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Author manuscript Mayo Clin Proc. Author manuscript; available in PMC 2020 March 08.

Published in final edited form as:

Mayo Clin Proc. 2020 March ; 95(3): 459–467. doi:10.1016/j.mayocp.2019.06.011.

## **Risk Factors for Acute Kidney Injury Among Hospitalized Non– Critically Ill Patients: a Population-Based Study**

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## **Abstract**

**Objective:** To develop and validate an AKI risk prediction model for hospitalized non–critically ill patients.

Patients and Methods: We retrospectively identified all Olmsted County, Minnesota, residents admitted to non-ICU wards at Mayo Clinic Hospital, Rochester, Minnesota, in 2013 and 2014. The cohort was divided into *development* and *validation* sets by year. The primary outcome was hospital-acquired AKI defined by Kidney Disease: Improving Global Outcomes criteria. Cox regression was used to analyze mortality data. Comorbid risk factors for AKI were identified, and a multivariable model was developed and validated.

**Results:** The development and validation cohorts included 3,816 and 3,232 adults, respectively. Approximately 10% of patients in both cohorts had AKI, and patients with AKI had an increased risk of death (hazard ratio, 3.62; 95% CI, 2.97–4.43; P<.001). Significant univariate determinants of AKI were pre-existing kidney disease, diabetes mellitus, hypertension, heart failure, vascular disease, coagulopathy, pulmonary disease, coronary artery disease, cancer, obesity, liver disease, and weight loss (all  $P<0.05$ ). The final multivariable model included increased baseline serum creatinine value, admission to a medical service, pulmonary disease, diabetes mellitus, kidney disease, cancer, hypertension, and vascular disease. The area under the receiver operating characteristic curves for the development and validation cohorts were 0.71 (95% CI, 0.69–0.75) and 0.75 (95% CI, 0.72–0.78), respectively.

**Conclusions:** Hospital-acquired AKI is common in non-ICU inpatients and associated with worse outcomes. Patient data at admission can be used to identify increased risk; such patients

Presented at the National Kidney Foundation 2016 Spring Clinical Meetings, Boston, Massachusetts, April 27-May 1, 2016. Conflict of interest: None.

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Author Contributions: Sami Safadi, MD: Study design, data collection, analysis, and writing the manuscript. Musab S. Hommos, MBBS: Reviewing, and writing the discussion section of the manuscript. Felicity T. Enders, PhD: Data analysis. John C. Lieske, MD: Study design, and manuscript writing. Kianoush B. Kashani, MD, MS: Study design, and manuscript writing.

may benefit from more intensive monitoring and earlier intervention and testing with emerging biomarkers.

## **Summary**

Acute kidney injury (AKI) is common in hospitalized non–intensive care unit patients and is associated with adverse outcomes. We developed and validated a simple risk-prediction model using readily available clinical variables, which can be incorporated into clinical use. This tool enables identification of hospitalized patients who are at higher risk for AKI and may benefit from more intensive clinical monitoring and laboratory testing.

#### **Keywords**

nephrology; renal disease; renal failure

#### **Introduction**

Acute kidney injury (AKI) is a common complication among hospitalized patients. Patients with AKI are at increased risk for death and short-term and long-term morbidities.<sup>1-4</sup> AKI is an independent risk factor for death,<sup>5</sup> and mortality rates can be as high as  $60\%$ .<sup>6–9</sup> AKI also imposes a substantial cost burden on health care systems worldwide.<sup>10, 11</sup> Despite prevention efforts, studies suggest a flat,  $^{11}$  if not increasing, incidence.<sup>7, 12</sup>

Current guidelines define AKI on the basis of changes in serum creatinine value and urine output. Practice guidelines also advocate the use of risk-assessment tools to identify patients at greatest risk for AKI for whom interventions can be initiated early to prevent or mitigate AKI effects.<sup>13</sup> Multiple AKI risk-stratification scores have been developed for specific clinical settings (eg, after cardiac surgery, contrast exposure, and high-risk surgery).<sup>14–17</sup> Additionally, several AKI risk scores have been developed to predict AKI in the intensive care unit (ICU).<sup>15–23</sup> These prediction models are specific for the populations in which they were developed, and comparatively few risk-prediction models have been developed for non-ICU patients.24, 25 Some of these models require data that may not be readily available at the time of admission.

Olmsted County, Minnesota, USA, offers an ideal setting in which to develop riskstratification tools because accurate and complete medical data are available for all residents through the Rochester Epidemiology Project.<sup>26</sup> In the current study, we developed and validated an AKI risk-prediction model for non–critically ill patients admitted to non-ICU hospital units using data existing at the time of admission.

#### **Subjects and Methods**

#### **Study Design and Patient Selection**

The study was approved by the Mayo Clinic Institutional Review Board. The Rochester Epidemiology Project<sup>26</sup> was used to retrospectively identify all adult residents<sup>27–29</sup> of Olmsted County, Minnesota, who were admitted to Mayo Clinic Hospital (Methodist and St. Marys campuses) in Rochester, Minnesota, from January 1, 2013, through December 31,

2014. The study was limited to Olmsted County residents to make it population based, and hence, to limit referral bias, make it more generalizable, and allow for maximal follow-up data. Data for patients admitted in 2014 were used for model development (development cohort), and data for patients admitted in 2013 were used for model validation (validation cohort). Patients were included if they were aged 18 years or older at the time of admission and were admitted to a hospital unit other than the ICU. Among readmissions, only the most recent admission in a given calendar year was included in the analysis, and if a patient was admitted in both the development (2014) and validation (2013) years, only the admission during the development year (2014) was included.

We did not include patients who were admitted directly to an ICU or pediatric or psychiatric ward. Patients who had end-stage renal disease, AKI at admission, or a kidney transplant before the index admission or were missing both a baseline and an admission serum creatinine measurement were excluded. We also excluded patients who did not provide research authorization for their medical records.

#### **Setting and Data Source**

The electronic health record was screened using an automated search tool (Advanced Cohort Explorer) to obtain the following data for all eligible patients: age, sex, race, admitting service, length of hospital stay, need for renal replacement therapy, in-hospital and posthospitalization death, and comorbid conditions (based on International Classification of Diseases, Ninth Revision, Clinical Modification [ICD-9-CM] codes) from 180 to 7 days before the index admission. The Charlson Comorbidity Index<sup>27–29</sup> was calculated. Baseline creatinine value, defined as the median of all serum creatinine values obtained during the 180 days before the index admission, was abstracted. If a measured serum creatinine value was not available, the value at admission was used as an imputation value, as described elsewhere.<sup>23</sup>

#### **Definitions and Outcomes**

The primary outcome was hospital-acquired AKI, defined using the serum creatinine level criterion of the Kidney Disease: Improving Global Outcomes definition (serum creatinine value increase by  $0.3 \text{ mg/d}$ L within 48 hours or an increase in serum creatinine value to 1.5 times the baseline value during the hospitalization).<sup>30</sup> The AKI e-alert system (Sniffer), previously validated,<sup>11, 31</sup> was used to adjudicate AKI. Secondary outcomes included the development of moderate or severe AKI by the Acute Kidney Injury Network definition and length of hospital stay.

#### **Data Analysis**

Descriptive statistics are reported as counts and percentages or mean (SD) or median (interquartile range), depending on the normality of variable distributions. Patient baseline characteristics were compared by t test, 1-way analysis of variance, Mann-Whitney U test, or  $\chi^2$  proportion tests, as appropriate. Univariate logistic regression was used to identify comorbid conditions associated with the primary outcome. Multivariable logistic regression with a backward elimination approach was performed to select the final model. Cox proportional hazards regression and log-rank test procedure for mortality data were used to

compare mortality rate among patients who achieved the primary outcome versus those who did not. After training, the final model was assessed for discrimination using area under the receiver operating characteristic curve (AUC ROC) in both cohorts and the Hosmer-Lemeshow test for goodness of fit for model calibration in the validation cohort. P values less than .05 were considered significant. Statistical analyses were performed using R 3.5.2 (R Foundation for Statistical Computing, Vienna, Austria).

## **Results**

A total of 12,155 adult residents of Olmsted County were admitted to Mayo Clinic Hospital in the 2 years studied. After exclusions, the final development cohort included 3,816 patients, and the validation cohort included 3,232 patients (Figure 1). Most baseline demographics, baseline kidney function, comorbid conditions, and incidence of AKI were similar between the 2 cohorts (Table 1). The development cohort was slightly older and had more patients admitted to medical units (both P<.001). Of note, in 565 patients in the development cohort (14.6%) and 200 (6.1%) of patients in the validation cohort, the baseline serum creatinine value was imputed from their admission serum creatinine value.

#### **Characteristics of the Development Cohort**

Among the development cohort, the median age was 68 years (interquartile range, 53–81 years), 44.6% were men, and 91.5% were white. Sixty-one percent were admitted to medical services (as opposed to surgical services), and the mean (SD) hospital stay was 3.9 (4) days. Mean (SD) baseline serum creatinine value was 1.0 (0.4) mg/dL. Mean (SD) follow-up duration after discharge was 399 (154) days; 73 patients (1.9%) had no follow-up after hospital discharge.

Hospital-acquired AKI was observed in 393 patients (10.3%) (Supplemental Table 1): stage 1 in 321 (8.4%), stage 2 in 41 (1.1%), and stage 3 in 31 (0.8%). Moderate-severe AKI occurred in 72 patients (1.9%). The mortality rate was 13.2% (95% CI, 12.1%−14.2%). Compared with those who did not have AKI, affected patients had a significantly higher Charlson Comorbidity Index (mean [SD], 7.0 [4.2] vs 4.8 [3.8]; P<.001) (Supplemental Figure 1) and longer hospital stay  $(6.4 \, [6.8] \, \text{vs} \, 3.4 \, [3.4] \, \text{days}; P \leq 0.001)$ . The severity of AKI correlated with length of hospitalization (linear regression estimate,  $2.05$ ;  $P<.001$ ) (Supplemental Figure 2). Fifteen patients (0.4%) required initiation of hemodialysis during their hospital stay, and 6 of these patients (0.2%) continued to require dialysis after hospital discharge.

Patients with AKI had a higher mortality rate than those without AKI (33% [95% CI, 28% −37%] vs 11% [95% CI, 10%−12%]; P<.001). Among patients without hospital-acquired AKI, 30-day, 6-month, and 1-year mortality rates were 3.3% (95% CI, 2.8%−4.0%), 7.0% (95% CI, 6.2%−7.9%), and 10.0% (95% CI, 9.0%−11.0%), versus 17.3% (95% CI, 13.5% −21.0%), 27.2% (95% CI, 22.8%−31.6%), and 30.8% (95% CI, 26.2%−35.4%), respectively, among patients with hospital-acquired AKI (Cox proportional hazard ratio, 3.62; 95% CI, 2.97–4.43;  $P \le 0.01$ ) (Figure 2). In patients with AKI, the severity of AKI was associated with death (Cox proportional hazard ratio, 1.67; 95% CI, 1.34–2.16; P<.001).

#### **Characteristics of the Validation Cohort**

The main outcomes of the validation cohort were similar to those of the development cohort (Table 1). The incidence of any hospital-acquired AKI was 10.9% (n=351), with a 1.7% incidence (n=54) of moderate-severe AKI. Of these, 27 patients (0.8%) required initiation of hemodialysis during their hospital stay.

#### **Development of a Risk-Prediction Model for Acute Kidney Injury**

Supplemental Table 2 lists the ICD-9-CM codes used to identify comorbid conditions among the cohort. For the primary outcome of hospital-acquired AKI, several risk factors were identified by univariate logistic regression as associated with any stage of AKI (Supplemental Table 3) and moderate to severe AKI (Table 2). Results of the final multivariate logistic regression analysis are shown in Table 3. Variables included in the final model were baseline creatinine value, admission to a medical service, and presence of pulmonary disease, diabetes mellitus, kidney disease, cancer, hypertension, and vascular disease.

The AUC ROC of the model was 0.72 (Supplemental Figure 3A). To test for calibration, the Hosmer-Lemeshow goodness-of-fit test revealed a  $\chi^2$  of 36 (P=.58), which indicated proper calibration for the model (Supplemental Figure 3B).

#### **Validation of the Risk-Prediction Model for Acute Kidney Injury**

In the validation cohort of 3,232 patients, the AUC ROC of the AKI prediction model was 0.75, which indicated good discrimination (Supplemental Figure 4A). The Hosmer-Lemeshow goodness-of-fit test revealed a  $\chi^2$  of 51 (P=.07), which indicated that the model was fairly calibrated in the validation cohort (Supplemental Figure 4B). The c-statistic was 0.75 (95% CI, 0.72–0.785). Supplemental Tables 4 and 5 contain the model sensitivity and specificity values in the validation cohort for cutoffs of 80% and 90%, respectively.

## **Discussion**

In this study, we report the development of an AKI risk-prediction model for patients admitted to non-ICU settings which uses only historical data readily available at the time of hospital admission, including pre-existing comorbid conditions and baseline serum creatinine value. The resulting model performed well to identify patients at risk for hospitalacquired AKI, with an AUC ROC of 0.72 and 0.75 in a development and validation dataset, respectively. Thus, this tool could be used to identify patients admitted to a non-ICU ward who are at the greatest risk for AKI and might benefit from more intensive monitoring and/or tests for emerging biomarkers of AKI risk.

Although, as expected, the incidence of hospital-acquired AKI in our study was lower than what has been reported for ICU patients,  $32$  AKI was still a common complication that was associated with a higher mortality rate and a longer hospital stay. AKI in such non–critically ill hospitalized patients presents certain unique challenges. The frequency and intensity of monitoring is often less vigorous in non-ICU settings, with less frequent vital sign checks, incomplete urine output monitoring, and a lower nurse-to-patient ratio. Thus, identification

of evolving AKI could be delayed until the serum creatinine concentration is already increasing and after the kidney insult has been established, at which point interventions may have limited effectiveness for mitigating AKI progression and complications. Wilson et al.<sup>33</sup> studied the use of automated electronic notifications sent to providers to identify patients in the early stages of AKI, but this tool relied on increasing serum creatinine values. In that prospective study, no differences in peak serum creatinine, need for dialysis, or death at 7 days were observed between the alert group and the usual care group. One potential explanation is that reliance on serum creatinine value resulted in late recognition of AKI or AKI risk. This supports the need for a tool that can predict AKI, rather than detect it only after the fact when the serum creatinine starts to increase. Having access to a validated prediction model could enable the care team to focus resources on patients who would benefit most from preventive measures (ie, those with the highest pretest probability of AKI). Examples include more accurate monitoring of urine output, careful optimization of volume status, medication dose adjustments, and strict avoidance of nephrotoxic drugs in this higher-risk group. Such a prediction tool could also help identify patients who would benefit most from further testing with emerging AKI biomarkers.

Many AKI prediction models have been reported in the literature.<sup>24, 31–35</sup> However, most of them have been developed for specific patient populations (eg, post cardiac surgery or critically ill patients) and cannot be reliably applied to other patient groups. Unlike many surgical patients, general ward patients often have multifactorial insults to the kidney with an unclear timing of onset. Thus, models developed in a cardiac surgery cohort may not work well in general ward patients.<sup>36, 37</sup> Critically ill patients have higher AKI event rates than do non-ICU patients and also have unique risk factors. These factors can all affect the performance of any model developed in an ICU setting if applied to general ward patients.  $22, 23$  For example, Malhotra et al<sup>32</sup> reported a model that performed well (AUC ROC of 0.81) that required chronic comorbid conditions (as in our study) but also ICU-specific variables (such as the need for mechanical ventilation). Furthermore, the incidence of AKI in their high-risk ICU cohort was 37%, much higher than was observed in our study.

Thus, despite the many AKI prediction tools in the literature, parallel tools to detect AKI risk in the non-ICU setting are lacking. One notable exception is a recent study by Koyner and colleagues<sup>24</sup> that, similar to our current study, developed an AKI risk-prediction algorithm using electronic health record data in a cohort of non-ICU patients. The incidence of AKI in that cohort was 8.6%, which is slightly lower than the incidence in our cohort (10%). Their model performed well, with an AUC ROC of 0.74, but it incorporated additional data including vital signs and laboratory tests that are sometimes not available at the time of admission. In a separate project, Koyner et  $al^{38}$  used a machine learning technique to predict AKI and developed a model that performed very well (AUC ROC, 0.96). However, that tool requires complex computer-based calculations, whereas our current model is intended for calculation at the bedside using routine and readily available variables.

Our study has important strengths. The risk factors identified in our study are consistent with the current literature.<sup>22, 24, 32, 39</sup> Pre-existing kidney disease is a strong predictor of AKI in many existing models. Other risk factors in our model that were previously identified include heart failure, hypertension, and liver disease.25, 40–47 Our development and

validation cohorts each had a large sample size. In addition, we studied a population-based cohort to avoid potential referral bias and maximize follow-up, and the definitions of the comorbid conditions were standardized on the basis of ICD-9-CM codes. The score validates far better for specificity than sensitivity, which suggests that this model could be most effectively used to rule out AKI. The higher-risk group might require further testing (eg, AKI biomarkers) to further stratify their AKI risk. The model is also relatively simple so that it can be easily incorporated into clinical practice as a screening tool for AKI in a non-ICU ward setting.

Our study also has several limitations. First, urine output was not used to diagnose AKI because it is not often accurately measured in hospitalized patients outside of the ICU. This most likely decreased the overall incidence of patients receiving an AKI diagnosis.<sup>48</sup> However, more advanced AKI stages still were detected by the serum creatinine criteria. In addition, predictors of AKI were based on pre-existing comorbid conditions and did not include any acute risk factors specific to the hospitalization (such as hypotension, hypovolemia, or sepsis). These factors were intentionally omitted because our aim was to develop a model ready to be used on patient arrival to the hospital and guide their subsequent care. Furthermore, patients in this cohort were >90% white, relatively highly educated, and wealthy compared with the overall United States population. However, residents of Olmsted County have similar age- and sex-specific mortality rates to the United States population overall.<sup>49, 50</sup> Thus, although the model will require further validation in other settings, there is no clear indication that it would not perform well in other cohorts. Finally, 15% of patients in the development cohort and 6% of patients in the validation cohort did not have a baseline serum creatinine value, which required the use of the serum creatinine at admission to impute a value. However, this approach has been previously validated.<sup>23</sup>

### **Conclusion**

In this study, AKI occurred in 1 in 10 patients admitted to a non-ICU ward and was associated with a more than 3-fold increased risk of death and 2-fold longer hospital stay. A simple risk-prediction model was developed and validated which uses readily available clinical variables and can, thus, be easily incorporated into clinical care. This tool could enable identification of hospitalized patients at higher risk for AKI who might benefit from more intensive clinical monitoring and laboratory testing. Further research is needed to determine whether the use of such a tool to guide the use of AKI biomarkers and earlier interventions could decrease the incidence of AKI and related morbidities outside of the ICU.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

## **Financial Support:**

The study was supported by the Mayo Foundation and a grant from the Mayo Clinic Center for Clinical and Translational Science (UL1TR000135). This study was also made possible using the resources of the Rochester Epidemiology Project, which is supported by the National Institute on Aging of the National Institutes of Health

under Award Number R01AG034676. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health. The funder had no role in any aspect of the project.

## **Abbreviations**



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#### **Figure 1.**

Selection of Development and Validation Cohorts. AKI=acute kidney injury; ESRD=endstage renal disease; ICU=intensive care unit. <sup>a</sup> Nonpediatric and nonpsychiatric patients.

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Follow-up Duration, mo

**Figure 2.** 

Kaplan-Meier Mortality Curves by Presence or Absence of Acute Kidney Injury (AKI) (Development Cohort).

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#### **Table 1.**

Baseline Characteristics and Outcomes of the Development and Validation Cohorts<sup>a</sup>



Abbreviation: AKI=acute kidney injury.

 $a^2$ Values are mean (SD) or No. of patients (%).

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### **Table 2.**

Comorbid Conditions Associated With Moderate-Severe Hospital-Acquired Acute Kidney Injury in the Development Cohort (Univariate Logistic Regression)



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#### **Table 3.**

Final Multivariate Logistic Regression Model for Hospital-Acquired Acute Kidney Injury in the Development Cohort

