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***In vitro* evaluation of anti-fibrotic effects of select cytokines for vocal fold scar treatment**

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Abstract

Scarring of the vocal fold lamina propria (LP) can cause considerable voice disorders due to reduced pliability in scar tissue, attributed in part to abnormal extracellular matrix (ECM) deposition produced by the fibrotic vocal fold fibroblast (fVFF). Cytokines with anti-fibrotic potential have been investigated to limit abnormal LP ECM, but are limited by the need for repeat injections. Moreover, the potentially significant role played by activated macrophages (AMOs) is usually not considered even though the interaction between AMO and fibrotic fibroblasts is known to regulate scar formation across different tissues. AMO are also regulated by cytokines that are used for LP scar removal, but little is known about AMO behaviors in response to these cytokines within the context of LP scar. In the present study, we evaluated anti-fibrotic effects of hepatocyte growth factor (HGF), interleukin-10 (IL-10) and interleukin-6 (IL-6) in a 3D, *in vitro* fVFF-AMO co-culture system using poly(ethylene glycol) diacrylate (PEGDA) hydrogels. Data from all cytokines was synthesized into a heat-map that enabled assessment of specific associations between AMO and fVFF phenotypes. Cumulatively, our results indicated that both HGF and IL-10 are potentially anti-fibrotic (reduction in fibrotic markers and enhancement in normal, anti-fibrotic VFF markers), while IL-6 displays more complex, marker specific effects. Possible associations between AMO and fVFF phenotypes were found and may highlight a potential desirable macrophage phenotype. These data support the therapeutic potential of HGF and IL-10 for LP scar treatment, and shed light on future strategies aimed at targeting specific AMO phenotypes.

Keywords

vocal fold scar; cytokine treatment; 3D cell culture; macrophages; fibroblasts

INTRODUCTION

Scarring in vocal fold lamina propria (LP) is a debilitating condition that can lead to voice disorders from hoarseness, fatigue or even total loss of voice based on severity of scarring.

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¹⁻³ Upon scarring, healthy LP tissue is replaced by stiff, fibrotic tissue with a disorganized extracellular matrix (ECM). The major source of abnormal ECM in LP scar is the resident vocal fold fibroblasts (VFFs) which are activated toward a fibrotic, myofibroblastic phenotype (fVFF). Histological characterization of vocal fold scar over the past several years has revealed a number of alterations in ECM including: (1) formation of thick and disorganized collagen bundles,⁴ (2) increased presence of alpha-smooth muscle actin (α -SMA), fibronectin and biglycan, and (3) decreased presence of hyaluronic acid and decorin.^{2,5-7} Traditional treatments for vocal fold scarring, including surgery and augmentation using collagen or fat, have proved insufficient due, in part, to their inability to reverse the abnormal ECM production and elicit healthy tissue regeneration.^{3,8}

Researchers have been investigating bioactive treatments for vocal fold scar such as anti-fibrotic growth factors and cytokines including basic fibroblast growth factor (bFGF) and hepatocyte growth factor (HGF). Both cytokines have demonstrated the capacity to improve LP biomechanical properties *in vivo*, reverse undesirable ECM production such as collagen and α -SMA, and promote hyaluronic acid expression both *in vitro* and *in vivo*.⁹⁻¹³ While simple and partially effective, cytokine injections are limited by rapid *in vivo* absorption and potential need for multiple dosing, potentially causing secondary injuries and further exacerbating scar formation.¹⁴ To prolong cytokine retention, different biomaterial-based loading systems have been developed, successfully extending release up to 1 month *in vivo*.^{11,15,16} However, these loading systems are still incapable of completely restoring vocal fold function, partly attributed to the following: (1) unknown desired cytokine dose and unclear underlying biological mechanism(s) and (2) necessity for repeated surgeries in most cases.^{9,11,17} These factors are important for several reasons. First, determining/understanding the underlying biological mechanisms is essential for the development of future strategies aimed at completely restoring vocal fold function. Second, injury to the vocal fold through cutting (as would be performed during surgery) has been shown to induce a significant activated macrophage (AMO)-based inflammatory response,¹⁸ and macrophages have been shown to directly influence fVFF behavior.¹⁹

Macrophages are highly plastic, professional phagocytes that reside in nearly every tissue. Their phenotype is often identified by the specific protein marker profiles that enable them to interact with their surroundings, including other cell types in the tissue. Interactions between macrophages and fibroblasts are critical in wound healing and tissue repair in contexts such as skin, lung and liver injury. Generally, macrophages take on a variety of phenotypes through the processes of wound healing and fibrosis. While many macrophage phenotypes (defined by the molecules they secrete/produce) often have both pro- and anti-fibrotic properties,²⁰ the anti-inflammatory/pro-resolving AMO which appears during the final stage of tissue repair has shown capacity of fibrosis resolution through secretion of interleukin-10 (IL-10) and other molecules.²¹⁻²³ Aside from this knowledge, the specific correlations between a given macrophage phenotype and the resulting fibroblast phenotype has yielded contradictory and inconsistent results, owing to a variety of factors including: (1) non-uniform definitions of a macrophage phenotype,^{24,25} (2) lack of studies which examine multiple fibroblast and macrophage phenotypic markers at a time, and (3) context-dependent nature of a given cytokine/growth factor on fibrosis. For example, pro-inflammatory AMO products such as TNF- α often exert both pro-fibrotic (stimulate fibroblast migration and

activation in skin, kidney and lung¹⁹) and anti-fibrotic properties (accelerate pulmonary fibrosis resolution, promote vocal fold ECM degradation^{26,27}). Similarly, anti-inflammatory AMO markers such as arginase-1 (Arg-1) and VEGF all exhibit both pro- and anti-fibrotic effects under specific environments.^{20,28,29} Because of these reasons, at present, the beneficial or detrimental contributions of macrophages in fibrosis, including the scarred vocal fold, are unclear. Generally, the anti-inflammatory/pro-resolving macrophage phenotype has emerged as a candidate for anti-fibrotic outcomes.

It is likely that any successful biomaterial-based strategy for VF scar treatment must address the underlying cell and tissue biology (interactions between AMO and fVFF) driving inflammation, wound healing, and fibrosis. Ideally, the approach would promote favorable phenotypes in both fVFF and AMO. Unfortunately, the desired AMO phenotype is still largely unclear, rendering it difficult to design a targeted strategy for the purpose of fibrosis resolution. With these design criteria in mind, the goals of the present study were: (1) to evaluate anti-fibrotic potential of several additional bioactive molecules with important roles in inflammation and fibrosis and (2) to utilize fVFF and AMO phenotypic assessments across all cytokine/growth factor treatments to gain a deeper understanding of associations between fVFF and AMO. Toward these goals, we have tested the anti-fibrotic benefit of three promising cytokines, including HGF, IL-10 and interleukin-6 (IL-6), using a 3D co-culture design with fVFF-AMO encapsulated in poly(ethylene glycol) diacrylate (PEGDA) hydrogels (Figure 1).

MATERIALS AND METHODS

Cell expansion and activation

Vocal fold fibroblasts (VFFs) were isolated from primary explants of the mid-membranous LP of 6–12-month-old pigs, an accepted animal model for the human vocal fold LP.^{2,30} The cryopreserved VFF were thawed and expanded at 37°C/5% CO₂ in cell culture media, which consists of Dulbecco's Modified Eagle's Medium (DMEM, Cellgro) supplemented with 10% fetal bovine serum (FBS, Hyclone), 2 ng mL⁻¹ bFGF, 100 U mL⁻¹ penicillin and 100 pg mL⁻¹ streptomycin (Gibco). A cryopreserved mouse macrophage cell line, Raw 264.7 (ATCC), was thawed at 37°C and expanded in a monolayer culture. Macrophages were maintained at 37°C/5% CO₂ in cell culture media containing DMEM supplemented with 10% FBS (Hyclone), 100 U mL⁻¹ penicillin and 100 µg mL⁻¹ streptomycin.

Vocal fold fibroblast and macrophages were seeded and activated for 4 days before encapsulation with activation media (AM, cell culture media supplemented with 1 µg mL⁻¹ lipopolysaccharide [LPS] from *Salmonella enterica* serotype enteritidis [Sigma Aldrich] and 5 ng mL⁻¹ transforming growth factor-β1 [TGF-β1, recombinant human, Millipore GF111]) [Figure 1(A)]. LPS and TGF-β1 were chosen to mimic the complex microenvironment during chronic scar and acute inflammation, and we have previously shown this treatment to result in a fVFF phenotype and an AMO phenotype similar to that seen in biomaterial-treated dermal wounds.¹³

Hydrogel fabrication and maintenance

Poly(ethylene glycol) diacrylate was synthesized from PEGdiol (10 kDa; Sigma-Aldrich) at ~92% acrylation as reported previously.³¹ Immobilized cytokines and cell adhesion peptide were prepared through PEGylation.^{32,33} Recombinant human hepatocyte growth factor (rhHGF; Millipore GF414), recombinant human interleukin-10 (rhIL-10; Millipore GF419) and recombinant murine interleukin-6 (rmIL-6; R&D Systems 406-ML/CF) and the cell adhesion peptide NH₂-Arg-Gly-Asp-Ser-COOH (RGDS; American Peptide) were reacted with acryloyl-PEG-succinimidyl valeric acid (ACRL-PEG-SVA) (3.4 kDa; Laysan Bio) at the molar ratio of 1:6 (for HGF), 1:3 (for IL-10 and IL-6) and 1:1 (for RGDS), respectively. The resulting ACRL-PEG-cytokine was used immediately after preparation (to avoid bioactivity loss with freeze-thaw cycles) and ACRL-PEG-RGDS was purified by dialysis, then lyophilized and stored at -80°C until use.

Hydrogels were fabricated by photo-crosslinking of a precursor solution containing 100 mg mL⁻¹ 10 kDa PEGDA (92% acrylate), 1 mM ACRL-PEG-RGDS alone or with addition of ACRL-PEG-cytokine in phosphate-buffered saline (PBS; Gibco) and 1 vol/vol % of the photoinitiator Irgacure (262 mg mL⁻¹ in 70% ethanol; Sigma Aldrich). The final concentration of ACRL-PEG-cytokine was adjusted to 200 ng mL⁻¹ for HGF and 50 ng mL⁻¹ for IL-10 and IL-6, based on previous studies showing their potential anti-fibrotic and/or anti-inflammatory benefits.³⁴⁻³⁶ The precursor solution underwent sterilization and endotoxin removal using 0.2 µm Acrodisc® Units with Mustang® E Membrane filter (Pall Life Science). PEGDA molecular weight and concentration were selected to yield hydrogels with average dynamic elastic moduli appropriate to vocal fold applications,³⁷ and RGDS concentration was selected based on previous work.¹³

After being activated for 4 days, fVFF (passage 6) and AMO were harvested and re-suspended in the corresponding precursor solution at a cell density of ~5 × 10⁶ cells mL⁻¹ for each cell type. Hydrogel discs were cast in a 48-well plate (BD Falcon) with 200 µL of cell suspension per well and 6 min exposure to long wavelength UV light for polymerization (Spectroline; ~6 mWcm⁻², 365 nm). Hydrogel formulations are shown in Figure 1(B). Polymerized hydrogels were transferred to their respective mono-culture and co-culture groups in 12-well plates (BD Falcon) with cell culture inserts (BD Falcon; 12 mm diameter, 0.8 µm pores). For co-culture groups, AMO-containing hydrogels were placed on top of the insert and fVFF-containing hydrogels below [Figure 1(B)]. Hydrogel constructs were immersed in activation media and maintained at 37°C/5% CO₂ for 72 h. Media was changed daily. Hydrogels were harvested at 72 h, flash frozen in liquid nitrogen and stored at -80°C.

Endpoint analyses

Fibrotic vocal fold fibroblast and activated macrophage constructs were homogenized and lysed for protein extraction, as previously reported.^{13,38} Briefly, hydrogels were placed in contact with lysis binding buffer (Invitrogen) (300 µL for AMO-containing gels and 350 µL for fVFF-containing gels), homogenized using a plastic RNase free pestle (Kimble Chase) and incubated at room temperature for 15 min. Following incubation, the samples were centrifuged for 5 min at 10,000 rpm and protein-containing supernatant was collected. Total DNA was measured by Quant-iT™ PicoGreen dsDNA Assay (Invitrogen) following the

manufacturer's protocol. DNA levels were utilized for normalization of protein levels on a per cell basis.

Western blot—Western blots were used to semiquantitatively compare levels of proteins expressed by fVFF to evaluate phenotypic changes that occurred with individual cytokine treatment, as described previously.^{13,39} Targeted proteins of each sample were quantified via integrated band densitometry, normalized to DNA amount loaded, and further normalized to the fVFF mono-culture control experimental group. Primary antibodies associated with profibrotic and anti-fibrotic properties were selected to assess fVFF phenotype in response to cytokine treatment (Table S1). An in depth justification for selecting these proteins to analyze fVFF phenotype is provided in detail in the “Justification of Experimental Parameters” section of this manuscript.

MAGPIX immunoassay multiplexing—Protein production of select cytokines and growth factors from AMO were quantitatively assessed with MAGPIX immunoassay multiplexing (Luminex). AMO protein lysates were reacted with a MILLI- PEX[®] MAP kit of mouse cytokine/chemokine containing tumor necrosis factor alpha (TNF- α), IL-6, IL-10, interleukin 12 p40 (IL-12), vascular endothelial growth factor A (VEGF), and arginase-1 (Arg-1) following the manufacturer's protocol (Millipore). Concentrations of protein of interest were obtained from a median fluorescence intensity relative to a standard curve. Results were first normalized to sample DNA concentration and then subsequently normalized to the AMO mono-culture control experimental group. An in depth justification for selecting these proteins to analyze macrophage phenotype is provided in detail in the “Justification of experimental parameters” section of this manuscript.

Justification of experimental parameters

***In vitro* evaluation of anti-fibrotic potential with PEGDA hydrogels**—Many reasons for utilizing PEGDA hydrogels for vocal fold scar restoration have been justified previously.¹³ In brief, PEGDA hydrogels can be used as a platform for prolonging cytokine release (3–6 months due to hydrolysis mediated degradation of tethered proteins)⁴⁰ to greater extents than other VFF material systems (i.e. gelatin).⁴¹ Prolonged retention may circumvent problems associated with multiple dosing (i.e. cost and secondary injuries¹⁴). Since no cytokine in our study (HGF, IL-10, and IL-6) requires internalization to change cell behaviors,^{42–45} covalently tethering of these molecules is believed to prolong their effective dosage. In addition, previous studies have demonstrated enhanced growth factor bioactivity with PEG-tethering relative to treatment with the growth factor in solution.⁴⁶ Lastly, PEGDA-based hydrogels with the formulation utilized herein have mechanical properties which are able to preserve the vocal fold mucosal wave with low phonation threshold pressure⁴⁷ and can be injected and cross-linked *in vivo* through trans-epithelial light exposure.⁴⁸ Relative to *in vivo* models, the 3D *in vitro* models with PEGDA provide a more controlled platform to gain deeper understanding of the underlying cellular mechanisms behind specific therapeutic effects of cytokines.

Culture duration and pre-activation with LPS/TGF- β 1—Biomaterial insertion can elicit a foreign body response (FBR), leading to non-specific protein adsorption, inducing

inflammation and promoting fibrosis.⁴⁹ In our study, hydrogel discs were cultured for 72 h after encapsulation in order to assess their short-term anti-fibrotic effects and immediate immunomodulatory potential of cytokines in the acute phase of biomaterial implantation-associated FBR to prevent such potential undesirable effects from happening *in vivo*.

In order to establish cell phenotypes consistent with scar and short-term biomaterial-insertion, activation media containing LPS and TGF- β 1 was used.^{18,50} We have previously demonstrated that this activation induces normal VFF to take on characteristics of a fibrotic phenotype, and AMO to take on phenotype similar to that seen in chronic scar as well as many biomaterial-treated dermal wounds^{51,52} (loosely, a phenotype between the traditional pro- and antiinflammatory classifications¹³).

Tethered anti-fibrotic cytokine and growth factor selection—Generally, all selected bioactive molecules (HGF, IL-10, and IL-6) were chosen based on their known or potential anti-fibrotic properties on vocal fold and/or other tissues. Moreover, these molecules potentially induce an antiinflammatory AMO phenotype similar to macrophages found to have potential anti-fibrotic effects.^{53–55} Specifically, HGF was previously reported to improve LP scar tissue biomechanical properties and reverse fibrotic ECM production *in vivo* and *in vitro*^{11,56,57} and was reported to attenuate inflammation associated with many diseases, including during vocal fold reconstruction.¹⁵

Interleukin-10 has been shown to regulate dermal and lung fibroblasts, in part, through reducing expression of collagen and α -SMA expression^{35,58–60}, but its effect on vocal fold scar has not been studied yet. On the other hand, IL-10 has been consistently used as an anti-inflammatory molecule as well as a marker for anti-inflammatory/pro-resolving AMO.^{22,55,61}

Interleukin-6 is a pleiotropic cytokine with respect to fibrosis regulation, exhibiting both pro- or anti-fibrotic properties.^{62,63} Research about IL-6 as a potential anti-fibrotic mediator in LP scar is limited, but one study indicated that IL-6 exhibited anti-fibrotic benefit on VFF activated by TGF- β 1.³⁶ IL-6 effects on inflammation and AMO phenotype is also pleiotropic with evidence supporting both pro- and antiinflammatory roles.^{64,65} Limited studies have focused on direct evaluation of IL-6 as a treatment to AMO, but IL-6 knockout mice displayed dysregulation in the balance between pro- and anti-inflammatory AMO in skin, indicating the immunomodulatory role of IL-6 on AMO phenotype.⁶⁵ Cumulatively, HGF, IL-10, and IL-6 all possess potential for reducing scar and inducing a pro-resolving AMO phenotype. Therefore, their potential effects in the unique and complex LP scar condition are worth further assessment.

Markers assessed for VFF and AMO phenotype evaluation—Histological characterization of scarred vocal fold tissue over the past several years has revealed a number of alterations in ECM including: (1) formation of thick and disorganized collagen bundles, (2) increased presence of α -SMA, fibronectin and biglycan, and (3) decreased presence of hyaluronic acid and decorin. In the present study, we looked into changes directly in protein expression of all above fibrotic proteins (α -SMA, Col-1, and biglycan) and anti-fibrotic proteins (decorin and HAS-2) in fVFF. This panel of markers is generally

more extensive than previous studies, most of which have only investigated 2–3 markers.^{10,11,34,36,57,58,66–68}

A panel of markers were selected to evaluate AMO behavior based on the following commonly-studied roles in either inflammation (TNF- α IL-6 and the ratio between IL-10 and IL-12 (IL-10/IL-12) or wound healing (Arg-1 and VEGF). Moreover macrophages contribute to fibrosis through the production of many of these proteins²⁰ although the specific contribution of each is difficult to discern for reasons denoted in the “Introduction”. Previous studies related to characterizing macrophage phenotype (in response to cytokines^{53,65, 69–76} or in vocal fold injuries^{18,77}) only examined two to three AMO markers, usually limited to a specific role (i.e. inflammation). Our panel goes beyond this by examining several additional inflammation markers as well as wound healing markers, each with a distinct role in the wound healing process. Generally, TNF- α has been identified as a pro-inflammatory cytokine with an important role in multiple inflammation associated diseases,⁷⁸ and has also been demonstrated to exert both pro- and anti-fibrotic properties.^{79–81} IL-6 is a highly pleo- tropic, context-dependent cytokine with respect to inflammation (both pro- and anti-inflammatory functions⁶⁴) and fibrosis (pro- and anti-fibrotic properties^{36,62,63}), meaning its specific role depends on a variety of factors, including tissue type, signaling pathways, dosage, and timing.^{42,62,63,82–85} Rather than analyzing IL-10 and IL-12 separately, the ratio of IL-10 to IL-12 has been identified as a more meaningful phenotypic readout for characterizing macrophage phenotype,²⁹ with an increase in this ratio representing antiinflammatory processes^{61,86,87} and/or the resolution of inflammation.⁷² Arg-1 and VEGF have been demonstrated to be important for wound healing with respect to collagen synthesis and angiogenesis, respectively.^{88,89}

Statistical analyses

All data is reported as the mean \pm standard error of the mean. Means were compared using a two-way ANOVA ($n = 3–9$ samples per group). This statistical test is appropriate when the experimental design seeks to test the effects of two independent variables (the presence or absence of cytokine and the presence or absence of co-culture) on a dependent variable (production of a specific protein). A two-way ANOVA was utilized to determine significance resulting from main effects of either independent variable (i.e. the cytokine or the co-culture) or significance resulting from the interaction between these two variables. For all tests, a $p < 0.05$ was considered significant and SPSS software was utilized. For the purposes of constructing the heat-map, a $p < 0.10$ was utilized to determine proteins that were weakly affected (non-significantly) by the cytokine in question. This value was selected somewhat arbitrarily but reflects an acceptable level of significance in other fields. Moreover, there were a number of proteins that fell within the 0.05–0.10 range when the next closest p -value was 0.206.

RESULTS

Fibrotic VFF and activated macrophages phenotype change in response to cytokines

After culturing in activated media for 72 h, fVFF and AMO containing hydrogels were collected and fVFF and AMO phenotypes were characterized via protein level assessments

(western blot and MAGPIX). For the purpose of presenting the data in a simplistic and logical manner and since one goal of this study was to determine anti-fibrotic/antiinflammatory potential of select cytokines, the following sections will be divided into effects from individual cytokines on fVFF and AMO.

HGF effects on fVFF and AMO—Relative to fVFF encapsulated in PEG-only hydrogels, the incorporation of HGF resulted in a significant reduction in the fibrotic marker α -SMA [$p < 0.001$, Figure 2(A)]. Other fibrotic markers such as Col-1 and biglycan were statistically unaffected by HGF incorporation, although they showed a decreasing trend [$p = 0.089$ and 0.100 ; Figure 2(A)]. In contrast to fibrotic markers, HGF-tethered hydrogels significantly increased the production of anti-fibrotic markers HAS-2 and decorin relative to PEG-only controls [$p = 0.012$ and 0.004 ; Figure 2(A)]. These data suggest that HGF can limit the fibrotic phenotype and simultaneously enhance anti-fibrotic phenotype of fVFF in the context of 3D mono- and co-culture conditions.

Relative to AMO encapsulated in PEG-only hydrogels, the incorporation of HGF resulted in a significant decrease in IL-6 expression, often considered as a pro-inflammatory marker [$p = 0.039$; Figure 2(B)]. In contrast, HGF-tethered hydrogels significantly increased the ratio of IL-10/IL-12, an antiinflammatory marker [$p < 0.001$, Figure 2(B)]. No statistical differences were noted between PEG-only and PEG-HGF hydrogels with respect to TNF- α ($p = 0.103$), Arg-1 ($p = 0.059$) or VEGF ($p = 0.057$); (Figure 2(B)). These data suggest that HGF partly suppresses the pro-inflammatory while promoting an anti-inflammatory, pro-resolving phenotype in AMO, with minimal effect on wound healing markers.

IL-10 effects on fVFF and AMO—Relative to fVFF encapsulated in PEG-only hydrogels, the incorporation of IL-10 resulted in a significant reduction in the fibrotic markers α -SMA and Col-1 [$p < 0.001$ and 0.003 , Figure 3(A)]. Biglycan was statistically unaffected by HGF incorporation, although it showed a decreasing trend [$p = 0.073$; Figure 3(A)]. In contrast to fibrotic markers, IL-10 did not influence the production of anti-fibrotic markers HAS-2 or decorin, relative to PEG-only controls [Figure 3(A)]. These data suggest that IL-10 can limit the fibrotic phenotype without altering the anti-fibrotic phenotype of fVFF in the context of 3D mono- and co-culture conditions.

Relative to AMO encapsulated in PEG-only hydrogels, the incorporation of IL-10 resulted in a significant increase in the ratio of IL-10/IL-12, a resolving marker [$p = 0.033$, Figure 3(B)]. In contrast, the wound healing marker Arg-1 was significantly decreased in AMO encapsulated in IL-10 containing hydrogels relative to PEG-controls [$p = 0.034$; Figure 3(B)]. No differences were noted between PEG-only and PEG-IL-10 hydrogels with respect to IL-6, TNF- α , or VEGF [Figure 3(B)]. These data suggest that IL-10 promotes an anti-inflammatory phenotype with a concurrent suppression in select wound-healing markers (i.e. Arg-1) in AMO.

IL-6 effects on fVFF and AMO—Relative to fVFF encapsulated in PEG-only hydrogels, the incorporation of IL-6 resulted in a significant increase in the fibrotic marker biglycan [$p = 0.015$, Figure 4(A)]. Other fibrotic markers such as α -SMA and Col-1 were unaffected by IL-6 incorporation [Figure 4(A)]. Similar to the fibrotic markers, IL-6 exhibited a marker

specific influence on anti-fibrotic markers. Specifically, decorin was significantly increased while HAS-2 remained unchanged in PEG-IL-6 hydrogels relative to PEG- only controls ($p = 0.008$ and 0.347 ; Figure 4). These data suggest that IL-6 contributes to a complex, marker-dependent fVFF phenotype with both fibrotic and antifibrotic features in the context of 3D mono- and co-culture conditions.

Relative to AMO encapsulated in PEG-only hydrogels, the incorporation of IL-6 resulted in a significant decrease in the wound healing markers Arg-1 and VEGF [$p < 0.001$ and $p = 0.007$, Figure 4(B)], and significant decrease in endogenous expression of IL-6 ($p < 0.001$, Figure 4B). No differences were noted between PEG-only and PEG-IL-6 hydrogels with respect to TNF- α or IL-10/IL-12 [Figure 4 (B)]. These data suggest that IL-6 partly suppresses the proinflammatory phenotype and more fully suppresses the wound healing phenotype in AMO.

Summary of cytokine effects

To summarize the above data and enable comparisons of the cytokine in question on the individual phenotypes of fVFF and AMO, a heat map was generated (Figure 5). Results from all markers were color-coded with directionality and degree of change (dark blue: significantly decreased, $p < 0.05$; light blue: decreased, $p < 0.10$; dark red: significantly increased, $p < 0.05$; light red: increased, $p < 0.10$). The rightmost column indicates the most desirable VFF phenotype based on previous findings on healthy VFF characteristics: decrease in pro-fibrotic ECM proteins (α -SMA, Col-1, biglycan) and increase in anti-fibrotic/healthy ECM proteins (HAS-2 and decorin). There is no rightmost column for the AMO phenotype because this phenotype is largely unknown.

Cumulatively, data from both fVFF and AMO indicated two major points: (1) HGF and IL-10 displayed the most anti-fibrotic/anti-inflammatory, pro-resolving potential (i.e. more boxes in same colors as desired VFF phenotype; blue boxes in the inflammatory AMO markers and red boxes in anti-inflammatory/pro-resolving marker of AMO) (2) IL-6 exerted pleiotropic effects on fibrotic markers and reduced the wound healing AMO markers (boxes with both consistent and opposite color as desired fVFF markers; blue boxes in AMO wound healing markers).

DISCUSSION

Vocal fold scar treatment remains problematic owing, at least in part, to limited understanding of the interactions between fVFF and AMO. Certain cytokines (HGF, IL-10, and IL-6) are emerging as potential anti-fibrotic, bioactive molecules but have not been investigated in depth for vocal fold repair. Moreover, the potentially desirable effects of any above cytokine treatment on AMO phenotype is unclear. To address these issues, the goals of the present study were: (1) to determine potential anti-fibrotic benefit of HGF, IL-10, and IL-6 in a 3D *in vitro* model of VF scar and (2) to gain a deeper understanding of associations between fVFF and AMO in the context of VF scar. Toward our first goal, we first compare results from each individual cytokine to those previously reported in literature. Afterwards (and toward our second goal), we discuss a heat-map integrating effects from each cytokine to determine a potential AMO phenotype associated with an anti-fibrotic fVFF phenotype.

Protein level analyses of fVFF revealed an overall beneficial effect of HGF, transitioning fVFF toward a more normal, healthy phenotype ($\downarrow\alpha$ -SMA, \uparrow HAS-2, and \uparrow decorin). Changes found in α -SMA and HAS-2 are consistent with previously reported *in vitro* studies.^{36,66} To our knowledge, the decrease in decorin in response to HGF is a novel finding, as this has not yet been measured on a cellular level in response to HGF treatment. With respect to AMO phenotype, HGF suppressed IL-6 protein expression and increased the IL-10/IL-12 ratio in the present study. These findings are in agreement with the overall anti-inflammatory effects of HGF on macrophage phenotype. Specifically, pre-treatment of HGF was able to suppress IL-6 and increase IL-10 expression from LPS-activated bone marrow derived macrophages and RAW 264.7 cell line,^{53,73} remarkably similar to our results.

Similar to HGF, protein level analyses of fVFF revealed an overall beneficial effect of IL-10, transitioning fVFF toward more normal, healthy phenotype ($\downarrow\alpha$ -SMA, \downarrow Col-1). Although IL-10 has not yet been investigated in the context of vocal fold scarring, these beneficial effects are generally consistent with those reported in dermal scar treatment.^{35,58,59,90} For example, Shi and colleagues⁵⁸ have found that IL-10 reduces Col-1, Col-3, and α -SMA protein and gene expression. However, our decorin results are different than those of Yamamoto et al.³⁵ which demonstrated that IL-10 increased decorin in fibroblasts stimulated with TGF- β 1. This inconsistency may be explained by a variety of factors (i.e. skin fibroblast vs. VFF, gene expression vs. protein expression, and different treatment times). With respect to AMO phenotype, IL-10 treatment increased the IL-10/IL-12 ratio, a marker for anti-inflammatory, pro-resolving macrophages, in agreement with the general anti-inflammatory and pro-resolving role of this cytokine in many contexts.⁹¹⁻⁹⁴

In contrast to the strictly anti-fibrotic effects of HGF and IL-10, IL-6 treatment demonstrated a limited, marker-dependent, pleiotropic effect (\downarrow biglycan and \uparrow decorin). These results may reflect the overall pleiotropic effects of IL-6 in scar formation, which depend on a variety of factors including tissue, dosage and timing.^{62,63,85} While assessments in vocal fold contexts have been limited, our α -SMA data is different from Vyas et al.,³⁶ where IL-6 treatment was found to reduce α -SMA expression. Differences between the current study and these findings can be attributed to any combination of the following: pre-activation formulation (with or without LPS), treatment duration (72 h vs. 24 h), and/or culture dimension (3D vs. 2D). For the AMO response, IL-6 treatment significantly suppressed endogenous IL-6, Arg-1, and VEGF expression. These effects are inconsistent with existing studies, but none were conducted within the context of VF scar.^{75,95,96} Cumulatively, IL-6 exhibited complex, marker-dependent effects on both fVFF and AMO.

Our second aim was to gain deeper insight into associations between AMO and fVFF phenotypes in order to better target a desired AMO phenotype potentially associated with an anti-fibrotic outcome. To better interpret potential phenotypic associations between AMO and fVFF, we integrated data across all cytokines to reorganize the heat map with specific clusters of proteins from fVFF and AMO cells (Figure 6). Cumulatively two major associations were found: (1) a decrease in pro-fibrotic markers of fVFF was associated with a decrease in markers favoring inflammation (i.e. \downarrow TNF- α , \downarrow IL-6, and \uparrow IL-10/IL-12 ratio) from AMO (blue boxes from top panel appear together) and (2) specific wound healing macrophage markers were associated with specific anti-fibrotic fVFF markers (increased

HAS-2 only occurred when Arg-1 was weakly reduced; lower VEGF was associated with increased level of decorin).

Our data suggests that a decrease in pro-inflammatory markers (TNF- α , IL-6) and an increase in anti-inflammatory, pro-resolving markers (i.e. IL-10/IL-12) may favor antifibrotic outcomes through the reduction in fibrotic proteins. This conclusion is supported through several studies demonstrating pro-fibrotic effects of excess inflammation across scarring contexts (vocal fold, kidney and intestine^{18,80,97,98}) as well as the emerging importance for the resolution of inflammation in fibrosis. While IL-6 is a pleiotropic cytokine in inflammation,^{64,65} this cytokine clustered well as a proinflammatory marker in the current study, potentially indicating a pro-fibrotic role in VF contexts.

In contrast to the relationship between inflammatory cytokines and pro-fibrotic proteins, associations between the wound healing markers and anti-fibrotic proteins were found. Our inverse relationship between decorin and VEGF is supported through previous studies demonstrating decorin's capacity to inhibit VEGF expression and angiogenesis.^{99,100} While opposing effects of VEGF and decorin could be confirmed with literature support, direct literature support for the potentially positive relationship between Arg-1 and HAS-2 is lacking. At present, we have no explanation for this finding.

Several limitations to the present study merit comment. First, while we were able to generate general phenotypic associations between markers, any potential cause-effect relationships between these phenotypes remains to be determined. Second, although we may have identified desirable AMO phenotypic characteristics, designing a therapy which elicits these general responses from AMO could be challenging. Third, while the current study extensively profiled fVFF and AMO phenotypes with several phenotypic readouts, the selected proteins are by no means exhaustive. Including additional markers may help uncover novel and more specific associations. To address these issues, future work will need to assess the temporal evolution of the phenotype for each cell type with additional phenotypic markers and design a high throughput screening platform to identify compounds which elicit the desired AMO phenotype. Lastly, while tethering growth factors has been shown to preserve bioactivity for other molecules like bone-morphogenetic protein-2,⁴⁶ any potential loss in bioactivity in the tethered molecules utilized herein (HGF, IL-6, and IL-10) relative to their soluble form will need to be determined in future work.

CONCLUSIONS

Utilizing a PEGDA hydrogel based fVFF-AMO co-culture model, the present study revealed the potential anti-fibrotic benefit of HGF and IL-10. These cytokines transitioned fVFF toward a more normal, healthy phenotype - assessed through a reduction in fibrosis associated markers and increase in normal tissue associated markers. IL-6 exhibited both pro- and anti-fibrotic features in our culture environment. Specific associations between AMO and VFF phenotype have been investigated, and an AMO phenotype with relatively lower expression of TNF- α , IL-6, IL-12, and VEGF, relatively higher expression of IL-10, and a minor reduction of Arg-1 was identified as a potential AMO phenotype with anti-fibrotic properties.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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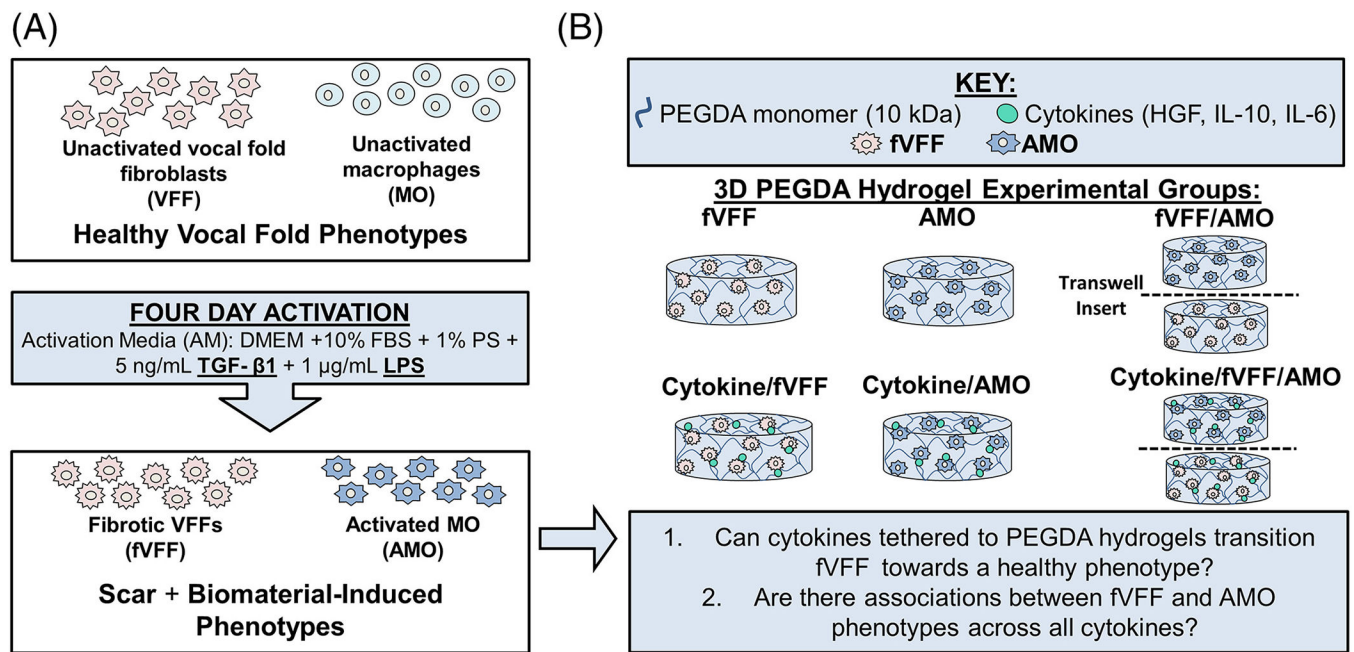
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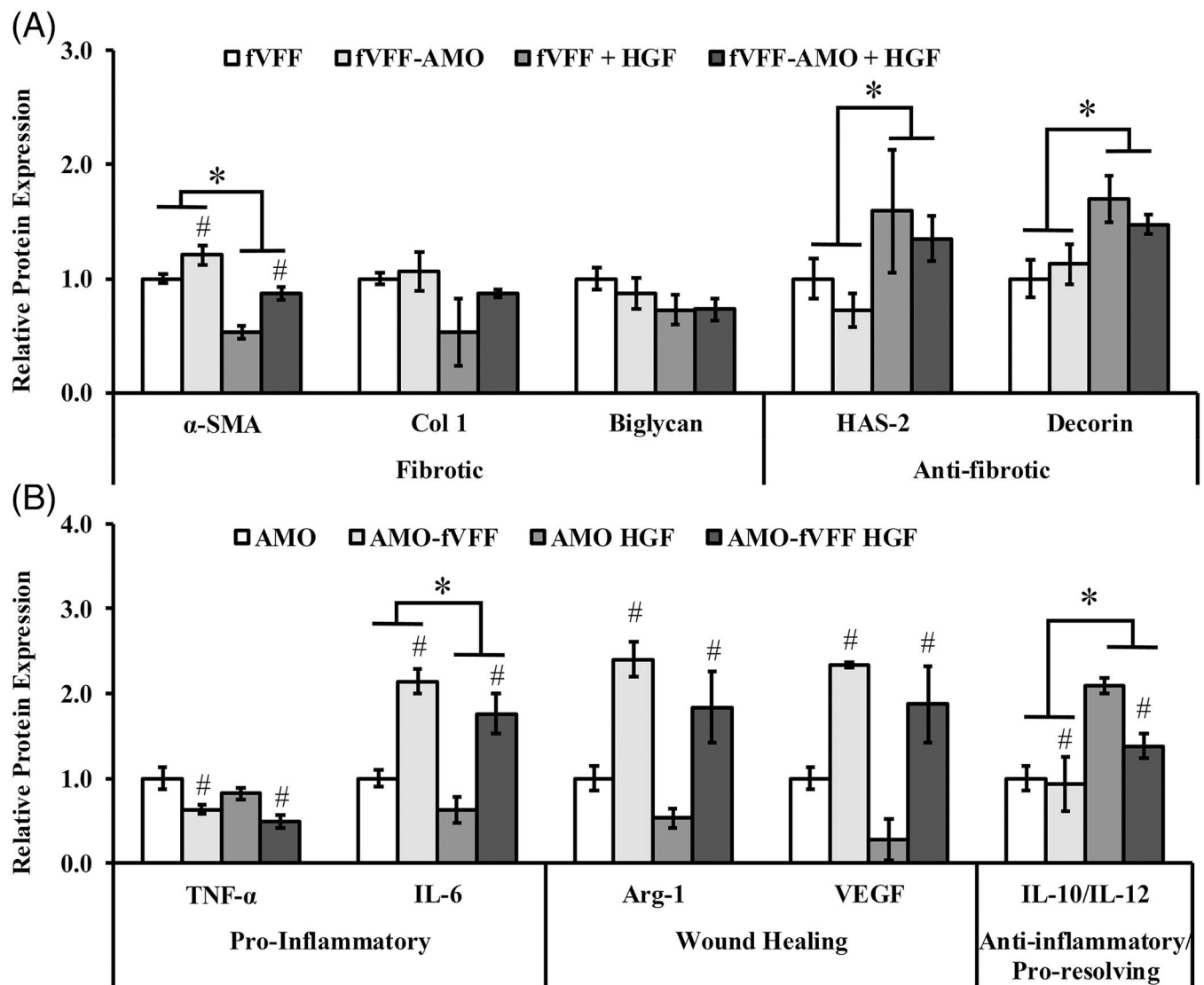
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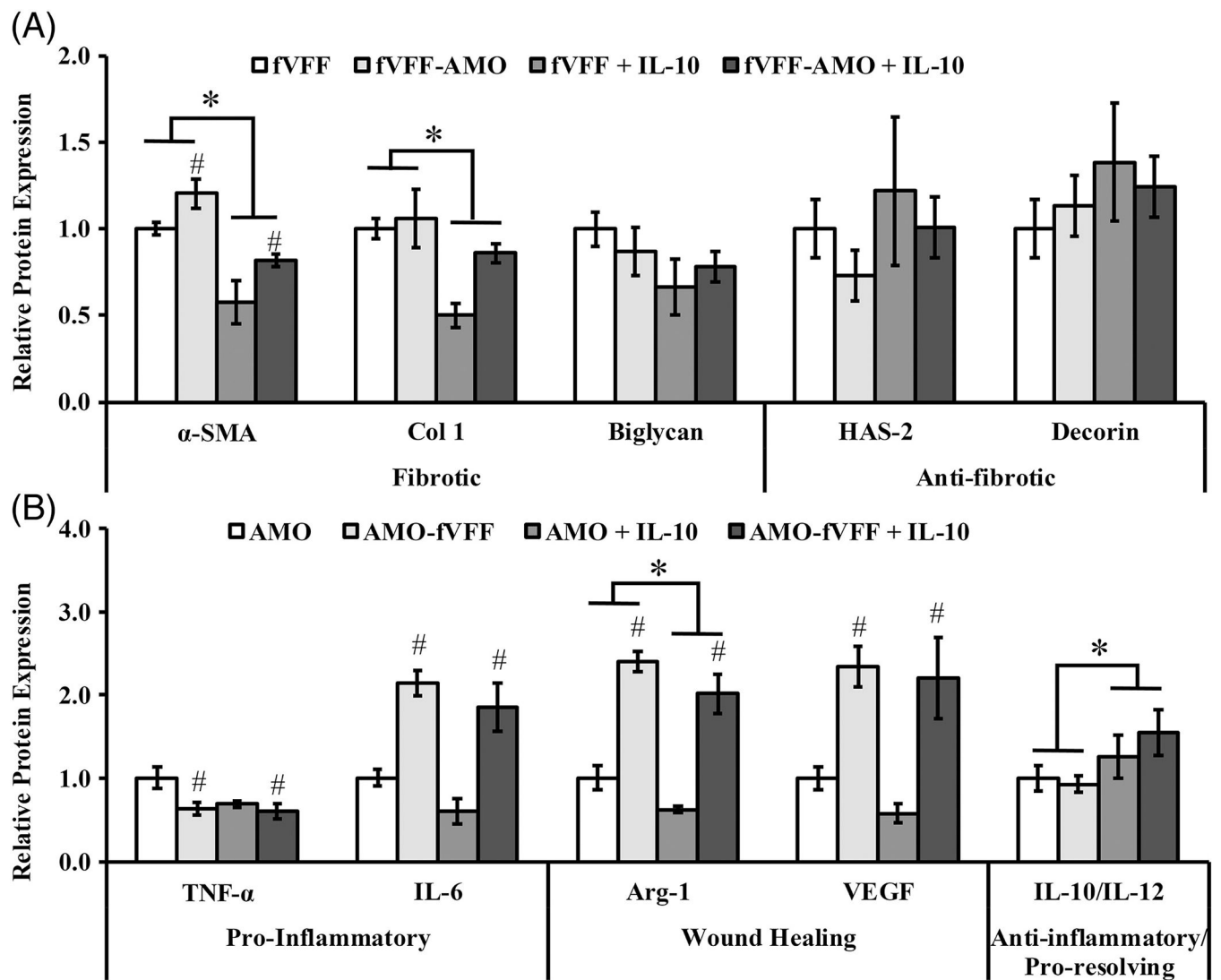
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**FIGURE 1.**

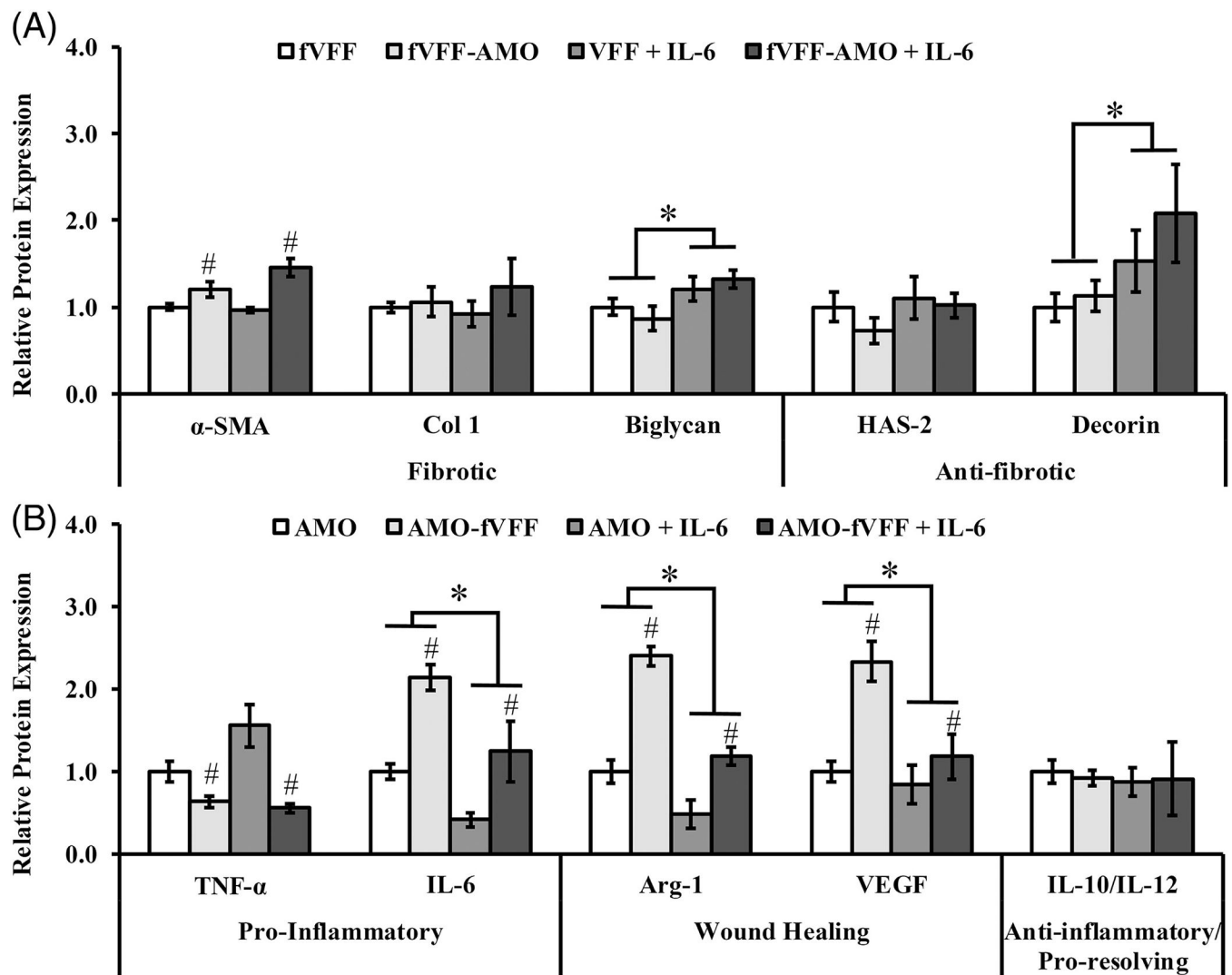
Overall experimental design for the study. (A) Scar and biomaterial-induced vocal fold phenotypes (fVFF and AMO) were experimentally induced with activation media (AM) containing TGF- β 1 and LPS for 4 days. (B) Effects of various cytokines on fVFF and AMO were tested utilizing 3D PEGDA hydrogels. The transition of fVFF to a more normal phenotype was examined in mono- and co-cultures containing select, tethered cytokines (HGF, IL-10, and IL-6). Data from all treatments (HGF, IL-10, and IL-6) are examined to find patterns of associations between fVFF and AMO phenotypes.

**FIGURE 2.**

Relative protein expression of a panel of markers indicating fVFF and AMO phenotype following 72 h culture in various 3D PEGDA hydrogel experimental groups with or without addition of HGF. (A) Fibrotic and anti-fibrotic/healthy markers were utilized to assess fVFF phenotype. (B) Pro-inflammatory, wound healing, or anti-inflammatory/pro-resolving markers were utilized to assess AMO phenotype. * denotes a significant main effect resulting from HGF treatment relative to no treatment. # denotes a significant main effect of the co-culture relative to mono-culture.

**FIGURE 3.**

Relative protein expression of a panel of markers indicating fVFF and AMO phenotype following 72 h culture in various 3D PEGDA hydrogel experimental groups with or without addition of IL-10. (A) Fibrotic and anti-fibrotic/healthy markers were utilized to assess fVFF phenotype. (B) Pro-inflammatory, wound healing, or anti-inflammatory/pro-resolving markers were utilized to assess AMO phenotype. * denotes a significant main effect resulting from IL-10 treatment relative to no treatment. # denotes a significant main effect of the co-culture relative to mono-culture.

**FIGURE 4.**

Relative protein expression of a panel of markers indicating fVFF and AMO phenotype following 72 h culture in various 3D PEGDA hydrogel experimental groups with or without addition of IL-6. (A) Fibrotic and anti-fibrotic/healthy markers were utilized to assess fVFF phenotype. (B) Pro-inflammatory, wound healing, or anti-inflammatory/pro-resolving markers were utilized to assess AMO phenotype. * denotes a significant main effect resulting from IL-6 treatment relative to no treatment. # denotes a significant main effect of the co-culture relative to mono-culture.

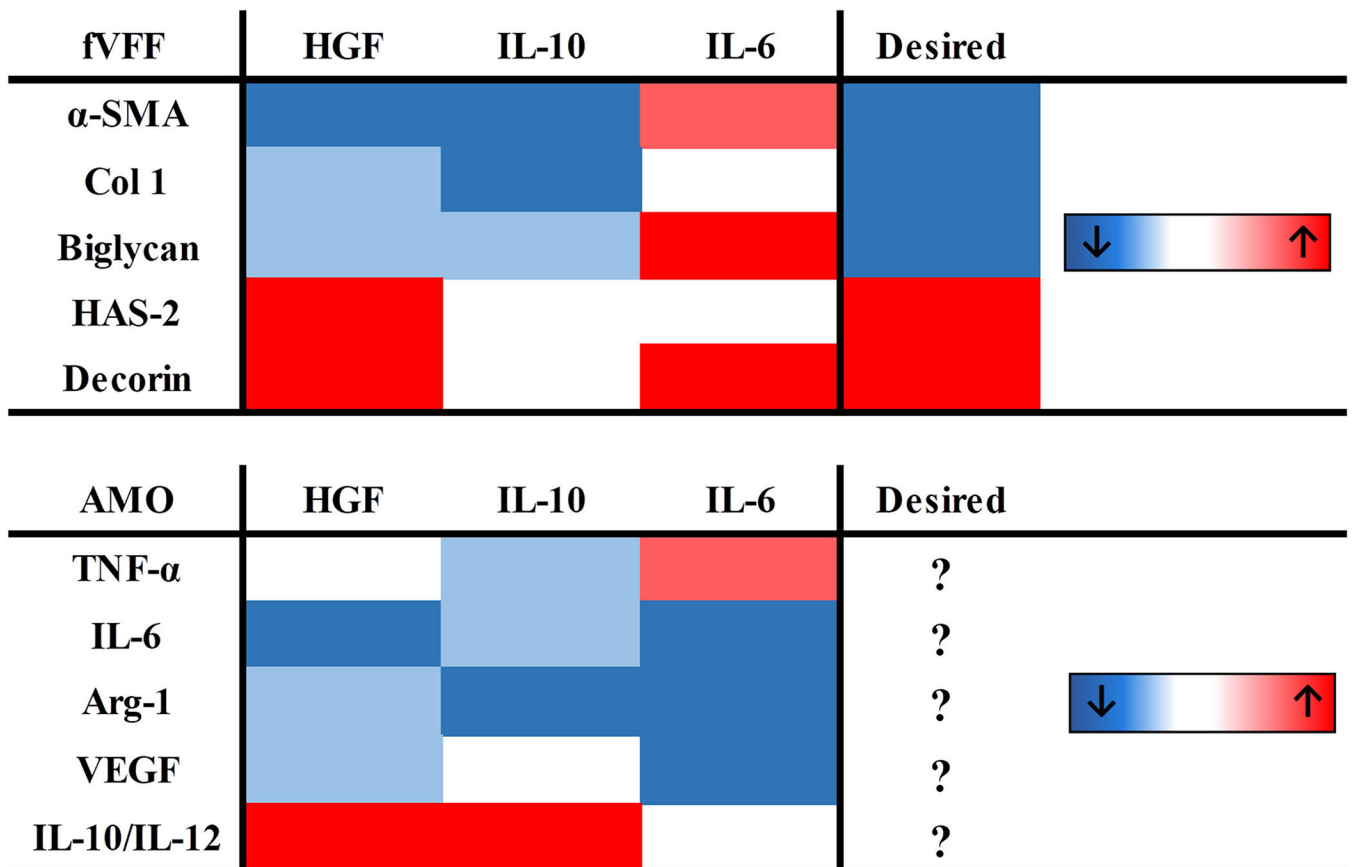


FIGURE 5.

Heat-map summarizing cytokine effects on fVFF (top) and AMO (bottom) phenotypic markers. Color code was determined based off significance values as denoted in “Materials and Methods” section. Red and blue colors represent an increase or decrease of the protein in response to cytokine treatment, respectively.

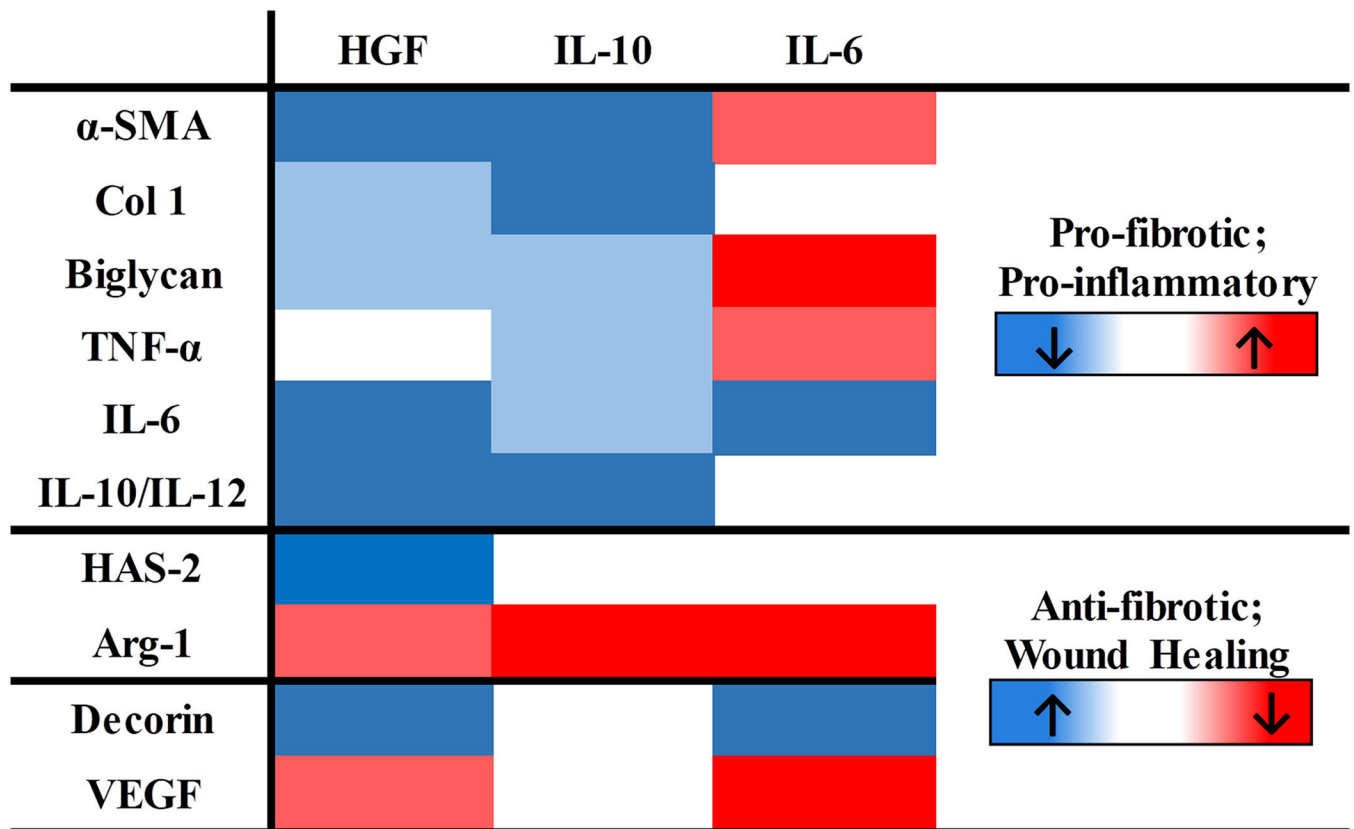


FIGURE 6.

Reorganized heat-map to illustrate potential associations between fVFF and AMO phenotypes. Color code was determined based off significance values as denoted in “Materials and Methods” section. In this heat-map, red and blue colors indicate a change in the direction of the markers associated with a given phenotype (i.e. pro-fibrotic markers) in response to cytokine treatment.