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## A dynamic three step mechanism drives the HIV-1 prefusion reaction

Maro Iliopoulou<sup>#1</sup>, Rory Nolan<sup>#1</sup>, Luis Alvarez<sup>1</sup>, Yasunori Watanabe<sup>2,3,4</sup>, Charles A. Coomer<sup>1</sup>, G. Maria Jakobsdottir<sup>1</sup>, Thomas A. Bowden<sup>2</sup>, Sergi Padilla-Parra<sup>1,2,5,6,\*</sup>

<sup>1</sup>Cellular Imaging Group, Wellcome Centre for Human Genetics, , University of Oxford, Oxford, UK

<sup>2</sup>Division of Structural Biology, University of Oxford, Wellcome Centre for Human Genetics, Headington, Oxford OX3 7BN, UK

<sup>3</sup>Oxford Glycobiology Institute, Department of Biochemistry, University of Oxford, South Parks Road, Oxford OX1 3QU, UK

<sup>4</sup>Centre for Biological Sciences and Institute of Life Sciences, University of Southampton, Southampton, SO17 1BJ, UK

<sup>5</sup>Dynamic Structural Virology Group, Biocruces Health Research Institute, Barakaldo, Spain

<sup>6</sup>Ikerbasque, Basque Foundation for Science, Bilbao, Spain

# These authors contributed equally to this work.

### Abstract

Time-resolved HIV-1 envelope (Env) interactions with CD4 and CCR5 or CXCR4 were visualized and quantified on the surface of cells by combining multicolour super-resolution localization microscopy (dSTORM) with fluorescence fluctuation spectroscopy imaging. Utilizing primary isolate JR-FL and laboratory HXB2 strains, we revealed the time-resolved stoichiometry of both CD4 and CCR5 or CXCR4 receptors in the prefusion complex upon arrival of HIV-1 Env. The HIV-1 Env pre-fusion dynamics for both R5 and X4 tropic strains consists of a three-step mechanism, which differs in stoichiometry. The action of the monoclonal neutralizing antibody (Nab) b12 was also tested, revealing that the mechanism of inhibition differs between JR-FL and HXB2 Env. These molecular insights into the precise Env-induced time-resolved stoichiometry of CD4 and CCR5 or CXCR4 reveal HIV-1 receptor and co-receptor assemblies as novel targets for inhibitor design.

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\*Correspondence and requests for materials should be addressed to S. P-P [spadilla@well.ox.ac.uk](mailto:spadilla@well.ox.ac.uk), [tom@strubi.ox.ac.uk](mailto:tom@strubi.ox.ac.uk).

**Author Contributions** S.P-P conceived and supervised the study. M.I. and L.A performed experiments. R.N. produced computational algorithms for image processing. M.I., R.N., L.A., C.A.C., G.M.J. and S. P-P analyzed the data.. Y.W. and T.A.B. built atomic models. S.P-P wrote the manuscript with comments from all authors.

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## Introduction

Entry of HIV-1 into a host cell requires an initial interaction between the viral-envelope displayed glycoprotein spike complex, Env, with cell surface displayed CD4 and co-receptors<sup>1</sup>. Although structural studies have revealed the intra-molecular basis for CD4 receptor and CXCR4/CCR5 co-receptor-induced conformational changes to the HIV-1 Env during host cell entry<sup>2</sup>, little is known about how the inter-molecular dynamics and stoichiometry of this process culminates in fusion with the host cell membrane in live cells<sup>3</sup>. This is due to the difficulty of working with live cells and the lack of temporal resolution of the techniques commonly employed (i.e. crystallography and cryo-EM). To overcome these difficulties and enable real-time observations of R5 and X4 tropic HIV-1 Env-induced CD4 and CCR5 or CXCR4 interactions between single HIV-1 virions and live cells, we multiplexed number and brightness (N&B)<sup>4-6</sup> with real-time single virus tracking (SVT)<sup>7,8</sup>(Fig. 1). To corroborate our NandB data, we additionally employed total internal reflection microscopy (TIRFM) combined with super-resolution localization microscopy (dSTORM)<sup>9</sup>.

Guided by the combined use of advanced quantitative light microscopy, we show with detail the mechanistic underpinnings of the inter-molecular dynamics of CD4 and co-Receptors during HIV-1 pre-fusion reaction for both: an X4 tropic HIV-1 Env lab strain (HXB2<sup>10</sup>) and a R5 tropic HIV-1 primary isolate strain (JR-FL<sup>11</sup>). We also show how b12, a CD4-binding-neutralizing antibody, prevents exposure of the co-receptor binding by restricting V1/V2 loop recognition<sup>2</sup>, and blocks CD4 – CXCR4 interactions for HXB2 Env. JR-FL Env CD4 – CCR5 interactions were also disrupted at 100µm /mL but higher order oligomeric states of CD4 and co-receptors were not detected as opposed to HXB2 Env. Recent studies have stressed the importance of the role played by the host during HIV-1 entry and fusion<sup>12-15</sup>; the molecular insights presented here into the precise Env-induced time-resolved stoichiometry of CD4 and CCR5 or CXCR4 may contribute to the development of future therapeutic interventions directed to disrupt the earliest stages of the virus life cycle.

## Results

### HIV-1 X4 tropic virus interactions with CD4 and CXCR4: Fluorescence Fluctuation Spectroscopy

X4 tropic HIV-1 viruses carrying HXB2 Env and Gag-iCherry (HIV<sub>HXB2/GagiCherry</sub>)<sup>16</sup> were exposed to COS7 cells co-transfected with CD4-mOrange and CXCR4-mTFP1 at 37 °C (Fig. 1). COS7 cells expressing CD4-mOrange and CXCR4-mTFP1 were imaged together with HIV<sub>HXB2/GagiCherry</sub> virions at a time resolution of one frame per second. Inspired by alternative laser excitation (ALEX)<sup>17</sup>, we implemented line interleaved excitation confocal microscopy; which also prevented bleed-through avoiding potential false positives in our cross-variance analysis. Time-resolved brightness and cross-variance brightness (Bcc)<sup>5</sup> images were calculated from CXCR4-mTFP1 and CD4-mOrange intensity images, as described in (Fig. 1 and Supplementary Fig. 1).

Single particle tracking of HIV<sub>HXB2/GagiCherry</sub> performed in parallel with Bcc analysis using the red channel revealed that 12% virions induced positive Bcc regions of interest (i.e. CD4–

CXCR4 interactions) when landing on the surface of COS7 cells (Fig. 1-2; Supplementary Fig. 1-4). By using the time-resolved coordinates of HIV-1 x-y virions that colocalized with positive cross-variance (Bcc) regions, we could recover the CD4–CXCR4 time-resolved stoichiometry for individual virions (Fig. 2e). The average time-course for the pre-fusion reaction for HXB2 Env (n = 10) shows that CXCR4 dimerization occurs at t = 1.7 mins, followed by CD4 hexamer formation at 3.4 min. This  $6 \pm 1$  CD4 –  $2 \pm 0.3$  CXCR4 configuration is transiently stable (3.4 – 5.1 mins) until an average  $4.9 \pm 0.8$  CD4 –  $1.5 \pm 0.3$  stoichiometry is adopted at t = 6.8 mins (Fig. 2e). Only 12% of HIV<sub>HXB2/GagiCherry</sub> virions induced positive Bcc (i.e. CD4 – CXCR4 interaction); similar percentages were found in other reports<sup>16,18</sup>. Time-resolved HIV-1 x-y virion coordinates that did not colocalize with positive cross-variance (Bcc) regions presented a reaction profile distinct from the ones that did (Supplementary Fig 5). Virions unable to induce positive Bcc regions were incapable of initiating the formation of a pre-fusion complex with CD4 and co-receptor and therefore unable to fuse with the host cell. We also produced HIV<sub>HXB2/GagiCherry</sub> virions in the presence of 320 nM of saquinavir<sup>19</sup> (SQV), a protease inhibitor that binds to the active site of the viral protease, leading to immature viruses with uncleaved Gag-iCherry<sup>16</sup>. We reasoned that Env would be restricted and unable to cluster in these immature virions<sup>20</sup>, so the second step of the HIV<sub>HXB2</sub> pre-fusion reaction (i.e. CD4 hexamer formation) would not occur. None of the tracked HIV<sub>HXB2/GagiCherry</sub> immature virions (Supplementary Fig. 6) induced positive Bcc (i.e. CD4–CXCR4 interaction) or a significant increase in CD4 and CXCR4 oligomeric states (Supplementary Figure 6). This implies that HIV<sub>HXB2/GagiCherry</sub> virions that did induce positive Bcc (i.e. CD4 - CXCR4 interactions) were mature and potentially productive (Supplementary Figure 7). More negative controls using agents known to inhibit the HIV-1 fusion reaction were employed to further validate our approach. We employed b12<sup>21,22</sup>, CD4 antibodies like OKT4<sup>23</sup> or co-receptor ligands (CXCL12<sup>24</sup>) (Supplementary Fig.5-9) for HIV-1 virions and no positive Bcc was ever observed in all cases. The reaction profile for HIV<sub>HXB2/GagiCherry</sub> virions in the presence of 100 µl/mL of b12 is depicted in Fig.2e, rightpanel. In this case, the presence of b12 blocked the CD4 – CXCR4 interaction as no Bcc positive was observed in regions where HIV<sub>HXB2/GagiCherry</sub> virions were detected. Even if the absence of Bcc is indicative that the neutralizing antibody was functional, we did recover the oligomeric states of CD4 and co-receptors in these locations (Fig. 2e, right panel). Strikingly, CD4 was able to oligomerize up to a tetramer, while CXCR4 formed a (the expected) dimeric state.

### HIV-1 X4 tropic virus interactions with CD4 and CXCR4: Super-resolution localization microscopy

Multicolor TIRF-dSTORM<sup>25</sup> microscopy was also performed on COS7 cells co-expressing CD4-mRFP and CXCR4-eYFP exposed to HIV<sub>HXB2</sub> during 10 min (Fig. 3). After this time, cells and viruses were fixed and treated with nanoboosters (anti-RFP and anti-eYFP nanobodies labelled with Atto dyes) and Env antibodies for HIV specifically designed for super-resolution localization microscopy (see Material and Methods). The pre-fusion reaction of individual HIV-1 virions was assessed by the interaction factor (IF) method<sup>26</sup>. As described in material and methods, both the average normalized sum of photons per interaction event (Supplementary Fig.9) and the real stoichiometry (normalized sum of photons per interaction event, Fig. 4c) were obtained. In the case of HIV<sub>HXB2</sub>, we found that

the multi-colour dSTORM approach supported the three-step mechanism observed with Bcc (Fig. 3). For HXB2 Env ( $n = 103$ ), the first step is also an asymmetric pre-hairpin intermediate<sup>2</sup> consisting on 1-2 CD4 and 1 CXCR4 molecules; we found 69% of the events to correspond to this step with  $1.6 \pm 0.03$  CD4 :  $0.7 \pm 0.02$  CXCR4. Similar to the data acquired via N and B, step two followed right after step one and implies the formation of a CD4 hexamer and CXCR4 dimerization. Only 17% of the quantified events were found to belong to this step with  $6.5 \pm 0.2$  CD4 :  $2.1 \pm 0.42$  CXCR4 stoichiometry. Finally, according to the time-resolved data, the disassembly of the CD4 hexamer into a CD4 tetramer initiates the fusion reaction; where 14% of the events with stoichiometry  $3.3 \pm 0.17$  CD4 :  $1.2 \pm 0.13$  CXCR4 were found to belong to this third step. We also calculated the number of HXB2 Envs engaged with CD4-CXCR4 interacting complexes belonging to the previously defined three steps of the pre-fusion mechanism. Only one or two HXB2 Env were found to be engaged with CD4-CXCR4 complexes (Supplementary Fig. 10). The presence of b12 also blocked the CD4 – CXCR4 interactions as measured with dSTORM (Supplementary Fig. 11) as no IF positive was observed in these cells.

### HIV-1 R5 tropic virus interactions with CD4 and CCR5: Fluorescence Fluctuation Spectroscopy

R5 tropic HIV-1 viruses carrying JR-FL Env and Gag-iCherry (HIV<sub>JR-FL/GagiCherry</sub>)<sup>16</sup> were exposed to COS7 cells co-transfected with CD4-mOrange and CCR5-mTFP1 at 37 °C (Fig. 4a-b). COS7 cells expressing CD4-mOrange and CCR5-mTFP1 were imaged together with HIV<sub>JR-FL/GagiCherry</sub> virions, respectively, at the same time resolution as before (one frame per second). Time-resolved brightness and cross-variance brightness (Bcc)<sup>5</sup> images were also calculated for CCR5-mTFP1 and CD4-mOrange intensity images (Fig. 4a, left panel). For JR-FL Env ( $n = 12$ ), during the initial attachment to the cognate host receptor, CD4-Env forms an asymmetric pre-hairpin intermediate (1.7 min) following oligomerisation of additional CD4 molecules on the trimer leading to the secondary intermediate (3.4 – 7 min). Concomitantly, dimerization of CCR5 co-receptors (3.7 min) results in the final fusion competent complex, with a total of  $4 \text{ CD4} \pm 0.3$  and  $2 \pm 0.3$  CCR5 molecules bound to a single HIV-1 Env trimer (Fig. 4b, top panel).

The addition of inhibitory concentrations of b12 (100 µg/mL) impeded both CD4 – CCR5 interactions and posterior CD4 trimer formation (Fig. 4b, bottom panel). Moreover, CCR5 dimerization was also not observed, indicating that homotypic interactions between receptors and co-receptors were also inhibited for JRFL Env decorated HIV-1 virions. This contrasts HXB2 Env, where the interaction between CD4 and CXCR4 was disrupted but not further homodimerization of CXCR4 or CD4 tetramerization (Fig. 2e).

### HIV-1 R5 tropic virus interactions with CD4 and CCR5: Super-resolution localization microscopy

Multi-colour TIRF - dSTORM corroborated the stoichiometry found using time-resolved Bcc (Fig. 4c) for HIV-1 R5 tropic virions. Indeed, for JR-FL Env ( $n = 23$ ), the first asymmetric pre-hairpin intermediate (Step 1, 1 min) was identified for 69% of the quantified interacting events (with an average stoichiometry of  $1.3 \pm 0.1$  CD4 :  $1.6 \pm 0.1$  CCR5). Events for the secondary intermediate (1-2 min) accounted for 17% of the total ( $2.9 \pm 0.1$

CD4 : 1.9 $\pm$ 0.3 CCR5). The final fusion competent complex, (2 – 7 min) accounted for 14 % of the events with an average stoichiometry of (3.4 $\pm$ 0.1 CD4 : 1.5 $\pm$ 0.2 CCR5). We also evaluated the number of JR-FL Env engaged with the different CD4 –CCR5 complexes (Fig. 4c middle and right panels). An average of 1.1 $\pm$ 0.9 Env (n = 12) were found to be engaged with CD4-CCR5 interacting complexes, indicating that for R5 HIV-1 virus only one Env might be sufficient to trigger fusion (Supplementary Fig. 11). Overall, the combination of single molecule time-resolved Bcc with dSTORM shows a very good correlation with Bcc for the HIV-1 prefusion reaction in both Envs, JR-FL and HXB2. Low interaction factors (IF) from TIRF-dSTORM images were also recovered for these JR-FL virions (Supplementary Fig. 11) in the presence of inhibitory concentrations of b12, further corroborating our results with Bcc.

## Discussion

Altogether, our studies support a dynamic three step model for both HIV<sub>HXB2</sub> and HIV<sub>JR-FL</sub> (Fig. 5). For X4 tropic virions, Env – CD4 interactions induce CXCR4 dimerization, CD4 then engages with two Env, - as shown by 3 color TIRF-dSTORM microscopy - to generate a hexamer that might serve as a scaffold to stabilise a final 4CD4 – 1/2CXCR4 conformation, with a single Env (Fig. 5b). We speculate that for HIV<sub>HXB2</sub>, step 2 is crucial to culminate the fusion reaction and there could be an anchoring domain and a fusion domain that undergoes gp120 disassembly leading to 6 helix bundle formation. For R5 tropic virions, Env – CD4 interactions form a the previously described asymmetric pre-hairpin intermediate<sup>27-29</sup>; following binding and oligomerisation of 2 additional CD4 molecules with concomitant CCR5 dimerization. After this, the secondary intermediate leads to the final fusion competent complex with a total of 4 $\pm$ 0.3 CD4, 2 $\pm$ 0.3 CCR5 and 1 JR-FL Env, as seen with 3 colour TIRF-dSTORM microscopy. Interestingly, dSTORM experiments show an average stoichiometry for step 3 of 3.4 $\pm$ 0.1 CD4 : 1.5 $\pm$ 0.2 CCR5. In these single molecule experiments the 4 CD4 molecules engaged with 1 Env spike was seen with a probability of 0.1 being 3 CD4 more likely to be detected (P = 0.36). The majority of CD4 molecules were found in a monomeric state before HIV-1 addition and this instance might favour the use of 3 CD4 molecules engaged in the JR-FL complex. It is possible, however, that pre-existent CD4 dimers could also engage with Env in step 3 originating a complex with 4 CD4 molecules (Supplementary Figure 12).

Our data indicate that both HXB2 Env and JR-FL Env start with an asymmetric intermediate bound to a single CD4, as previously suggested<sup>27</sup>. Our models also support the existence of important differences in the entry mechanisms of X4 and R5 strains. In the X4 strains, CXCR4 dimerization<sup>30,31</sup> occurs prior to CD4 hexamer formation and following initial Env – CD4 recognition<sup>32</sup> (as characterized by TIRF – dSTORM, Fig.3 and Fig.4c). For R5 tropic JR-FL, CCR5 dimerization<sup>31</sup> occurs after Env-CD4 complexation and recruitment of 2 additional CD4 molecules<sup>33</sup> around the complex (Fig. 5a). These data also suggests that the V3 loop of Env could be fully accessible with the formation of bridging sheet<sup>27,28</sup> with 2 – 3 CD4 molecules<sup>29</sup>. Interestingly, we demonstrate that the transition towards formation of a JR-FL Env-3CD4 complex occurs relatively rapidly (1.7 min, Fig. 4c) and prior to CCR5 dimerization, which contrasts the relatively slow transition suggested by Kwon and co-workers (2015)<sup>28</sup>. Recent *in-vitro* analyses by Ma and co-workers (2018)<sup>29</sup>, have further

refined the initial conformations sampled by Env prior to fusion<sup>29</sup>. Combined with our model of the higher order assembly of Env-receptor complexes, these data provide a holistic understanding for the Env dynamics on the virion surface. This, has potential implications about Env open configurations and how CD4 and co-receptors could be recruited around Env (either primary isolates or lab strains which clearly differ in their studies and ours). Here, we show in live cells, from the perspective of the host, the time-resolved stoichiometry for CD4 and co-receptors corroborating the model proposed in<sup>29</sup> for both strains tested. Our 3 colour TIRF-dSTROM experiments shown that HIV<sub>HXB2</sub> necessitates 2 Env spikes to complete the fusion reaction whilst HIV<sub>JR-FL</sub> only needs one. The different stoichiometry found for these two Env strains is in line with other reports<sup>3,34</sup> and not surprising. Indeed, R5 tropic primary isolates HIV<sub>JR-FL</sub>, exhibits reduced dynamics as compared to X4 tropic lab strains HIV<sub>HXB2</sub> and this have implications on receptor stoichiometry<sup>29</sup>.

Ultimately, this study opens up novel avenues for testing putative therapeutic approaches for the treatment of HIV-1 infection, which prevent the formation of the required receptor and co-receptor oligomerization state necessary for viral entry. CD4-binding-neutralizing antibodies, like b12 (Fig. 6), that prevent exposure of the co-receptor binding by restricting V1/V2 loops<sup>2</sup>, blocked CD4 – CXCR4 interactions for HXB2 Env and CD4 – CCR5 interactions for JR-FL Env. It is possible that not all Env were engaged with b12 and therefore a small subpopulation would be able to interact with CD4, inducing CXCR4 oligomerization in the case of HXB2 Env. The b12, however, would hinder CD4 hexamer formation, impeding the formation of the prebundle structure. In the case of JR-FL, this behaviour was not observed and disruption of CD4 – CCR5 interactions also prevented the formation of independent higher oligomeric states for both CD4 and co-receptor. These data also could indicate a higher affinity of b12 for JR-FL Env as compared to HXB2, as we found no JR-FL Env available able to interact with CD4 using the same b12 concentration (100 µg/mL); another explanation might be the differential number of spikes presented in both strains. The novel insights on the precise mechanism of broadly neutralizing antibodies (Fig. 6) presented in this study and most importantly, the molecular mechanisms of the HIV-1 pre-fusion reactions, may help to improve host-directed therapeutic interventions crucial to preclude the emergence of resistant viral variants. Recently, we have discussed on the importance of design alternative a novel therapeutic interventions for HIV-1 that focus on the host rather than the virus itself<sup>1</sup>. This study uses an integrative approach exploiting the combination of advanced imaging technologies to better understand the problem of heterogeneity in HIV-1 entry. Further inter-disciplinary studies focussing on primary cells and tissue are still needed to deepen our understanding of HIV-1 stoichiometry during fusion. This report, however, pioneers the use of single molecule approaches in live cells upon HIV-1 entry and paves the way for future studies aiming to quantify the response of host factors to HIV-1 infection with high spatial and temporal molecular resolutions.

## Methods

### Cloning and expression of CD4 and CXCR4

hCD4-YFP and hCXCR4-YFP constructs in pRluc-N1 (Perkin-Elmer) and pEYFP-N1 (Clontech) were kindly provided by Mario Mellado (CNB-CSIC, Madrid, Spain) and



Thomas Hope (Northwestern University, U.S.A) and were transformed into E.coli DH5a. The plasmids were subsequently isolated and the cDNA of hCD4 and hCXCR4 were cloned into mOrange, mRFP and mTFP1 vectors by transferring the HindIII/BamHI and NheI/AgeI fragments into the corresponding sites of the vectors, to make CD4-mOrange, CD4-mRFP and CXCR4-mTFP1. COS-7 cells were transiently transfected 24 hours before the experiment using GeneJuice (Novagen) according to the recommendations from the manufacturer. COS-7 cells were imaged in DMEMcomp Fluobrite (Life Technologies).

### Cell Culture

HEK293T cells and COS-7 cells were grown using DMEM (Life Technologies) supplemented with 10% fetal bovine serum, 1% penicillin-streptomycin, and 1% L-Glutamine to give DMEM complete (DMEMcomp). All cells were maintained in a 37°C incubator supplied with 5% CO<sub>2</sub>.

### Virus production and expression of virus constructs

pR8DEnv (encoding the HIV-1 genome harbouring a deletion within Env), pcRev, Gag-iCherry, HXB2, JRFL, and VSV-G were kindly provided by James Binley (Torrey Pines Institute for Molecular Studies, San Diego, U.S.A) and Greg Melikyan (Emory University, Atlanta, U.S.A). Pseudotyped viral particles were produced by transfecting HEK293T cells plated at 60%–70% confluence in T175 flasks. DNA components were transfected using GeneJuice (Novagen) in accordance with the manufacturer's instructions. To produce particles harbouring the Gag-iCherry, cells were transfected with 2 µg pR8DEnv, 2 µg Gag-iCherry, 1 µg pcREV, and 3 µg of the appropriate viral envelope (either VSV-G or the CCR5-tropic HIV-1 strain JR-FL or the CXCR4-tropic HXB2). Transfection mixtures were then added to cells in DMEMcomp before returning flasks to the 37°C CO<sub>2</sub> incubator. After 12 hours, the medium containing the transfection mixture was removed and cells were washed with 1 x PBS. Fresh DMEMcomp (lacking phenol red) was then added. Cells were subsequently incubated for a further 24 hours. At 48 hours post-transfection, viral supernatants were removed from cells and pushed through a 0.45 µm non-pyrogenic syringe filter (SARSTEDT). The virus was concentrated by incubation with the LENTI-X concentrator (Clontech Laboratories) in accordance with the manufacturer's instructions, aliquoted, and stored at -80°C. Pseudotyped immature viral particles were produced as described above, with the addition of 320nM of HIV protease inhibitor Saquinavir (European Pharmacopoeia, Sigma-Aldrich) one hour after transfection and re-added after 12 hours that the mixture (transfection) was removed and new medium was added onto the cells.

### Viral infections

HIV-1 produced virions were tested for productive infectivity in the COS-7 reporter cell line by Gag-iCherry production. COS-7 cells were transiently transfected, as mentioned above, for CD4-mOrange and CXCR4-mTFP1 expression. 24 hours post transfection they were infected with either HXB2-, VSVG-, JRFL-, or Saquinavir treated HXB2-Gag-iCherry viruses. Cells and viruses were incubated for 4 hours at 37°C. Accutase solution (Sigma-Aldrich) was then used to remove viruses from the cell environment, cells were washed with

1x PBS, new DMEM<sup>comp</sup> was added and cells were incubated at 37°C. Cells were imaged 24 and 48 hours post infection.

### Antibody Neutralisation Assay

Gag-iCherry HXB2 virions were mixed with different amounts of neutralising antibody (nAb) b12 (POLYMUN) and incubated at 37°C for one hour. Cells expressing CD4-mOrange and CXCR4-mTFP1 were imaged before and after addition of the virus-nab mixture as described below. Cells and virion-nab were incubated at 37°C and imaged 24 and 48 hours post infection for Gag-iCherry production.

### Light Microscopy

COS-7 cells co-expressing CD4-mOrange and CXCR4-mTFP1 were imaged before and after virion addition at 37°C using an SP8-X-SMD Leica microscope from Leica Microsystems (Mannheim, Germany). To rule out artefacts due to overexpression, only cells expressing low amounts of mTFP1 and mOrange were selected (1-10 photocounts per pixel). The selected cells mostly expressed CD4-mOrange as a monomer and CXCR4-mTFP1 as a mixture between monomers and dimers, indicating that these expression conditions did not cause over-expression of the proteins. Gag-iCherry virus aliquots were left on ice to thaw and were gently shaken before addition to the cells. During the experimental procedure a 63X/1.4 NA oil immersion objective was used. Fluorescent proteins were excited using a White light laser (WLL) tuned at 80 MHz. The WLL was set for two or three different pathways to avoid bleed-through between CXCR4-mTFP1, CD4-mOrange and Gag-iCherry: WLL tuned at 470, 514 and 594 nm to sequentially excite the fluorescent proteins by line scanning. The photon detection was performed by two gated hybrid internal detectors (HyD) on single photon counting mode tuned at 478-507 nm (mTFP1) and 520-586 nm (mOrange) and gated between 2.5-12.5 ns to avoid auto-fluorescence and refraction light from the coverslip, while the Gag-iCherry emission was detected by a Photomultiplier tube (PMT) tuned at 619-753 nm. The acquisition was continuous for 500 to 700 frames at 256x256 pixels, while the dwell time was 2.43µs and the frame rate was 1.02/s.

### Number and Brightness Analysis

We used the established number and brightness method<sup>4-6</sup>. Briefly, first and second moment analysis based on fluorescence fluctuations of one channel (CXCR4-mTFP1 or CD4-mOrange) in a pixel over time provides a quantitative result of the average number of particles in one channel, based on their brightness in that given pixel over time (frames). Cross number and brightness analysis extends fluorescence cross correlation spectroscopy to two-dimensional cellular images by the co-variance analysis of fluorescence fluctuations in two channels<sup>5</sup>. The two-colour mode (from CXCR4-mTFP1 and CD4-mOrange) calculation of the co-variance between intensity fluctuations in ch1 and ch2 allows evaluation of the cross-Brightness or the co-variance of the intensities. Bcc is a quantitative measure of the overall number of molecules forming the stoichiometric ratio of the complex. The absence of FRET between the fluorescence proteins (mTFP1, mOrange, and iCherry) was checked prior to the accumulation of data. Fluorescence bleed-through in detection channels was prevented by line interleaved excitation. Photobleaching of fluorescent proteins during the



acquisition was corrected using the detrending algorithm<sup>6,35</sup>. The data were analysed using an R package in R studio <https://github.com/rorynolan/nandb>).

### **Fluorescence Resonance Energy Transfer – Fluorescence Lifetime Imaging microscopy (FRET-FLIM) in live cells**

COS-7 cells grown on glass-bottom 35 mm Petri dishes (Mattek) were transiently transfected with CD4-mOrange and CXCR4-mTFP1. Before imaging, Dulbecco's modified eagle medium was replaced with PBS equilibrated at 37°C. Multicolour images were acquired two days post-transfection using a Leica SP8-X-SMD confocal microscope (Leica Microsystems) with a 63×/1.40 numerical aperture oil immersion objective. mTFP1 and mOrange were excited at 440 and 514 nm, respectively, and the fluorescence emission was detected using two hybrid detectors in photon counting mode at 470-520 and 560-595 nm, respectively. FRET detection was based on the time domain FLIM experiments which were performed using a Time-Correlated Single Photon Counting (TCSPC) system operated by a PicoHarp 300 module (PicoQuant) attached to the Leica SP8-X-SMD confocal microscope (Leica Microsystems) with a 63×/1.40 numerical aperture oil immersion objective at 37°C. A 440 nm picosecond pulsed diode laser PDL 800-B (PicoQuant) tuned at 40 MHz was used to excite the donor (CXCR4-mTFP1) and the emitted photons passing through the 460-500nm emission filter and were detected using an external hybrid detector in photon counting mode. At least 1000 photon events per pixel were collected in all cases and the lifetime analysis was carried out using a Symphotime (PicoQuant). The acquired fluorescent decays were fitted by mono- or bi-exponential model<sup>36</sup>.

### **Single Virus Tracking**

The spot-enhancing filter 2D plugin from ImageJ<sup>37</sup> was applied to background-subtracted images to improve the signal to noise ratio. Virus tracking was performed with the 64-bit software module from Imaris (BitPlane, Zurich, Switzerland), using an auto-regressive algorithm. Tracking provided quantitative information regarding the mean fluorescence intensities of the viral content and membrane markers, particle's instantaneous velocity, trajectory and the mean square displacements (MSD). Since N&B was computed every 100 frames, the x-y coordinates defined within these frames were taken as a reference when carrying out the colocalisation analysis between positive Bcc and HIV-1 virions.

### **dSTORM Immunostaining**

COS-7 cells expressing CCR5-YFP or CXCR4-YFP and CD4-mRFP were incubated with non-labelled JRFL or HXB2 virions respectively for 10minutes at 37°C. After a single PBS wash to remove non-primed virions, cells were fixed with 3.7% PFA (Sigma) for 20 minutes at room temperature followed by 5 min permeabilisation with a 2% Saponin solution (Sigma), After 1h blocking with 4% BSA (Sigma), Nanoboosters (Chromotek, Germany) targeting the YFP (GFP\_Booster\_Atto488) or the RFP (RFP\_Booster\_Atto594) were added in 1:100 final concentration in blocking buffer and incubated for one additional hour. S Flag signal was introduced in HXB2, thus these virions were labelled with an mouse-anti-FLAG antibody and an anti-mouse Alexa 405 secondary. JRFL was detected with a 2G12 primary antibody and a anti-human- Alexa 633 secondary. These combination of colours was selected to avoid spectral overlap between the three channels (CD4, Co-Receptors and Env)

and sequential acquisition was performed from the longest wavelength until the shortest to avoid collateral photobleaching during each dSTORM acquisition (see below).

### Super Resolution Image Acquisition

dSTORM acquisitions were performed on a Zeiss Elyra TIRF system (Carl Zeiss Ltd, Jena) with a dual camera attachment and two Andor iXon Ultra 897 EMCCDs. For raw dSTORM data, 20000 images were acquired for each channel sequentially with an exposure time of 20 ms and a gain of 50 and using a 256 x256 region on the camera using a Plan-Apochromat 100x/1.46 Oil DIC Elyra objective with an additional 1.6x lens in front of the camera. The use of nanoboosters (see immunostaining details above; ChromoTek, Munich) allowed us to avoid significant photobleaching while still having proper fluorophore blinking for superresolution. The excitations were cleaned with either laser blocking filters (488/561; 561/642) for the Atto 488; 561 and 642 signals or a band pass filter (420-480) for the Alexa 405 signal.

### dSTORM Image Analysis

The raw TIRF images were processed in Fiji/Imagej 1.51u with the QuickPALM v1.1 plugin to provide dSTORM images with 30 nm average axial resolution. The dSTORM multichannel images were further analysed for molecular interactions between CD4 and CXCR4; CD4 and Hxb2 and CXCR4 and Hxb2 using the Interaction Factor V1.1.0 plugin<sup>26</sup>. The interaction factor localisation maps were then used to create localisation masks to filter the localisation of positive molecular interactions in the dSTORM images. The dSTORM images were then normalised to the minimum number of photons per interaction detected. As the fluorescence value in the dSTORM images come from single molecular events, the lowest average integrated intensity measured corresponds to the monomer value. After this normalization, the Interaction factor filtered dSTORM images represent n-fold increase over monomers in the interacting regions. The interaction factor images coming from V1.1.0 plugin were employed to generate masks that were then applied to the different super-resolution images coming from the right channels (CD4-mOrange and CCR5-mTFP1 or CXCR4-mTFP1). Also, as depicted in New Figure 3 the Interaction Factor mask was also applied to the HIV-1 channel (for HXB2 Alexa 405 and for JRFL Alexa 633). The resulting images were integrated utilizing automatic particle recognition (ICY (<http://www.bioimageanalysis.org/>), institute Pasteur, Paris) and computed to recover the normalized number of events per pixel that gave how many HIV-1 Envs were engaged with CD4 (1-2 for HXB2 and 1 for JR-FL). Repetitive events coming from the multiple blinking in the same spot were discarded by applying a filter (Thunderstorm, ImageJ plugin and Zen software Elyra, Jena).

### Structural Analysis and Model Building

A model for binding of the HXB2 and JR-FL HIV-1 glycoprotein spike to human CD4 and CXCR4/CCR5 was built using the following structures: ligand-free HIV-1 Env mimic (BG505 SOSIP.664) (PDB: 4ZMJ), human CD4 ectodomain (PDB: 1WIP), human CD4 transmembrane and cytoplasmic domains (PDB:2KLU), human CXCR4 chemokine receptor (PDB:3OE0), human CCR5 chemokine receptor (PDB: 4MBS), HIV-1 Env mimic (B41 SOSIP.664) in complex with the ectodomain of CD4 (PDB: 5VN3), HIV-1 Env mimic (B41

SOSIP.664) in complex with Fab variable domains of mAb b12 (PDB: 5VN8), and HIV-1 gp120 in complex with b12 (PDB: 2NY7).

Models were generated in Chimera<sup>38</sup>, Coot<sup>39</sup>, and Pymol (<https://pymol.org>). The HIV-host cell attachment model showing likely stoichiometries was generated by aligning the dimerised CD4 structure with the sCD4 chains of 5VN3. The transmembrane and cytoplasmic domains of CD4 were modelled onto the CD4 structure in Coot.

All data is available upon request

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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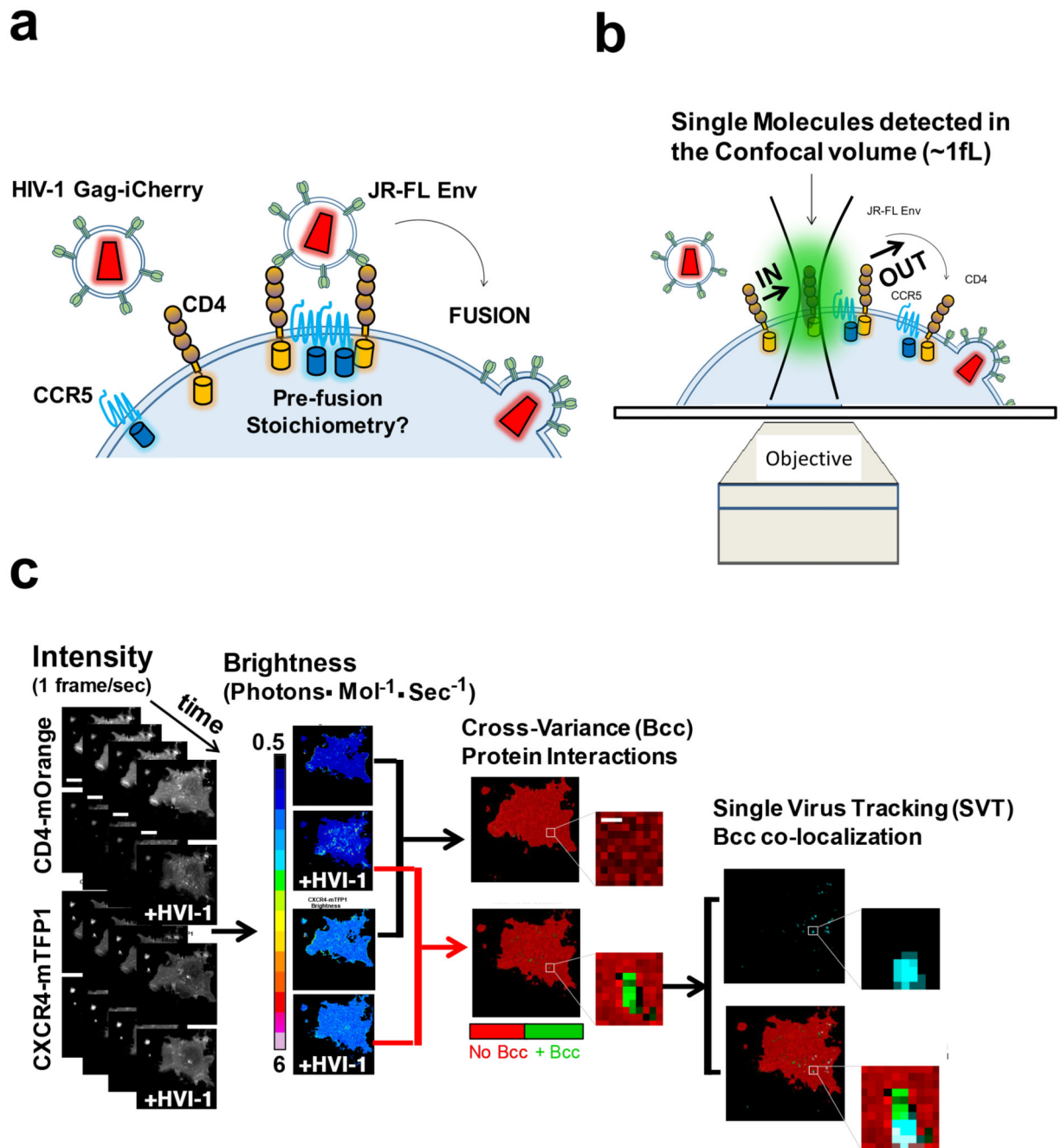
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### Methods Summary

Details of molecular cloning, light microscopy, real-time single virus tracking, two color Number and Brightness analysis are given in Methods.

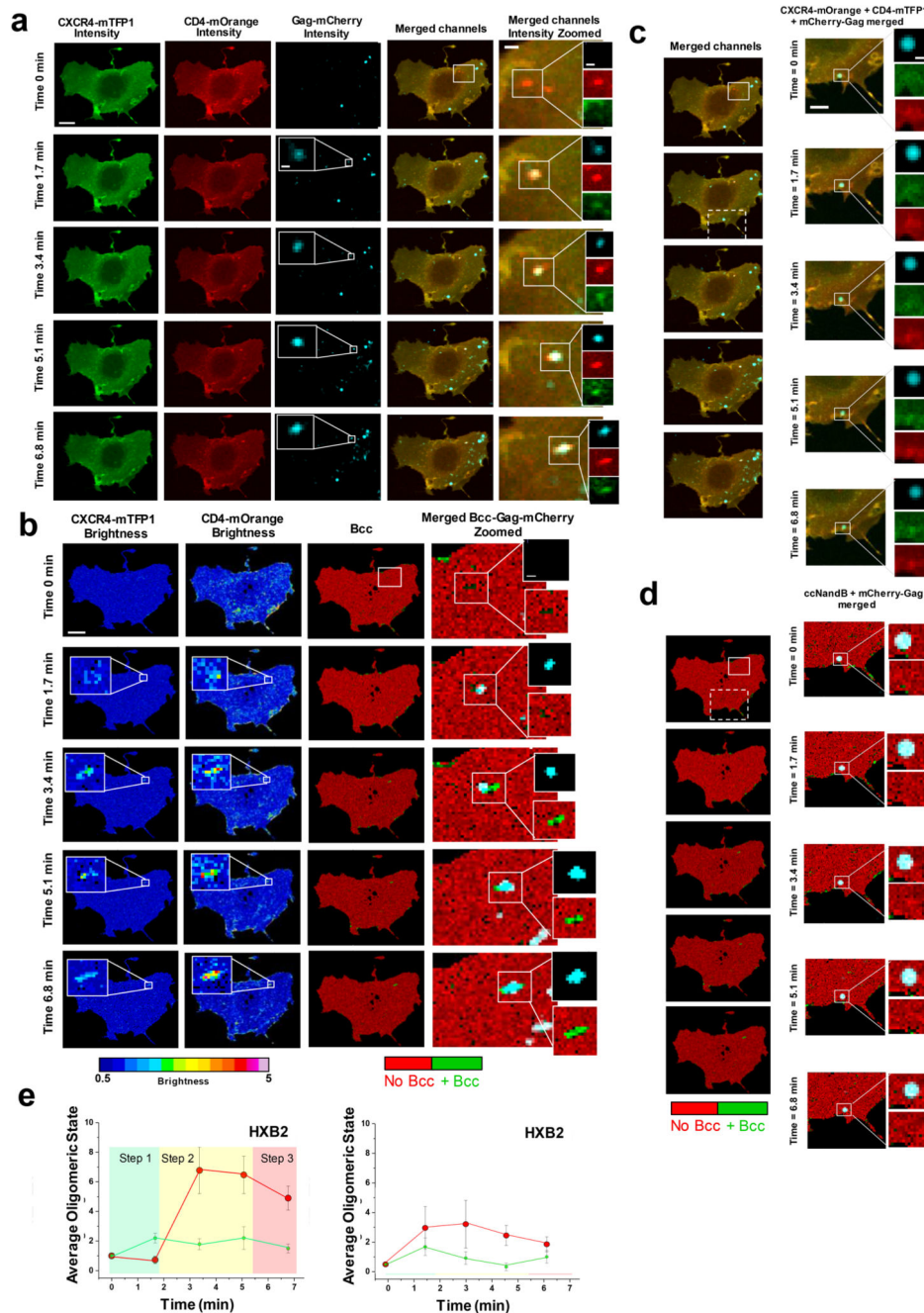




**Figure 1. HIV-1 Env – CD4 and CCR5 or CXCR4 stoichiometry super-resolution imaging and fluorescence fluctuation spectroscopy in live cells.**

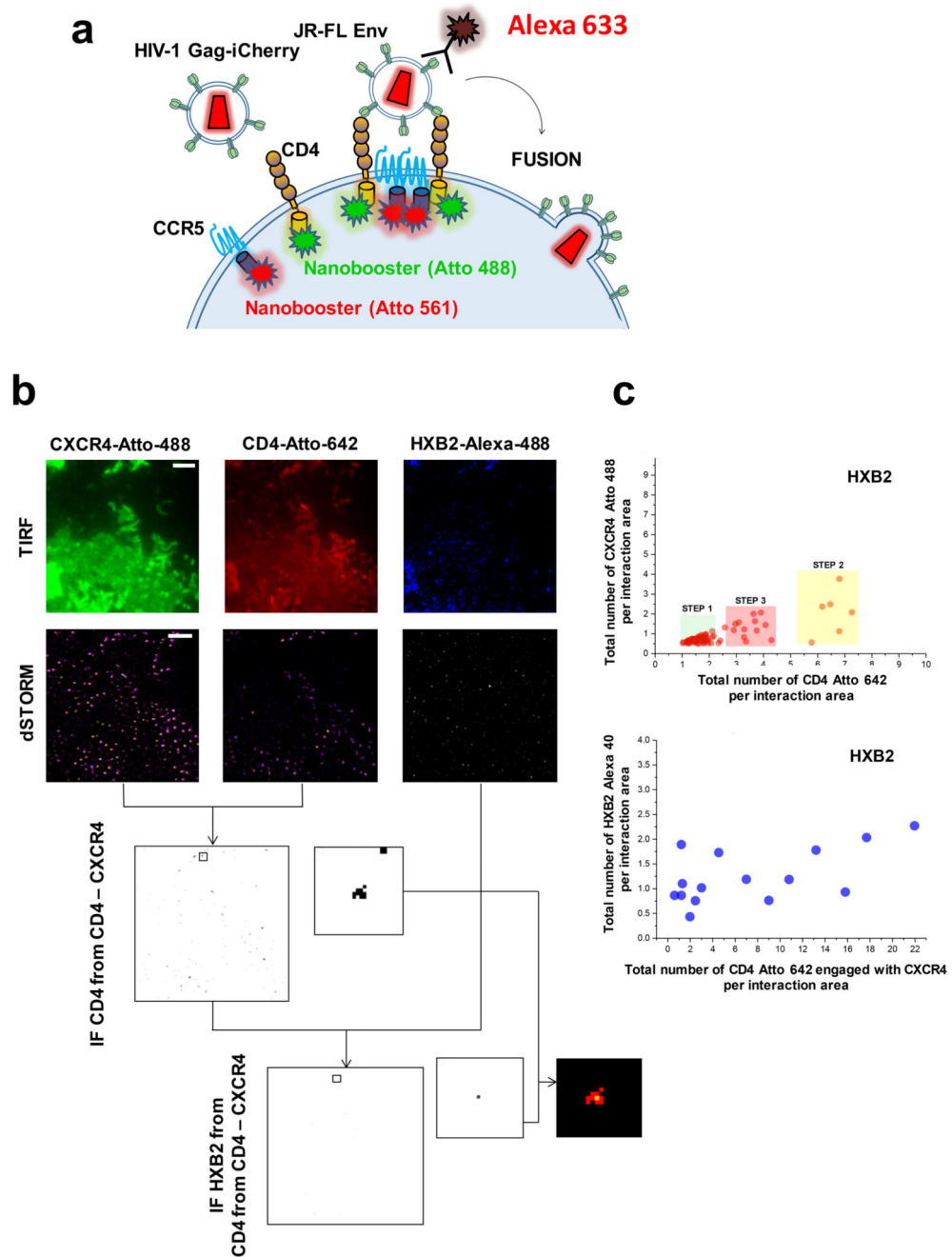
**a**, The CD4 and CCR5 or CXCR4 receptors were labelled with mOrange and mTFP1. R5 and X4 tropic labelled HIV virions were produced using JR-FL and HXB2 Env and Gag-iCherry. The pre-fusion reaction of individual HIV-1 virions was assessed multiplexing real-time single virus tracking with two color number and brightness. **b**, Labelled CD4 receptors and co-receptors diffuse through a confocal volume generating fluorescence fluctuation traces that are informative of the oligomeric state of the labelled receptors as described in

the NandB method. When cross-correlating both traces, the one coming from CD4-mOrange and the one coming from the co-receptor (e.g. CCR5-mTFP1) one can detect protein-protein interactions, as described in ccNandB theory. **c**, Flow diagram depicting the overall strategy from image acquisition until analysis. COS7 cells co-expressing CD4-mOrange and CCR5 or CXCR4-mTFP1 were exposed to HIV<sub>JR-FL</sub>/Gag-iCherry or HIV<sub>HXB2</sub>/GagiCherry virions and imaged using a confocal system equipped with two HyD detectors and one PMT (1, first column from the left). Number and Brightness analysis was performed on time-stacks of images for both CD4-mOrange Channel and CCR5-mTFP1 or CXCR4-mTFP1; in parallel single virus tracking (SVT) was performed on the third Gag-iCherry channel. Pixel-by-Pixel Brightness images containing information on the oligomeric state for CD4 and CXCR4 were produced using a software developed in house in R (2, second column from the left). Finally, co-localization analysis was carried out between the x-y coordinates for the labelled virions (in this example HIV<sub>HXB2</sub>/GagiCherry) and the cross-variance (Bcc) (3, third column from the left) coming from CD4 and CXCR4 channels (4, fourth column from the left). The computation of Bcc was also carried out with a second R package developed in house.



**Figure 2. Visualization of HXB2 based HIV-1 virion receptor stoichiometry in live cells.**  
**a**, Fast time-resolved, three-color imaging was performed on a COS7 cell co-expressing CXCR4-mTFP1 (green micrographs) and CD4-mOrange (red micrographs) exposed to HIV<sub>HXB2</sub>-Gag-iCherry virions (cyan micrographs), scale bar 1  $\mu$ m. **b**, Time-resolved brightness analysis was performed for both CXCR4-mTFP1 (first column from the left) and CD4-mOrange (second column from the left). Time-resolved cross-variance (Bcc) analysis was also performed (third column from the left) rendering a small region of interest in which CD4-CXCR4 interactions occurred (green). Real-time single virus tracking was performed

in parallel and the micrographs show co-localization of HIV<sub>HXB2-Gag-iCherry</sub> (cyan pixels) and positive Bcc (green pixels). **c**, A second region of interest (dashed square) shows a HIV<sub>HXB2-Gag-iCherry</sub> virus that did not induce positive Bcc (**d**). These viruses most likely were immature and constitute robust built-in controls for our N&B and Bcc analysis. **e**, Time-resolved stoichiometry for CD4 (red dots) and CXCR4 (green dots) upon addition of HIV<sub>HXB2/GagiCherry</sub> (left panel). The circles indicate the average ( $n = 10$ ) and the error bars indicate the standard error for each time point. The time-resolved homotypic interactions for CD4 (red dots) and CXCR4 (green dots) upon addition of HIV<sub>HXB2/GagiCherry</sub> in the presence of inhibitory concentrations of b12. CD4 and CXCR4 did not interact in this case. Error bars indicate standard error for each time point which is the average for  $n = 12$ .

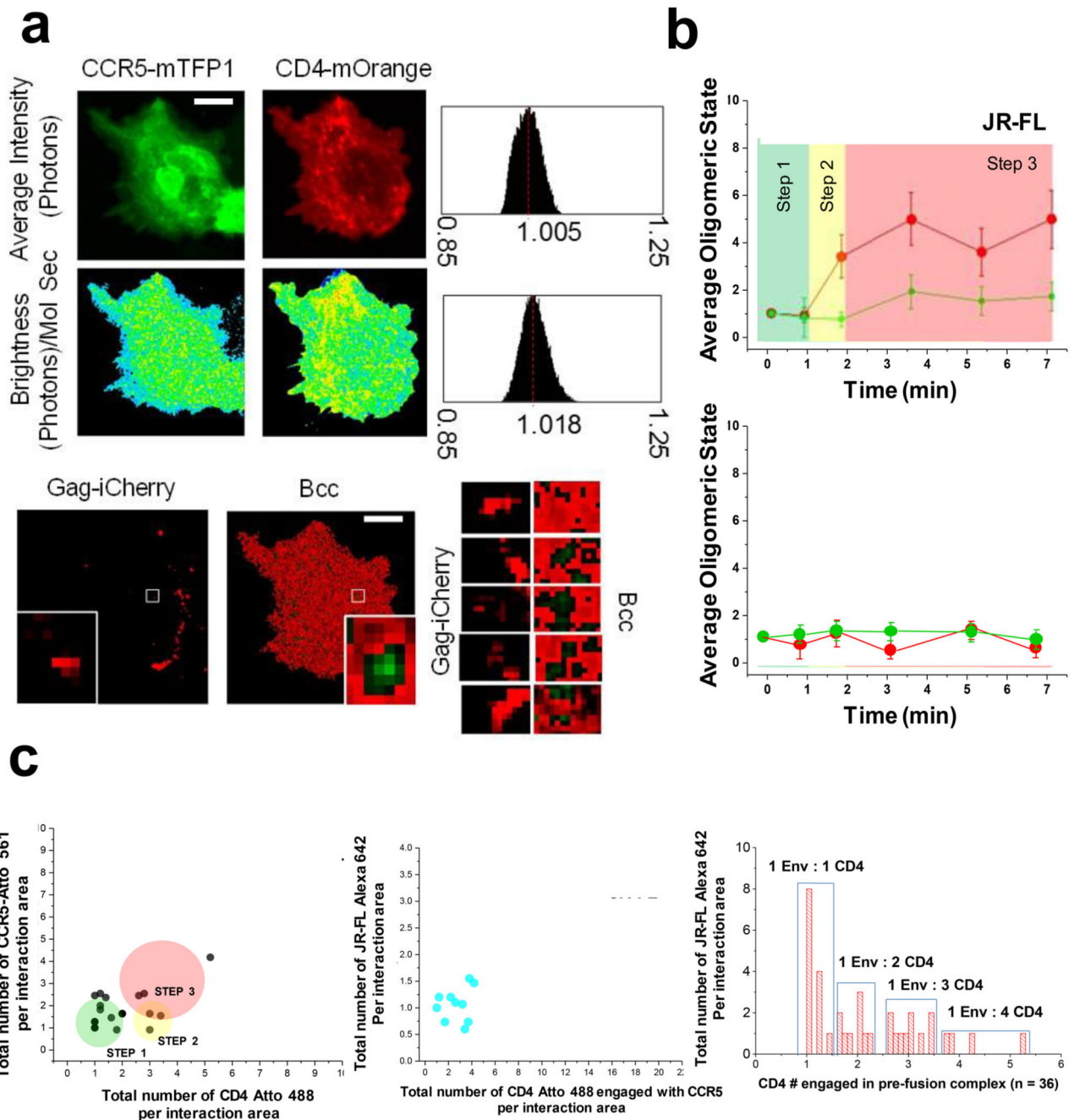


**Figure 3. Visualization of HIV-1 virion receptor stoichiometry in cells with multi-colour dSTORM.**

**a**, The labelled CD4 and CCR5 or CXCR4 receptors were labelled again with nanoboosters (Chromotek) specifically engineered for dSTORM imaging. **b**, HIV virions were exposed to COS7 cells and 10 minutes after fixed and imaged in a TIRF-dSTORM set up equipped with two EM-CCD cameras (Zeiss Elyra dual-cam, see Material and Methods section). The pre-fusion reaction of individual HIV-1 virions was assessed by co-localization analysis of single molecules with an average axial resolution of 30 nm per event. Colocalization positive

images were produced to generate masks to recover their stoichiometry, defined as the normalized sum of photons per interaction event. CD4 and CCR5 or CXCR4 co-localization masks were used against the JR-FL or HXB2 labeled Env super-resolution image to recover the number of HIV-1 Env engaged with CD4 –CCR5 or CD4 –CXCR4 complexes. **c**, The total number of normalized events per interaction area of CXCR4 labeled with Atto 488 was plotted against the total number of events per interaction area of CD4 labeled with Atto 642 for COS7 cells exposed to HIV<sub>HSB2</sub> also labelled with Alexa 405 against the Env (as described in material and methods) (top chart, n = 103). Three different regions corresponding to the three steps of the pre-fusion reaction are populated only for cells exposed to HIV<sub>HXB2</sub>. In the bottom chart, the total number of HXB2 Env interacting with CD4 and CXCR4 labelled with Alexa 405 are plotted against the total number of CD4 – CXCR4 interacting complexes (n = 18).

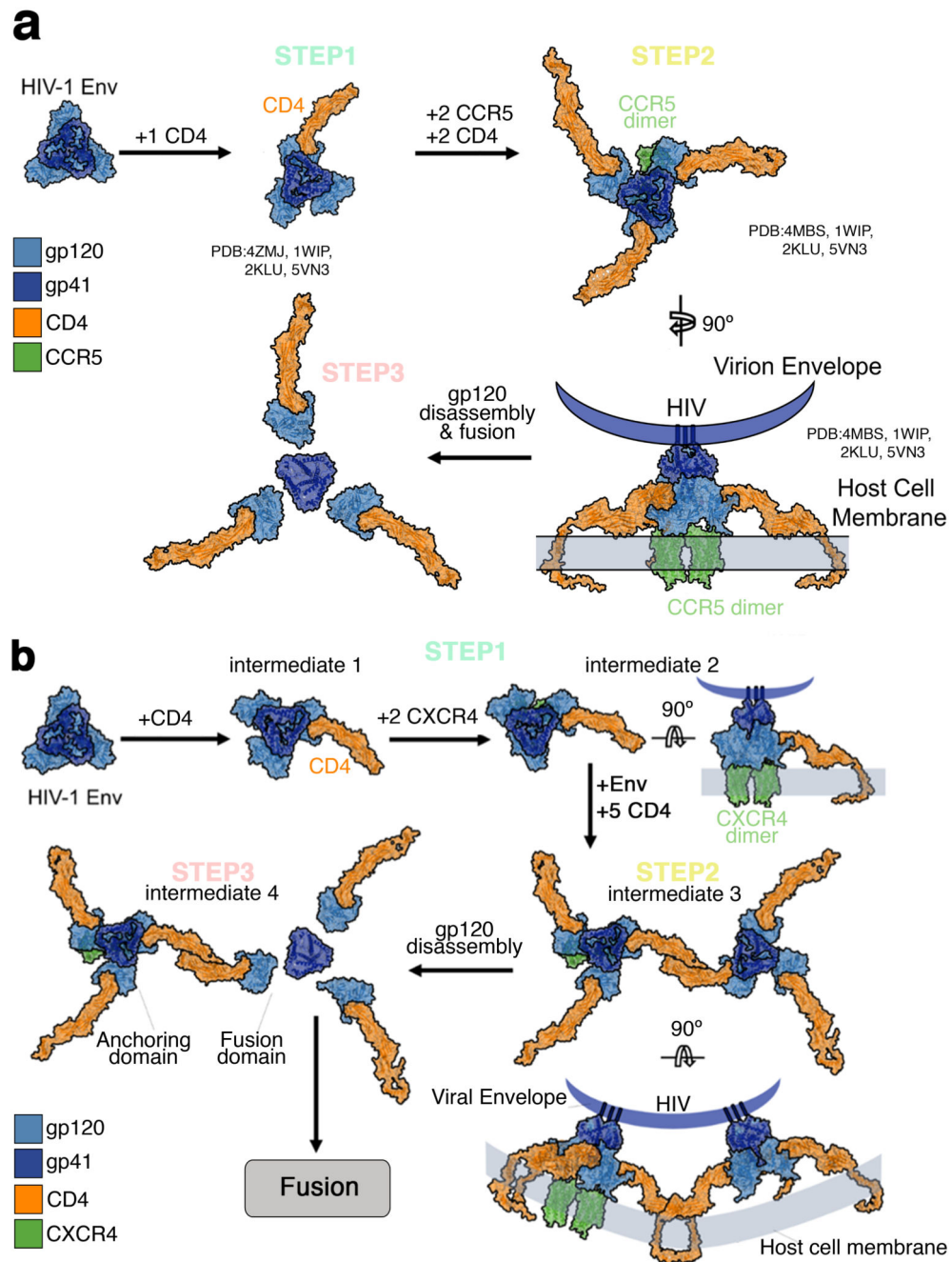




**Figure 4. Visualization of JR-FL based HIV-1 virion receptor stoichiometry in live cells.**

**a**, Fast time-resolved, three-color imaging was performed on a COS7 cell co-expressing CCR5-mTFP1 (green micrographs) and CD4-mOrange (red micrographs) exposed to HIV<sub>JR-FL</sub>-Gag-iCherry virions, scale bar 1  $\mu$ m. The brightness histogram for each channel together with the pixel by pixel Brightness maps are also presented (second row). In the last row, micrographs corresponding to the HIV<sub>HXB2</sub>-iCherry (in red) together with the Bcc map is shown. Green regions represent positive Bcc. The time-resolved co-localization map coming from the white squares is also presented (third row, right panels) and correspond to regions

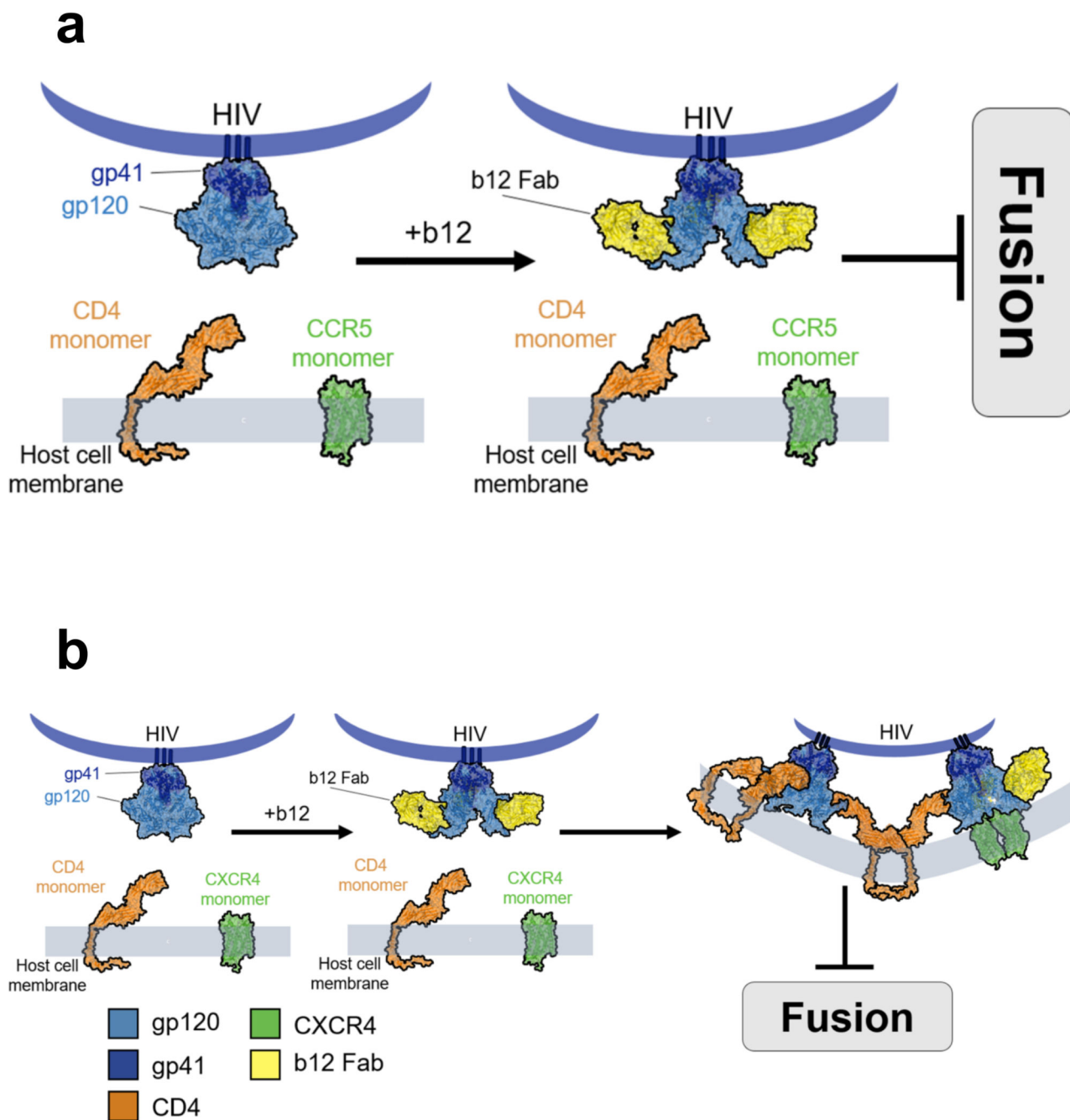
of 0.5 X 0.5  $\mu\text{m}$ . **b**, Time-resolved stoichiometry for CD4 (red dots) and CXCR4 (green dots) upon addition of HIV<sub>JR-FL</sub>/GagCherry (right panel). The circles indicate the average value ( $n = 12$ ) and the error bars indicate the standard error for each time point **c**, Time-resolved homotypic interactions for CD4 (red dots) and CCR5 (green dots) upon addition of HIV<sub>JR-FL</sub>/GagCherry in the presence of inhibitory concentrations of b12. CD4 and CCR5 did not interact in this case. Error bars indicate standard error for each time point which represents the average ( $n = 14$ ). **c**, The total number of normalized events per interaction area of CCR5 labeled with Atto 561 was plotted against the total number of events per interaction area of CD4 labeled with Atto 488 for COS7 cells exposed to HIV<sub>JR-FL</sub> also labelled with Alexa 633 against the Env (as described in material and methods) ( $n = 23$ ). The middle panel shows the total number of HXB2 Env interacting with CD4 and CXCR4 labelled with Alexa 405 are plotted against the total number of CD4 – CXCR4 interacting complexes ( $n = 12$ ). The right panel shows the distribution of all CD4 – Env interactions and the relative frequency of their stoichiometry ( $n = 36$ ).



**Figure 5. A three-step stoichiometric model for HIV-1 Env-host receptor interactions.**

**a**, The HIV-1 JR-FL Env glycoprotein (Blue) (PDB ID: 4ZMJ) is present as a trimer on the mature virion. **b**, Time-resolved stoichiometry pre-fusion reaction for CXCR4-CD4 (red dots) induced by HIV<sub>HXB2</sub>-Gag-iCherry virions.

(gp120: light blue, gp41: dark blue, CD4: orange, CXCR4: green, b12: yellow)



**Figure 6. b12 disrupts CD4 co-receptors interactions.**

**a**, Model showing how b12 totally impedes CD4 and CCR5 interactions inhibiting the fusion reaction from not allowing step 1 to occur. **b**, Model depicting one possibility for the targeting of mAb12 (yellow) to the CD4 binding region of HIV-1 Env, which prevents fusion into the host cell, but still allows CXCR4 oligomerisation, possibly due to incomplete coverage on the Env spike. Non-interacting time-resolved oligomeric states for CD4 and CXCR4 following HIV<sub>HXB2</sub>-Gag-iCherry virions in the presence of 100 µg/mL b12.