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Hypervirulent *Klebsiella pneumoniae* – clinical and molecular perspectives

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Abstract

Choby JE, Howard-Anderson J, Weiss DS (Emory University School of Medicine, Atlanta, GA, USA; Atlanta VA Medical Center, Decatur, GA, USA) Hypervirulent *Klebsiella pneumoniae* – clinical and molecular perspectives (Review).

Hypervirulent *Klebsiella pneumoniae* (hvKp) has emerged as a concerning global pathogen. hvKp is more virulent than classical *K. pneumoniae* (cKp) and capable of causing community-acquired infections, often in healthy individuals. hvKp is carried in the gastrointestinal tract, which contributes to its spread in the community and healthcare settings. First recognized in Asia, hvKp arose as a leading cause of pyogenic liver abscesses. In the decades since, hvKp has spread globally and causes a variety of infections. In addition to liver abscesses, hvKp is distinct from cKp in its ability to metastasize to distant sites, including most commonly the eye, lung and central nervous system (CNS). hvKp has also been implicated in primary extrahepatic infections including bacteremia, pneumonia and soft tissue infections. The genetic determinants of hypervirulence are often found on large virulence plasmids as well as chromosomal mobile genetic elements which can be used as biomarkers to distinguish hvKp from cKp clinical isolates. These distinct virulence determinants of hvKp include up to four siderophore systems for iron acquisition, increased capsule production, K1 and K2 capsule types, and the colibactin toxin. Additionally, hvKp strains demonstrate hypermucoviscosity, a phenotypic description of hvKp in laboratory conditions that has become a distinguishing feature of many hypervirulent isolates. Alarming, multidrug-resistant hypervirulent strains have emerged, creating a new challenge in combating this already dangerous pathogen.

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Conflict of Interest Statement

The authors declare no conflict of interest related to this review.

Keywords

capsule; hypermucoviscous; hypervirulent; *Klebsiella pneumoniae*; liver abscess; siderophores

Overview: Pathogenesis of *Klebsiella pneumoniae*

Klebsiella pneumoniae is an opportunistic pathogen capable of causing a variety of infections. Classically, *K. pneumoniae* is known to cause pneumonia, urinary tract infections and bacteremia in immunocompromised or frequently healthcare-exposed patients. In the *Enterobacteriaceae* family, *K. pneumoniae* isolates that have acquired resistance to carbapenems belong to the carbapenem-resistant *Enterobacteriaceae* (CRE) and represent a ‘critical concern’ of the World Health Organization [1]. *K. pneumoniae* is one of only a few Gram-negative rods capable of causing primary pneumonia [2] and is a leading cause of nosocomial pneumonia [3]. Additionally, *K. pneumoniae* is one of the most frequent causes of hospital-acquired urinary tract infections in the United States [3]. These types of nosocomial infections, most common amongst those at extremes of age or with underlying immunodeficiencies, are features of classical *K. pneumoniae* (cKp).

In contrast, in the past few decades a distinct type of *K. pneumoniae*, hypervirulent *K. pneumoniae* (hvKp), has emerged as an important pathogen capable of causing community-acquired and, increasingly, hospital-acquired infections. Unlike cKp, hvKp often causes infection in otherwise healthy individuals [4-7]. hvKp was first recognized as a cause of pyogenic liver abscesses in Asia [5] and is beginning to be appreciated for the frequency at which it causes other types of diseases, outlined below. A defining feature of hvKp is a hypermucoid appearance on agar plates. Thus, hvKp has alternatively been referred to as hypermucoviscous (HMV) or hypermucoid *K. pneumoniae* to distinguish it from cKp. The ‘string test’ was developed as a phenotypic test for hypermucoviscosity; when a colony can be stretched at least five millimetres with an inoculation loop, the isolate is considered HMV and therefore hvKp [8].

The prevalence of hvKp amongst *K. pneumoniae* varies, but can be high in studies from hvKp-endemic areas, ranging from 12 to 45% [9-13]. Furthermore, these isolates have historically been largely susceptible to antibiotics. However, as we will explain, these strains are now becoming highly antibiotic-resistant, and thus, the clinical landscape of hypervirulent *K. pneumoniae* is changing drastically. In this review, we discuss potential sources of hvKp strains, the clinical features of hvKp infection, the molecular basis for its clinical identification and virulence, as well as the convergence of antibiotic-resistant and hypervirulent strains.

The source – hvKp in the gut

Klebsiella are ubiquitous Gram-negative, non-motile bacteria within the *Enterobacteriaceae* family, which includes well-studied human pathogens like *Escherichia*, *Salmonella*, *Shigella* and *Yersinia*. *Klebsiella* species are diverse, with relatively large genomes which enable metabolic flexibility, biochemical diversity and success in colonizing clinical and environmental niches [14]. *K. pneumoniae* is the most common human pathogen of this

genus [2] and widely recognized as an opportunistic pathogen responsible for a large number of nosocomial infections. In mammals, *K. pneumoniae* is a common species in the gut [14, 15]. It can be readily isolated from human mucosal surfaces, faeces-contaminated hands [16], sewage-contaminated bodies of water, and even surfaces throughout hospitals [17, 18].

Despite its ubiquity in the environment, the reservoir leading to human infection with *K. pneumoniae* is often the patient's own gut [19, 20]. Many different environmental sources may be responsible for initial gastrointestinal colonization by *K. pneumoniae*, including soil, vegetation or faeces-contaminated surfaces and water [14]. Hospital-acquired *K. pneumoniae* may be transmitted and acquired from hospital sources [21] or patient to patient [22, 23], and whilst this can happen with hypervirulent strains, the traditional antimicrobial susceptibility and community acquisition of hvKp do not suggest the hospital as the source of hvKp prior to intestinal carriage. The environmental source of hvKp that precedes gut colonization is unclear, but once in the gut, community person-to-person spread via the faecal–oral route in hvKp-endemic areas is a possible mechanism for carriage in the community.

hvKp can be a member of the gut microbiome, which likely contributes to its dissemination in communities and hospitals. As part of the recent Human Microbiome Project analyses in the United States, *K. pneumoniae* was identified in approximately 4% of stool and 10% of nares samples of volunteers [15]. Other studies have found *K. pneumoniae* in the stool of 10% [24] or 35% [17] of individuals. In hospitalized patients, however, rates of *K. pneumoniae* intestinal colonization are higher and have been reported between 19 and 38% [17, 19, 20, 24]. Studies of intestinal colonization in areas where hvKp is endemic provide more information about hvKp gastrointestinal carriage. Analysis of 43 patients with hvKp liver abscesses in Taiwan found a strong association between gastrointestinal colonization and liver abscess and identified carriage of hvKp isolates in healthy individuals as well [25]. Other studies identified carriage of hvKp in 5% of healthy Korean adults [26] and in 6% of nearly one thousand Asian adults surveyed [27]. These high rates of carriage of hvKp likely contribute to the hvKp infections in Asia.

There is also evidence suggesting that gut colonization by hvKp directly precedes infection in the same individual. These data are in line with other studies that generally conclude that *K. pneumoniae* infections stem from initial gut colonization [19, 20]. For example, about half of ICU *K. pneumoniae* infections were caused by the patient's own gastrointestinal strain [19]. Additionally, using mouse models, hvKp genes required for gastrointestinal dissemination and colonization have been identified. This suggests that hvKp may use particular virulence determinants to access the liver [28]. In sum, hvKp proficiently colonizes the gastrointestinal tract which contributes to the person-to-person spread of hvKp and likely to the dissemination from the gut to other organs during disease.

Clinical presentation and risk factors

HvKp was first recognized as a unique clinical entity in the 1980s in Taiwan [4]. Community-dwelling patients, without hepatobiliary risk factors, were presenting with

pyogenic liver abscesses caused by *K. pneumoniae*. Doctors and scientists soon discovered that these patients had an invasive type of *K. pneumoniae* with a tendency for metastatic spread to distant sites [7, 29]. Whilst originally observed predominantly in Southeastern Asia, there are now an increasing number of cases being reported worldwide, including in Europe and the United States [5, 7, 30-37]. Infections due to the hypervirulent form of *K. pneumoniae* are often diagnosed based on their clinical phenotype as there is no universally agreed upon marker for hypervirulence [7]. In particular, many of the early case reports (including those referenced below) are solely based on clinical presentation. Hypermucoviscous (HMV) and hypervirulent are often used synonymously in the literature; however, not all patients with a hypervirulent phenotype have hypermucoviscous bacteria and not all hypermucoviscous isolates lead to an invasive syndrome [38, 39].

The symptoms of hvKp are nonspecific and can include fevers, chills, abdominal pain, nausea and vomiting, but may also be driven by the location of the metastatic infection [30]. In a large compilation of over 800 patients with *K. pneumoniae* liver abscesses in Taiwan, South Korea and the United States, 12% of patients had evidence of metastatic disease [30], although rates as high as 28% have been reported [5]. The most common metastatic sites include the eye, lung and CNS, each occurring in approximately one-third of patients who have metastatic disease [6, 30, 40, 41]. There have been numerous reports of patients with metastatic endophthalmitis or uveitis [4-6, 35, 40, 42-45], pulmonary infections including intraparenchymal disease or empyema [30, 35, 36, 40], and CNS disease including meningitis, brain abscess and epidural abscess [5, 6, 33, 40, 44, 46]. Additionally, patients can present with a liver abscess and severe skin, soft tissue and bone infections including necrotizing fasciitis [47, 48], neck and psoas abscesses [31, 33, 36, 40], and osteomyelitis [40, 49]. Less commonly, hvKp has been associated with seeding of other abdominal and pelvic organs including the prostate [37, 40], kidneys [31, 47] and spleen [7]. More recently, a high percentage of vascular thrombotic complications, including pulmonary embolus [31], has been reported, as well as at least one case of endocarditis [50]. Together, the presentations of hvKp (depicted in Fig. 1) are diverse and distinct from infections caused by cKp, underscoring the unique virulence of hvKp.

In addition to the pathognomonic syndrome of liver abscess with metastatic involvement, there are now many reported examples of hvKp causing primary extrahepatic disease. In a large observational study in Taiwan, 83 patients had community-acquired hypermucoviscous bacteremia, but only 37% of them presented with the characteristic invasive syndrome; 23% had no detected focal site of infection. Other patients had pneumonia, urinary tract infections, biliary tract infections, soft tissue disease and spontaneous bacterial peritonitis [51]. In another study of extrahepatic disease, 16 of 18 (89%) patients had HMV *K. pneumoniae* with primary sites of infection including renal abscess, soft tissue and muscle infection, CNS disease, mycotic aneurysm, lung abscess and septic arthritis [52]. Additional case reports have described isolated muscle abscesses, necrotizing fasciitis and osteomyelitis caused by HMV *K. pneumoniae* without concurrent liver abscess [34, 53, 54]. More research is needed to understand why some patients with HMV isolates present with the typical hypervirulent phenotype and some do not [39].

Whilst hvKp is more frequently associated with community-acquired disease [7, 29, 30], there have also been increasing reports of hypervirulent isolates causing healthcare-associated disease. In particular, pulmonary and ventilator-associated infections have been identified [10, 55-57] as well as healthcare-associated bacteremia [31]. In one hospital in China, there was an outbreak of carbapenem-resistant hvKp that caused fatal ventilator-associated pneumonias in five infected patients [55]. Increased circulation of hvKp strains in hospitals has potential to increase the overall burden of this pathogen.

Patients with hvKp tend to be younger, immunocompetent, present with severe disease compared to cKp [30, 51] and present from community settings [9, 38, 51, 58]. Diabetes is a significant risk factor for acquiring a hvKp infection as opposed to a cKp infection [58-62], and for developing metastatic complications in patients with a liver abscess [40, 63, 64]. However, not all studies have consistently found this association [6, 51], suggesting the connection between diabetes and hvKp could vary by region or clinical presentation. There has also been uncertainty as to whether Asians have an increased risk for hvKp and whether this represents a genetic or environmental association [29]. One study of patients of different ethnicities in Singapore demonstrated an association between capsular K1 liver abscess and Chinese patients (compared to Malay, Indian and Caucasian patients); however, this was not controlled for diet, socio-economic status or other important environmental exposures [65]. In a collection of 38 cases observed in the United States, almost half of the patients were non-Asian, and the ethnic diversity was greater than in Taiwan or South Korea [30]. Therefore, it is still unclear whether the emergence and prevalence of hvKp in Asia is a result of genetic susceptibility to hvKp in this population or other environmental or unknown factors.

Outcomes and treatment of hvKp

HvKp is associated with significant morbidity and mortality. Patients with the invasive syndrome have a mortality rate ranging from 3 to 31% [6, 30, 31, 41, 61, 64], and patients with HMV bacteremia have a mortality rate close to 35% or higher [51, 58]. In one case series of 12 French patients with the HMV phenotype, all 5 patients with bacteremia died [32]. Antimicrobial resistance also increases the risk for mortality [58, 66] which was 100% in one series of patients infected with carbapenemase-producing isolates [55]. Additional risk factors for mortality include gastrointestinal fistula, increased Acute Physiologic and Chronic Health Evaluation (APACHE) II or Pitt bacteremia scores, metastatic infection, septic shock, acute respiratory failure and gas formation on imaging [41, 58]. In addition to mortality, the morbidity from metastatic disease can be devastating. Approximately 70% of patients with ocular or CNS metastatic disease will develop long-term visual or neurologic disability [6, 45].

Management of hvKp infections requires both adequate source control and active antibiotic therapy, with usual treatment durations ranging from two to six weeks depending on the site and extent of infection [29, 30]. Drainage of liver abscesses has been associated with decreased risk of metastatic infection and mortality [41]. All *K. pneumoniae* are inherently resistant to ampicillin [67]. There have been no trials assessing which antibiotics are best suited for treating hvKp infections, but empiric antibiotic therapy should take into account

both the site of infection and local antimicrobial resistance patterns (Table 1) [68]. Ultimately, antibiotic therapy should be tailored once *in vitro* susceptibilities of each isolate are available [30]. Intravitreal injections should also be used in patients with endophthalmitis [45]. Historically, antimicrobial resistance was rare with only 2% of isolates exhibiting resistance to tested first generation cephalosporins in Taiwan from 1997 to 2005 [6]. However, the prevalence of multidrug-resistant hvKp is increasing, possibly related to the increase in healthcare-associated infections, making this already morbid infection more difficult to treat [9, 10, 12, 55, 56, 58, 60, 69]. Carbapenem-resistant infections are the most concerning of the multidrug-resistant hvKp isolates, and the optimal treatment is still unknown. Therapeutic options to consider include ceftazidime/avibactam, meropenem/vaborbactam, imipenem/relebactam, eravacycline, plazomicin, cefiderocol and colistin, although these should be used only in consultation with expert opinion as many of these agents are not active against metallo- β -lactamases which can confer carbapenem resistance, and most have not been systematically evaluated for the treatment of carbapenem-resistant infections [68, 70].

Defining hvKp genotype and capsule type

When invasive *K. pneumoniae* infections first became a recognized clinical problem in Asia, many groups began tracking isolates in order to understand the differences between cKp and hvKp. Strategies to genetically and phenotypically classify hypervirulent isolates have elucidated trends amongst hvKp lineages that aid in their identification and tracking, but there is no unifying categorization that captures all hvKp strains. Clinical manifestations, sequence and capsule typing, the HMV phenotype, and presence of virulence-associated genes can all be used to differentiate hvKp from cKp strains. These details are discussed in this and the following section and are presented in Fig. 2.

The genomic structure of the *K. pneumoniae* species is diverse, with many distinct lineages. To categorize isolates within the species, multi-locus sequence typing (MLST) was used [71] and the resulting sequence type (ST) classifications remain clinically important today. Whole genome sequencing has enabled more in-depth descriptions of clonal groups (CG), of which there are hundreds, if not thousands [72]. The ST and CG phylogenetic nomenclature describes the genetic relatedness of isolates and therefore easily distinguishes *K. pneumoniae* (sometimes referred to as KpI) from related species *K. quasipneumoniae* (KpII) and *K. variicola* (KpIII).

Another identifier of hvKp is capsule type (K). Capsule is a polysaccharide synthesized by all *K. pneumoniae* that acts as a protective layer on the exterior of the bacterium, inhibiting phagocytosis, antimicrobial peptides, complement, and induction of the host inflammatory response [73]. Capsule is required for virulence in mouse models of cKp and hvKp infection [8, 74, 75]. The capsule genes are chromosomal and largely conserved across the species, but variation exists in the polysaccharide components of capsule and is the basis for capsule typing. The different polysaccharide variants that result from genetic differences are called K antigens and have been categorized serologically [76]. Presently, sequencing of the capsule synthesis *wzi* genes has become mainstream, and at least 134 distinct K loci have

been identified [77]. The most common capsule locus associated with hvKp is K1, followed by K2, K5 and K57 [42, 65, 74, 78-82].

Despite the high frequency of K1 and K2 loci in hvKp isolates, capsule type does not fully account for hypervirulence; K1 and K2 capsule types co-occur with other virulence genes [78]. Attempts to genetically swap capsule loci between isolates to test the contribution of the capsule have been mixed; switching the capsule of a less virulent strain with that of a hvKp strain does not fully establish the virulent phenotype in mice, suggesting capsule is not the sole driver of virulence [83-85]. Capsule is however thought to prevent phagocytosis and increase hvKp survival and dissemination. Macrophage lectin receptors recognize repeated mannose or rhamnose sugars to induce phagocytosis. However, K1, K2, K5 and K57 capsule types lack these repeated sugars, therefore facilitating the evasion of lectinophagocytosis and macrophage killing [85-91]. K1 isolates were found to be more resistant than cKp isolates to extracellular and intracellular killing by neutrophils [92], which could aid in systemic dissemination [93] as well as limiting exposure to extracellular antibiotics [94]. It is likely that the evolution of hvKp with particular capsule types is the result of advantages conferred by these distinct structures.

The majority of hvKp strains belong to a small collection of clonal groups, indicating that only a few lineages of *K. pneumoniae* have developed hypervirulence. CG23 is the most dominant lineage and includes many sequence types: ST23, 26, 57 and 1633 [95]. Amongst these, ST23 strains are a leading cause of liver abscesses, and the most frequently observed hvKp across different surveys and collections [65, 82, 96-99]. ST23 is strongly associated with the K1 capsule type, and K1 ST23 strains are associated with four siderophore iron acquisition systems, the toxin colibactin (described in the following section) and invasive infections [100]. hvKp isolates exhibiting the K2 capsule come from a variety of sequence types [101]. An analysis of hvKp strains isolated from livers found that K2 isolates lacked the *kfu* iron uptake gene and *allS*, a gene for allantoin metabolism [82]. Allantoin is a degradation product from nucleic acids that some bacteria can use as a source of nitrogen [102]. K1 strains have both genes, and non K1/K2 isolates lack *allS* but some have *kfu* [82]. In an experimental model of infection, the absence of both *allS* and *kfu* did not reduce virulence, consistent with K2 isolates being fully virulent in humans [79]. Whilst ST23 is the dominant ST amongst hvKp isolates, there has been recent appreciation for other sequence types causing infections in Asia, the United States and Europe [26, 82, 98, 103]. It is likely that the dominance of K1 ST23 hvKp strains in surveys of Asian isolates might be skewed and that globally many different capsule and sequence types can be hvKp.

A defining feature of hvKp strains, which is used clinically to detect hypervirulence, is the presence of a virulence plasmid. Early work identified plasmid-encoded siderophore systems and the mucoid phenotype as key determinants of hvKp strains [104-106]. pK2044 [107] and pLVPK [108] are the most well-studied hvKp virulence plasmids and are highly similar [109, 110]; CG23 strains carry these plasmids whilst K2 hvKp strains often have smaller but similar virulence plasmids [82]. The most well-characterized version of pLVLK is 219 kb and has 13 insertion sequences, probably the result of sequential acquisition of horizontally transferred genes [108]. The CG23 associated plasmids contain virulence genes including the mucoid regulators *rmpA* and *rmpA2*, iron acquisition genes (described in detail below),

and heavy metal resistance loci. Importantly, only about a third of the genes encoded by these virulence plasmids have known function [108]. The plasmid-encoded *rmpA* and *rmpA2* regulate with capsule genes on the chromosome. Other plasmid-encoded genes, however, contribute to virulence in a capsule-independent manner.

In addition to virulence plasmids, hvKp strains have acquired other virulence genes through mobile genetic elements in the chromosome. More than a dozen distinct integrative and conjugative element (ICEs) exist in *K. pneumoniae* [111], across cKp and hvKp lineages. There are 14 different types of ICEs across hvKp, and genes encoding the siderophore yersiniabactin are a significant feature of most ICEs in cKp and hvKp strains [111]. ICEs are extremely prevalent in hvKp lineages, including in nearly 90% of CG23 [111, 112] and close to three quarters of all hvKp strains [113]. In addition to yersiniabactin genes, some ICEs carry other virulence genes; *ICEKp1* encodes *rmpA* and the siderophore salmochelin [114], and *ICEKp10* encodes colibactin synthetic genes [111]. The most common appears to be *ICEKp10*, found in 77% of ST23, 40% of ST258 (the dominant carbapenem-resistant cKp ST), and in 25 other STs [111].

Defining hvKp – hypermucoviscosity

The most obvious phenotypic feature of hvKp, albeit flawed, is HMV. HMV is observed in culture conditions, normally attributed to excess capsule synthesis. The ‘string test’ has been used to define HMV, in which a colony of bacteria can be stretched to a viscous string at least 5 millimetres from the surface of the agar plate [8]. As such an obvious identifier, HMV and hypervirulent were used interchangeably to describe hvKp isolates; however for reasons described below, hypervirulence more accurately describes this pathotype. RmpA was first described in 1989 as a regulator of mucoid phenotype and encoded by the virulence plasmid pKP100 [105], along with RmpB, another virulence plasmid-encoded regulator [115]. Further analyses showed that K1 hvKp isolates from Asia frequently encode a chromosomal *rmpA* [116], whilst many hvKp strains encode only plasmid copies of *rmpA* and *rmpA2* [116-118], making *rmpA* a frequently used diagnostic marker of hypervirulence. Another gene required for HMV and virulence is *magA* [8], but this gene appears restricted to K1 isolates [74] and is involved in K1 capsule synthesis [119]. Newly described transcriptional regulators KvrA and KvrB also activate transcription of the chromosomal capsule synthesis genes and therefore are required for both full capsule production and HMV in hvKp strains. Accordingly, *kvrA* and *kvrB* deletion strains are less virulent than wild type [120].

Despite the importance of HMV to hvKp, the connection between capsule and HMV is still being elucidated. Genetic dissection of the capsule regulatory pathway in an hvKp strain recently uncovered RmpC, a novel transcriptional regulator. Whilst RmpA appears to coordinate capsule and HMV, RmpC only regulates capsule; an *rmpC* mutant synthesizes less capsule, but retains hypermucoviscosity. This suggests that capsule synthesis is not required for the HMV phenotype [121]. Indeed, early reports of RmpA and RmpB proposed that capsule overexpression was not the basis for HMV [105, 115]. These new data open the door to further understand the differences between excess capsule and HMV in hvKp.

Whilst the well-recognized definition of hvKp, especially for the common K1 ST23 isolates, is *rmpA+/rmpA2+*, *magA* + with HMV phenotype, there are many exceptions to this rule. *magA* seems to be a marker of the K1 capsule type only. [119]. Highlighting the diversity amongst isolates, there are examples of *rmpA-/HMV+* [9, 122-125], *rmpA-/rmpA2+/HMV+* [10], and *rmpA+/HMV-* [9, 118]. Further, in an attempt to identify new biomarkers for clinical testing of hvKp, the string test performed only moderately well as a predictor of cKp versus hvKp [117]. Studies evaluating the correlation between clinical definitions of hvKp and the string test found varying results, ranging from 51% [126] to 98% [8] between studies. The HMV phenotype likely contributes to the majority of hvKp strains, but the exceptions here further point to the diversity of *K. pneumoniae* capable of hypervirulence.

Iron acquisition sets hvKp up for success

Iron is a critical molecule for bacteria and humans, required for many cellular processes. In the human host, there is very little free iron in tissue or serum as the result of the insolubility of Fe^{3+} under physiological conditions and host restriction of Fe^{2+} and Fe^{3+} . Iron availability is generally restricted by a coordinated group of proteins that even more severely limits bioavailable iron during infection in a process called nutritional immunity [127]. Therefore, invading bacterial pathogens encode high affinity iron acquisition systems to counteract host nutritional immunity processes. hvKp expresses four siderophores for iron acquisition, and the accumulation of siderophore systems in hvKp suggests iron acquisition is a critical component of the emergence of this pathogen.

Siderophores are small molecules synthesized inside the bacterium and then exported. Outside the cell, siderophores bind iron in the environment with extremely high affinity and are transported back into the cell, providing the bacterium with iron required for growth. Both hvKp and cKp encode the enterobactin (Ent) system, and often yersiniabactin (Ybt), on the chromosome. On the other hand, hvKp isolates also often synthesize aerobactin (Iuc), and salmochelin (Iro) systems [78], and an hvKp isolate produces a greater mass of siderophores relative to cKp isolates [128]. There is a strong trend of co-occurrence of *iro* and *iuc* loci in hvKp strains in a recent analysis of ~ 2500 Kp genomes, suggesting salmochelin is present in these genomes because it is important to the success of hvKp as well [129]. An additional analysis of 97 genomes of the CG23 hvKp clonal group detected *ybt*, *iuc* and *iro* loci in nearly all genomes [112]. The host immune protein lipocalin-2 can bind iron-bound Ent and prevent its return to the cell [130] in an attempt to thwart iron acquisition by bacteria. Ybt, Iuc and Iro are lipocalin-2 resistant, suggesting these accessory siderophores in hvKp may help overcome restriction by lipocalin-2 nutritional immunity, as observed for other Gram-negative pathogens [127]. In addition to the Ybt, Iuc and Iro siderophores, other iron acquisition systems likely support the pathogenesis of hvKp. For example, the commonly studied NTUH-K2044 K1 ST23 isolate also encodes ferrichrome, ferric, ferrous and haem-iron uptake systems [131], underscoring the need of hvKp for iron.

Aerobactin (*iuc*) has long been appreciated as a critical siderophore system of hvKp [104], and as such, it has been proposed as an anti-virulence target [132]. Encoded in just four genes with a relatively simple synthetic pathway [133], Iuc appears to be the only siderophore system required in hvKp isolates in laboratory experiments, and in mouse

models of disease, inactivation of other siderophore systems had minimal effect [134, 135]. However, the occurrence of multiple siderophore systems in hvKp strains suggests siderophore systems in addition to Iuc play important roles in the human host, either in colonization or invasive disease that largely remains to be uncovered.

Additional hvKp virulence factors

Another interesting molecular feature of hvKp is the ability to synthesize the genotoxin colibactin. Colibactin is synthesized as part of secondary metabolism by nonribosomal peptide synthetases, polyketide synthases and other enzymes (*pks* genes) first described in *E. coli* [136]. The *pks* locus is over-represented in hvKp relative to cKp isolates, in the majority (up to 100%) of K1 isolates tested, usually encoded in a chromosomal integrative and conjugative element (ICE) [11, 82, 100, 137]. Colibactin damages DNA and disrupts the host cell cycle, but the exact mechanisms by which colibactin contributes to pathogenesis of hvKp are largely unknown. Genetic inactivation of colibactin synthesis in a *pks* + K1 ST23 isolate reduced the ability of this hvKp strain to colonize the gut and disseminate to other organs in a murine model of systemic infection as well as to induce cell death in the brain during meningitis [138]. Taken together, colibactin appears to support the colonization and pathogenesis of hvKp and may have contributed to the global spread of CG23 [112], of which K1 ST23 strains are prominent.

The ability of hvKp isolates to form biofilms may also contribute to hypervirulence. Biofilms are complex surface-associated communities with extracellular matrix that helps provide protection from the immune system and environmental insults. *K. pneumoniae* biofilm formation is well appreciated, but the genetic basis for biofilm formation is unclear. Varying reports attribute the biofilm phenotype to capsule [139, 140] and/or fimbriae [140-142], whilst others have shown lack of capsule enhances biofilm formation [143]. hvKp strains seem to be more robust biofilm producers in the laboratory relative to non-tissue-invasive isolates in a survey of more than 70 isolates [140]. Alternatively, other studies have found no difference in biofilm formation between invasive and non-invasive isolates [144]. Therefore, more research is needed to define the genetic basis for biofilms in hvKp strains as well as how capsule and HMV contribute to the biofilms observed *in vitro*.

Whilst iron acquisition systems, colibactin and possibly biofilms support the virulence of hvKp, a comprehensive understanding of virulence determinants is still lacking. The virulence plasmids encoded by hvKp isolates are generally 200 kb, yet only a handful of genes have been shown to be required for virulence. In addition to those discussed above, some virulence factors have been experimentally validated in mouse models of disease including: a metabolite transporter *peg-344* [145], *mrk* fimbriae [28], the *moaR* and *kva15* regulators [28], a *kvgAS* signalling system [28, 146], phospholipase D [147] and the allantoin metabolism gene cluster [148]. However, virulence factors identified across these various mouse models using different strains of hvKp surely only represent a fraction of the genes hvKp have acquired to cause disease in healthy hosts. An all-encompassing view of the hvKp virulence determinants is needed to improve diagnostics and identify new antibacterial targets.

Convergence of virulence and antibiotic resistance

In the first few decades after the emergence of hvKp, widespread antibiotic susceptibility of hvKp isolates allowed for uncomplicated treatment. Early reports investigating resistance amongst hvKp found very low levels of resistance, with less than 5% of hvKp bacteremia isolates producing extended-spectrum- β -lactamase (ESBL) genes [149], and 2% or less resistant to any single antibiotic tested [6]. However, almost four decades after the description of hvKp, it is now clear that antibiotic-resistant hvKp isolates have emerged and are of great clinical concern. Alarming, in a 2016 study from China, 20 of the 35 (57%) hvKp isolates causing bloodstream infections were carbapenemase producing [58]. There have also been reports of ESBL-producing hypervirulent isolates [9, 60, 62, 150], which will represent an additional clinical challenge. The spread of antibiotic-resistant isolates capable of invasive disease in healthy individuals has potential for great global impact. The relatively high prevalence of hvKp and carbapenem-resistant-cKp in China has been proposed as a major contributor to the convergence of resistance and virulence, and neighbouring regions should be aware of the potential for dissemination of such convergent clones [151]. It is clear, however, that isolates with both traits already exist in the United States [152, 153], Europe [150] and other areas outside China, either from dissemination or independent convergences.

Two types of convergence have been observed: cKp isolates acquiring virulence genes or entire virulence plasmids and hvKp isolates gaining chromosomal or plasmid-encoded antibiotic resistance genes. A sampling of recent examples is displayed in Table 2. Analyses of carbapenem-resistant *K. pneumoniae* clinical isolates have identified rates of hvKp near 10%, though this is usually assessed by the string test [10, 154-156]. Because genes that encode virulence or antibiotic resistance are often on mobile genetic elements like plasmids and ICE, it is not surprising that we are observing a convergence of virulence and antibiotic resistance.

cKp strains that acquire virulence genes have potential to cause extremely problematic infections. The identity and quantity of virulence genes that a cKp strain has acquired likely will dictate its virulence potential, and a spectrum of virulence potential likely exists based on different gene acquisitions. The fatal outbreak in five patients caused by an ST11 cKp strain that became hvKp by acquiring a ~ 170 kb pLVPK-like plasmid demonstrates how devastating acquisition of entire virulence plasmids can be [55]. Retrospective analyses of carbapenem-resistant isolates have identified strains exhibiting HMV and other markers of virulence, which includes diverse sequence types. We recently identified a multidrug-resistant ST158 cKp strain demonstrating HMV yet lacking a pLVPK virulence plasmid; in a mouse model of infection, it is more virulent than cKp strains but less so than well-studied ST23 hvKp [152]. Another analysis of a collection of *K. pneumoniae* causing skin and soft tissue infections, which are typically caused by hvKp, identified four isolates of carbapenem-resistant strains, including one of which was a string test positive K2 ST14 type [157]. This isolate lacked the *rmpA/rmpA2* genes and the yersiniabactin system, but encoded receptors (although not biosynthetic genes) for aerobactin and salmochelin. Accordingly, the isolate was not as virulent as classical hvKp NTUH-K2044 in mouse models of infection but appeared adapted to cause skin and soft tissue infections in a murine

model of skin abscess formation [157]. The acquisition of siderophore receptors without biosynthetic genes suggests that some hvKp isolates might be capable of ‘stealing’ siderophores from other species, presumably in the gut environment or in polymicrobial skin infections. This isolate is consistent with ST14 having some virulence genes but also high rates of antibiotic resistance and causing nosocomial infections [78] and highlights the diversity of strains capable of causing invasive infections.

HvKp isolates have also acquired antimicrobial resistance genes. A survey of global *K. pneumoniae* isolates detected antimicrobial resistance genes in multiple K2 ST43 isolates [78]. A recent example includes a K1 ST23 hvKp isolated in the United States, which appeared to be asymptotically colonizing a patient [153]. This strain encoded two plasmids, the first being a large hybrid virulence and resistance plasmid that encoded carbapenem resistance via *bla*_{KPC-2} similar to other hybrid plasmids reported in China [158, 159]. The second plasmid, which encodes *bla*_{KPC-2} and *bla*_{TEM-1A}, is homologous to plasmids reported to be responsible for the dissemination of carbapenem resistance amongst *Enterobacteriaceae* [153]. Another example of an hvKp strain becoming multidrug resistant is strain 11492, isolated in China. 11492 is a K1 ST23 isolate, found to be as HMV and virulent as the model hvKp strain NTUH-K2044 but with widespread antibiotic resistance [160]. 11492 encodes most hvKp virulence genes on the chromosome but also has a hybrid virulence/antibiotic resistance plasmid that encodes salmochelin, *rmpA/rmpA2*, and *bla*_{CTX-M-24}. Additional antibiotic resistance genes *bla*_{SHV-36}, *oqxA/oqxB* (olaquinox and some quinolones [161]) and *fosA* (fosfomycin [162]) are encoded in the chromosome [160]. This strain represents the emergence of antibiotic resistance in an hvKp strain via acquisition of chromosomal and plasmid genes. Widespread, highly virulent hvKp strains armed with multidrug-resistant determinants are a great cause for concern. These examples, along with others presented in Table 2, show that the co-occurrence of virulence and antimicrobial resistance is becoming a global and widespread problem that must inform surveillance and treatment.

Outstanding questions and outlook

Global dissemination of hvKp.

Following early reports of hvKp in Asia, it is now apparent that hvKp has spread globally, including India [163, 164], Europe [32, 34, 35, 37, 165-168], Australia [169, 170] and the United States [5, 30, 31, 36, 53, 171, 172] and healthcare providers worldwide should recognize the threat of community-acquired hvKp in otherwise healthy individuals. The rise of hospital-acquired hvKp which may manifest in different presentations, and the convergence of multidrug resistance (MDR) and virulence either in cKp or hvKp strains, is particularly concerning. Methods to more rapidly and easily identify both hypervirulence and antibiotic resistance could improve treatment and patient outcomes.

The HMV phenotype

There are many outstanding questions regarding the basis and impact of HMV. As mentioned above, the connection between capsule synthesis and HMV is murky, and the biochemical nature of HMV is unclear. One might also speculate that HMV has important

features distinct from capsule. Further analysis of the *rmpC* or similar mutants with reduced capsule but with HMV [121] could test the contribution of HMV to gastrointestinal colonization, organ invasion, immune evasion and desiccation tolerance. The HMV phenotype might also affect antimicrobial susceptibility testing, which could become a more frequent issue with increasing MDR.

Advanced diagnostics are needed for the rapid identification of hvKp in the clinic

Based on the diversity of STs, capsule (K) types and the presence of HMV in hvKp isolates, considerations must be made to efficiently diagnose an isolate as hvKp to inform treatment and patient care. Reliance on HMV has caused some hvKp isolates to be undetected, as demonstrated by the accounts of hvKp without a positive string test. Instead, a broader and more comprehensive diagnostic test is needed. A study of potential biomarkers for use in identifying hvKp isolates found that the presence of *peg-344*, *iroB*, *iucA*, plasmid-encoded *rmpA/rmpA2* and quantification of siderophore production were accurate predictors of hvKp [117]. Some combination of these biomarkers should be considered as a diagnostic tool for rapid clinical identification of hvKp in the hospital.

The rise of MDR hvKp

One major question regarding the convergence of hypervirulence and MDR is restricted acquisition of antibiotic resistance genes by hvKP relative to cKp strains. Large genomic analysis of hvKp has identified relatively low diversity of capsule types and overall genome diversity, despite the emergence of CG23 in the late 1800s [112]. Others have speculated that there may be a barrier to horizontal gene transfer amongst hvKp clones which has largely limited acquisition of AR genes [173]. This barrier may include the upregulation of capsule which obfuscates the conjugation machinery and lowers the efficiency of transformation leading to DNA uptake [173]. In order to predict the spread of MDR in hvKp strains, it is important to understand the ecological or molecular barriers to acquisition of MDR plasmids by hvKp strains.

Surveillance and detection of MDR and hvKp

There are networks in place for detection and surveillance of carbapenem resistance in *K. pneumoniae*, and these networks should consider adding mechanisms to detect hvKp. Recent retrospective analyses of these surveillance collections have identified hypervirulent traits amongst carbapenem-resistant isolates in the United States, [152, 153], suggesting prospective surveillance could help uncover strains exhibiting both features.

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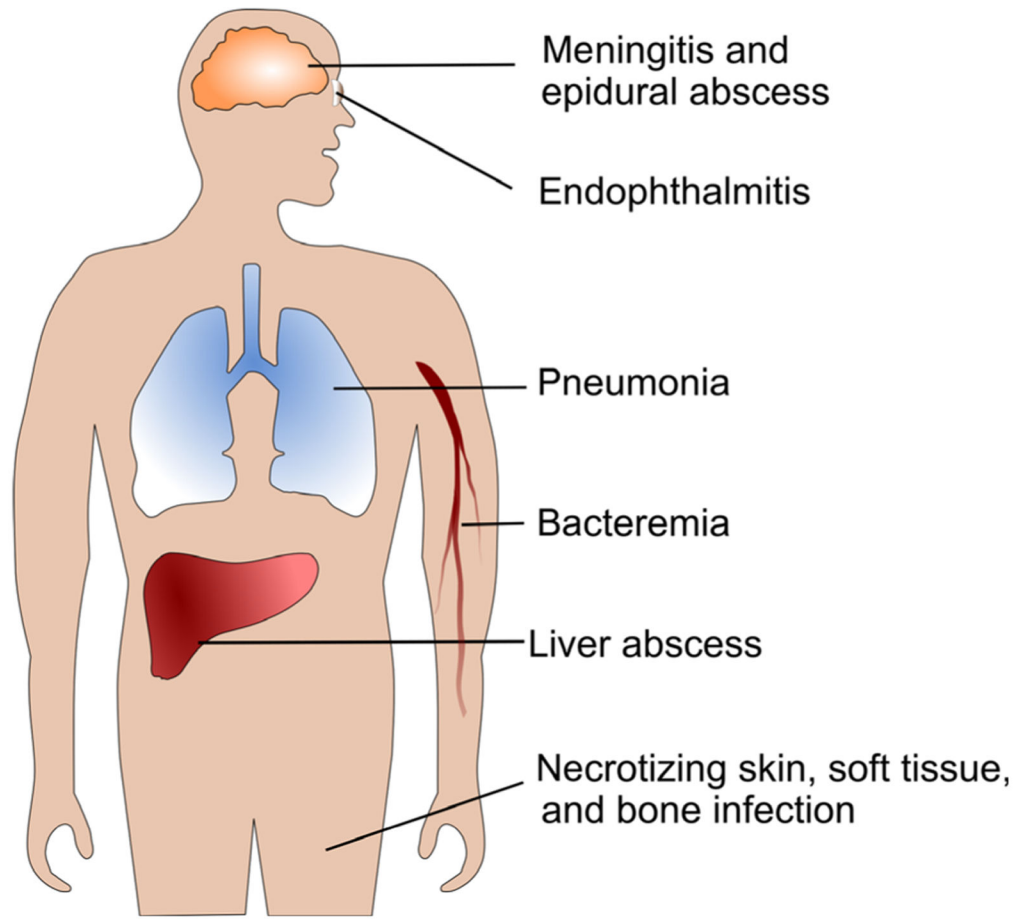


Fig. 1. Hypervirulent *K. pneumoniae* infection sites. Common sites of primary and metastatic infections caused by hvKp

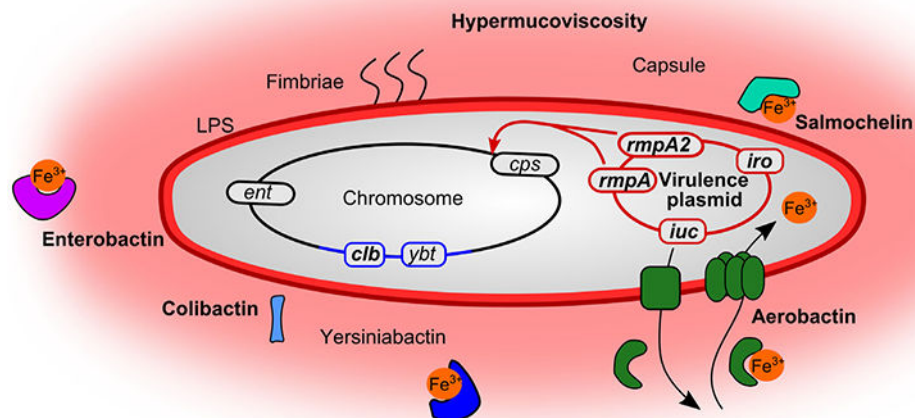


Fig. 2.

Defining features of hvKp. Defining features (in bold) of hvKp include both chromosomal and plasmid-encoded features that result in the enhanced virulence of hvKp. These are in addition to factors that contribute to the pathogenesis of all *K. pneumoniae* (not in bold): the siderophore systems enterobactin (*ent*) and yersiniabactin (*ybt*), as well as the fimbriae and LPS on the surface. Many hvKp encode yersiniabactin, as well as the secreted toxin colibactin (*clb*) within an integrative and conjugative element in the chromosome, shown in blue. Plasmid-encoded transcriptional regulators *rmpA*/*rmpA2* (regulator of mucoid phenotype) regulate the chromosomal capsule (*cps*) loci causing hypercapsule associated with the hypermucoviscous phenotype of hvKp. hvKp strains are frequently of the K1 and K2 capsule types. Encoded on virulence plasmids are also the salmochelin (*iro*) and aerobactin (*iuc*) siderophore systems. The details of aerobactin export, binding to iron, and import for iron acquisition are representative of all the siderophores, as each system is typically complete for biosynthesis, export and import of the respective siderophore

Table 1.

Empiric antibiotic treatment options [30, 67, 68]

Clinical syndrome or site of infection	Empiric antibiotic suggestions ^a
Liver (or other intra-abdominal) abscess or pneumonia	β -lactam/ β -lactamase inhibitors, third-generation cephalosporins, fluoroquinolones, carbapenems ^b or aminoglycosides ^c
Central nervous system infection	Third-generation cephalosporins or carbapenems ^b
Endophthalmitis	Intravitreal antibiotics (cefazolin, ceftazidime, aminoglycosides or imipenem) plus intravenous antibiotics (variable, but usually cephalosporins)
Prostate abscess	Fluoroquinolones or trimethoprim-sulfamethoxazole

^aThis should be modified after *in vitro* susceptibility results are obtained.

^bThis should be reserved for infections in which there is a strong suspicion of extended-spectrum- β -lactamase (ESBL) production.

^cThis should only be considered in combination therapy.

Table 2. A non-exhaustive listing of recent clinical isolates demonstrating multidrug resistance and hypervirulence

Location	Sequence Type (ST)	Capsule (K)	Clinical context	Notes ^a	Ref
Classical strains that acquired virulence genes					
China	ST11	K47	Ventilator-associated pneumonia	5 isolates; widespread resistance; HMV+, 170 kb pLVPK-like plasmid of ST43. Retrospective analysis suggested ST11 isolates acquired virulence multiple times	[55]
India	ST11	K47	Retrospective study	pLVPK-like plasmid and two AR plasmids; unique feature of five tandem copies of <i>blaKPC-2</i>	[174]
	ST147	K54	Sepsis and kidney injury	HMV+, limited acquisition of virulence genes, colistin resistant	[175]
United States	ST258	K107	Retrospective study	HMV+/mmpA-, a few virulence genes on hybrid AR plasmid; chromosomal siderophore receptor genes	[152]
Norway	ST15		Two bacteremia isolates	300 or 346 kb mosaic plasmids of pK2044-like virulence plasmid and conjugative AR plasmid	[176]
China	ST15		Elderly patients with pneumonia and other lung trauma	Clonal expansion of <i>blaOXA-232</i> encoding isolate; virulence genes detected but not hypervirulent in laboratory models	[177]
hvKp isolates acquiring resistance					
China	ST23	K1	Two bloodstream isolates	<i>blaSHV-36</i> with colistin resistance in addition to pLVPK-like plasmid and canonical virulence genes	[178]
China	ST23	K1	Bloodstream, kidney abscess	Hybrid of pLVPK-like virulence plasmid with transposon-mediated integration of <i>blaCTX-M-24</i>	[160]
United States	ST23	K1	Urine sample	<i>blaSHV-36</i> , <i>fosA</i> , <i>oxyAB</i> on chromosome; AR plasmid with <i>blaKPC-3</i> , <i>blaTEM-1A</i> and truncated <i>blaOXA-9</i>	[153]
China	ST23	K1	Sepsis	pLVPK-like virulence plasmid with insertion of <i>blaKPC-2</i> and <i>dfrA14</i> genes	[158] [112]
China	ST36	K62	Bloodstream; burn wounds	pLVPK-like plasmid and AR plasmid encoding <i>blaKPC-3</i> , <i>fosA</i> , <i>oxyAB</i> , along with resistance to aminoglycosides, macrolides, sulfonamides and others	[179]
China	ST65	K2	Meningitis	Most canonical virulence genes on chromosome and pLVPK-like plasmid; encodes <i>blaSHV-1a</i> , <i>blaTEM-1</i> , <i>blaCTX-M-3</i> , <i>blaCTX-M-4</i>	[180]
China	ST65	K2	Infant bloodstream	Encodes <i>ent</i> and <i>iuc</i> but not <i>ybt</i> or <i>kfi</i> ; hypervirulent in mouse model; <i>blaSHV-1a</i> , <i>blaTEM-5.3</i> ; decreased expression of <i>ompK35/36</i>	[155]
France	ST86	K2	Carriage	Encodes <i>blaCTX-M-3</i>	[150]
China	ST86	K2	Burn wound	<i>blaKPC-2</i> and <i>blaNDM-1</i> ; 215 kb virulence plasmid	[181]
Canada	ST86	KL2	UTI	pLVPK-like plasmid; plasmid with <i>blaKPC-2</i> as well as <i>blaSHV-1</i> and <i>fosA</i>	[182]

AR, antimicrobial resistance; *bla*, beta-lactamase provides resistance to penicillins, first- and second-generation cephalosporins; *blaCTX-M-24*, *blaCTX-M-3* and *blaCTX-M-4* ESBLs with particular activity against cefotaxime; *blaKPC-2*, *bla* (*K. pneumoniae* carbapenem resistance) with carbapenemase activity; *blaNDM-1*, *bla* (New Delhi metallo-*bla*) with carbapenemase activity; *blaOXA-32* and *blaOXA-9*, ESBLs with particular activity against oxacillin; *blaSHV-36* and *blaSHV-1*, ESBLs; *blaTEM-1A*, *blaTEM-1*, and *blaTEM-5.3*, ESBLs; *dfrA14*, trimethoprim resistance gene; ESBL, extended-spectrum beta-lactamase provides additional resistance to monobactams and third-generation cephalosporins; *fosA*, fosfomycin resistance gene; kb, kilobase, length of DNA molecule; *ompK35/36* outer membrane porins that allow entry carbapenems and cephalosporins, reduced expression increases resistance; *oxyAB*, resistance to olaquinox and some quinolones.

^a Abbreviations are as follows, most from [183, 184].