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## Utilization of advanced cardiovascular therapies in the United States and Canada: an observational study of New York and Ontario administrative data

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### Abstract

**Background:** Endovascular aortic aneurysm repair (EVAR), left ventricular assist device (LVAD), and transcatheter aortic valve replacement (TAVR) are expensive cardiovascular technologies with potential to benefit large numbers of patients. There are few population-based studies comparing utilization between countries. Our objective was to compare patient characteristics and utilization patterns of EVAR, LVAD, and TAVR in Ontario, Canada and New York State, United States (US).

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**Methods and Results:** We performed a retrospective cohort study using administrative data to identify all adults who received EVAR, LVAD, or TAVR in Ontario and New York between 2012–2015. We compared socio-demographics of EVAR, LVAD, and TAVR recipients in Ontario and New York. We compared standardized utilization rates between jurisdictions for each procedure. We identified 3,295 EVAR recipients from Ontario and 6,236 from New York (mean age 74.6 vs. 74.5 years;  $P=.61$ ): 136 LVAD recipients from Ontario and 686 from New York (age 57.4 vs. 57.7 years;  $P=.80$ ): 1,708 TAVR recipients from Ontario and 4,838 from New York (age 83.1 vs. 83.1;  $P=1.0$ ). A significantly smaller percentage of EVAR and TAVR recipients in Ontario were female compared to New York (EVAR 15.8% vs 22.1% female;  $P<.001$ )(TAVR 45.9% vs 51.8%;  $P<.001$ ), but for LVAD the percentage female was similar (21.3% vs. 20.8%;  $P=.99$ ). Utilization was significantly higher in New York for all procedures: EVAR (12.8 procedures per-100,000 adults per-year in Ontario, 20.2 in New York;  $P<.001$ ); LVAD (0.3 in Ontario vs. 1.3 in New York;  $P<.001$ ); TAVR (6.6 in Ontario, 14.3 in New York;  $P<.001$ ). Higher utilization of EVAR and TAVR in New York relative to Ontario increased substantially with increasing age.

**Conclusions:** We observed significantly higher utilization of EVAR, LVAD, and TAVR in New York compared to Ontario. Our results highlight important differences in how two different countries are using advanced cardiovascular therapies.

## Introduction

Over the past three decades endovascular aortic aneurysm repair (EVAR), left ventricular assist device (LVAD), and transcatheter aortic valve replacement (TAVR) have evolved from unproven innovations to established therapies for selected patients with cardiovascular conditions based upon high-profile randomized trials.<sup>1–3</sup> Subsequent follow-up studies and systematic reviews have added nuance about effectiveness and expanded indications.<sup>4–6</sup> While insurance coverage of and access to EVAR, LVAD, and TAVR may differ among countries, the underlying clinical indications and practice guidelines are generally similar.<sup>7, 8</sup>

Even with a similar body of underlying evidence, regulators and payers in different jurisdictions may approach neoteric therapeutics differently.<sup>9, 10</sup> In many ways the idea that different countries (or even regions within countries) may offer and pay for differential access to various therapeutics makes sense and should reflect local preferences and values; Papanicolas and Jha articulate these issues very clearly in a recent *JAMA* perspective.<sup>11</sup>

A limited body of literature has described utilization of EVAR,<sup>12</sup> LVAD,<sup>13, 14</sup> and TAVR<sup>15</sup> within single countries, but very few studies have compared utilization between countries.<sup>16</sup> There is growing interest in international comparative health systems research as a mechanism for understanding how between-country differences in healthcare policy impact spending, utilization, and patient outcomes.<sup>11, 17</sup> However there are very few international comparative cardiovascular studies and most focus on older technologies.<sup>18, 19</sup> Comparing utilization of EVAR, LVAD, and TAVR between countries can help to provide insight into how national values, funding, and policy can effect patients' access to costly therapies.<sup>11</sup>

We used contemporary administrative health data from Ontario (Canada) and New York State (US) to examine differences in patient characteristics, utilization, and clinical outcomes for EVAR, LVAD, and TAVR. These procedures were selected because they are

relatively new technologies with established effectiveness, but also evolving clinical indications. We compared Ontario with New York State due to their vastly different health care systems, but geographic proximity and similarly populations with respect to racial/ethnic diversity.<sup>19, 20</sup> We hypothesized *a priori* that there would be significantly higher overall utilization of each procedure in New York compared to Ontario but that patterns of utilization would differ in key patient subgroups. In particular, building upon prior studies by Gorey et al,<sup>21, 22</sup> we expected that we would find a utilization gradient in New York (higher utilization for residents of high income neighborhoods, lower utilization for low income neighborhoods) that would be less apparent in Ontario.

## Methods

### Data

We used administrative data from the most populous Canadian province (Ontario: population 14.3 million) and a large US state (New York: population 19.8 million), building on prior international comparative work.<sup>19, 23</sup> Ontario and New York share a common border, are diverse, and have an extremely large city (Toronto and New York City), and significant rural areas.

Our primary data source for Ontario was the 2011–2015 Discharge Abstract Database (DAD) obtained through ICES. The administrative records obtained from CIHI-DAD provided information on all hospitalizations paid for by the Ontario Health Insurance Plan (OHIP); OHIP provides health insurance to all legal residents of Ontario (~99% of the population) and virtually 100% of inpatient hospitalizations. Ontario's DAD provides information regarding demographic characteristics (age, sex), primary and secondary diagnoses using International Classification of Diseases Version 10 (ICD-10) codes, procedures using Canadian Classification of Health Interventions (CCI) codes, discharge disposition (e.g., died-in-hospital, home, transfer to another acute-care hospital), a unique patient identifier and unique hospital identifier. Comorbid conditions coded on the index hospital stay were identified using the Quan adaptation of the Elixhauser coding scheme.<sup>24</sup>

For New York we used data from the 2011–2015 State Inpatient Database (SID).<sup>23, 25</sup> The SID contains administrative data for all patients admitted to non-governmental acute care hospitals. Data elements for each admission include patient demographics, primary and secondary diagnosis and procedures (coded using ICD9-CM codes), discharge disposition, patient identifier, and hospital identifier. Comorbid conditions for the index hospital stay were captured using algorithms developed by Elixhauser et al.<sup>26</sup>

Estimates of the New York population were obtained from US Census Data; estimates of the Ontario population were obtained from Canadian Census Data. We linked the New York data to the American Hospital Association annual survey to ascertain information regarding hospital teaching status and bed size. We linked the Ontario CIHI-DAD to information from the Ontario Ministry of Health and Long-Term Care for hospital-level data.

Some of the NY SID data supporting our findings may be available from the corresponding author by request (peter.cram@uhn.ca); the Ontario ICES data can not be shared, but some

of the supporting analyses may be available by request. Statistical code may be available by request.

### Study cohorts

We used CCI codes in Ontario and ICD9-CM codes in New York to identify adults aged 18–104 years who received each of the above procedures between January 1, 2012 and September 30, 2015 (see Supplemental Table 1 for list of CCI and ICD9-CM codes).<sup>27–30</sup> For TAVR and EVAR, we excluded patients < 40 years of age as aortic stenosis and AAA are rare in that age group, while for LVAD younger recipients are common and thus were included. We also excluded patients who resided outside of Ontario and New York, and those for which EVAR, LVAD, or TAVR was not listed in the primary procedure field. We also excluded patients who received their procedures in hospitals with implausibly low procedure volumes (<1 procedure per-year). We used a 365-day lookback period (January 1, 2011–December 31, 2011) to exclude patients who were undergoing a repeat procedure (e.g., LVAD followed by LVAD). We allowed for patients to undergo multiple different procedures (e.g., LVAD followed by EVAR) so long as the 2 different procedures occurred during separate hospitalizations. Our study protocol was developed before initiation of any analyses and is available online through Open Science at <https://osf.io/brxd3/>.

### Statistical analyses

First, at the patient level, we compared the characteristics of patients who underwent any of our 3 procedures (EVAR, LVAD, TAVR) in Ontario and New York including demographics and comorbid conditions using bivariate methods. Postal code of residence for each patient was linked to census-level neighborhood income; all postal codes in Ontario and New York were then stratified into quintiles with respect to income (quintile 1= lowest income; quintile 5= highest income). For each procedure we compared the proportion of recipients who resided in the lowest income quintiles (quintile 1 and 2) and the highest income quintiles (4 and 5) in Ontario and New York. Second, we compared the percentage of all acute care hospitals in Ontario and New York that performed each of our 3 procedures and annual hospital volumes.

Third we compared the per-capita procedure rates (procedures per-100,000 per-year) for adults in Ontario and New York for EVAR, LVAD, and TAVR. The numerator was the total number of procedures performed and the denominator was the number of adults age 40–years (age 18 for LVAD) in each jurisdiction in 2014. We calculated age and sex standardized utilization rates (Ontario as the reference) using direct standardization. We then conducted stratified analyses by decade of age and sex. We examined per-capita age and sex standardized procedure rates stratified by neighborhood income quintile in Ontario and New York; for these analyses the numerator was number of procedures performed on patients residing in each income quintile while the denominator was the number of adults residing in each income quintile. Fourth we evaluated changes in volume and per-capita utilization for each year to examine whether there might be changes in utilization over our admittedly brief- study period.

Fifth, we compared unadjusted and adjusted outcomes for recipients of EVAR, LVAD, and TAVR in Ontario and New York; outcomes included hospital length of stay (LOS), in-hospital mortality, and hospital readmission within 90-days of discharge among those who survived to discharge. We used generalized estimating equations to calculate standardized estimates for LOS, readmission within 90-days of discharge, and in-hospital mortality adjusting for age, sex, and hospital procedure volume. We conducted additional analyses looking at mortality within 7-days of the index hospital procedure using 3 different models: Model 1 adjusted for volume only; Model 2 adjusted for comorbidities only; Model 3 adjusted for hospital procedure volume plus comorbidities.

This analysis was approved by the Research Ethics Board at University Health Network, Toronto. Analysis of Ontario data was authorized under section 45 of Ontario's Personal Health Information Protection Act, which does not require review by a Research Ethics Board. Analyses were performed using SAS (Cary, North Carolina) or R statistical software packages.

## Results

For EVAR, we identified 3,295 recipients in Ontario and 6,239 recipients in New York between 2012–2015 (Supplemental Figures 1 and 2). The corresponding numbers for LVAD were 136 in Ontario and 686 in New York and for TAVR were 1,708 in Ontario and 4,838 in New York (Table 1). Age of EVAR, LVAD, and TAVR recipients was similar in Ontario and New York. The percentage of LVAD recipients who were female was similar in Ontario and New York, but the percentage of EVAR recipients who were female was significantly lower in Ontario than New York (15.8% vs 22.1%;  $P < .001$ ) and likewise for TAVR (45.9% vs 51.8%;  $P < .001$ ). Patients receiving both EVAR and TAVR in Ontario were significantly more likely to reside in neighborhoods in the lowest quintiles of income (quintiles 1 and 2) compared to patients in New York (Table 1); alternatively, patients receiving EVAR and TAVR in Ontario were significantly less likely to reside in neighborhoods in the highest quintiles of income (quintiles 4 and 5). The prevalence of comorbidities was significantly lower in Ontario as compared to New York for all 3 conditions. A smaller percentage of hospitals in Ontario than New York performed EVAR, LVAD, and TAVR (Table 2), but was only statistically significant for EVAR. Examination of median volumes and inter-quartile ranges (Table 2) demonstrated a larger number of low-volume hospitals in New York, particularly for EVAR where 50% of hospitals performed 11-or-fewer procedures per-year.

Table 3 includes per-capita utilization of all 3 procedures, standardized for age and sex (per-100,000 per-year). Utilization was significantly greater in New York than Ontario for EVAR (20.1 vs. 12.8;  $P < 0.001$ ), LVAD (1.3 vs. 0.3;  $P < .001$ ) and TAVR (14.3 vs. 6.8;  $P < 0.001$ ). Higher utilization in New York compared to Ontario was observed in both men and women (Figure) and was particularly notable in older age groups for EVAR and TAVR (Supplemental Figure 3). Volume and utilization for EVAR in New York and Ontario and LVAD in New York were quite stable between 2012–2015 (Supplemental Tables 2–3 and Supplemental Figure 4). Alternatively, volumes and utilization of LVAD in Ontario increased slightly, while volumes and utilization of TAVR in New York and Ontario increased substantially.

In our analyses evaluating standardized per-capita utilization by neighborhood income, we did not find consistent differences in utilization of EVAR or LVAD among residents in lower income (quintile 1) or higher income (quintile 5) neighborhoods in either New York or Ontario (Table 4). Alternatively, for TAVR we found slightly lower utilization in Ontario for low income neighborhoods (quintile 1 utilization 5.7 per-100,000 per-year) compared to higher income neighborhoods (6.8 per-100,000;  $P=.033$ ) and much lower utilization in New York (9.0 vs 22.0;  $P<.001$ ). While all procedures in Ontario were paid for by the provincial insurance plan (OHIP), results from New York show significant differences by procedure (Supplemental Table 4). Medicare was the payer for 80% of EVARs, 93% of TAVRs but only 45% of LVADs; alternatively private insurance was the payer for 15% of EVARs, 5% of TAVRs, but 37% of LVADs.

The unadjusted hospital LOS was 0.3 days shorter in New York as compared to Ontario for EVAR ( $P=.06$ ), 15 days shorter for LVAD ( $P<.001$ ) and 1.6 days shorter for TAVR ( $P<.001$ ) (Table 5). Mortality, both within 7-days of admission and in-hospital was lower in New York for all 3 procedures, with particularly large differences for LVAD and TAVR. For example, in-hospital death within 7-days of LVAD implantation occurred in 1.7% of patients in New York and 9.4% of patients in Ontario ( $P<.001$ ). Among patients with TAVR, overall in-hospital mortality was 2.7% in New York compared with 5.2% in Ontario ( $P<.001$ ). Risk-standardized outcomes generally demonstrated shorter LOS and higher 90-day readmission rates in New York (Table 6). Mortality in New York for all 3 procedures was lower by a clinically significant (if not statistically significant in all cases) magnitude (Table 6) irrespective of definition (in-hospital or within 7-days of procedure).

## Discussion

In analysis of administrative data from the US and Canada, we found substantial differences in the use of 3 advanced cardiovascular therapies. We found differences in socio-demographic characteristics of patients receiving EVAR, LVAD and TAVR in New York and Ontario. We found similar utilization rates for EVAR and LVAD for New York and Ontario residents irrespective of neighborhood income strata; alternatively, we found a strong neighborhood income gradient for TAVR in New York (lower utilization in lower income neighborhoods) but less so in Ontario. We found that a larger percentage of acute care hospitals in New York offered these therapies, but that New York had more low-volume hospitals particularly for EVAR. Most importantly, utilization rates of EVAR, LVAD, and TAVR were approximately 50%, 300% and 100% higher in New York than Ontario, with a particularly large New York-Ontario gradient observed in the elderly. In aggregate, these findings demonstrate markedly different access to and utilization of three advanced cardiovascular therapies for patients residing in two geographically proximate jurisdictions with vastly different healthcare systems.

A number of our findings warrant discussion. First, it is important to think about the causes of the higher utilization of each procedure in New York compared to Ontario. While increased utilization in New York could conceivably be attributed to differences in cardiovascular risk factors, this seems implausible given prior research.<sup>19, 20</sup> Rather, we suspect that the higher utilization in New York reflects subtle differences in preferences and

values of Americans and Canadians towards health care and the manner in which these values influence health care delivery.<sup>31, 32</sup> Differing public values within countries are likely to influence everything including technology adoption, payment policy, physician supply and mix (e.g., specialists versus general practitioners), and the public's demand for healthcare services.<sup>33</sup> Prior investigations have suggested that these differences translate into less spending in the US on the social safety net, but greater spending on acute care.<sup>34</sup>

In the case of the advanced cardiovascular therapies that are the focus of our study there are several mechanisms that could contribute to the higher utilization in New York and lower utilization in Ontario. At a regulatory level, the US Food and Drug Administration (FDA) is charged with determining if a therapy is "safe and effective" and then granting approval for use.<sup>35</sup> Historically, once approval was granted, the Centers for Medicare and Medicaid Services (CMS) placed few restraints on utilization and private insurers typically followed suit.<sup>36, 37</sup> More recently, however, the FDA has increased oversight and requirements for post-marked surveillance of approved therapies while CMS has stipulated conditions for payment (e.g., hospital volume thresholds, participation in clinical registries) in an effort to enhance ability to monitor quality and safety.<sup>38, 39</sup> For example CMS recently issued a 150-page national coverage determination that stipulated no-fewer-than 6 requirements for starting and maintaining a TAVR program (e.g., valve surgery volume, percutaneous coronary intervention volume, TAVR registry participation).<sup>40</sup>

At a healthcare financing level, both hospitals and physicians in the US are typically reimbursed for each procedure performed, thereby incentivizing volume. Moreover, as academic health centers adopt new therapies residents and fellows gain experience, increasing capacity and diffusion of innovation across the country.<sup>41</sup>

The regulatory environment in Ontario, like the rest of Canada, is quite different. As in the US provincial payers are judicious when approving hospital programs for new therapies such as EVAR, LVAD or TAVR. However, Ontario hospitals are typically funded for a fixed volume of procedures. While Ontario physicians are reimbursed on a fee-for-service basis, Ontario hospitals typically do not receive additional money for volumes exceeding specified thresholds.<sup>42, 43</sup> Therefore, Ontario hospitals have substantial incentive to restrain EVAR, LVAD, and TAVR volumes even if physicians would perform more. To focus on LVAD for example, Canadian and US guidelines are generally similar and evolve in a similar direction as new evidence becomes available.<sup>44, 45</sup> However in the US CMS began paying for LVADs for destination therapy in 2004, while OHIP only granted such approval in 2017 in very limited numbers.<sup>46</sup> Similarly Canadian and US guidelines for TAVR are generally similar.<sup>47, 48</sup> We suspect that recent studies demonstrating the effectiveness of TAVR in low-to-moderate risk patients will translate into a more rapid change in practice in the US relative to Canada.<sup>49, 50</sup>

Second, it is important to talk about how our work adds to the existing literature. Despite numerous "meta" studies comparing spending and mortality across countries using aggregated data (e.g., the Global Burden of Diseases studies),<sup>51, 52</sup> there are very few contemporary studies comparing utilization and outcomes for specific diseases and procedures across countries and many do not focus on cardiovascular conditions.<sup>53, 54</sup> Many

of the existing cardiovascular studies are older<sup>19, 20</sup> and more recent studies either do not include the US<sup>18</sup> or do not focus on the utilization of advanced therapeutics.<sup>55-57</sup>

There are few studies describing per-capita utilization of EVAR, LVAD, or TAVR within single jurisdictions and almost none evaluating between-country differences. Data from Ontario reported EVAR utilization of 33 procedures per-100,000 population (age ≥ 65 years) per-year in 2009,<sup>12</sup> while data demonstrated EVAR utilization in the US and England of approximately 40 and 14 per-100,000 (age ≥ 60 years) population in 2012 (England limits the use of EVAR because of the high upfront cost).<sup>58</sup> Studies have documented increases in the number of LVADs implanted in the US over time,<sup>13, 14</sup> but have not examined utilization rates. A recent study reported TAVR utilization was approximately 9 procedures per-100,000 US adults (age ≥ 18),<sup>59</sup> but we are unaware of any international comparisons. Our findings of higher utilization of all 3 procedures (EVAR, LVAD, and TAVR) in New York extends prior research and provides benchmarks that can be used by policy makers and researchers.

Third, it is important to consider the potential impact of differential utilization rates. We do not know what the correct utilization rates for EVAR, LVAD, and TAVR are. We also do not know if the higher utilization in the New York is entirely explained by underuse in Ontario or is entirely indicative of overuse in New York; the truth is likely somewhere in between with a component of overuse in New York and underuse in Ontario whereby some patients who would benefit from EVAR, LVAD, and TAVR are not receiving treatment.<sup>1-3</sup>

Fourth, our study should be considered in light of ongoing concerns over access to care for lower income Americans. American values with respect to healthcare seem to differ from their peers in other developed countries in published surveys.<sup>31, 60</sup> These differences in values seem to manifest themselves in surveys demonstrating that Americans are less likely to view differences in access to health care as fundamentally unjust<sup>31, 61</sup> and a less robust social safety net. For decades there has been an assumption that the patchwork nature of health insurance was a principal cause of the widespread health disparities observed in the US,<sup>62</sup> but very few studies have directly evaluated differential access to care or outcomes for patients of higher-and-lower socioeconomic status in the US relative to other countries. Much of the best work has come from Gorey et al who has methodically examined cancer care received by low-income Canadians and Americans.<sup>21, 63</sup> Our study provides reassuring data that utilization of EVAR and LVAD is similar among adults residing in lower income and higher income neighborhoods in both New York and Ontario, suggesting that lower income in New York may not be a tremendous barrier to receipt of these therapies. In contrast, utilization of TAVR was much lower for adults residing in lower income neighborhoods relative to higher income neighborhoods in New York (less so in Ontario).

Fifth, it is important to mention the higher mortality that we observed in Ontario. While most obvious for LVAD, lower utilization rates for all procedures in Ontario likely results in significant differences in the patients receiving procedures in Ontario and New York (a type of referral bias). Our reliance on administrative data means that we lack the nuanced clinical data required for adequate risk adjustment. The clinically implausible differences in prevalence of comorbid conditions (fewer comorbid conditions for patients in Ontario) mirrors results of prior studies using cross-border administrative data<sup>23, 54</sup> and likely



represents differences in coding practices rather than true differences in comorbidity. Given that the higher mortality in Ontario was observed in several adjusted models and persisted whether we looked at peri-procedural mortality (within 7-days) or in-hospital, further evaluation using clinical registries with far richer data will be crucial.

A number of other findings merit brief mention. We found a significantly higher percentage of EVAR and TAVR in New York performed on women compared to Ontario; the explanation for this difference is unclear. Our finding that higher utilization of EVAR and TAVR in New York were magnified in the elderly is important and may suggest a difference between countries in willingness to offer costly interventions to the aged. The significantly higher rates of comorbid conditions observed in the New York cohorts mirror findings in other studies using hospital discharge data and require comment.<sup>23, 54</sup> While it is possible that EVAR, LVAD, and TAVR recipients in New York are healthier than their Ontario counterparts, we are doubtful. Rather, the higher burden of comorbid conditions in New York likely reflect the incentives placed upon US hospitals to maximize coding of comorbid conditions,<sup>64</sup> a pressure that does not exist in Canada. The differences in coding of comorbid conditions have major implications for cross border comparative research using administrative data.

Our study has several limitations that warrant mention. First, our analysis is limited to administrative data from 1 Canadian province and 1 US State and should be generalized with care. Second, our study did not capture New York residents who may have received surgery outside of New York, thus artifactually reducing the New York utilization rate. Third, we lacked the clinical detail to understand indications for each procedure; without this level of detail we are unable to determine whether our findings indicate overuse in New York, underuse in Ontario or some combination. Fourth, we lacked the ability to adequately assess adjusted differences in mortality or evaluate mortality that occurred after hospital discharge or functional outcomes. Finally, we did not examine other complementary cardiovascular procedures including open aortic aneurysm repair and surgical aortic valve replacement that would influence the total number of aneurysm and aortic valve procedures performed in each jurisdiction. Future research should examine the balance between EVAR and open AAA repair, TAVR and surgical AVR as well as longer term trends in adoption and de-adoption of cardiovascular therapies.

In aggregate, our findings demonstrate marked variation in access to and utilization of EVAR, LVAD, and TAVR for populations residing in two geographically proximate jurisdictions with vastly different healthcare systems. Policymakers on both sides of the border would do well to contemplate how to reconcile finite budgets, potentially insatiable demand, and equitable access for effective but costly cardiovascular therapies.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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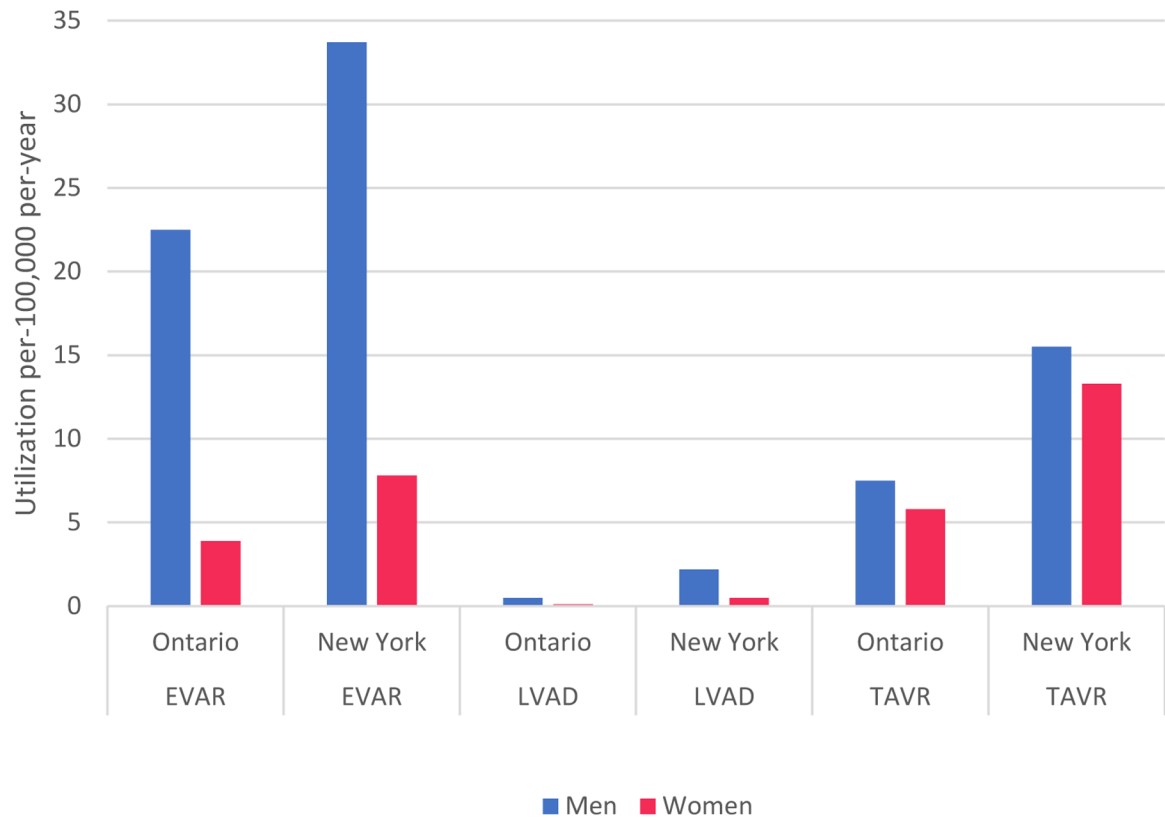
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**What is known**

- High healthcare spending in the US relative to other developed countries is thought to be due, in part, to higher utilization of costly procedures.
- There is little empirical data evaluating differences in utilization of advanced cardiovascular therapies (CV) in different countries.

**What the study adds**

- While age of endovascular aortic aneurysm repair (EVAR), left ventricular assist device (LVAD), and transcatheter aortic valve replacement (TAVR) recipients was similar in New York and Ontario, recipients of EVAR and TAVR in Ontario were significantly less likely to be female relative to New York
- We found that per-capita utilization of EVAR, LVAD, and TAVR were 57%, 430%, and 210% higher in New York State compared to Ontario.
- Our study provides evidence of substantial between-country differences in how advanced CV therapies are used.



**Figure.** Utilization (per-100,000 population, per-year) of EVAR, LVAD, and TAVR in Ontario and New York, stratified by sex



Characteristics of patients who underwent EVAR, LVAD, and TAVR in Ontario and New York in 2012–2015\*

Table 1:

	EVAR			LVAD			TAVR		
	Ontario (N=3295)	NY (N=6236)	P-value	Ontario (N=136)	NY (N=686)	P-value	Ontario (N=1708)	NY (N=4838)	P-value
Age, mean (sd)	74.6 (9.1)	74.5 (9.1)	0.61	57.4 (12.7)	57.7 (12.7)	0.802	83.1 (7.7)	83.1 (7.7)	1
Female, N(%)	522 (15.8)	1379 (22.1)	<.001	29 (21.3)	143 (20.8)	0.992	784 (45.9)	2505 (51.8)	<.001
Income Quintile 1 or 2	1300 (39.5)	2195 (35.2)	<.001	58 (42.6)	262 (38.2)	0.380	625 (36.6)	1060 (21.9)	<.001
Income Quintile 3	653 (19.8)	1137 (18.2)	0.063	27 (19.9)	112 (16.3)	0.380	348 (20.4)	713 (14.7)	<.001
Income Quintile 4 or 5	1337 (40.6)	2854 (45.8)	<.001	51 (37.5)	305 (44.5)	0.161	726 (42.5)	3040 (62.8)	<.001
Comorbid conditions									
Congestive heart failure	82 (2.5)	695 (11.1)	<.001	128 (94.1)	672 (98.0)	0.025	543 (31.8)	3922 (81.1)	<.001
Depression	13 (0.4)	420 (6.7)	<.001	11 (8.1)	50 (7.3)	0.884	9 (0.5)	271 (5.6)	<.001
Hypertension with complications	10 (0.3)	958 (15.4)	<.001	0 (0.0)	174 (25.4)	<.001	9 (0.5)	1399 (28.8)	<.001
Diabetes without complications	206 (6.3)	1128 (18.1)	<.001	SC <sup>‡</sup>	129 (18.8)	<.001	61 (3.6)	1202 (24.8)	<.001
COPD	310 (9.4)	1916 (30.7)	<.001	12 (8.8)	277 (40.4)	<.001	156 (9.1)	2289 (47.3)	<.001
Renal Failure	133 (4.0)	998 (16.0)	<.001	17 (12.5)	243 (35.4)	<.001	182 (10.7)	1623 (33.5)	<.001

\* Each patient counted only once in each cohort (so a patient who underwent both TAVR and LVAD could appear once for each)

<sup>‡</sup> SC = small cells, cells <6 cannot be identified due to ICES privacy regulations

Characteristics of hospitals that performed EVAR, LVAD, and TAVR in Ontario and New York\*

**Table 2:**

	EVAR			LVAD			TAVR		
	ON (N=165)	NY (N=224)	P-value	Ontario (N=165)	NY (N=224)	P-value	Ontario (N=165)	NY (N=224)	P-value
Hospitals performing procedure, number (%)	15 (9.1)	86 (38.4)	<.001	3 (1.8)	11 (4.9)	0.179	10 (6.1)	23 (10.3)	.198
Annual procedural volume, mean (SD)	58.4 (46.9)	19.7 (25.1)	.007	13.0 (7.8)	16.9 (14.9)	0.559	58.7 (27.9)	56.3 (48.5)	.859
Annual procedural volume, median (Inter-quartile range)	41.0 (18.0–93.0)	10.9 (3.3 – 23.9)	NA	9.0 (8.0–22.0)	9.1 (6.9 – 28.0)	NA	65.5 (32.0–76.0)	39.2 (24.7 – 74.8)	NA
Bed number, mean (SD)	406.1 (193.4)	536.0 (430.7)	.064	591.3 (393.5)	1068.7 (700.7)	0.175	462.4 (218.7)	871.7 (580.5)	.006
Major teaching* , number (%)	8 (53.3)	29 (34.5)	.244	3 (100.0)	10 (90.9)	1	8 (80.0)	18 (78.3)	1

\* Denominator is total number of hospitals performing the procedure of interest in the jurisdiction

**Table 3:** Per-capita EVAR, LVAD, and TAVR counts\* and utilization<sup>†</sup> (procedures per-100,000 per-year)

	Ontario				New York				LVAD Utilization			EVAR Utilization			TAVR Utilization				
	EVAR	LVAD	TAVR	EVAR/ TAVR Population	LVAD Population	EVAR	LVAD	TAVR	EVAR/ TAVR Population	LVAD Population	Ontario	NY	P- value	Ontario	NY	P- value	Ontario	NY	P- value
Total	3322	139	1722	6917889	11001544	6360	696	4853	9156195	15053173	12.8	20.1	<.001	0.3	1.3	<.001	6.6	14.3	<.001
Age group																			
Age<60	94	87	23	3967710	8051365	337	343	49	5471992	11368970	0.6	1.7	<.001	0.3	0.8	<.001	0.2	0.2	.094
Age, 60-69,	696	41	94	1501136	1501136	1513	242	267	1839471	1839471	12.4	22.6	<.001	0.7	3.6	<.001	1.7	3.9	<.001
Age, 70-79,	1,431	11	417	877739	877739	2433	103	976	1062198	1062198	43.5	63.6	<.001	0.3	2.7	<.001	12.7	24.7	<.001
Age, 80+	1,101	0	1188	571304	571304	2077	8	3561	782534	782534	51.4	75	<.001	0.0	0.3	0.024	55.5	123.6	<.001
Sex group																			
Men	2797	109	935	3316230	5346403	4950	552	2340	4237376	7165866	22.5	33.7	<.001	0.5	2.2	<.001	7.5	15.5	<.001
Women	525	30	787	3601659	5655141	1410	144	2513	4918819	7887307	3.9	7.8	<.001	0.1	0.5	<.001	5.8	13.3	<.001

\* Counts are total number of procedures, allowing for individual patients to undergo multiple procedures

<sup>†</sup>Total Utilization for NY are directly standardized to match the age and sex of the Ontario population.

Table 4: Evaluation of differences in age/sex standardized utilization by neighborhood income quintile (quintile 1, lowest income; quintile 5, highest income)

	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5
<b>EVAR</b>					
Ontario	13.3	13.6	12.5	12.3	11.8
New York	16.8	23.2	21.3	19.8	18.6
<b>LVAD</b>					
Ontario	0.4	0.4	0.3	0.3	0.3
New York	1.5	1.2	1.3	1.4	1.3
<b>TAVR</b>					
Ontario	5.7	7.1	6.7	6.2	6.8
New York	9.0	9.4	12.2	13.5	22.0

Utilization = procedures per-100,000 per-year.

**Table 5:** Unadjusted outcomes for recipients of EVAR, LVAD, and TAVR in Ontario and New York

	EVAR			LVAD			TAVR		
	Ontario (N=3522)	NY (N=6360)	P-value	Ontario (N=139)	NY (N=696)	P-value	Ontario (N=1722)	NY (N=4853)	P-value
Length-of-stay, mean (SD)	4.1 (9.3)	3.8 (6.2)	0.0587	57.5(50.1)	41 (34.9)	<.001	11.1 (15.4)	9.5 (9.1)	<.001
Length-of-stay, median (interquartile range)	2 (1,4)	2 (1, 4)	NA	45(29,75)	33 (23, 48)		7 (4, 12)	7 (4, 12)	NA
<u>Discharge disposition</u>									
Died in-hospital, within 7-days of admission, number, (%)	51 (1.5)	62 (1.0)	0.03	7 (5.0)	SC*	<.001	32 (1.9)	51 (1.1)	0.012
Died in-hospital within 7-days of procedure, number (%)	52 (1.6)	66 (1.0)	0.01	13 (9.4)	12 (1.7)	<.001	48 (2.8)	67 (1.4)	<.001
Died in-hospital, number (%)	69 (2.1)	108 (1.7)	0.1641	31 (22.3)	34 (4.9)	<.001	90 (5.2)	129 (2.7)	<.001
Home, number (%)	3,032 (91.3)	5678 (89.3)	0.0019	97 (69.8)	481 (69.1)	0.870	1,334 (77.5)	3281 (67.6)	<.001
Transfer to another acute-care hospital, number (%)	92 (2.8)	24 (0.4)	<.001	SC*	SC*	0.477	112 (6.5)	24 (0.5)	<.001
Post-acute-care, (%)	70 (2.1)	545 (8.6)	<.001	6-10(4.3-7.2) <sup>†</sup>	179 (25.7)	<.001	184(10.7)	1418 (29.2)	<.001
<u>Readmission</u>									
30-day hospital readmission, number (%)	293 (9.0)	707 (11.3)	0.001	27 (25.0)	158 (23.9)	0.804	251 (15.3)	822 (17.4)	0.051
90-day hospital readmission, number (%)	504 (15.5)	1252 (20.0)	<.001	53 (49.1)	255 (38.5)	0.037	434 (26.6)	1385 (29.3)	0.038

\* SC = small cells, cells <6 for Ontario and <10 for NY can not be identified due to privacy regulations

<sup>†</sup> Cells given as a range to comply with ICES policy regulations

**Table 6:**

Risk standardized outcome (point estimates and 95% confidence intervals) in Ontario and New York adjusted for demographics, neighborhood income, hospital procedure volume, and hospital length of stay

	EVAR			LVAD			TAVR		
	Ontario	NY	P-value	Ontario	NY	P-value	Ontario	NY	P-value
Hospital LOS, days (95% Confidence Intervals)*	4.4 (3.8–5.0)	3.7 (3.4–4)	0.055	70.9 (58.8–82.9)	39.2 (32.8–45.6)	<.001	10.4 (8.8–11.9)	8.7 (8.2–9.3)	0.050
Readmission within 90-days of discharge, % (95% Confidence Intervals)	11.4 (9.2–14.1)	16.4 (14.2–19.0)	0.090	37.5 (16.1–65.2)	67.8 (46.4–99.0)	0.841	20.1 (15.9–25.1)	25.4 (20.9–30.8)	0.951
Mortality <sup>‡</sup>									
In-hospital mortality, % (95% Confidence Intervals)	2.7 (1.7–4.2)	0.7 (0.4–1.2)	<.001	37.4 (15.2–66.6)	4.1 (1.6–10.6)	0.001	5.3 (3.2–8.5)	2.1 (1.3–3.5)	0.009
Mortality within 7-days of procedure, Model 1, % (95% Confidence Intervals)	1.6 (1.2–2.0)	1.0 (0.8–1.3)	0.029	8.4(4.2–15.9)	2.6 (1.4–5)	0.015	2.9(2.1–3.9)	1.4 (1–1.8)	0.001
Mortality within 7-days of procedure, Model 2, % (95% Confidence Intervals)	1.6 (1.2–2.1)	0.8 (0.6–1.2)	0.007	7.6 (4.0–14.0)	2.4 (1.1–4.9)	0.020	2.6(1.8–3.7)	1.5 (0.8–2.8)	0.147
Mortality within 7-days of procedure, Model 3, % (95% Confidence Intervals)	1.5 (1.2–2.1)	0.8 (0.6–1.2)	0.009	6.8 (3.5–12.6)	3.8 (1.8–7.8)	0.245	2.6(1.7–3.9)	1.5 (0.8–2.9)	0.164

\* Expected LOS for reference case derived from (age= mean age ON, sex= male, procedure volume= mean procedure volume ON, income group = 1st or 2nd quintile) based on GEE fits for each region.  
<sup>‡</sup> Probability for reference case for mortality derived from (age= mean age ON, sex= male, procedure volume= mean procedure volume ON, income group = 1st or 2nd quintile, LOS= 1st quartile per ON) based on GEE fits for each region. For mortality within 7-days of procedure Model 1 adjusted for hospital volume, Model 2 for comorbidities listed in Table 1, Model 3 for volume plus comorbidity with the reference cases the same as described above.