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# The influence of gender and oxytocin on stress reactivity, cigarette craving, and smoking a randomized, placebocontrolled laboratory relapse paradigm

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# Abstract

**Rationale**—Female cigarette smokers tend to show greater cessation failure compared to males. Variables that contribute to the maintenance of smoking, including stress and craving, may differentially impact male and female smokers. Novel pharmacotherapies, such as oxytocin, may attenuate stress reactivity and craving in smokers, but work in this area is limited.

**Objectives**—This study assessed the influence of gender and oxytocin on stress reactivity, craving, and smoking in a randomized, placebo-controlled laboratory relapse paradigm.

**Methods**—Male and female adult cigarette smokers (ages 18–45) were enrolled (women oversampled 2:1) and completed a laboratory session, in which intranasal oxytocin or placebo was administered followed by a laboratory social stress task. The role of gender and oxytocin were assessed on measures of stress reactivity, cigarette craving, latency to smoke in a resistance task, subjective responses to smoking, and ad-libitum smoking.

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**Results**—Participants (*N*=144) had a mean age of 31, were 63% female and 56% White. Following stress induction, female smokers evidenced greater subjective stress than males, though males demonstrated greater neuroendocrine reactivity and smoking intensity than females. No gender differences were demonstrated for craving. Oxytocin did not attenuate any aspect of stress reactivity, craving, smoking, or subjective responses to smoking compared to placebo.

**Conclusions**—Gender differences in stress reactivity were shown in the hypothesized direction, but oxytocin appeared to exert little impact on subjective or behavioral metrics. Results highlight the complex relationship between gender, stress, and smoking, as well as the implications for oxytocin as a potential pharmacotherapy for smoking cessation.

#### Keywords

smoking; relapse; stress; cue reactivity; craving; cortisol; oxytocin; gender; sex

# Introduction

Despite declining rates of tobacco use, approximately 15% of adults in the United States (US) are cigarette smokers and disparities in smoking exist based on various socioeconomic characteristics (Jamal et al. 2016; Jamal et al. 2018). Though the majority of current smokers endorse a desire to quit and report recent quit attempts, few are able to achieve long-term abstinence (Babb et al. 2017). While rates of smoking in women are currently lower than in men in the US (Jamal et al. 2018), there is evidence that women have more difficulty with achieving cessation (Japuntich et al. 2011; Weinberger et al. 2014; Wetter et al. 1999). Additionally, sex and gender differences have been demonstrated with respect to: 1) the efficacy of various pharmacotherapies to promote cessation (McKee et al. 2015b; Perkins and Scott 2008; Piper et al. 2010; Scharf and Shiffman 2004; Smith et al. 2016; Smith et al. 2010; Potter 2014; Smith et al. 2003; Wu et al. 2016).

Numerous factors influence the maintenance of smoking and relapse. Chief among those factors are stress (Richards et al. 2011) and cigarette craving (Killen and Fortmann 1997). Acute or chronic stressors are commonly associated with or predictive of relapse (Baer et al. 1989; Brandon 1994; Lunden et al. 2018; McKee et al. 2003; Piper et al. 2004; Shiffman et al. 2002) and quit rates are lower among smokers experiencing serious psychological distress (Hagman et al. 2008; Sung et al. 2011). However, many studies demonstrating a stress-relapse association rely on retrospective and self-reported recollection of stressors, rather than prospective and comprehensive assessments of stress. In a human laboratory paradigm of smoking relapse, stress was elicited through a personalized imagery induction task and was measured via physiological indicators. Stress induction was shown to decrease the ability to resist smoking, as well as increase smoking intensity (i.e., number of puffs) and satisfaction from smoking (McKee et al. 2011). Likewise, craving has been shown to be elicited by stress induction paradigms in the laboratory (Buchmann et al. 2010; Niaura et al. 2002; Perkins and Grobe 1992; Tiffany and Drobes 1990).

Stress reactivity and craving have been shown to vary among male and female smokers. Compared to male smokers, female smokers report higher levels of cigarette craving,

subjective/self-reported stress, and negative affect following stressful cue presentations in the natural environment (Tomko et al. In Press; Wray et al. 2015), and are more likely to endorse stress relief as a motive for smoking (Fidler and West 2009). Sex differences have also been demonstrated in neuroendocrine responses to stress (i.e., cortisol), such that males have a greater cortisol response to stress compared to females (not specific to smokers) (Kudielka and Kirschbaum 2005; Liu et al. 2017). Thus, stress reactivity (subjective and physiological) may play an important role in relapse by reducing the ability to resist smoking, potentially due to increases in craving, and therefore leading to increased smoking behavior and/or relapse. When considering this smoking/relapse trajectory initiated as a result of acute or chronic stress exposure, pharmacotherapies that target heightened stress, craving, and smoking are advantageous to explore, particularly among female smokers given prior evidence of gender differences.

Oxytocin is a neuropeptide that has been implicated as a potential pharmacotherapy for substance use disorders that may target stress-specific pathways (Lee and Weerts 2016), and therefore, may be particularly effective for female smokers. Endogenous oxytocin has an established role in mating, childbirth, lactation, pro-social effects, and anxiolytic effects (Francis et al. 2002; Ludwig and Leng 2006; MacDonald and MacDonald 2010; Williams et al. 1994; Witt et al. 1992). Preclinical literature has shown a reduction in self-administration and drug reinstatement due to oxytocin administration (Baracz et al. 2016; Carson et al. 2010; Peters et al. 2017). Acute intranasal administration of oxytocin reduces subjective and neuroendocrine stress response (de Oliveira et al. 2012; Heinrichs et al. 2003; Kosfeld et al. 2005), has been shown to reduce cannabis craving in response to stress induction among cannabis-dependent adults (McRae-Clark et al. 2013), and appears to differentially impact males and females (Flanagan et al. 2018; Reed et al. 2019). However, oxytocin results are mixed. One recent study of cannabis users found that oxytocin contributed to greater stress reactivity in women, counter to previous literature and hypothesized directionality (Reed et al. 2019). Specific to smoking, it has been shown that intranasal oxytocin reduced cueinduced cigarette craving in daily smokers (Miller et al. 2016); however, two recent studies did not demonstrate any reductions in stress or cigarette craving due to oxytocin administration, though one study showed reductions in cigarette consumption and desire to smoke (Van Hedger et al. 2018; Van Hedger et al. 2019). Studies assessing the effects of oxytocin through fully-powered laboratory designs are particularly needed to control for nicotine deprivation, stress induction, and oxytocin dosing while capturing detailed metrics of subjective, neuroendocrine, and behavioral measures of stress and smoking (cortisol, time to smoke, smoking intensity, and enjoyment). Therefore, the goal of the current study was to explore oxytocin and gender on stress reactivity, craving, and smoking in a laboratory relapse paradigm.

The present study is a subcomponent of a larger study that included a 14-day monitoring period of smoking cue and stress reactivity assessments in the natural environment (Clinicaltrials.gov ID: ). This 14-day period was followed by a laboratory session, which examined the influence of gender and oxytocin on measures of subjective and neuroendocrine stress reactivity, cigarette craving, latency to smoke (proxy of relapse), ad-libitum smoking intensity (via smoking topography), and subjective responses to smoking after overnight abstinence among daily smokers. This laboratory session, depicted in Figure

1, is the focus of the present report. We hypothesized that: 1) compared to males, female smokers would have greater subjective stress, craving, and shorter latency to smoke, but less neuroendocrine reactivity to a laboratory stressor, and 2) oxytocin would attenuate subjective stress, craving, neuroendocrine responses, and latency to smoke compared to placebo in both male and female smokers. Though not hypothesized *a* priori, we secondarily explored measures of smoking intensity, subjective responses to smoking, and interactions between gender and medication condition.

# Methods

#### **Participants**

Male and female adult cigarette smokers (ages 18-45; N=178), who were not seeking treatment, were recruited from the community in Charleston, South Carolina (US) from March 2013 through May 2017. Women were oversampled 2:1 in order to have sufficient power to assess the impact of ovarian hormones and gender on stress reactivity and craving in the natural environment (aim of the parent study), which is not presented here (results forthcoming). To qualify for the study, all participants had to: 1) smoke an average of 5 cigarettes/day for at least 6 months, 2) submit a breath carbon monoxide (CO) sample at screening of at least 5 parts per million (ppm), which is based on recommendations in the literature indicating non-smoking cut-off values between 3–6 ppm (Cropsey et al. 2014; Perkins et al. 2013), 3) if female, had to be post menarche and pre-menopausal with regular menstrual cycles (defined as menses occurring every 25–35 days for the past three months), and 4) if female, had to be at least 3 months post-delivery/breast feeding. Exclusion criteria included: 1) any serious or unstable medical or psychiatric condition, 2) meeting criteria for post-traumatic stress disorder and exhibiting symptoms in the past month, which was assessed based on the Diagnostic and Statistical Manual of Mental Disorders (DSM) IV criteria (First 1994) and administered via the MINI International Neuropsychiatric Interview (Sheehan et al. 1998), 3) any medication that may interfere with psychophysiological (e.g., heart rate) monitoring, 4) current substance dependence in the past month based on criteria from the Diagnostic and Statistical Manual IV (First 1994), 5) current use of other tobacco/ nicotine products, 6) females who were pregnant, breast feeding, post hysterectomy, or taking hormonal contraceptives or hormone replacement, and 7) males who were post orchiectomy. Study procedures were approved by the Institutional Review Board at the Medical University of South Carolina, and the study was registered on clinicaltrials.gov (). Additional demographic and clinical characteristics of the sample, as well as behavioral results from the 14-day naturalistic phase of the study have been published elsewhere (Tomko et al. In Press; Wray et al. 2015).

## Procedures

The laboratory session (Day 15) was scheduled immediately after the 14-day monitoring phase. Prior to the laboratory session, participants were instructed to abstain from smoking for at least 12 hours, verified via expired breath CO (< 6 ppm or a 25–50% reduction from average CO values collected at in-person visits). Participants were also required to abstain from alcohol and other drug use for two days. This was biochemically verified through a 6-

Laboratory session procedures and measures collected are shown in Figure 1. At approximately 12:00 pm, participants received an intranasal dose of either 40 IUs of oxytocin or a matched placebo nasal spray under the direct supervision of research staff. Oxytocin dosing was based on previous work using similar doses of 40 IUs in human laboratory studies (Ditzen et al. 2009; McRae-Clark et al. 2013), though varying doses have been studied in the literature (Leng and Ludwig 2016). The most common adverse events experienced with oxytocin include; lightheadedness, drowsiness, headache, increased calmness, increased energy, nasal irritation, dry mouth/throat. Medication was prepared by Investigational Drug Services at the Medical University of South Carolina. The investigational pharmacy generated randomization assignments and masked all medication bottles and packaging to maintain the blind for staff and participants. There was a 40-minute delay from the time of medication administration to the start of the social stress task. Timing of procedures was based on previous work with human laboratory paradigms suggesting this is a suitable delay (Heinrichs et al. 2003; McRae-Clark et al. 2013). Prior to medication administration, participant access to personal items, mobile phones, and magazines was restricted. The session room was intentionally barren to avoid distractions or delay of any study procedures for the remainder of the session. The social stressor used in this study was the Trier Social Stress Test (TSST; Kirschbaum et al. 1993). The TSST is a 15-minute procedure that includes preparation for speech (5 minutes), public speaking (5 minutes), and mental arithmetic tasks (5 minutes). The speech and arithmetic components are completed in front of an audience of three confederates unknown to the participant. The Smoking Resistance Task (SRT) began after the first post-TSST assessment was completed. The SRT was modeled from McKee and colleagues (McKee 2009; McKee et al. 2011; McKee et al. 2012). Cigarettes and a lighter were presented to the participant and they were told they could begin smoking at any time, but for every 5 minutes they resisted smoking, they would be compensated \$1.50, for a maximum of \$15 over 50 minutes. The SRT ended when the participant decided to smoke, or 50 minutes had elapsed. The Ad-libitum Smoking Period (ASP) then began and lasted for 60 minutes. Assessments were collected at 20 and 40 minutes post-TSST (regardless of the occurrence of smoking and termination of the SRT phase). During the ASP, participants were permitted to smoke up to eight cigarettes, each through a smoking topography device (CReSS Pocket, Borgwaldt KC, Inc.).

#### Measures

**Screening/Baseline Assessments**—Demographics, smoking history and current tobacco use were collected at the screening visit. Nicotine dependence was assessed via the Fagerstrom Test for Nicotine Dependence (Heatherton et al. 1991). Female participants completed a menstrual history diary to assess the timing and duration of menses over the past 90 days prior to the screening visit.

**Self-Report Laboratory Assessments**—The 4-item Craving Questionnaire (CQ; Carter and Tiffany 2001) was administered throughout the laboratory session (1–5 point Likert scale; 1=Strongly Disagree; 5=Strongly Agree; Items summed for total score ranging

from 4–20). A single subjective stress item (5-point Likert scale; 1=Not at all; 5=Extremely) was derived from a cue and stress reactivity assessment used in the 14-day monitoring phase of this study (Warthen and Tiffany 2009) and asked how stressed the participant felt at that time. The Modified Cigarette Evaluation Questionnaire (mCEQ; Cappelleri et al. 2007) was administered after completion of the first cigarette smoked to capture subjective responses to smoking. The mCEQ is a 12-item instrument (7-point Likert scale; 1=Not at all; 7=Extremely) comprised of five sub-scales: smoking satisfaction, psychological reward, aversion, enjoyment of respiratory tract sensations, and craving reduction. A mean mCEQ score was also calculated from all 12 items. Finally, a Penetration of the Blind Questionnaire was conducted to assess the patient's perception of their assigned medication.

**Neuroendocrine Assays**—Cortisol levels (ug/dL) were assessed via salivary samples collected at five time points during the laboratory session.

**Smoking**—Measures collected from the SRT included latency to smoke (0–50 minutes). During the ASP, smoking topography measures were collected for each cigarette (0–8 cigarettes possible) and total number of cigarettes smoked. Topography measures included: number of puffs taken, mean puff volume (ml), mean puff duration (ms), mean flow rate (ml/s; measure of speed and intensity of inhalation), peak flow rate (ml/s), mean time of peak flow rate (ms), and inter-puff interval (ms).

#### Statistical analyses

Baseline demographics and pre-TSST clinical characteristics were tabulated for the study cohort as well as by treatment assignment and gender. To evaluate baseline differences between treatment groups and gender a Wilcoxon Rank-sum test was used for continuous measures and Pearson Chi-Square test was used for categorical and ordinal measures.

**Response to the TSST**—Generalized linear mixed models were developed to assess overall treatment and gender effects, as well as the corresponding interaction effects on selfreported craving, self-reported stress and neuroendocrine reactivity following the TSST. Assumptions of residual normality were checked for each model using QQ plots and appropriate transformations were used if necessary (e.g.,  $log_{10}$  for cortisol). Model-based estimates and associated standard errors were tabulated and compared across treatment groups and gender at each post-medication measure. Adjusted models were developed using a stepwise forward selection process with an intention to reduce bias and collinearity among covariates. Changes in the effects of treatment and gender on outcomes over time were tested using interaction terms (Treatment × time, Gender × time). All post-treatment measures were included in the model development (post-treatment/pre-TSST, post-TSST+0 minutes, TSST+20 minutes, TSST+40 minutes). Group level means were used to assess initial response to the TSST (immediately pre- to immediately post-TSST) and post-TSST treatment group and gender differences. Treatment efficacy models contained the main effect of treatment assignment (oxytocin or placebo), as well as measurement time, baseline outcome levels, and gender. Due to the SRT running concurrent with the post-TSST followup assessments (Figure 1), participants were able to smoke their first cigarette prior to completion of the TSST follow-up time points, which likely impacted their ratings of

subjective stress and craving. Stress and craving measures taken after smoking occurred in the ASP were excluded from the TSST analysis. Additionally, peak TSST response variables (from pre-treatment baseline) were also calculated and examined using similar regression models.

**Smoking Resistance Task**—Cox Proportional Hazards regression models were developed to assess treatment and gender differences on time to first cigarette during the SRT. In the primary model, treatment and gender effects, as well as possible differential treatment effects across gender were examined. Additionally, the association between peak TSST responses and the time to smoke during the SRT were examined. Following completion of the first cigarette smoked, subjective responses to smoking were measured using the mCEQ and compared across treatment condition and gender.

**Smoking Topography**—For the analysis of smoking topography, data from the first cigarette smoked in the laboratory were included in statistical models. Topography data were available for 134 of the 144 participants (93%). Outliers were identified first by isolating values that were three standard deviations from the mean for individual puffs for a participant. Possible outliers were then inspected to determine if they were a result of randomly-occurring device malfunction and removed if so. Approximately 1.1% of smoking topography data were considered outliers, and 0.4% were excluded due to device error. Separate multivariate analysis of variance (MANOVA) tests were used to evaluate the global effect of gender and treatment on smoking topography. If indicated, post hoc analysis of variance (ANOVA) tests were used to detect significant between-group differences for topography variables. Results are presented as model-based means and associated standard errors unless otherwise noted. No correction for multiple testing was made and all comparisons are conducted at the  $\alpha$ <0.05 level. All analyses were conducted using SAS version 9.4 (SAS Institute, Cary, NC, USA) and SPSS version 25 (IBM Corp. Released 2017. IBM SPSS Statistics for Windows, Version 25.0. Armonk, NY: IBM Corp.).

# Results

#### **Demographics and smoking characteristics**

The final sample included in this report consisted of 144 participants (81% of those enrolled in study procedures) who qualified for and completed the Day 15 laboratory session (n=72 Oxytocin; n=72 Placebo). The average age at screening of the 144 participants was 31 (*SD*=7.4) years, 56% were White and 63% were female. There were largely no demographic or smoking characteristic treatment group differences at screening or pre-medication for subjective or neuroendocrine measures (Table 1; all *p*s>.05). Participants randomized to placebo reported more years of regular smoking than participants randomized to oxytocin [14.9 (SD=7.8) vs. 12.2 (7.2); *p*=.047]. Although there were group level differences at study entry, years of regular smoking was not associated with craving or self-reported stress responses to the TSST [ $\beta$ =0.005, SE=0.029, *p*=.88 and  $\beta$ =0.005, SE=0.022, *p*=.81, respectively], or latency to smoke in the SRT [HR=1.02 (95% CI=0.98–1.05), *p*=.44], nor did it modify treatment effects (treatment × yrs of regular smoking interactions, all *p*s>.40). However, greater years of regular smoking was possibly associated with decreased cortisol

levels following the TSST [ $\beta$ =-.003, SE=0.002, *p*=.06] but did not moderate treatment effects [treatment × yrs of regular smoking interaction, *p*=.97]. Participants who were enrolled in the study but failed to complete the Day 15 laboratory session had similar smoking characteristics to those who completed the laboratory session (age at regular smoking, years of regular smoking, cigarettes per day, nicotine dependence) as well as similar gender and race distribution. However, those who completed all study procedures were slightly older than those who did not (31.0 (SD=7.4) vs. 28.1 (6.8); p=.043).

At screening, female participants reported greater years of regular smoking [Female 14.6 (7.4) vs. Male 11.7 (7.6); p=.026], primarily driven by significant gender differences in the oxytocin treatment group [Female 13.5 (7.2) vs. Male 9.9 (6.8); p=.05]. Similarly, female participants randomized to the oxytocin group were more likely to be White [Female 63.5% vs. Male 43.4%; p=.018] than males in the oxytocin group; this difference is not significant in the participants randomized to the placebo group [Female 60.9% vs. Male 46.2%; p=.32]. Additionally, female participants had lower cortisol levels on the morning of the laboratory session [Female 0.24 (0.15) ug/dL vs. Male 0.30 (0.15) ug/dL; p=.007].

#### Gender effects on stress, craving, and smoking (Hypothesis #1)

Self-reported craving, self-reported stress, and salivary cortisol responses prior to and following the TSST are shown in Figure 2, separated by gender. Peak responses (greatest change at any post-TSST time point subtracted from pre-treatment baseline), separated by gender and treatment condition are shown in Figure 3. For all study participants, there was a significant increase in initial craving [ =1.12 (0.22); p < .001], stress [ =0.85 (0.10); p < .001001], and (log10) cortisol [ =0.07 (0.02); p<.001] to the TSST. There were no gender differences in mean craving response [Female 15.8 (0.3) vs. Male 14.8 (0.4); p=.06, Figure 2a] or peak craving response [Female: =1.94 (0.30) vs. Male: =0.99 (0.39); p=.06; Figure 3a] following the TSST. Although numeric differences across gender appear to be present in the oxytocin group as compared to placebo, gender did not significantly modify the treatment effect on peak craving response ( $F_{1.139}=0.8$ ; p=.38). When examined by treatment assignment, the gender difference in the oxytocin and placebo groups failed to achieve statistical significance [Oxytocin: =1.37 (0.69); p=.0503; Placebo: =0.49 (0.70); p=.49]. Though females and males self-reported similar stress ratings both prior to [Females; Mean (SEM) = 2.0 (0.1) vs. Males 2.0 (0.2); p=.98] and following medication administration [Females; 1.8 (0.1) vs. Males 1.8 (0.1); p=.97], females reported a greater mean stress response immediately following the TSST than males [Females: =2.6 (0.1) vs. Males: =2.2 (0.1); p=.013 Figure 2b]. Similarly, peak stress response was greater in females than males [Females: =1.3 (0.1) vs. Males: =0.8 (0.2); p=.039; Figure 3b]. There was no evidence of differential gender effects across treatment assignment ( $F_{1,139}=0.3$ ; p=.57). When examined by treatment assignment, the gender difference in the oxytocin and placebo groups failed to achieve statistical significance [Oxytocin: =0.55 (0.29); p=.063; Placebo: =0.31 (0.30); p=.30. Cortisol in male participants was significantly higher at all time points as compared to females [Females =2.6 (0.1) vs. Males: =2.2 (0.1); p<.001 Figure 2c]. Male participants had a significant positive peak response in cortisol following the TSST [ =0.13 (0.03); p < .001] that was not present in female participants [ < 0.01 (0.02); p=.88; gender difference p<.001; Figure 3c]. This gender difference was driven by

significant effects in the placebo group [ =0.17 (0.05); p<.001] that were attenuated in the oxytocin group [ =0.07 (0.05); p=.15].

During the SRT, 12.5% of participants began smoking immediately [n=18; Female n=9 vs. Male n=9; *p*=.22], while 66% refrained from smoking during the entire 50-minute period [n=95; Female n=56 vs. Male n=39; *p*=.14]. Gender was not a significant predictor of time to first cigarette [Male vs. Female HR (95% CI) = 1.5 (0.8–2.8); *p*=.20] and there was no difference in the number of cigarettes smoked during the ASP by gender [Female 2.3 (0.1) vs. Male 2.2 (0.1); *p*=.40]. Smoking topography significantly differed by gender [(Wilks' =0.80,  $F_{7,126}$ =4.28, *p*<.001,  $\eta$ 2=0.19]. Compared to females, males demonstrated greater mean puff volume [ $F_{1,132}$ =6.19, *p*=.01,  $\eta$ 2=0.05], puff duration [ $F_{1,132}$ =11.9, *p*=.001,  $\eta$ 2=0.08], and time to peak flow rate [ $F_{1,132}$ =18.7, *p*<.001,  $\eta$ 2=0.12], as well as shorter inter-puff intervals [ $F_{1,132}$ =6.1, *p*=.02,  $\eta$ 2=0.04]. Number of puffs on the first cigarette, mean flow rate, and peak flow rate did not differ by gender [all *p*s>.35]. Neither craving, stress, nor cortisol response to the TSST was associated with latency to smoke [all *p*s>.60] for males or females. Subjective responses to smoking measured via the mCEQ did not differ by gender [adjusted for baseline mCEQ: Female 4.15 (0.12) vs. Male 4.00 (0.16); *p*=. 45], nor did any of the mCEQ subscales differ by gender [all *p*s>.13].

**Oxytocin effects on stress, craving, and smoking (Hypothesis #2)**—Craving, self-reported stress, and cortisol responses prior to and following the TSST are shown in Figure 4, separated by medication condition. There was no effect of oxytocin on mean self-reported craving response following the TSST [Placebo 15.2 (0.4) vs. Oxytocin 15.5 (0.4); p=.51, Figure 4a], self-reported stress response [Placebo 2.6 (0.1) vs. Oxytocin 2.2 (0.1); p=. 28, Figure 4b], or cortisol [Placebo -0.72 (0.02) vs. Oxytocin -0.59 (0.03); p=.73, Figure 4c]. There was no significant treatment difference in peak craving response [Placebo: =0.85 (0.25) vs. Oxytocin: =1.31 (0.25); p=.19] or peak stress response [Placebo: =1.1 (0.2) vs. Oxytocin: =1.1 (0.2); p=.99]. Although there were no differences in the overall cortisol response following medication administration, there was a moderate attenuation in the peak response between oxytocin and placebo [log<sub>10</sub> cortisol Placebo: =0.10 (0.02) vs. Oxytocin: =0.03 (0.02); p=.049]. Despite gender differences in response to the TSST, gender did not modify the effect of oxytocin on mean response outcome measures [longitudinal models ps>.30 and peak response models ps>.10].

Medication group was not a significant predictor of time to first cigarette [oxytocin vs. placebo HR (95% CI) = 1.2 (0.7–2.1); p=.55]. Among participants who began smoking immediately, there were no differences between medication groups [n=18; Placebo n=8 vs. Oxytocin n=10; p=.61] or among those who resisted smoking for the full 50 minutes [n=95; Placebo n=46 vs. Oxytocin n=49; p=.60]. There was no difference in the number of cigarettes smoked between treatment groups [Placebo 2.1 (0.1) vs. Oxytocin 2.3 (0.1); p=. 28]. Smoking topography did not significantly differ based on medication administration (Wilks'  $\lambda$ =0.91, F<sub>7,126</sub>=1.73, p=. 11,  $\eta$ 2=0.09). Finally, the mean mCEQ score did not differ between treatment assignment [Placebo 4.99 (0.14) vs. Oxytocin 4.15 (0.14); p=0.41], nor did any of the mCEQ subscales differ between oxytocin or placebo groups [all ps>.29].

On the day of the laboratory session, 28 adverse events (AEs) were reported. Of those 28, 17 (61%) were deemed unrelated to study procedures or medication administration. Of the 11 AEs deemed possibly related, the most common were headache and lightheadness and were mild to moderate in severity. Seven of the 11 AEs (64%) were experienced by participants randomized to oxytocin. Only 54% of participants correctly identified their medication condition at the end of the laboratory session, suggesting that the blind was effective.

# Discussion

This study assessed the influence of gender and oxytocin on subjective and physiological stress reactivity, craving, latency to smoke, smoking intensity, and subjective responses to smoking in a laboratory relapse paradigm following stress induction. Following stress induction, female smokers demonstrated higher overall subjective stress responses and peak changes in stress compared to males, even though pre-TSST stress levels did not differ between males and females. Despite this, overall and peak cortisol response following TSST was significantly higher in males, indicating greater neuroendocrine reactivity in males compared to females. Males also demonstrated greater smoking intensity compared to females. However, no gender differences were found on measures of cigarette craving, latency to smoke, or subjective ratings of smoking.

Our findings demonstrating gender differences in smokers' subjective stress reactivity are consistent with gender differences found in stress reactivity in the natural environment as part of the first phase of this study (Tomko et al. In Press; Wray et al. 2015). Our findings are also consistent with studies demonstrating a disparity between males and females in their neuroendocrine responses resulting from TSST administration (Back et al. 2008; Gilmore et al. 2019; Liu et al. 2017). Though our results support the hypothesis focused on stress reactivity and indicate that smoking may be differentially maintained based on gender, the demonstrated gender differences did not contribute to discernable differences in craving or latency to smoke. Subjective stress reactivity, as well as neuroendocrine reactivity is likely to impact craving and smoking/relapse and has been shown to be a predictor of smoking in a laboratory relapse paradigm (McKee et al. 2011). However, within the context of the current study, this relationship was not demonstrated. Also, neuroendocrine reactivity, specifically cortisol, can be affected or blunted among a certain sub-set of the population (Carpenter et al. 2007; Raison and Miller 2003), during different phases of the menstrual cycle (Nakajima et al. 2019), and has been shown to vary based on sex (al'Absi et al. 2015). Although beyond the scope of the current analysis, there may have been gender differences in the prevalence of mental health disorders or stressful life events that may partially explain the discrepancy between female smokers' increased subjective reports of stress and their blunted neuroendocrine responses.

Oxytocin was examined in the current study based on its proposed role in stress modulation as part of substance use and relapse (Lee and Weerts 2016) and promising preliminary results among cannabis users (McRae-Clark et al. 2013). Contrary to our hypothesis, oxytocin had no effect on craving, subjective stress, cortisol response, latency to smoke, smoking topography, or subjective responses to smoking. Further, there was not a significant modifying effect of gender, suggesting that oxytocin did not exert gender-specific effects in

our study. These results mark a departure from our hypothesis but contribute to a growing and mixed literature on the influence of oxytocin on smoking. One study found an effect of oxytocin on cigarette craving (Miller et al. 2016), while another found no effect on subjective responses (Van Hedger et al. 2018), and a third study found an effect of oxytocin on reduced cigarette consumption (Van Hedger et al. 2019). There appears to be divergent results in the literature based on subjective compared to behavioral metrics of smoking, though our results showed that oxytocin did not impact either subjective or behavioral measures of smoking. However, this is an area that requires further investigation, especially given that responses to oxytocin may vary based on specific characteristics or history of the individual, such as childhood adverse experiences (Flanagan et al. 2018).

Behavioral metrics of smoking in the laboratory are also subject to limitations that must be considered. Latency to smoke during the SRT (i.e., the ability to resist smoking) is considered a proxy for relapse vulnerability. This laboratory model has been used previously (McKee et al. 2015a; Verplaetse et al. 2017) and has been shown to differ across male and female smokers (Weinberger and McKee 2012). The lack of effect observed here could have been the result of task parameters. The target is to delay smoking for 50% of the interval (25 minutes) to achieve sufficient variability in latency to smoke to detect effects (i.e., medication, gender, etc.). However, the majority of participants (66%) in our study resisted smoking for the full 50 minutes, and as such, any gender or medication effects may have been obscured by the lack of variability in time to smoke. In a recent study of parametric manipulations of this task, compensation for resisting smoking was lower (Oberleitner et al. 2018), suggesting the compensation provided in the current study (\$1.50 for each 5 minutes, \$15 total) was too high to see sufficient variability.

Finally, smoking topography during the ad-libitum smoking period showed that males demonstrated greater smoking intensity than females, which is consistent with prior literature (Perkins et al. 2012) and may be due to physiological differences or pharmacological effects of nicotine (Benowitz and Hatsukami 1998; Perkins 1996; Perkins et al. 2002). However, there was no difference in treatment groups on measures of smoking topography, which is inconsistent with prior literature (Van Hedger et al. 2019). The laboratory environment may have affected smoking metrics and rate in the current study and future work should explore methods of collecting cigarette consumption data across several hours or days, while potentially exploring chronic dosing of oxytocin, rather than acute dosing, as was done in the current study.

#### Limitations

This study has several important limitations to consider. One limitation was the timing of the SRT immediately following the TSST. About 13% of participants smoked immediately upon starting the SRT, which influenced craving and subjective stress values at subsequent post-TSST time points (TSST +20 and TSST +40). These data were removed, but the participants who were not able to resist smoking for even a few minutes likely represent a particularly stress responsive group of smokers. However, measures collected at the initial post-TSST time point (TSST +0) included all participants, prior to the SRT, when pronounced oxytocin effects would be expected to be present. Second, payment during the SRT for resisting

smoking was a maximum of \$15, which may have been too salient to obtain the needed variability on this task. Third, oxytocin dose selection and timing of administration was based on previous human laboratory work (Ditzen et al. 2009; Heinrichs et al. 2003; Leng and Ludwig 2016; McRae-Clark et al. 2013), though we acknowledge that the selected dose and timing may have adversely affected results. Oxytocin dosing was conducted in the laboratory once, which may not be sufficient for detecting an impact on our outcome measures (Sippel et al. 2017), and we did not collect any absorption information for oxytocin in study participants. Further, stress induction closer to the time of oxytocin administration may have yielded more pronounced effects on stress reactivity and craving due to peak oxytocin effects at that time (Tanaka et al. 2018). Fourth, although the moderating effect of gender on treatment was insignificant for study outcomes, these should be interpreted in light of limited statistical power to test these effects. The moderating relationship of gender with oxytocin treatment on peak cortisol response was particularly compelling in men (Figure 3c), but did not reach statistical significance (p=.10), indicating that oxytocin may blunt the heightened cortisol response seen in male smokers. Fifth, all study participants were nicotine deprived during the laboratory session. Previous work has shown that overnight abstinence and acute stressors may increase subjective craving and stress; however, smoking may have differential effects on subjective distress caused by abstinence versus acute stress (Perkins et al. 2010). Because all participants in the current study were subject to both overnight abstinence and stress induction via the TSST, it is not possible to determine whether abstinence or stress induction played a larger role in the current results. Sixth, menstrual cycle and ovarian hormones may have played a role in stress reactivity (subjective and physiological), craving, and smoking metrics, as has been shown in previous literature (Espin et al. 2019; Nakajima et al. 2019). However, the inclusion of ovarian hormone influence was outside the scope of the current report and will be described in sufficient detail elsewhere. Seventh, cortisol measures were only collected during the laboratory session and therefore, we cannot disentangle naturally occurring fluctuations during the day from changes due to study manipulations. Finally, while the study protocol was brief, participant burden was moderately high and the laboratory session had to be completed on a week day. This likely created barriers for certain participants and may have contributed to selection bias that could limit the generalizability of study results.

# Conclusions

The current study had two major findings. First, females experienced increased subjective stress following TSST compared to male participants, and lower neuroendocrine cortisol response. Second, oxytocin was not shown to be beneficial in decreasing subjective stress, craving, neuroendocrine response, or the delay of smoking. Though oxytocin did not appear to have beneficial impact in the context of the present study, future work should pursue its suitability as a pharmacotherapy for tobacco use, potentially utilizing longer dosing regimens or prolonged assessments of stress reactivity, craving, and smoking in the natural environment. Stress reactivity and its influence on craving and smoking may manifest differently among treatment-seeking smokers during real-world cessation attempts, and laboratory results may not necessarily translate well to cessation outcomes in the natural environment. Stressors may be experienced rapidly and unexpectedly during a quit attempt and this may be especially detrimental to female smokers attempting to quit. It is reasonable

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to hypothesize that medications that directly interfere with this relationship and reduce reactivity to stressors would be beneficial for smokers. Further research on oxytocin is needed, especially given recent studies showing nuanced effects of the drug based on varying phenotypic profiles and histories (Flanagan et al. 2018; Jarnecke et al. 2018; Sippel et al. 2017). Gender differences in smoking maintenance and cessation are complex and multi-factorial, thus requiring additional research targeting those factors, which may need to be gender-specific to be maximally efficacious.

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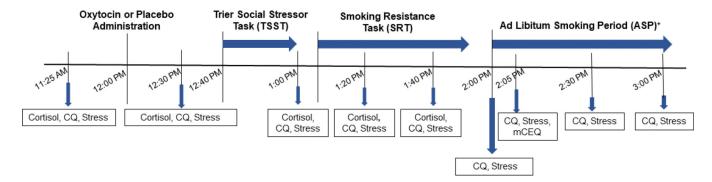
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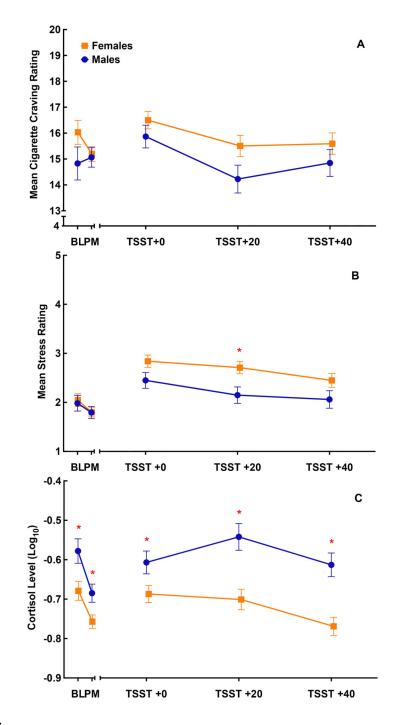


# Figure 1.

Timeline of procedures and measures collected during the laboratory session (Day 15). **Figure Key**: **CQ**=Craving Questionnaire; **TSST**=Trier Social Stress Task; **SRT**=Smoking Resistance Task; **ASP**=Ad libitum Smoking Period; **mCEQ**=Modified Cigarette Evaluation Questionnaire.

+ During the Ad Libitum Smoking Period, assessments were conducted immediately following the first cigarette (typically +5–10 minutes after the start of the ASP).

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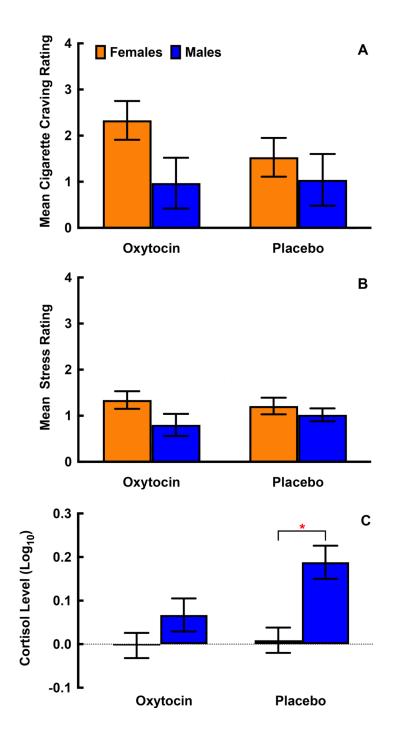


## Figure 2.

Mean cigarette craving (range of 4–20; shown in Panel **A**), self-reported stress (range of 1– 5; shown in Panel **B**), and cortisol (ug/dL; shown in Panel **C**) response shown prior to and following the Trier Social Stress Task (TSST) for male (n=53) and female (n=91) participants. Responses are displayed at baseline (BL), post-medication (PM), which included oxytocin (40 IUs) or matched placebo administration, immediately following the TSST (TSST +0), 20 minutes following the TSST (TSST +20), and 40 minutes following the TSST (TSST +40). Data are shown as the model-based means and standard errors. Data

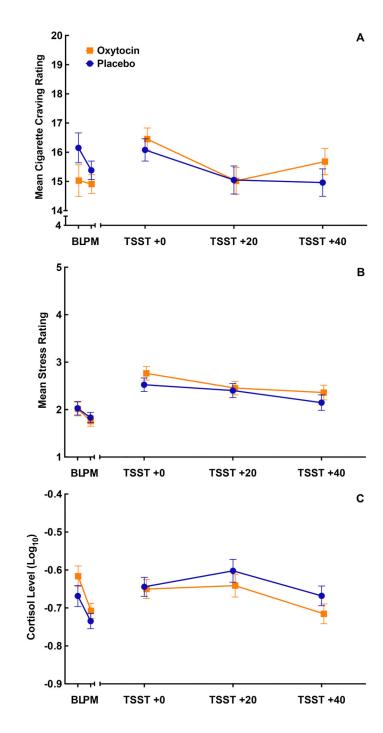
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are shown adjusted for A) treatment condition, time, treatment by time interaction, baseline cigarette craving and gender, B) treatment condition, time, treatment by time interaction, baseline stress, gender and gender by time interaction, C) treatment condition, time, treatment by time interaction, baseline cortisol, gender and gender by time interaction. \*Indicates p<.05 between males and females at that specific time point.



# Figure 3.

Peak responses (from baseline) are shown for cigarette craving (**A**), self-reported stress (**B**), and cortisol (**C**) to the Trier Social Stress Task (TSST) following oxytocin or placebo administration in male (n=53) and female (n=91) participants. Data are shown as the model-based means and standard errors. Data are shown adjusted for A) treatment condition, baseline craving and gender, B) treatment condition, baseline stress, gender, C) treatment condition, baseline cortisol, gender and gender by treatment interaction. \*Indicates p<.05 between male and female participants within a randomized treatment condition.



## Figure 4.

Mean cigarette craving (**A**), self-reported stress (**B**), and cortisol (**C**) response prior to and following the Trier Social Stress Task (TSST) for participants randomized to the oxytocin (n=72) or placebo condition (n=72). Data are shown as the model-based means and standard errors. Data are shown adjusted for A) treatment condition, time, treatment by time interaction, baseline cigarette craving and gender, B) treatment condition, time, treatment by time interaction, baseline stress, gender and gender by time interaction, and C) treatment

condition, time, treatment by time interaction, baseline cortisol, gender and gender by time interaction.

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# Table 1.

Demographics and clinical characteristics of the overall study sample completing laboratory day procedures and separated by treatment assignment and further separated by gender.

			Placebo			Oxytocin	
Screening Visit	Overall (N=144)	All Participants (n=72) Female (n=46) Male (n=26)	Female (n=46)	Male (n=26)	All Participants (n=72)	Female (n=45)	<b>Male</b> (n=27)
Age	31.0 (7.4)	31.6 (7.7)	32.1 (7.5)	30.7 (8.0)	30.4 (7.1)	31.5 (6.7)	28.6 (7.4)
Female % (n)	63.2 (91)	63.9 (46)			62.5 (45)		
White % (n)	56.3 (81)	55.6 (40)	60.9 (28)	46.2 (12)	56.9 (41)	66.7 (30)	$40.7~(11)^{\uparrow}$
Education % (n)							
< HS	12.5 (18)	15.3 (11)	15.2 (7)	15.4 (4)	9.7 (7)	11.1 (5)	7.4 (2)
HS or Some College	72.9 (105)	75.0 (54)	76.1 (35)	73.1 (19)	70.8 (51)	64.4 (29)	81.5 (22)
College Graduate	14.6 (21)	9.7 (7)	8.7 (4)	11.5 (3)	19.4 (14)	24.4 (11)	11.1 (3)
Age of Regular Smoking	17.5 (4.6)	17.1 (5.0)	16.3 (3.2)	18.5 (7.1)	17.9 (4.0)	17.7 (3.1)	18.3 (5.3)
Years of Regular Smoking	13.5 (7.6)	14.9 (7.8)	15.6 (7.6)	13.5 (8.2)	12.2 (7.2)*	13.5 (7.2)	<b>9.9 (6.8)</b>
30-day CPD Average	15.7 (8.9)	16.6 (10.4)	15.5 (7.3)	18.5 (14.4)	14.8 (7.1)	15.7 (7.7)	13.3 (5.6)
FTND Total Score	4.7 (2.1)	4.8 (2.0)	15.0 (5.3)	16.7 (9.6)	4.7 (2.3)	15.3 (8.0)	13.4 (5.1)
Pre-Medication (Day 15)							
Stress (1-5 scale)	2.0 (1.2)	2.0 (1.2)	2.2 (1.4)	1.7 (0.7)	2.0 (1.2)	1.8 (1.1)	2.3 (1.4)
Craving Total (4-20 scale)	15.6 (4.5)	16.2 (4.4)	16.8 (4.1)	15.0 (4.7)	15.0 (4.6)	15.2 (4.6)	14.7 (4.7)
Cortisol (ug/dL)	0.26 (0.15)	0.24 (0.14)	0.23 (0.15)	$0.28~(0.12)^{\uparrow}$	0.28 (0.17)	0.26 (0.15)	0.32 (0.18)

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\* p<.05 vs. placebo ≁ p<05 vs. female