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## EPIGENETIC TARGETING OF TERT-ASSOCIATED GENE EXPRESSION SIGNATURE IN HUMAN NEUROBLASTOMA WITH TERT OVEREXPRESSION

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### Abstract

Neuroblastoma is a deadly pediatric solid tumor with infrequent recurrent somatic mutations. Particularly, the pathophysiology of tumors without MYCN amplification remains poorly defined. Utilizing an unbiased approach, we performed gene set enrichment analysis of RNA-seq data from 498 neuroblastoma patients and revealed a differentially overexpressed gene signature in MYCN non-amplified neuroblastomas with telomerase reverse transcriptase (TERT) gene overexpression and coordinated activation of oncogenic signaling pathways, including E2Fs, Wnt, Myc, and the DNA repair pathway. Promoter rearrangement of the TERT gene juxtaposes the coding sequence to strong enhancer elements, leading to TERT overexpression and poor prognosis in neuroblastoma, but TERT-associated oncogenic signaling remains unclear. ChIP-seq analysis of the human CLB-GA neuroblastoma cells harboring TERT rearrangement uncovered genome-wide chromatin co-occupancy of Brd4 and H3K27Ac and robust enrichment of H3K36me3 in TERT and multiple TERT-associated genes. Brd4 and cyclin-dependent kinases (CDKs) had critical regulatory roles in the expression and chromatin activation of TERT and multiple TERT-associated genes. Epigenetically targeting Brd4 or CDKs with their respective inhibitors suppressed the expression of TERT and multiple TERT-associated genes in neuroblastoma with TERT overexpression or MYCN amplification. ChIP-seq and ChIP-qPCR provided evidence that the CDK inhibitor directly inhibited Brd4 recruitment to activate chromatin globally. Therefore, inhibiting Brd4 and CDK concurrently with AZD5153 and dinaciclib would be most effective in tumor growth suppression, which we demonstrated in neuroblastoma cell lines, primary human cells, and xenografts. In summary, we describe a unique mechanism in neuroblastoma with TERT overexpression and an epigenetically targeted novel therapeutic strategy.

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## Introduction

Neuroblastoma (NB) is the most common pediatric extracranial solid tumor and accounts for approximately 15% of childhood cancer mortality (1, 2). Despite recent treatment advances, the prognosis of high-risk NB remains poor. Activating intragenic rearrangements involving the upstream promoter region of the *TERT* gene were found to define a subgroup of approximately 20% high-risk NB mutually exclusive from *MYCN* amplifications or *ATRX* mutations (3, 4). The rearrangements led to placement of a super-enhancer (SE) sequence proximal to the *TERT* promoter, chromatin remodeling and robust enrichment of active histone marks H3K27Ac in the promoter or enhancer and H3K36me3 in the gene body. This resulted in increased *TERT* transcription and productive transcriptional elongation (3, 4).

Bromodomain-containing protein 4 (Brd4) modulates SE-associated transcriptional initiation and elongation through recruitment of the Mediator complex and positive transcriptional elongation factor b (p-TEFb) complex consisting of Cdk9 and cyclin T1 (5). Cdk9 phosphorylates the serine-2 residues on the C-terminal repeat domain (CTD) of RNA Pol II and leads to productive transcriptional elongation (5). Brd4 preferentially bind to acetylated histones at promoters and enhancers or SE and recruit Cdk9 (6, 7). BET proteins also function as master transcriptional elongation factors, activating global transcription elongation and enhancer-directed gene transcription through a mechanism independent of CDK9 recruitment (7). Decreased binding of Brd4 to chromatin through Brd4 inhibitors (JQ1) or small-molecular degrader of BET family proteins (dBET1 or dBET6) results in diminished transcription and profound anti-proliferative effects (6, 7).

No comprehensive study has yet explored the molecular pathways and gene signatures associated with *TERT* overexpression in NB. We hypothesized that the elucidation of transcriptional networks and epigenetic alteration associated with *TERT* overexpression can lead to identification of druggable targets to improve therapeutic efficacy. In this study, we identified a coordinated activation of the gene networks driven by a variety of transcriptional factors in a NB subgroup with *TERT* overexpression. We uncovered a direct regulatory role of Brd4 and Cdk9 in the transcription of *TERT* and *TERT*-associated genes and a novel mechanism of action wherein dinaciclib (Cdk inhibitor) inhibits Brd4 recruitment to active chromatin. Brd4 inhibitors and dinaciclib could each inactivate the expression of *TERT* and multiple *TERT*-associated genes and profoundly suppress the NB tumor growth. BET inhibitors JQ1 or AZD5153 and dinaciclib in combination act synergistically or additively to inhibit the growth of NB cells with *TERT* overexpression or *MYCN* amplification *in vitro* and NB xenograft growth *in vivo*. We found overlapping molecular phenotypes between NB with *MYCN* amplification and *TERT* overexpression and demonstrated an epigenetically co-targeting strategy to treat NB with *TERT* overexpression.

## Materials and Methods

### NB cell lines, patient tumor and bone marrow samples

NB cell lines were purchased from the American Type Culture Collection (ATCC; Rockville, MD). All cell lines were authenticated by ATCC and free from mycoplasma contamination. Kelly and CLB-GA cells were grown in RPMI 1640 w/ HEPES, 5% FBS,

2mM glutamine, and 1X Insulin-Transferrin-Selenium (ITS), CHLA-90 cells in IMDM with 10% FBS, and 1X ITS, SK-N-AS cells in DMEM with 10% FBS, and 0.1mM NEAA. All media contained 100Uml<sup>-1</sup> penicillin and 100µg ml<sup>-1</sup> streptomycin.

Fresh resected NB patient tumor samples and bone marrow (BM) were collected and utilized via Stanford University Institutional Review Board (IRB)-approved protocols (#45458, #46426). Mononuclear cells from BM used were Ficoll-purified.

### Gene Set Enrichment Analysis (GSEA)

We performed Gene Set Enrichment Analysis (GSEA) (<http://www.broadinstitute.org/gsea>) to interpret gene expression data between two biological groups using the Molecular Signatures Database, MSigDB (<http://www.broad.mit.edu/gsea/msigdb/index.jsp>) (8). Default parameters and T-test were used. Gene sets with a false discovery rate (FDR) <25% were considered significant.

### R2 genomics analysis and visualization

We analyzed the Tumor Neuroblastoma-SEQC-498-seqcnb1 dataset, Tumor Neuroblastoma (TERT)-Fischer-394-custom (9), and a third Tumor Neuroblastoma Gene-TARGET-161 dataset using the R2 platform [Koster J. R2: Genomics Analysis and Visualization Platform (<http://r2.amc.nl>) (2011)].

### ChIP-qPCR and ChIP-seq

ChIP-qPCR and ChIP-seq were performed as described previously (10, 11). Briefly, approximately 20–50 million cells per IP were collected and crosslinked with formaldehyde. Antibodies used: anti-Brd4 (A301–985A50, BETHYL Laboratories), Anti-H3K27ac (ab4729, Abcam), Anti-H3K36me3 (ab9050, Abcam). The specific primers used are listed in the Supplementary Table S1. ChIP-seq libraries were prepared according to the Illumina protocol and sequenced using a HiSeq (Illumina) at the Stanford Functional Genomics Facility. Single-end reads of 75-base were obtained, yielding a minimum of 20 million reads/sample. Sequencing data were uploaded and analyzed using the Galaxy web platform (12). ChIP-seq data have been deposited at Gene Expression Omnibus (GEO; <http://www.ncbi.nlm.nih.gov/geo>), accession number GSE133453.

### Real time RT-PCR analysis

The RNA extraction and cDNA synthesis were previously described (11). The specific primers used are listed in the Supplementary Table S1.

### Orthotopic NB PDX and CLB-GA xenograft mouse model and *in vivo* treatment

Establishment of orthotopic NB patient derived xenograft (PDX) and CLB-GA xenograft mouse models were previously described (13) and approved by Stanford University Institutional Animal Care and Use Committee (#32942). PDXs-NB-ST16 were generated using collagenase-digested patient adrenal NB tumor (*MYCN*-amplified). When the tumor reached >50mm<sup>3</sup>, the CLB-GA injected mice (n=10 per group) were treated with dinaciclib IP (40mg/kg/day) (14) alone, by oral gavage with AZD5153 (5mg/kg/day) alone (15),

dinaciclib and AZD5153 combined, or vehicle control daily daily. Tumor volume was measured via ultrasound as previously described (13).

### Immunoblot

Cell lysis and western blot were performed as described previously (11). Antibodies used: anti-actin (A5441, Sigma), anti-Phospho-Rb #9308 (Ser807/811) and anti-Rb (4H1) Mouse mAb #9309 (Cell Signaling), anti- $\beta$ -catenin (sc-7963), anti-Dvl2 (sc-8026, Santa Cruz Biotechnology), and anti-Myc (ab32072, abcam).

### MTS cell viability assay

Cell viability was assessed using CellTiter-AQueous MTS assay kit (Promega) and measured by microplate reader with a 96-well format.

### Statistical analysis

Results were expressed as mean value  $\pm$  SD (four independent experiments) and analysed using Student's t-test. Survival functions were plotted within Prism using Kaplan-Meier analysis and compared using the log-rank test.

## Results

### High *TERT* mRNA expression is associated with poor prognosis and enrichment of oncogenic pathways in neuroblastoma

Using R2 genomics analysis and visualization application, we validated the direct link between the level of *TERT* expression and tumor risk, stage, and *MYCN* status in an RNA-seq dataset GSE62654 from a cohort of 498 NB patients (16). We identified a distinct subpopulation of NB which overexpressed *TERT* and was associated with high-risk, high-stage, and absence of *MYCN* amplification (Fig. 1A). We categorized this dataset into *MYCN*-High (*MYCN*-H, n=90) and *MYCN*-Low (*MYCN*-L). *MYCN*-L was further subdivided into *TERT*-high (*TERT*-H, n=45) and *TERT*-Low (*TERT*-L, n=355) (Fig. 1B). The levels of *MYCN* and *TERT* mRNA expression in these categories are shown in Fig. 1C and 1D. *TERT* mRNA was increased in *MYCN*-H tumors compared to that in the *MYCN*-L *TERT*-L subgroup (Fig. 1D). Strikingly, the *TERT*-H subgroup expressed a significantly higher level of *TERT* than both the *MYCN*-H and *TERT*-L subgroups (Fig. 1D) and had a similar decreased overall survival as the *MYCN*-H subgroup (Fig. 1E). Among the high risk patients in this dataset, 52% had amplified *MYCN* (*MYCN*-H) and 23% had *TERT* overexpression (*TERT*-H) without *MYCN* amplification (cut off Reads Per Million Reads (RPM)=0.608).

We next applied GSEA transcriptome analysis to identify the differentially upregulated gene sets which are enriched in the *TERT*-H (*MYCN*-L) or *MYCN*-H subgroups as compared with the *TERT*-L (Fig. 1F and 1G). Using MSigDB curated C6 Oncogenic signatures consisting of 189 gene sets often deregulated in cancer, we found that more oncogenic gene sets were significantly enriched in the *TERT*-H than the *MYCN*-H subgroup as compared with that in the *TERT*-L subgroup. Several gene sets were similarly enriched in both *TERT*-H and *MYCN*-H subgroups, corresponding to loss of RB1 function and over-expression of

Myc, RPS14- or HoxA9-modulated set of genes (Fig. 1F and 1G). Similarly, using C2 CGP (chemical and genetic perturbations) which contained 3433 gene sets, we found genes upregulated upon acute loss of RB1 (Fig. 1H) and genes whose promoters were directly bound by E2F1 and E2F4 (Fig. 1I) as the top enriched gene sets. The APC dependent gene set was also enriched in the *TERT*-H subgroup, indicative of Wnt/ $\beta$ -catenin pathway activation (Fig. 1J). Additionally, genes induced by ectopic *TERT* overexpression were among the top enriched gene sets in the *TERT*-H subgroup (Fig. 1K), demonstrating a causal relationship between *TERT* overexpression and upregulation of this set of genes. Similarly, *TERT*-overexpression and significant enrichment of oncogenic or molecular gene sets were found in NB with *TERT* rearrangement by analyzing a second Fischer-394 dataset of 310 *MYCN* non-amplified NB patients including patients with (n=32) and without (n=278) *TERT*-gene rearrangement (9) and a third Tumor Neuroblastoma Gene-TARGET-161 dataset within R2 platform (Supplementary Fig. S1, A–R and Table S2–S3).

### **Brd4 is essential to activation of *TERT*-associated gene expression signature**

We examined the differentially up-regulated genes between the *TERT*-H and *TERT*-L subgroups of NB without *MYCN* amplification to further explore the potential mechanisms involved in the activation of *TERT*-associated gene signature. GSEA revealed the top 50 overexpressed genes in the *TERT*-H subgroup versus *TERT*-L, ranking from the largest to the smallest fold change in Fig. 2A. Both *c-Myc* and *MYCN* are known *TERT* transcriptional regulators that directly activate its expression via promoter binding and association with other transcription factors (17–19). However, the *TERT*-H and *TERT*-L subgroups which are *MYCN*-L expressed equivalent levels of *MYCN* and *c-Myc* mRNA (Fig. 1C and 2B; Supplementary Fig. S1, A–C), suggesting that *TERT* overexpression in the *TERT*-H subgroup was not due to an increase in *c-Myc* or *MYCN* mRNA expression. In addition to *TERT* itself, Aurora kinase A (*AURKA*), *E2F8*, and flap endonuclease-1 (*FEN1*) are also among the top 50 up-regulated genes in the *TERT*-H subgroup, and the expression of *AURKA*, *E2F8*, and *FEN1* are all significantly higher in the *TERT*-H than the *TERT*-L subgroup (Fig. 2, C–E; Supplementary Fig. S1, D–F).

*TERT* promoter rearrangement juxtaposes the *TERT* coding sequence to stronger SE elements (3), resulting in an increased expression of *TERT* (3). Brd4 plays a critical role in co-activating diverse key transcriptional regulators by positively regulating SE function (5). We hypothesized that SE-driven transcriptional programs are required for the active transcription of *TERT* and *TERT*-associated pathways in the *TERT*-H subgroup. In support of this hypothesis, we found that 15 of the top 50 differentially up-regulated genes in the *TERT*-H subgroup are either proven or potential Brd4 target genes (Fig. 2A). The specific dependency of these genes on Brd4 was further validated by reanalyzing the previously published microarray data in which Brd4 was depleted with shRNA in a leukemia cell model with known activated Brd4 pathways driven by MLL-fusion protein (20). The mRNA expression of these genes was largely abolished by Brd4 depletion (Fig. 2F). Other known E2F target genes and cell cycle related genes (marked with asterisk in Fig. 2F) were among the top differentially up-regulated genes in the *TERT*-H subgroup, and the expression of these genes was also sensitive to Brd4 depletion (Fig. 2F), indicative of an essential role of Brd4 in the regulation of E2F target genes.

## Genome-wide chromatin occupancy of epigenetic modulators and effects of dinaciclib

To further understand the chromatin status globally and locally at the loci of *TERT* or *TERT*-associated genes we performed ChIP-seq analysis to examine the chromatin binding profiles of Brd4 along with active chromatin marks H3K27Ac and H3K36me3 in CLB-GA, a NB cell line that harbors *TERT* gene rearrangement (3). ChIP-seq results were analyzed and plotted with computeMatrix in either scale-region mode (Fig. 2G) or select reference-point mode (Supplementary Fig. S2). We found that Brd4 and H3K27Ac preferentially bind to the gene promoter region around the transcription start site (TSS) while H3K36me3 is associated with the gene body (Fig. 2G and Supplementary Fig. S2). We then hypothesized that targeting both Cdk9, the core component of the p-TEFb elongation complex, and Cdk2 could impact the chromatin occupancies of these epigenetic modulators. We treated CLB-GA cells for 8 h with 10 nM dinaciclib, a Cdk inhibitor (21) and examined the genome-wide binding profiles of Brd4, H3K27Ac, and H3K36me3. This treatment resulted in a marked global decrease of the Brd4 occupancy, a mild reduction of the H3K27Ac occupancy, and a slight increase in the H3K36me3 binding occupancy (Fig. 2; Supplementary Fig. S2).

## Dinaciclib inhibits *TERT* mRNA expression and chromatin occupancy of epigenetic modulators

We hypothesized that dinaciclib could decrease *TERT* expression and affect the chromatin occupancy of Brd4, H3K27Ac, and H3K36me3 at the *TERT* promoter or gene body. Consistent with what was observed in the large NB patient cohort (Fig. 1D), the CLB-GA cell line bearing a known *TERT* gene rearrangement (3) expressed a significantly higher level of *TERT* than the *MYCN*-amplified Kelly cell line, and two other *MYCN* non-amplified NB cell lines: CHLA-90 and SK-N-AS (Fig. 3A). Moreover, there was no direct correlation between *TERT* overexpression and the mRNA or protein expression of *c-Myc* or *MYCN* among four NB cell lines (Fig. 3, B and C; supplementary Fig. S3). Strikingly, treatment of CLB-GA or Kelly cells with dinaciclib 10 nM for 8 h resulted in a profound inhibition of *TERT* expression in both CLB-GA (Fig. 3D) and Kelly cells (Fig. 3E), suggesting that dinaciclib effectively abrogates the *TERT* expression driven by *TERT* or *MYCN* overexpression. We then hypothesized that dinaciclib directly disrupts the recruitment of Brd4, H3K27Ac, and H3K36me3 to *TERT* promoter or gene body. We designed three ChIP-qPCR primers (Fig. 3F) that probe regions of the *TERT* promoter (P1 and P2) or gene body (P3). Consistent with the general pattern seen in Fig. 2G and Supplementary Fig. S1, H3K27Ac binds primarily to the *TERT* promoter region whereas the H3K36me3 is enriched in the *TERT* gene body shown as multiple peaks in the H3K36me3 track (Fig. 3G). Brd4 was shown to bind to the *TERT* promoter and gene body region, shown as multiple small peaks. Treatment of CLB-GA cells with 10 nM dinaciclib decreased the binding peaks of Brd4 and H3K27Ac at *TERT* promoter or gene body but had less effect on the enrichment of H3K36me3 at *TERT* promoter or gene body (Fig. 3G). We next quantified the occupancy of Brd4, H3K27Ac, and H3K36me3 at the *TERT* promoter (P1/P2) or gene body (P3 primer set) in control- or dinaciclib-treated CLB-GA cells with ChIP-qPCR. Similarly, the binding of Brd4 or H3K27Ac to the *TERT* promoter was significantly decreased after dinaciclib treatment (Fig. 3, H and I). By contrast, the binding of H3K36me3 was significantly increased at *TERT* promoter (P2) but decreased at *TERT* gene body region (P3) (Fig. 3J).



## Dinaciclib inhibits the expression and chromatin occupancy of epigenetic modulators at genes co-targeted by E2F, Wnt- $\beta$ catenin, and Brd4

As shown in Fig. 1F and Supplementary Table S1, E2F-dependent gene sets were identified as the top enriched gene sets associated with high *TERT* in NB. E2F8, a recently identified atypical member of the E2F transcription factor family (22), was identified as one of the top 50 differentially overexpressed genes associated with *TERT* overexpression (Fig. 2A). We thus examined the effects of dinaciclib on *E2F8* mRNA expression and the chromatin occupancy of Brd4, H3K27Ac, and H3K36me3 at *E2F8* promoter or gene body. Similar to what was observed with *TERT* mRNA expression (Fig. 3A), CLB-GA cell line expressed the highest level of *E2F8* mRNA in four NB cell lines (Fig. 4A). Dinaciclib treatment at 10 nM for 8 h significantly inhibited the *E2F8* mRNA expression in CLB-GA (Fig. 4B) and Kelly cells (Fig. 4C) and markedly decreased the binding of Brd4 and H3K27Ac to *E2F8* promoter (Fig. 4, D to G) while increasing the binding of H3K36me3 to the *E2F8* promoter (Fig. 4H).

We next examined the effects of dinaciclib on the mRNA expression and chromatin occupancies of several Wnt- $\beta$ -catenin signaling or target genes. Our results showed that CLB-GA expressed significantly higher levels of Dishevelled protein, *Dvl2*, a known activator of Wnt- $\beta$ -catenin signaling pathway (23), *AURKA*, and *AURKB* (Supplementary Fig. S4, A–C). The mRNA expression of *Dvl2*, *AURKA* and *AURKB* was also potently suppressed by dinaciclib (Fig. 5A). Moreover, Brd4, H3K27Ac, and H3K36me3 directly bind to the promoters of *Dvl2*, *AURKA*, and *AURKB*, all of which were reduced with dinaciclib treatment. By contrast, dinaciclib had little or no effect on the chromatin occupancy of H3K36me3 on all three genes (Supplementary Fig. S4, D–F).

Comparing single-gene expression and survival, we showed that overexpression of several *TERT*-associated genes such as *FEN1*, *BIRC5* (survivin), and Ubiquitin-like PHD and RING domain-containing 1 (*UHRF1*) was independently associated with high risk, advanced stage, and poor overall survival of NB, and to a lesser extent with *MYCN* amplification. Epigenetically, we observed an enrichment of Brd4 and H3K27Ac at the promoters of *FEN1*, *BIRC5*, and *UHRF1*, which was sensitive to dinaciclib inhibition. There were also multiple binding peaks of H3K36me3 on the gene body regions of all three genes, which were largely unchanged upon dinaciclib treatment (Supplementary Fig. S5, A–L).

## Targeting *TERT* and *TERT*-associated genes with BET inhibitors and dinaciclib alone or in combination

To further verify the direct link between Brd4 activation and the *TERT*-associated gene signature, we validated the direct binding of Brd4 on the chromatin of gene interested by reanalyzing a previously published ChIP-seq dataset GSE104745 deposited at GEO (11). As shown in supplementary Fig. S6, Brd4 directly marked the promoters of *TERT*, *E2F8*, *AURKA*, *AURKB*, *FEN1*, and *c-Myc* (the putative Brd4 target gene) in Brd4 active OCI-AML3 leukemia cells, and such binding to each of these gene promoters was sensitive to Brd4 inhibitor JQ1. To further understand the inhibition of Brd4 or Cdk9 by their corresponding inhibitors on the overexpression of *TERT* and *TERT*-associated genes, we compared the effects of dinaciclib and JQ1 on the mRNA expression of the genes activated

by E2F- or  $\beta$ -catenin pathways in CLB-GA cells. Unlike JQ1, dinaciclib markedly inhibited the expression of *c-Myc* but not *MYCN* (Fig. 5, A and B). JQ1 was less or ineffective in suppressing the expression of *TERT*, *Dvl2*, *AURKA*, *AURKB*, *CCNA2*, *Cdk1*, and *Cdk2*. By contrast, Dinaciclib was more potent in blocking the expression of those genes (Fig. 5, A and B). Next, we examined the effects of JQ1 and dinaciclib on the growth of CLB-GA cells. As shown in Fig. 5, C and D, both JQ1 and dinaciclib showed more potent cytotoxicity for CLB-GA cells (*TERT* gene rearrangement) and Kelly cells (*MYCN* amplification) than to that of CHLA-90 and SK-N-AS cells, both lacking *TERT* gene rearrangement and *MYCN* amplification. AZD5153, a novel bivalent inhibitor of Brd4, showed comparable cytotoxicity to JQ1, a conventional monovalent Brd4 inhibitor in CLB-GA cells (Fig. 5E). Combined treatment of JQ1 or AZD5153 with dinaciclib showed additive or synergistic effects on the viability of CLB-GA, Kelly and CHLA-90 (Fig. 5, F and G; Supplementary Fig. S7, A–B and S8), but had little effect on SK-N-AS (Supplementary Fig. S7C and S8).

### **BET inhibitors and dinaciclib alone or in combination inhibit cell growth and mRNA expression of multiple genes in NB patient primary cells**

To validate the cell growth inhibition by BET inhibitors, ST16, primary cells isolated from a NB patient tumor carrying *MYCN* amplification were treated with JQ1 or AZD5153 for 3, 4, or 9 days. The isolated NB tumor cells grew in spheres, as shown in Fig. 6A. Both JQ1 and AZD5153 potently inhibited the tumor cell growth at both day 4 and day 9 with AZD5153 being slightly more effective than JQ1 (Fig. 6, B and C). Treatment of ST16 cells with JQ1 for 20 h drastically suppressed the expression of *E2F8*, *Dvl2*, *FEN1*, and *MYCN* (Fig. 6D), indicative of Brd4 dependency. Accordingly, AZD5153 or JQ1 alone showed potent inhibitory effects on the growth of ST16 cells (Fig. 6, E and F) while showing less effect on the *TERT* expression (Fig. 6D). Unlike BET inhibitors, dinaciclib at 10 nM for 8 h exhibited significant inhibition on the expression of *TERT*, *E2F8*, and *Dvl2* but had little to no effect on the expression of *FEN1* and *MYCN* (Fig. 6G). Higher concentration of dinaciclib (100 nM) was required to achieve marked inhibition on *FEN1* and *MYCN* expression (Fig. 6G). Accordingly, dinaciclib alone at concentrations greater than 12.5 nM showed potent cytotoxicity to ST16 cells (Fig. 6, E and F). Combined treatment with low nanomolar concentrations of dinaciclib and JQ1 or AZD5153 showed additive cytotoxicity (Fig. 6, E and F). Collectively, our results suggest that Brd4 inhibitors and dinaciclib may functionally compensate each other to simultaneously inhibit the expression of multiple growth promoting genes in NB cells with amplified *MYCN*.

### **Coordinated expression of *TERT* and *TERT*-associated genes in primary human NB cells and inhibition of CLB-GA xenograft growth *in vivo* by either dinaciclib or AZD5153 alone or in combination**

We validated by RT-qPCR the association between *TERT* overexpression and upregulation of *E2F8* and *FEN1* using patient primary NB cells. The NB patient and sample information were listed in Supplementary Table S4. The *MYCN* non-amplified ST15 patient tumor cells (ST15-TC) from adrenal tumor or peripheral blood mononuclear cells (PBMC, ST15-PB) that expressed low levels of *TERT* were used as baseline control (Fig. 7A). ST16 showed an 80.4-fold and 18.5-fold increase in *MYCN* expression (Fig. 7B) as compared to that of ST15-TC and ST15-PB (PB4044). Consistent with what was found in the cohort of 498 NB



patients (GSE62654) (Fig. 1D), we observed 13-fold and 3-fold increase in *TERT* mRNA expression in the *MYCN* amplified ST16-TC (isolated from adrenal tumor) and ST16-BM (BM4045 cells, isolated from hypercellular bone marrow infiltrated with NB cells) compared to that of the ST15 counterparts, respectively (Fig. 7A; Supplementary Table S4). Strikingly, the expression of *TERT* mRNA was much higher in three hypercellular and metastatic human bone marrow NB samples lacking *MYCN* amplification, including one at diagnosis (ST36-BM4091) and two at relapse (ST5-BM4130 and ST53-BM4129) (Fig. 7, A and B). Consistent with the expression pattern observed in the large NB patient cohort, the expression of *E2F8* and *FEN1* correlated well with that of *TERT* (Fig. 7, A–D). In particular, we were able to follow one NB patient (ST36) whose bone marrow was infiltrated with tumor cells that overexpressed *TERT* at diagnosis over the course of therapy: the expression of all three genes (*TERT*, *E2F8*, and *FEN1*) was significantly elevated at diagnosis (ST36-BM4091), decreased at d20 Cycle 4-induction therapy (ST16-BM4771), and further decreased to a level that was nearly equivalent to the respective control ST15 at post Cycle 6-induction therapy (ST16-BM4143). This corresponded to a reduced hypercellularity and infiltration of tumor cells in this patient's bone marrow (Fig. 7, A–D; Supplementary Table S4), raising the possibility that the expression of these genes might serve as biomarkers for therapy response or bone marrow NB tumor cell infiltration.

Finally, we hypothesized that AZD5153 and dinaciclib would each be effective alone and more effective in combination than either alone in inhibiting tumor growth *in vivo* using mice with CLB-GA-derived orthotopic xenograft. Strikingly, the tumor growth from either dinaciclib-(yellow dots/lines, Fig. 7E) or AZD5153-treated mice (green dots/lines, Fig. 7E) was markedly attenuated as compared to that in the mice treated with control vehicle (black dots/lines, Fig. 7E). Dinaciclib and AZD5153 in combination exhibited more dramatic suppression of tumor growth (red dots/lines, Fig. 7E). Similarly, the days to reach the tumor sizes indicated and the probability of survival were significantly increased compared to controls in the mouse groups treated with either dinaciclib or AZD5153 alone, and the two drugs combined were more potent than either alone (Fig. 7, F–G). Altogether, NB tumors with *TERT* overexpression and without *MYCN* amplification were more sensitive to the concurrent epigenetic targeting with BET inhibitors and dinaciclib *in vivo*.

## Discussion

In this study, using a combination of approaches including GSEA, genome wide epigenetic analysis, and functional validation experiments, we have gained insights into the epigenetic regulation of *TERT* expression and *TERT*-associated molecular pathways in the subgroup of high-risk NB with *TERT* overexpression and without *MYCN* amplification. We found that *TERT* overexpression in this subgroup of NB is associated with a coordinated activation of the gene networks driven by epigenetic regulators and diverse transcriptional factors or oncogenic signaling pathways such as E2Fs, Myc, and Wnt pathways.

Compelling evidence suggests a strong link between E2Fs-, Wnt/ $\beta$ -catenin-, or MYCN-pathway and elevated expression of several of the top 50 upregulated genes associated with *TERT* overexpression, including *BIRC5*, *AURKA*, *UHRF1*, and *FEN1*(24–28). Overexpression of these genes was found to be significantly associated with poor prognosis

of NB. *BIRC5* and *AURKA* are direct target genes of E2Fs (24, 25, 29, 30), Wnt/ $\beta$ -catenin (26, 31), *MYCN* (25, 32), and Myc (33). In *MYCN* amplified NB, *BIRC5* is induced via a functional cooperation between *MYCN* and E2F1 (25). *UHRF1* was recently identified as one of the *E2F8* target genes (27, 28). *FEN1* was previously reported to be a direct E2F target gene whose promoter was bound by both E2F1 and E2F4 (34).

BET proteins such as Brd4 co-activate diverse oncogenic transcription and elongation via direct binding of BDs to acetylated histones at enhancers or SEs (7, 35, 36). SE-dependent NB-specific core transcriptional programs driven by *MYCN* and Myc can be repressed transcriptionally by inhibition of Brd4 (37–39). Recently, E2F-dependent transcriptional program and transcription of cell cycle-related genes were identified as the primary downstream targets of BET proteins in both glioblastoma (GBM) cell lines and patient-derived GBM spheres with integrative ChIP-seq and RNA-sequencing analysis (35).

In this study, we hypothesized that BET protein Brd4 cooperates with oncogenic transcriptional factors in the E2Fs and Wnt pathways and upregulates *TERT* and *TERT*-associated genes in the subgroup of NB with *TERT* overexpression (proposed model in Fig. 7H). Profiling the chromatin binding profiles of epigenetic modulators Brd4, H3K27Ac, and H3K36me3 enabled us to verify the direct chromatin activation of *TERT* and *TERT*-associated genes in CLB-GA, a NB cell line harboring *TERT* gene rearrangement and *TERT* overexpression. The transcriptional dependence on Brd4 by these upregulated genes was further validated by reanalyzing the published datasets using Brd4-shRNA (20) or BET inhibitor (11). Moreover, inhibition of Brd4 with BET inhibitor JQ1 or AZD5153 simultaneously targeted and inhibited the expression of multiple *TERT*-associated genes and attenuated the tumor growth of NB cell lines harboring *TERT* gene rearrangement or *MYCN* amplification.

Dinaciclib was previously shown to inhibit both Cdk2 and Cdk9 activity in *MYCN*-amplified NB cells, leading to inhibition of Rb phosphorylation at Serine 807/811 (21). However, our results indicate that the inhibitory effects of dinaciclib on the transcription of E2Fs-, Myc-, Wnt- $\beta$ -catenin, and Brd4 target genes and tumor growth are mediated through a mechanism independent of p-Rb inhibition at a lower nanomolar concentration (Supplementary Fig. S9, A–C). We showed that dinaciclib mediates a marked global reduction in the chromatin occupancy by Brd4 in CLB-GA cells, suggesting a previously undefined mechanism of dinaciclib directly affecting Brd4 chromatin recruitment. This is supported by a crystal structure study showing dinaciclib interacting with the acetyl-lysine recognition site of BRDT (BD testis-specific protein, a member of the BET family of bromodomains) through hydrogen bonding interactions within the KAc site (37, 38, 40, 41). Our ChIP-seq and ChIP-qPCR results also provide evidence that dinaciclib directly inhibited the recruitment of Brd4 to activate chromatin globally through either directly interfering Brd4 binding or inhibiting Cdk9 in p-TEFb-mediated elongation.

Our results enable us to postulate that a significant portion of NB patients with *TERT* overexpression and without *MYCN* amplification in the 498 NB GSE62654 dataset may carry *TERT* gene rearrangement, a genetically distinct subtype of high-risk NB. This was supported by: 1) Similar to what was observed in the *TERT*-Fischer-394-custom dataset

(Supplemental Fig. S1A), there was a clear “on” and “off” *TERT* mRNA expression pattern with a distinct RPM cutoff (Fig. 1, A and D); 2) *TERT* was overexpressed in 22.5% of high-risk NB, similar to the percentage of NB with *TERT* gene rearrangement reported in recent studies (3, 4); 3) *TERT* promoter mutation is less likely to be involved, since none to date has been identified (18); 4) No direct correlation between the expression of *c-Myc* or *MYCN* and *TERT* mRNA or protein in NB cell lines and the large cohort of NB patient dataset.

Our GSEA analysis results revealed that *TERT* is also overexpressed in the subgroup of NB with *MYCN* amplification as compared to that without overexpressed *MYCN* and *TERT* (Fig. 1D). We found that the molecular pathways in the *TERT* overexpression subgroup overlapped significantly with those in the *MYCN* amplification subgroup (Fig. 1, F and G). We therefore speculated that the *TERT* overexpression subgroup and the *MYCN* amplification subgroup could be therapeutically targeted with similar approaches such as BET inhibition (37, 38, 41). However, the clinical efficacy of BET inhibitors could be limited by innate or acquired resistance through an aberrant Wnt signaling pathway (42). Our GSEA transcriptome analysis also revealed upregulation of the Wnt/ $\beta$ -catenin signaling pathway in the *TERT* overexpression subgroup, potentially limiting the effect of BET inhibition (43, 44). We addressed this by combining AZD5153 with dinaciclib and found the combination treatment to be more effective than either alone in inhibiting growth of primary tumor cells with *TERT* gene rearrangement or *MYCN* amplification and growth of xenograft tumor established from NB cell lines. We postulated that the additive to synergistic effects of BET inhibitors and dinaciclib were driven by non-overlapping ability to suppress the transcriptome of various genes in *TERT* overexpressing NB cells. BET inhibitors preferentially target SE-driven transcription whereas dinaciclib targets the promoter proximal transcription of the aberrantly upregulated genes in the E2Fs or  $\beta$ -catenin pathways. Concomitant inhibition of the transcription or activities of other Cdks such as Cdk1, Cdk2, and Cdk5 (14) by dinaciclib may contribute to dinaciclib-mediated transcription inhibition and the observed synergy with BET inhibitors (proposed model in Fig. 7H).

Currently, Next Generation Sequencing has not been used to define the subtype of NB with *TERT* rearrangement in a clinical setting. Although feasible to integrate whole genome sequencing and whole exome sequencing of *TERT* into clinical decision-making, limitations such as high cost, time consuming workflow, and the need for advanced bioinformatics exist. There is a lack of biomarkers for the diagnosis, prognosis, or treatment evaluation of NB patients with *TERT* gene rearrangement. Considering the distinct *TERT* mRNA expression observed from analyzing the large NB patient cohort and our RT-qPCR validation with primary NB patient samples, we propose that quantifying the level of *TERT* and *MYCN* overexpression by RT-qPCR could serve as screening biomarkers. Further studies with a larger sample size are warranted to validate this potential application.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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**Statement of Significance**

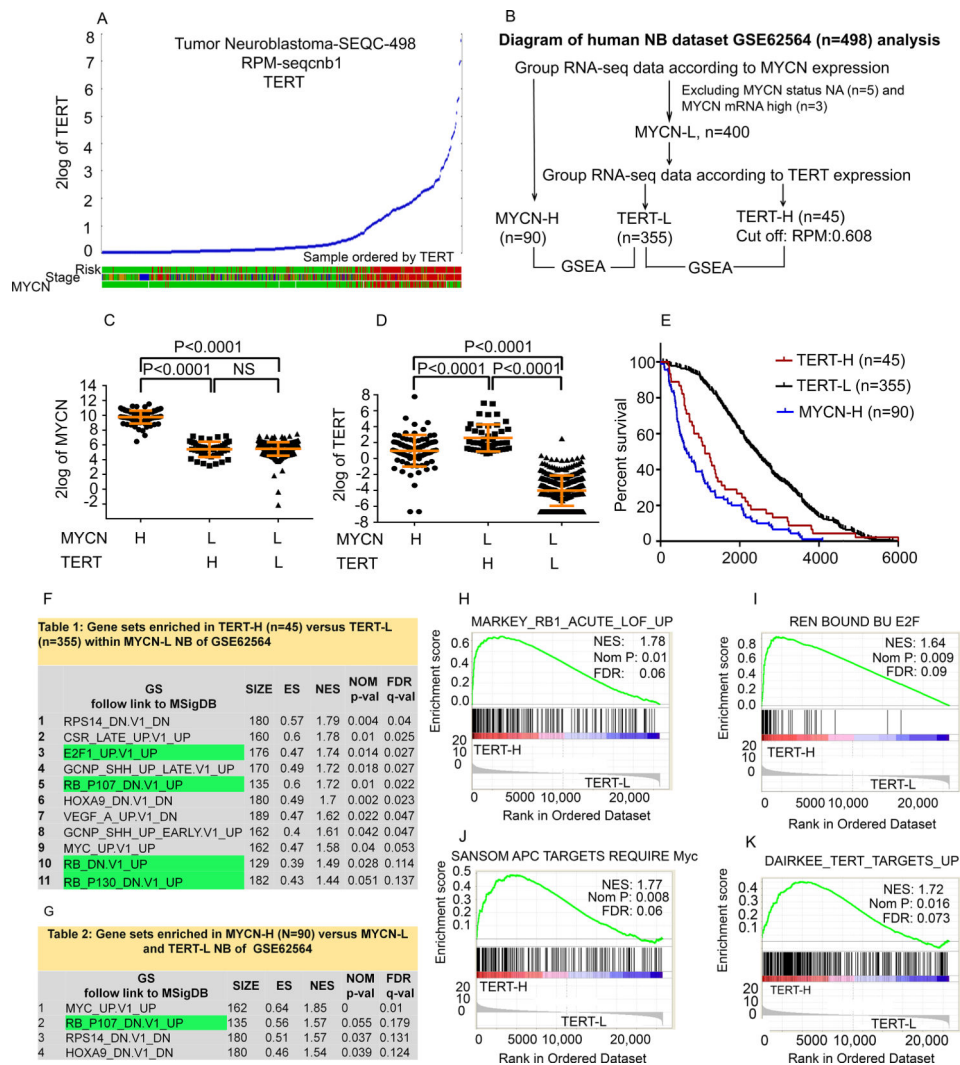
Epigenetically co-targeting Brd4 and Cdks suppresses human neuroblastoma with TERT overexpression by inhibiting the TERT-associated gene expression networks.

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**Fig. 1. TERT mRNA expression and identification of top enriched pathways in TERT-H NB.** (A) High TERT mRNA expression was associated with high-risk and high-stage NB as analyzed with R2 using GSE62564 dataset consisting of 498 human NB patient samples. High-Risk and stage 4 (red), Stage 4 (red), MYCN amplified (red), MYCN non-amplified (green). (B) RNA-seq results from 490 NB patients within the GSE62564 dataset were assigned into three subgroups: MYCN-H, TERT-H (MYCN-L), and TERT-L (MYCN-L). (C, D) Dot plots showing mRNA expression levels of MYCN and TERT in the three subgroups. Each dot represents a value from an individual patient. (E) Comparison of the overall survival among the three subgroups. (F, G) The top enriched pathways in TERT-H and MYCN-H subgroups; (H-K) Representative enrichment plots for selected genes sets differentially expressed in the TERT-H (MYCN-L) compared to TERT-L (MYCN-L) are presented. On the x-axis are genes ranked according to their expression, starting with the up-regulated genes on the left and all the way down to the downregulated genes on the far right. NES: normalized enrichment score. Nom P: normalized p value; FDR: false discovery rate. (H) Genes up-regulated in adult fibroblasts with inactivated RB1 by Cre-lox: acute loss of function (LOF) of RB1; (I) Genes whose promoters were bound by E2F1 and E2F4 in the

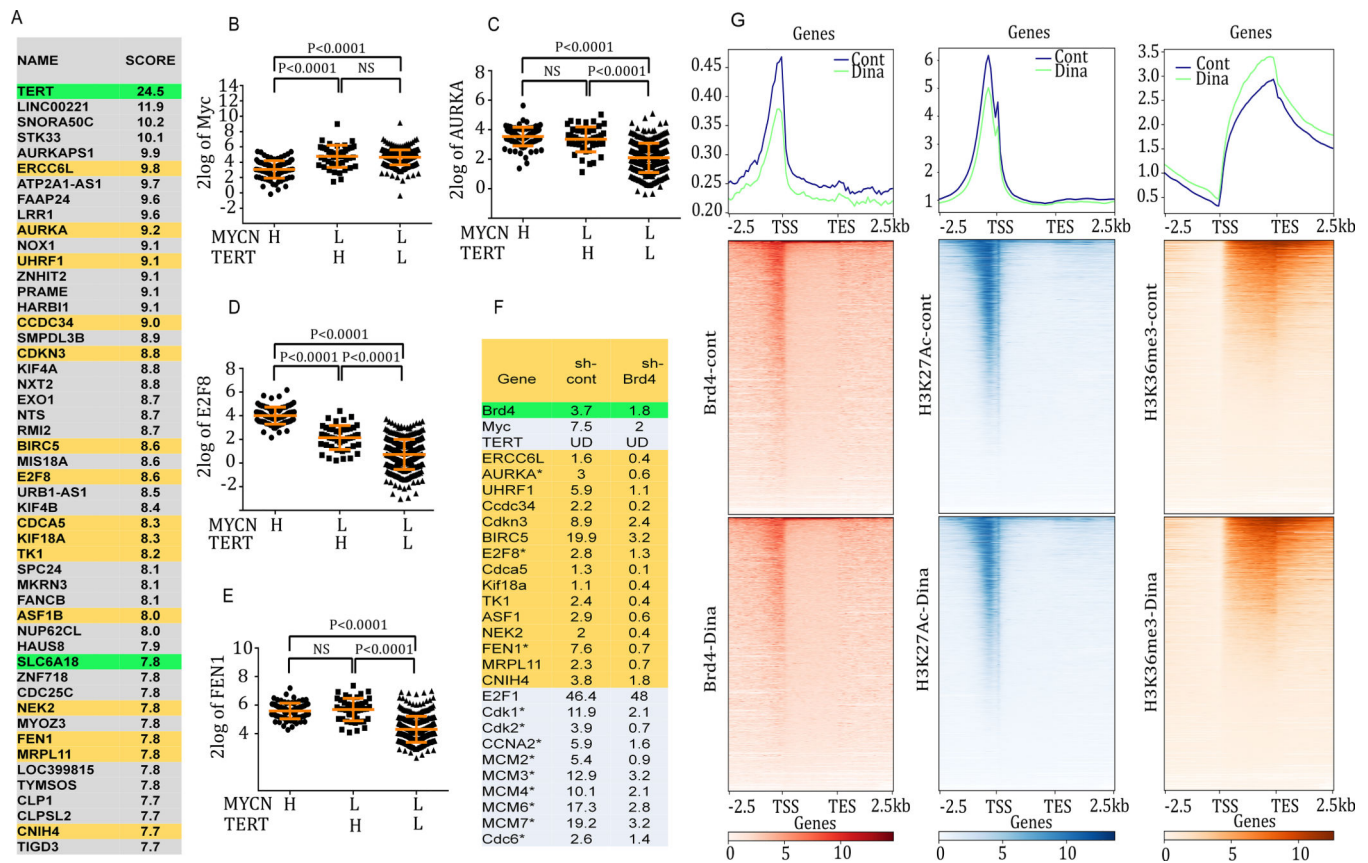
primary fibroblasts WI-38, by ChIP on chip assay; **(J)** Genes up-regulated after Cre-lox knockout of APC in the small intestine that require functional MYC; **(K)** Genes up-regulated in non-spontaneously immortalizing (NSI) primary breast cancer tumor cultures upon expression of TERT off a retroviral vector.

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**Fig. 2. Identification of potential Brd4 target genes within the top 50 up-regulated genes in *TERT*-H subgroup of NB and effects of dinaciliclib on genome-wide chromatin occupancy of epigenetic modulators in CLB-GA cells with known *TERT* gene rearrangement.**

(A) Top 50 differentially up-regulated genes in *TERT*-H (n=45) versus *TERT*-L subgroup (n=355) within *MYCN*-L of GSE62564 dataset by GSEA. (B, C, D, E) Comparison of gene expression profiles of *Myc*, *AURKA*, *E2F8*, and *FEN1* among *MYCN*-H, *TERT*-H (*MYCN*-L), and *TERT*-L (*MYCN*-L) subgroup of GSE62564 dataset. Each dot represents a value from an individual patient. (F) Gene expression changes analyzed by RNA-seq. Effects of Brd4 shRNA on the expression of *TERT*-associated genes and E2F target genes in Brd4 active MLL-AF9 AML cells. Known E2F target genes are labelled with an asterisk (\*). Data were extracted from microarray analysis of murine leukemia cells expressing MLL-AF9 fusion with or without Brd4 shRNA (15). (G) Effects of dinaciliclib on genome-wide chromatin occupancy of Brd4, H3K27Ac, and H3K36me3 in CLB-GA cells. Cells were treated in the absence or presence of 10 nM dinaciliclib for 8 h, followed by Brd4-, H3K27Ac-, and H3K36me3-ChIP-seq. The binding profiles were plotted using computeMatrix in scale-region mode, and each gene body was shrunken to the same length as 2500 bp. Sequences up to 2.5 kb upstream of the TSS and 2.5 kb downstream of the 3' gene end were shown. Average occupation for each 100 bin region is indicated. The top panel histograms show the average genome-wide occupancies in the presence or absence of dinaciliclib for Brd4, H3K27Ac, and H3K36me3. The lower panels of density heat maps show genome-wide chromatin occupancy by Brd4, H3K27Ac, and H3K36me3 at the TSS,

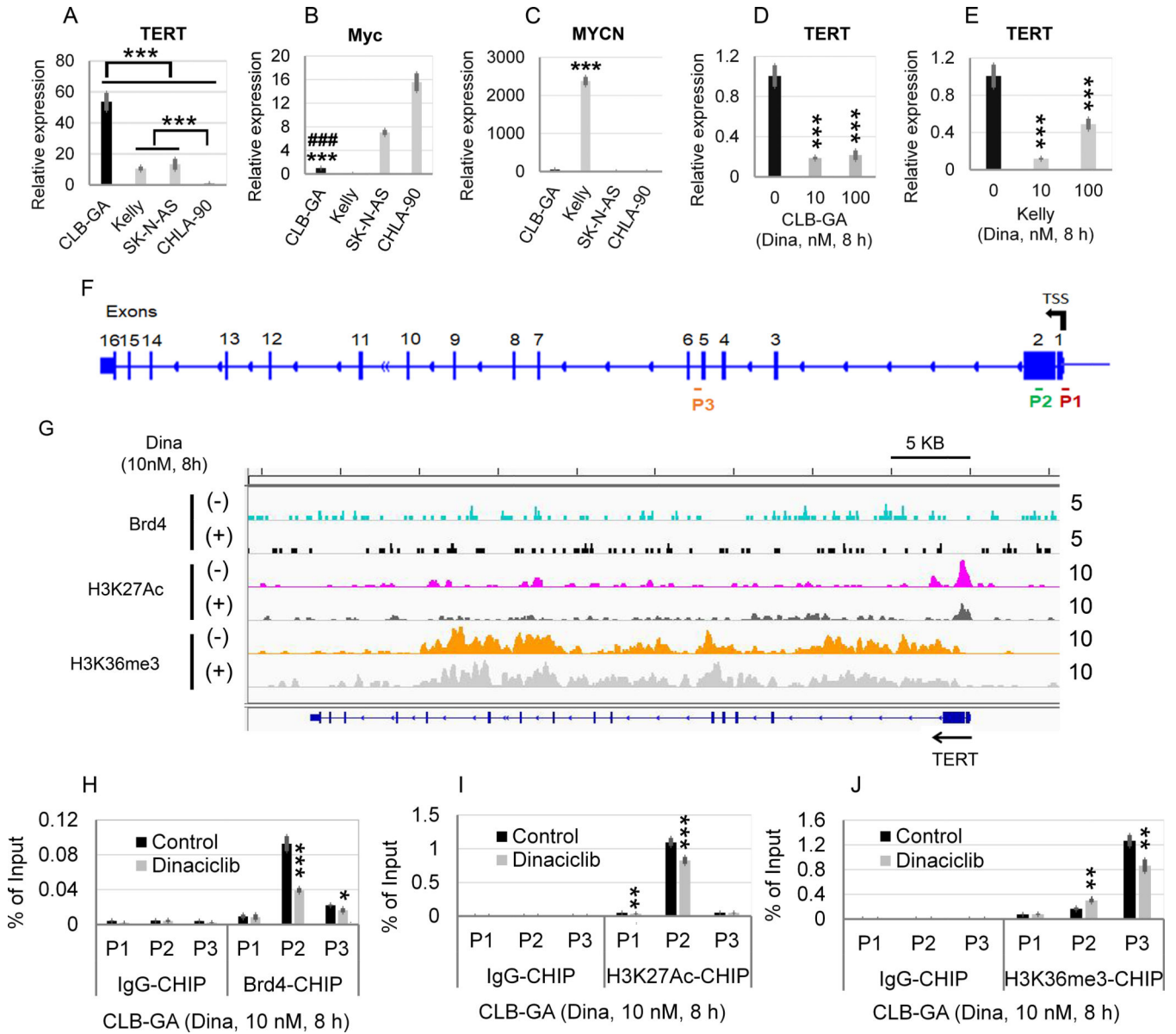
the transcriptional end site (TES), and gene scaled gene body region and effects of dinaciclib.

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**Fig. 3. Effects of dinaciclib on the *TERT* mRNA expression and chromatin occupancy of epigenetic modulators in CLB-GA.**  
**(A, B, C)** Comparison of mRNA expression of *TERT*, *Myc*, and *MYCN* in human NB cell lines CLB-GA, Kelly, SK-N-AS, and CHLA-90. **(D, E)** Effects of dinaciclib on the mRNA expression of *TERT* in CLB-GA and Kelly cells. Cells were untreated or treated with dinaciclib at 10 nM and 100 nM for 8 h, followed by RT-qPCR analysis. The relative levels of *TERT* mRNA expression were normalized to the GAPDH level in **D and E** and expressed as fold changes relative to control (set at 1). The mean  $\pm$  SD of four replicates is shown. **(F)** Diagram of *TERT* gene body and promoter. The locations of CHIP-qPCR primer sets for *TERT* are shown. **P1**: *TERT* promoter (–13 to +121 bp relative to TSS); **P2**: *TERT* promoter (389 to 531 bp relative to TSS, on exon 2; **P3**: 53 bp relative to the last amino acid of *TERT* exon 5 (*TERT* gene body). **(G)** Effects of dinaciclib on binding of Brd4, H3K27Ac, and H3K36me3 to the promoters or enhancers of *TERT* gene. CLB-GA cells were treated in the



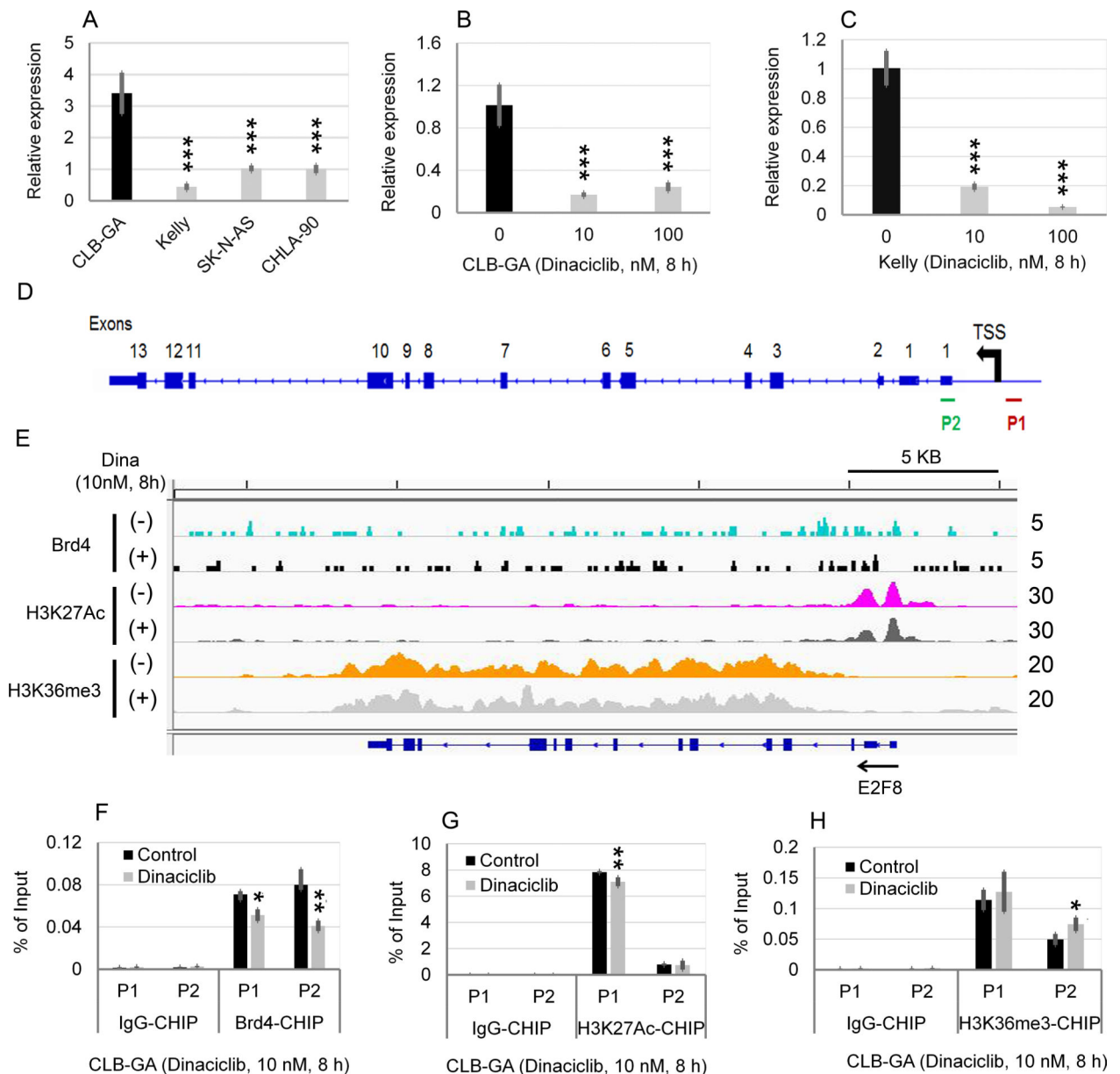
absence or presence of 10 nM dinaciclib for 8 h, followed by Brd4-, H3K27Ac, and H3K36me3-ChIP-seq, as described in the Materials and Methods. IGV visualization of ChIP-seq peaks at the *TERT* loci. The selected region spans the whole gene body of *TERT* as shown at the bottom and the calculated ChIP-seq enrichment values are indicated on the right. **(H, I, J)** Effect of dinaciclib on *TERT* promoter binding by Brd4 **(H)**, H3K27Ac **(I)**, and HK36me3 **(J)**, as assessed by ChIP-qPCR.

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**Fig. 4. Effects of dinaciclib on the *E2F8* mRNA expression and chromatin occupancy of epigenetic modulators in CLB-GA with known *TERT* chromosomal translocation.**

(A) Comparison of *E2F8* expression in four human NB cell lines. Fold changes in the *TERT* expression was shown in CLB-GA, Kelly, and SK-N-AS cell line in relation to that in CHLA-90. (B, C) Effects of Dinaciclib on the mRNA expression of *E2F8* in CLB-GA and Kelly cells. Cells were untreated or treated with Dinaciclib at 10 nM and 100 nM for 8 h, followed by q-PCR analysis. The relative levels of *E2F8* mRNA expression in A, B, and C were normalized to the GAPDH, and expressed as fold changes relative to (A) CHLA-90 and (B, C) control (set at 1). The mean  $\pm$  SD of four replicates is shown. (D) Diagram of *E2F8* gene body and promoter. The locations of ChIP-qPCR primer sets for *TERT* were shown. P1: *E2F8* promoter (–158 to 42 bp relative to TSS of *E2F8*); P2: *E2F8* promoter (573 to 822 bp relative to TSS of *E2F8*). (E) Effects of Dinaciclib on binding of Brd4,

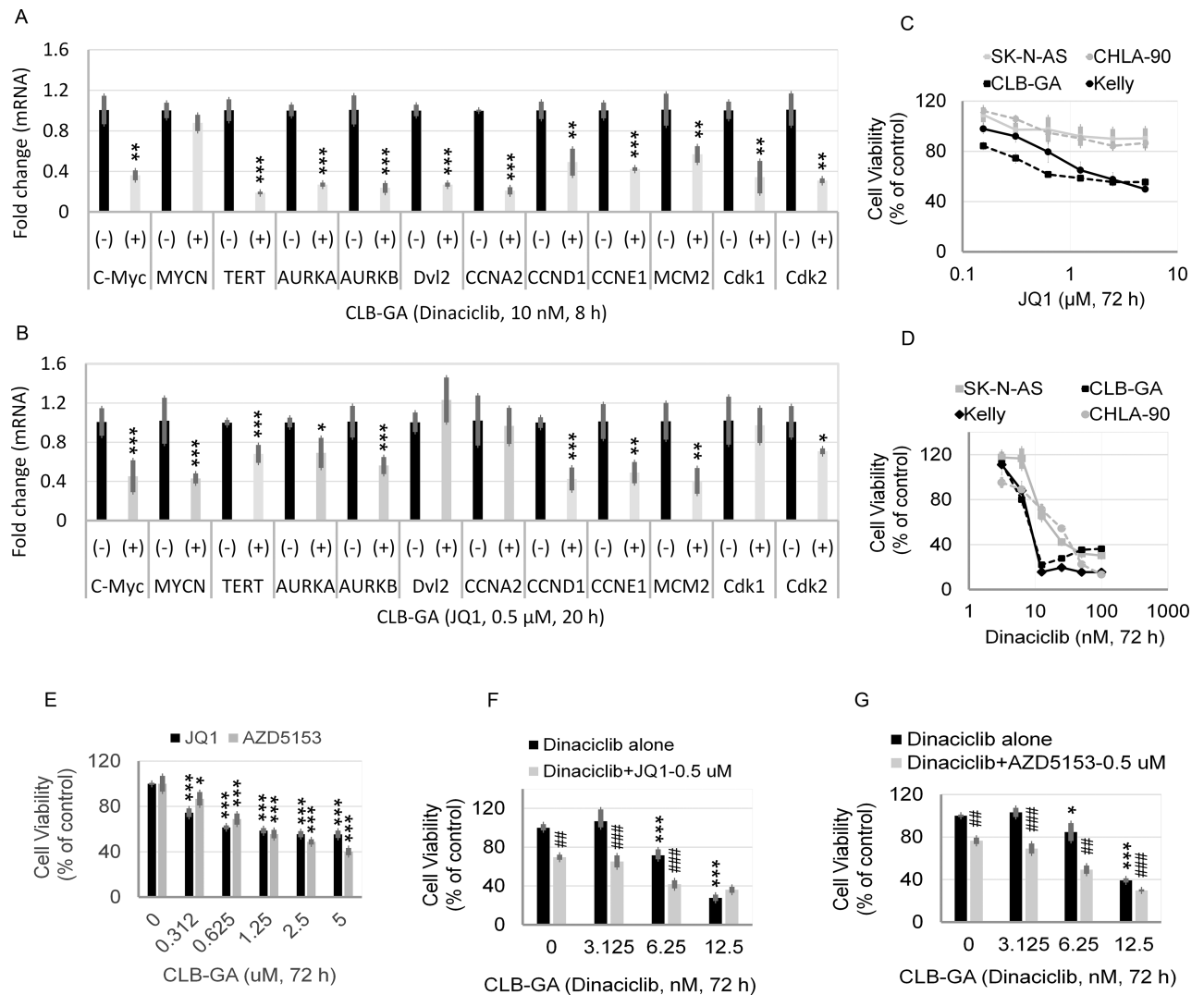
H3K27Ac, and H3K36me3 to the promoters or enhancers of *E2F8* gene. CLB-GA cells were treated in the absence or presence of 10 nM Dinaciclib for 8 h, followed by Brd4-, H3K27Ac, and H3K36me3-ChIP-seq. Genome browser (hg38) views of ChIP-seq peaks at the following loci. The location of each gene is shown at the bottom of the panels and the calculated ChIP-seq enrichment values are indicated on the right. **(F, G, H)** Effect of Dinaciclib on *E2F8* promoter binding by Brd4 **(F)**, H3K27Ac **(G)**, and HK36me3 **(H)**, as assessed by ChIP-qPCR.

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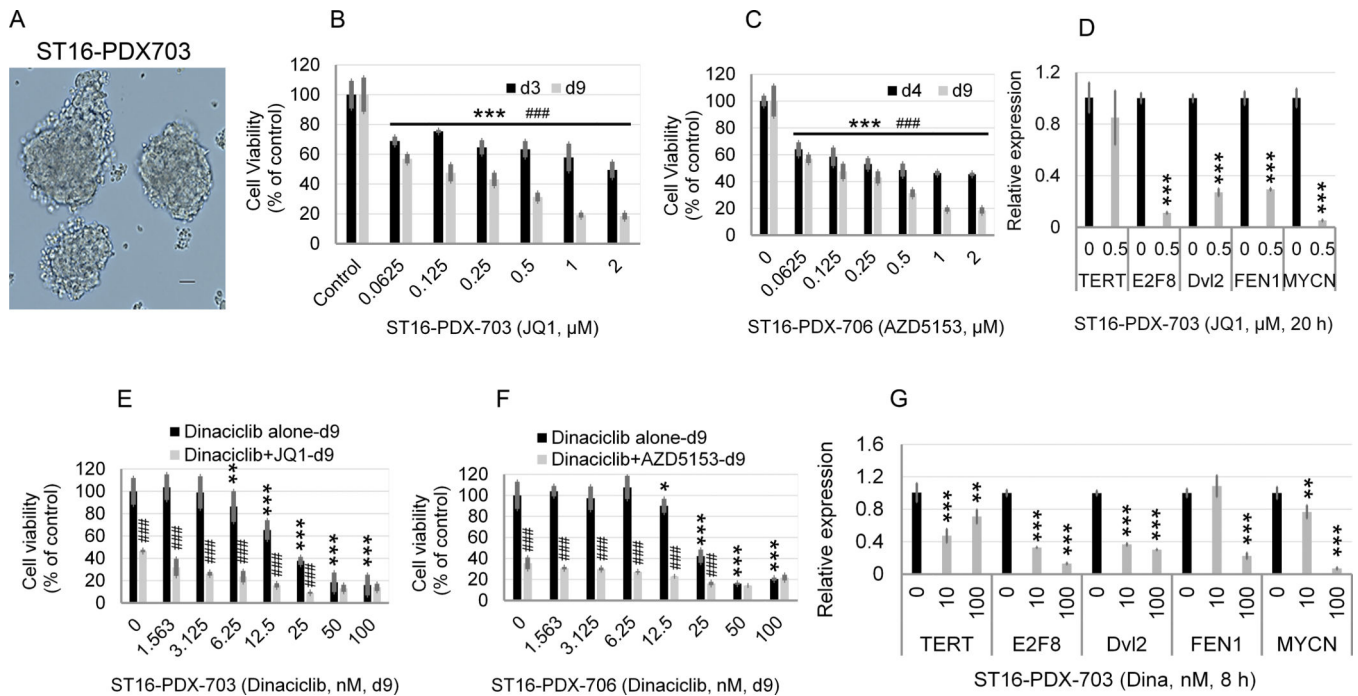
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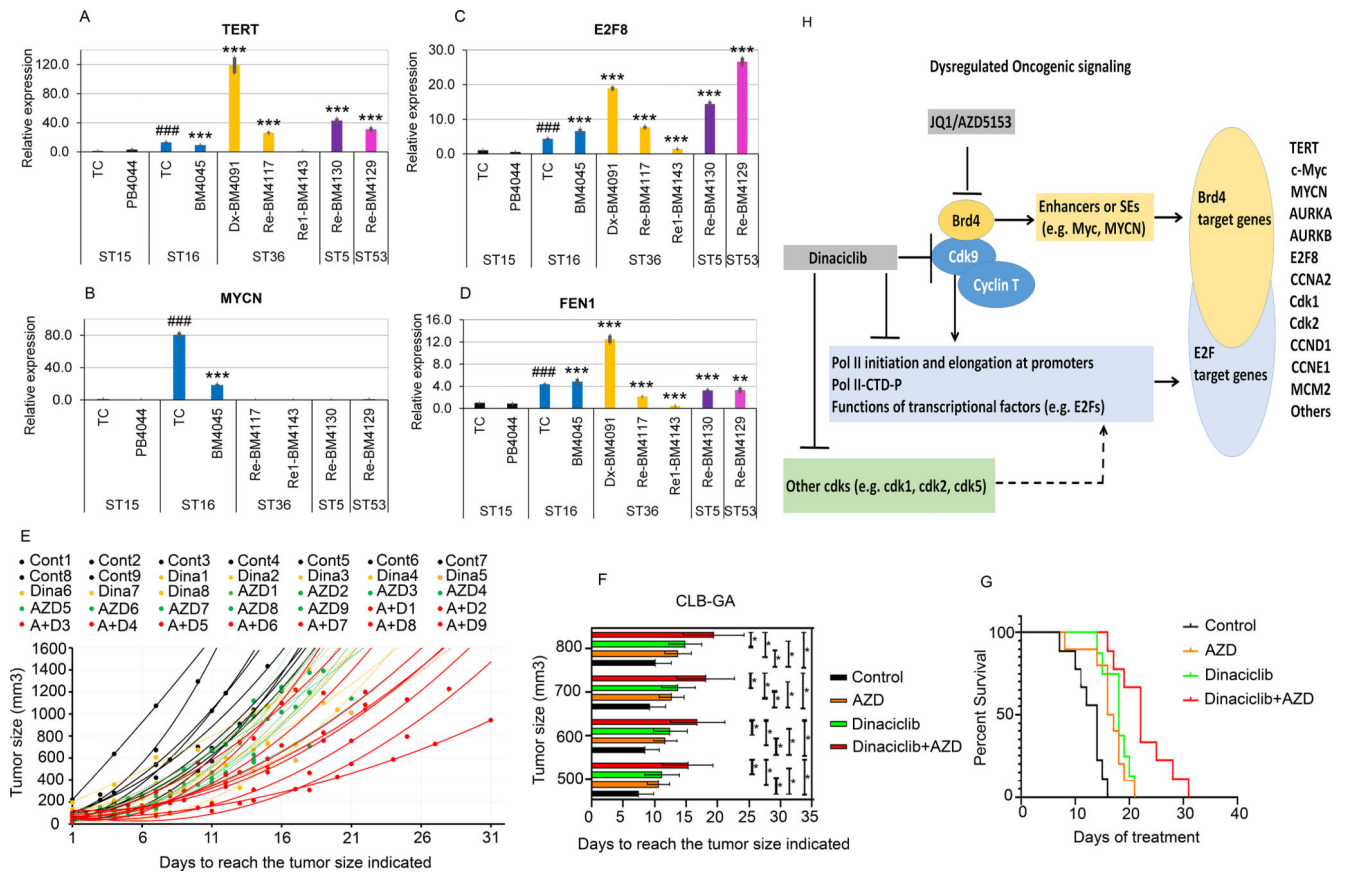
**Fig. 5. JQ1 sensitive Brd4 binding profiles and effects of BET inhibitor or/and dinaciclib on CLB-GA cells.**

(A, B) Effects of dinaciclib and JQ1 on the mRNA expression of genes shown in CLB-GA cells. Cells were untreated or treated with 10 nM dinaciclib or 0.5  $\mu$ M JQ1 for 8 or 20 h, respectively, followed by RT-qPCR analysis. The relative levels of mRNA expression were normalized to the GAPDH level and were expressed as fold changes relative to control (set at 1). (C, D, E) Effects of JQ1, dinaciclib, or AZD5153 on the cytotoxicity of human NB cell lines. Cells were treated with JQ1 (C, E), dinaciclib (D), or AZD5153 (E) at the indicated concentrations for 72 h, followed by MTS assay. (F, G) Additive or synergistic effects of JQ1/AZD5153 in combination with dinaciclib. CLB-GA cells were treated with JQ1, AZD5153, or dinaciclib alone or combination at the indicated concentrations for 72 h, followed by MTS assay. Asterisk or hash is in relation to control or dinaciclib treatment alone, respectively.



**Fig. 6. Effects of BET inhibitors or dinaciclib treatment alone or in combination on the growth of human primary NB cells.**

(A) Sphere growth of *in vitro* cultured NB cells isolated from patient-derived xenograft (PDX) generated using un-cultured human NB tumor cells. (B, C) Primary NB cells were treated with JQ1 or AZD5153 at the indicated concentrations and times, followed by MTS assay. Bar graphs represent mean values  $\pm$  SD of 4 biological replicates. (D, G) Effects of JQ1 on the mRNA expression of genes shown. Primary NB cells were untreated or treated with JQ1 at 0.5  $\mu\text{M}$  for 20 h, followed by RT-qPCR analysis. The relative levels of mRNA expression were normalized to the GAPDH level and were expressed as fold changes relative to control (set at 1). (E, F) Effects of JQ1, AZD5153, or dinaciclib alone or in combination on the cytotoxicity of human primary NB cells. Cells were treated with either dinaciclib alone at indicated concentrations or JQ1 or AZD5153 alone at 0.5  $\mu\text{M}$  or in combination for 9 days, followed by MTS colorimetric assay of cell viability.



**Fig. 7. Comparison of *TERT*, *E2F8*, *FEN1*, and *MYCN* expression in human primary NB cells and effects of either dinaciclib or AZD5153 alone or in combination on the CLB-GA orthotopic xenograft growth *in vivo*.**

(A, B, C, D) The mRNA expression of *TERT*, *E2F8*, *Dv2*, *FEN1*, and *MYCN* in human primary NB cells was compared after isolation from primary tumor tissue (TC) or bone marrow (BM), followed by q-PCR analysis. The relative levels of mRNA expression were calculated using the 2<sup>-Ct</sup> method after normalization to the GAPDH level and were expressed as fold changes relative to a NB tumor without *MYCN* amplification (ST15) (set at 1). Asterisk or Hash is in relation to isolated ST15-TC or ST15-BM, respectively. *MYCN* (+): ST16; *MYCN*(-): ST15, ST36, and ST5. ST15, ST16, and ST36 Dx-BM4091 were collected at diagnosis. ST36 Re-BM4117 and ST5 BM4130 were collected at refractory disease. ST36 Re1-BM4143 was collected after the refractory tumor was treated. (E) Tumor volume scatter plots of orthotopic CLB-GA xenograft in athymic nude mice. X-axis shows the days after injection of CLB-GA cells. Y-axis shows the tumor size. The dots or curves of best fit with the associated equation were plotted to show individual tumor size of each animal in each group. (F) The bar graph presents the average tumor size. X-axis shows the days after injection of CLB-GA cells. Y-axis shows the average tumor size ± SD of each of the four groups. (G) Survival curve analysis shows the probability of mouse overall survival. Two-sided log-rank test was used to generate the p-value. P < 0.001: AZD or dinaciclib versus the vehicle control; AZD+dinaciclib versus with either alone. (H) Proposed working



model of regulatory pathways and mechanism of action for BET inhibitors and dinaciclib in human NB with *TERT* overexpression.

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