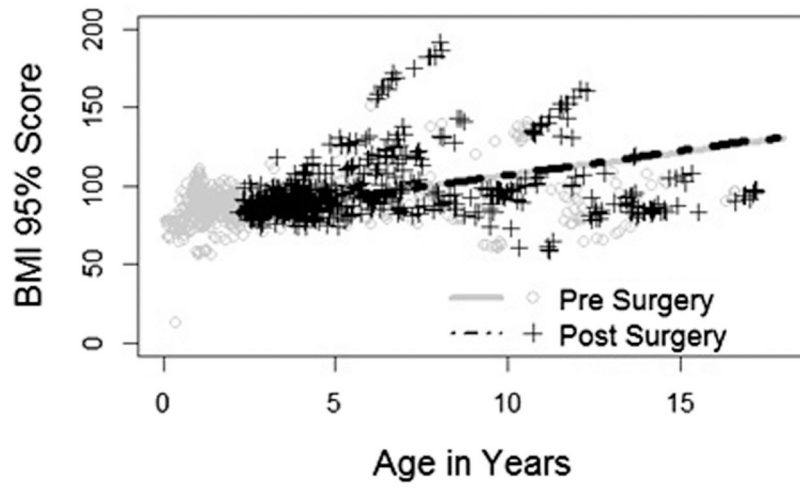
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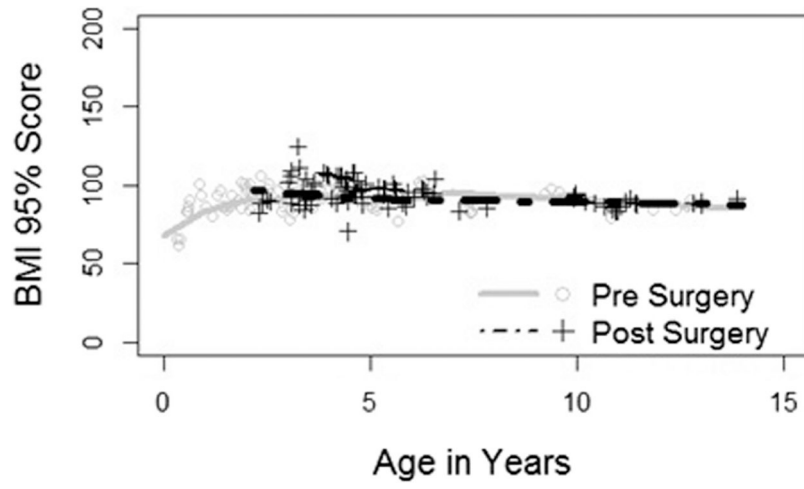


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**Figure 1.** %BMI<sub>p95</sub> vs age in years in children with Down syndrome with residual OSA.



**Figure 2.** %BMI<sub>p95</sub> vs age in years in children with Down syndrome without residual OSA.

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**Table 1.**

## Inclusion criteria and exclusion criteria

Inclusions	Exclusions
<ul style="list-style-type: none"> <li>Down syndrome</li> <li>OSA confirmed by polysomnogram with OAH1 &gt;2</li> <li>Tonsillectomy for management of OSA</li> <li>Height and weight data for 2 time points pre- and post-tonsillectomy</li> </ul>	<ul style="list-style-type: none"> <li>Pre- or post-tonsillectomy polysomnogram &lt;60 min of total sleep time</li> <li>Preoperative polysomnogram &gt;6 mo prior to date of tonsillectomy</li> <li>Postoperative polysomnogram &gt;6 mo following date of tonsillectomy</li> <li>Tonsillectomy for chronic tonsillitis</li> <li>Patients whose charts that did not contain height and weight data for at least 2 time points for the 24 mo prior to and following surgery were excluded</li> </ul>

**Table II.**

Description of statistical analysis: piece-wise linear mixed effects regression model

Residual OSA groups	
Outcome	%BMI <sub>p95</sub>
Predictors	Pre-/post-tonsillectomy status, age group >2 y, time
Repeated measure	Patient
OSA cure groups	
Outcome	%BMI <sub>p95</sub>
Predictors	Pre-/post-tonsillectomy status, age group = 2 y, age group 2–7 y, age group >7 y, time
Repeated measure	Patient

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**Table III.**

## Demographics sample characteristics

	All
Total, N (%)	78 (100)
Age at tonsillectomy, mean (SD)	5.29 (3.79)
Sex	
Female	36 (46.2)
Male	42 (53.8)
Race/ethnicity	
White	38 (48.7)
Hispanic	31 (39.7)
Black	3 (3.8)
Asian	0 (0.0)
Other	5 (6.4)
Comorbidities	
Prematurity	21 (26.9)
Congenital heart anomalies	62 (79.5)
Pulmonary hypertension	8 (10.3)
Hypothyroidism	18 (23.1)

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**Table IV.**

BMI % and %BMI<sub>p95</sub> changes following tonsillectomy by obesity and OSA status

	Non-obese (BMI%)		Obese (%BMI <sub>p95</sub> )	
	Mean (SD)	Range	Mean (SD)	Range
Cure				
Pre	65.8 (21.5)	36.1–91.7	99.6 (3.84)	95.7–103.4
Post	71.0 (17.6)	36.3–89.6	102.2 (1.9)	100.2–104.0
Residual				
Pre	58.6 (27.9)	2.0–94.9	116.7 (17.6)	100.2–155.2
Post	65.8 (24.2)	1.6–91.9	125.2 (19.6)	104.2–173.0

*BMI* %, BMI percentile.

BMI% and %BMI<sub>p95</sub> were not calculated for children 0 to <2 years of age at time of height and weight collection.

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