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### Factors associated with adolescent HPV vaccination in the U.S.: A systematic review of reviews and multilevel framework to inform intervention development

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#### Abstract

Multilevel factors impact HPV vaccine series initiation and completion among adolescents in the U.S. Synthesis of these factors is needed to inform intervention development and to direct future research. Current frameworks synthesizing factors focus on females only and do not include both series initiation and completion outcomes. We conducted a systematic review of reviews to identify modifiable individual-, provider-, and clinic-level factors associated with HPV vaccination outcomes among U.S. adolescents and developed a multilevel framework illustrating relations between factors to inform intervention development. We searched Medline, PsychInfo, Pubmed, CINAHL, and ERIC databases and included reviews published 2006 to July 2, 2018 describing individual-, provider-, or clinic-level factors quantitatively associated with HPV vaccination among U.S. adolescents. Two coders independently screened reviews, extracted data, and determined quality ratings. Sixteen reviews containing 481 unique primary studies met criteria. Factors synthesized into the multilevel framework included parent psychosocial factors (knowledge, beliefs, outcome expectations, intentions) and behaviors, provider recommendation, and patient-targeted and provider-targeted clinic systems. The scope of our framework and review advances research in two key ways. First, the framework illustrates salient modifiable factors at multiple levels on which to intervene to increase HPV vaccination. Second, the review identified critical gaps in the literature at each level. Future research should link the body of literature on parental intentions to vaccination outcomes, identify provider psychosocial factors associated with recommendation behaviors and subsequent vaccine uptake in their patient population, and

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understand clinic factors associated with successful implementation of patient- and providertargeted system-level interventions.

#### Keywords

Human papillomavirus vaccine; adolescent vaccination; systematic review; multilevel intervention

#### Introduction

The human papillomavirus (HPV) vaccine protects against oncogenic types of HPV that can lead to cancer and genital warts.<sup>1,2</sup> HPV-related cancers include cervical, anal, vaginal, vulvar, penile, and oropharyngeal cancers, with the most common being cervical cancer among women and oropharyngeal cancers among men.<sup>3</sup> The CDC Advisory Committee for Immunization Practices (ACIP) recommends the HPV vaccine be administered to adolescents aged 11-12 years, although it is available for adolescents starting at age nine and adults up to age 45.<sup>4,5</sup> Current HPV vaccination coverage lags behind the Health People 2020 national goal that 80% of 13-15 year olds complete the multi-dose series.<sup>6,7</sup> National data show HPV vaccine initiation rates of 70% of females and 66% of males aged 13-17 years, and 54% of females and 49% of males aged 13-17 years are considered up to date on all doses.<sup>7</sup>

To increase vaccination, it is important to understand the diverse multilevel factors associated with HPV vaccine series initiation and completion. Synthesizing these factors in a multilevel framework that illustrates their associations can inform future interventions aiming to increase HPV vaccination coverage. The National Cancer Institute (NCI) specifically calls for multilevel interventions across the cancer control continuum.<sup>8</sup> However, current frameworks and evidence syntheses of factors associated with HPV vaccination are limited in in their scope, outcomes, and populations.<sup>9-13</sup> Fernandez and colleagues were the first to develop a multilevel logic model illustrating the relations between parental-, provider-, clinic-, community-, and policy-level factors associated with HPV vaccination acceptance and willingness to vaccinate.<sup>10</sup> That model, however, has limited applicability to inform interventions focused on vaccine series initiation and completion. Although more recent frameworks illustrate relations between multilevel factors associated with behavioral outcomes, they were produced before the vaccine was recommended for males.<sup>9,11,14</sup>

An updated framework integrating multilevel factors associated with HPV vaccine series initiation and completion for male and female adolescents in the U.S. is needed. Therefore, our systematic review or reviews consolidates the large body of literature describing multilevel factors associated with HPV vaccination outcomes among U.S. adolescents aged 11-17 years, and we illustrate the relations among these factors in an empirically-based and theoretically-informed multilevel framework. We limit the scope of our review and framework to the individual-, provider-, and clinic-levels and do not include population levels such as the community or policy levels. While policies such as mandatory HPV vaccination for school entry have been successful at increasing coverage, particularly outside

of the U.S., states are slow to adopt such policies which often have limited support.<sup>15-18</sup> Instead, we focus on the individual-, provider-, and clinic-levels to inform the development of interventions targeting vaccination decision makers (parents and adolescents), vaccine administerers (providers), and clinic systems.

#### Methods

Methods are reported according to the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) checklist.<sup>19</sup>

#### **Eligibility criteria**

We included English-language peer-reviewed reviews (defined as comprehensive, integrated, or systematic literature reviews or meta-analyses) published between 2006, when the U.S. Food and Drug Administration approved the first available HPV vaccine,<sup>20</sup> through the date of the final search (July 2, 2018). Those reporting modifiable correlates or predictors (factors) of HPV vaccine initiation and/or completion for U.S. adolescents ages 9-17 years via parental reports or electronic health records were eligible. We defined modifiable factors as those that have the potential to change through intervention including psychosocial, attitudinal, or behavioral variables at the individual- and provider-levels and procedural or systems variables at the clinic-level. We excluded the following: reviews with only HPV vaccine awareness, acceptability, and intention outcomes; commentaries, editorials, dissertations, abstracts, and conference proceedings; and reviews with only qualitative primary studies. However, reviews were included if they contained findings based on mixed methods research or if qualitative data were presented with quantitative data corroborating findings.

#### Information sources and search

We searched Pubmed (NLM), Medline and PsychInfo (Ovid), and CINAHL and ERIC (Ebsco). A health sciences librarian experienced in developing and documenting search strategies for systematic reviews assisted in developing the search strategy in Medline (see Supplemental Materials). Concepts in the search included: human papillomavirus, immunizations or vaccination, parents or guardians, and adolescents. The search was adapted for use in PsychInfo, Pubmed, CINAHL, and ERIC databases.

#### Study selection and data collection

Two reviewers used standardized forms to conduct title and abstract screening, full text reviews, and data extraction. First, the reviewers (blind to journal titles, authors, and author affiliations) independently screened titles and abstracts against eligibility criteria. We calculated interrater reliability based on a random sample of n=65 articles ( $\kappa$ =0.85). Next, reviewers assessed the full text of reviews considered for inclusion after title and abstract screening to determine final eligibility. After full text reviews, one reviewer searched reference lists of the final included reviews for additional records. Reviewers discussed all discrepancies during study selection and data collection to develop consensus.

The reviewers independently extracted review characteristics (aims, search period, eligibility criteria) and modifiable individual-, provider-, and clinic-level correlates and predictors (factors) of HPV vaccination initiation and completion. For reviews including multiple countries, reviewers extracted data associated with adolescents in the U.S. only. Reviewers extracted factors presented by authors in tables and/or text as associated with vaccination outcomes and supported by 2 quantitative primary studies. When a review labeled an outcome as "uptake," we interpreted this as initiation only unless the authors explicitly stated they also assessed completion. For each review, modifiable factors were organized in a grid by positive or negative association with vaccination initiation or completion, adolescent sex when specified, and level (individual-, provider-, or clinic-level). Reviewers also coded factors that were similar (e.g., perceived risk and perceived susceptibility) where appropriate.

For systematic reviews, reviewers used the AMSTAR checklist to describe quality. AMSTAR is an 11-item validated tool used to assess methodological rigor of systematic reviews.<sup>21,22</sup> Example items include: 1) was an "a priori" design provided, 2) was there duplicate study selection and data extraction, and 3) was the scientific quality of the included studies assessed and documented? Item response options included "yes," "no," "can't answer," and "not applicable." We removed two items *post hoc*: 1) "were the methods used to combine the findings of the studies appropriate," and 2) "was the likelihood of publication bias assessed?" Both required meta-analytic techniques, and no reviews included metaanalyses. Guidance on AMSTAR scoring for reviews without meta-analyses is to not calculate an overall score.<sup>23</sup> Therefore, we present counts for each response option in the results.

During data collection and synthesis, we chose not to weigh findings from some reviews over others for two reasons. First, our eligibility criteria included multiple types of reviews (integrated reviews, systematic reviews, comprehensive literature reviews) with varying methodologies to capture a robust sample of studies. There is no objective measure to assess the quality of multiple types of reviews against one another, and AMSTAR response option counts for systematic reviews are for descriptive purposes only. Second, factors associated with vaccination outcomes were consistent across review types and primary studies included in the reviews. We therefore describe factors associated with vaccination outcomes from all of the reviews.

#### RESULTS

#### Study selection

We identified 356 unique records from the database search and 10 additional records from reference lists. Seventeen met inclusion criteria after the two-step screening process (Figure 1). Principal reasons for exclusion were not being a review and not assessing HPV vaccination outcomes. One meta-analysis was excluded *post hoc* because it included only demographic correlates of vaccination outcomes.<sup>24</sup> (Excluded citations are available from corresponding author.)

#### **Characteristics of reviews**

Most reviews (n=11) included were systematic literature reviews (n=2 integrative reviews; n=3 literature reviews).<sup>25-35</sup> None included meta-analyses of modifiable factors associated with adolescent HPV vaccination (Table 1). Among the systematic reviews, all but one<sup>28</sup> conducted comprehensive literature searches (defined as listing at least two search sources and one supplemental search strategy) and provided characteristics of all studies included. No systematic reviews listed potential conflicts of interest for included studies, and none included a list of excluded studies. Responses for all other AMSTAR items varied. (AMSTAR checklist for all reviews available from corresponding author.)

Five reviews examined outcomes among females only,<sup>25,36-39</sup> one among males,<sup>34</sup> and ten among males and females.<sup>26-33,35,40</sup> Eight reviews assessed HPV vaccine uptake or initiation.<sup>26,31,33,34,37-40</sup> One assessed series completion,<sup>30</sup> and seven reviews assessed initiation and completion.<sup>25,27-29,32,35,36</sup> Publication dates ranged from 2011<sup>39</sup> to 2018.<sup>27,35,40</sup> Search end dates ranged from 2010<sup>39</sup> to March 1, 2017.<sup>40</sup> Reviews included 481 unique primary studies, with 115 (28%) primary studies included in more than one review. We were unable to retrieve complete bibliographies for two reviews.<sup>30,37</sup> (List of primary studies and citing reviews available from corresponding author.)

#### Factors positively associated with HPV vaccination outcomes

Parent and adolescent psychosocial factors, adolescent, parent, and provider behaviors, and clinic systems targeting providers and parents were positively associated with HPV vaccination outcomes in reviews (Table 2).

**Parent psychosocial factors**—One review identified parental acceptance of the HPV vaccine as positively associated with initiation among male and female adolescents;<sup>33</sup> however, the review did not define how acceptance was operationalized. Parental knowledge of the HPV vaccine was positively associated with series initiation for males and females<sup>32</sup> and series completion for females.<sup>30</sup> Among parents needing more information about the vaccine, satisfaction with the quality of information received was positively associated with initiation among females.<sup>25</sup>

Parental perceived benefits of the vaccine,<sup>37</sup> perceived susceptibility of child to HPV or HPV-related diseases,<sup>26,28</sup> perceived vaccine effectiveness,<sup>26,36,37</sup> and perceived vaccine safety<sup>36</sup> were associated with initiation among female adolescents. Perceived benefits included the prevention of cervical cancer and genital warts.<sup>37</sup> One review found perceived effectiveness and perceived susceptibility to be positively associated with initiation among both males and females.<sup>26</sup> Finally, parental intentions to vaccinate were positively associated with initiation among adolescent males.<sup>34</sup>

**Adolescent psychosocial factors**—Only one review identified adolescent psychosocial factors positively associated with vaccination outcomes with adolescent knowledge of HPV and the HPV vaccine associated with initiation among females.<sup>25</sup>

**Parent behaviors**—Reviews reported multiple parent behaviors positively associated with vaccination. For females, parental seeking of HPV vaccine information and familial decision making about the vaccine was associated with initiation among those with immigrant parents.<sup>32</sup> Maternal experience obtaining Pap tests was associated with initiation and completion.<sup>39</sup> For males, parents discussing the vaccine with sons was associated with initiation.<sup>34</sup>

**Adolescent behaviors**—Adolescent interaction with the healthcare system was positively associated with vaccination initiation among both males and females. Specifically, regular visits with a provider was associated with initiation among females,<sup>39</sup> and recent visit with a provider and receipt of other adolescent vaccines were associated with initiation among males<sup>34,40</sup> and females.<sup>25,38,40</sup>

**Provider behavior**—Reviews consistently identified provider recommendation of the HPV vaccine as positively associated with vaccination outcomes. Seven reviews found a positive association between a provider recommendation and series initiation<sup>28,36-39</sup> and completion<sup>25,30</sup> among females. Four reviews described the positive association between a provider recommendation among both males and females.<sup>27,32,33,40</sup>

**Clinic systems**—Provider-targeted systems and patient-targeted reminder/recall systems were positively associated with vaccination outcomes among males and females. Specifically, provider assessment and feedback and reminder/recall systems were associated with initiation and completion.<sup>31,35,40</sup> Provider education paired with additional provider-targeted systems, and reminder/recall paired with additional provider-targeted systems were associated with initiation only.<sup>27,40</sup> Patient reminder/recall systems were associated with both initiation and completion among males and females.<sup>29,31,40</sup> One review noted that provider-targeted interventions were more successful in increasing series initiation, and parent-targeted reminders were more successful for series completion.<sup>31</sup>

#### Factors negatively associated with vaccination outcomes

Reviews described parent psychosocial factors, adolescent behaviors, and provider behaviors negatively associated with vaccine initiation (Table 3). No reviews described factors negatively associated with completion.

**Parent psychosocial factors**—Lack of knowledge about the HPV vaccine and requiring additional information were negatively associated with initiation for both males and females. <sup>28,33,36,37,40</sup> Specific examples of parental knowledge gaps included not knowing the vaccine is available for males and<sup>28</sup> the vaccine is a multi-dose series.<sup>28,36</sup> Parental dissatisfaction with information received about the vaccine was negatively associated with initiation for females.<sup>25</sup>

Reviews consistently reported negative associations between parental beliefs and concerns about the HPV vaccine and vaccine series initiation. Low perceived need,<sup>26,28,36,37,39,40</sup> low perceived susceptibility of child to HPV or HPV-related diseases,<sup>27,33,36-38</sup> and concerns about vaccine safety<sup>25,32,33,37-40</sup> were negatively associated with initiation in males and females. Reasons for not needing the vaccine were young age,<sup>26,28,39</sup> being male,<sup>28</sup> and no

sexual activity.<sup>26,39</sup> Examples of parental safety concerns included concerns about side effects<sup>25,39,40</sup> and vaccine novelty.<sup>37,39</sup> Additionally, parental concerns about vaccine effectiveness were negatively associated with initiation among females,<sup>32,36,37</sup> and low parental perceived benefits of the vaccine was negatively associated with initiation among males.<sup>28</sup> Finally, four reviews identified parental concerns about cost of the HPV vaccine as negatively associated with initiation for males and females.<sup>28,37,39,40</sup>

**Parent behavior**—One review identified previous parental refusal to vaccinate his/her child as negatively associated with HPV vaccine series initiation among males and females. <sup>26</sup>

**Adolescent behavior**—One review identified lack of adolescent interaction with the healthcare system, specifically a recent preventive care visit, as negatively associated with initiation among females.<sup>25</sup>

**Provider behavior**—Lack of provider recommendation for the HPV vaccination was negatively associated with HPV vaccine series initiation among males<sup>28</sup> and females<sup>28,36,37</sup> in three reviews.

#### Factors with mixed associations with vaccination outcomes

At the parent psychosocial level, reviews differed on whether parental knowledge of the HPV vaccine was associated with initiation<sup>38</sup> and whether parental knowledge of the virus was associated with initiation and completion.<sup>25,30,36,38</sup> Additionally, mixed associations were found between parental concerns about sexual activity and vaccination outcomes.<sup>26,32</sup> While adolescent interaction with the healthcare system was associated with initiation among females, mixed associations were found between adolescent interaction with the healthcare system adolescent interaction with the healthcare system adolescent interaction with the healthcare system and vaccine series completion in one review.<sup>30</sup> At the clinic level, although provider education paired with additional provider-targeted interventions was associated with initiation, there were mixed associations between provider education alone and vaccination outcomes.<sup>40</sup>

#### DISCUSSION

We conducted a systematic review of 16 reviews to synthesize multilevel factors associated with HPV vaccination among U.S. adolescents. The scope of the review advances research in two key ways. First, we can use the empirical evidence of modifiable factors associated with HPV vaccination and draw upon health behavior theory to develop a multilevel framework that can inform intervention development and increase HPV vaccine initiation and completion. Second, the review provides an up-to-date synthesis of salient factors associated with HPV vaccination and allows us to identify critical gaps in the literature.

#### Multilevel framework of HPV vaccination

Drawing upon empirical evidence from the reviews and health promotion theories, we developed a multilevel framework illustrating hypothesized causal pathways between modifiable factors associated with HPV vaccination outcomes (Figure 2). Specifically, we

drew upon Social Cognitive Theory (SCT),<sup>41</sup> the Integrated Behavior Model (IBM),<sup>42</sup> and the Health Belief Model (HBM)<sup>43</sup> to build the multilevel framework. In short, according to the SCT, behavior change is influenced by personal cognitive influences (e.g., self-efficacy, knowledge, outcome expectations), environmental influences (e.g., normative beliefs, barriers and opportunities), and supporting behavioral factors (e.g., skills, intentions, reinforcement). Intention is the most important factor related to behavior change in the IBM, and intention is informed by attitudes, perceived norms, and personal agency.<sup>42</sup> Finally, in the HBM, individual beliefs such as perceived susceptibility, severity, benefits, and barriers, and self-efficacy predict individual behaviors, and the model is often applied to preventive behaviors such as vaccination or screening.<sup>43,44</sup>

The structure of our framework is based on one developed by Green and Kreuter used for organizing needs assessment information<sup>45</sup> and adapted by Bartholomew Eldredge and colleagues to inform multilevel intervention development.<sup>46</sup> We chose not to develop multiple frameworks by adolescent sex since factors associated with outcomes were similar across the sexes and reviews. Additionally, we did not include or exclude factors in the framework based on the number of reviews identifying them as associated with outcomes. Instead, we relied on health promotion theories to guide decision-making. This framework is the first to use empirical evidence and theory to organize multilevel correlates and predictors of HPV vaccine series initiation and completion for both male and female adolescents in the U.S.

Both SCT<sup>41</sup> and the IBM<sup>42</sup> highlight knowledge as an important precondition for behavior change. We synthesized review findings and theory to show that parents should have knowledge of HPV, the HPV vaccine, and the availability of options to pay for the vaccine. <sup>25,28,30,32,33,36,37,39,40</sup> Parents also need to know available options to pay for the vaccine since cost was a barrier to initiation.<sup>28,37,39,40</sup> According to the IBM, intention to perform a behavior is a significant predictor of the behavior,<sup>42</sup> and our framework reflects this by including intention to vaccinate as a factor associated with series initiation.<sup>34</sup>

Based on review findings and guided by the Health Belief Model,<sup>43</sup> we also included parental perceived benefits of the HPV vaccine and parental beliefs about their child's susceptibility to HPV and HPV-related diseases in the framework.<sup>26-28,33,36,37</sup> We included perceived need since beliefs that a child is too young for the vaccine<sup>26,28,39</sup> or the vaccine is not needed if the child is not sexually active<sup>26,39</sup> were barriers to initiation in reviews. Finally, guided by SCT, we synthesized parental beliefs about effectiveness and safety<sup>25,26,32,33,36-40</sup> as outcomes expectations, or judgements about the likely outcome of vaccination.<sup>42</sup> Our framework shows parents must expect that vaccination will be safe and effective in protecting against HPV and HPV-related diseases when initiating the series.

Adolescent interaction with the healthcare system and adolescent receipt of other adolescent vaccines were significantly associated with vaccine initiation.<sup>25,26,34,38-40</sup> These factors are included as adolescent behaviors in the framework and as parent behaviors since adolescents often require caretakers to take them to appointments and consent to vaccinations. We chose not include additional parent behaviors that were associated with vaccination outcomes

Our framework includes only provider recommendation of the HPV vaccine at the provider level. In reviews, a provider's recommendation was associated with both initiation and completion.<sup>25,27,28,30,32,33,36-40</sup> In the framework, we link recommendations to the individual level as they can act as a cue to action<sup>43</sup> influencing parental decisions to vaccinate. Finally, we include both patient-targeted systems and provider-targeted systems in the framework noting their associations with initiation and completion,<sup>27,29,31,35,40</sup> and we show the influences patient reminder/recall systems can have on parents and the influences provider-targeted systems can have on provider behaviors.

#### Intervention development

Identifying factors associated with HPV vaccine initiation and completion and understanding the relations between levels is key to developing multilevel interventions that can increase HPV vaccination coverage and reduce HPV-related diseases. Our framework illustrates how factors relate to the outcomes and how factors can interact to create change. For example, parent reminders may act as motivational cues to action by including short messages addressing important psychosocial factors as opposed to stating only the date and time for an upcoming appointment. Strategically worded text messages have been shown to increase recipients' perceived susceptibility to viruses and to increase parental intention to vaccinate against HPV.<sup>48,49</sup>

Because the framework combines salient factors associated with HPV vaccination from reviews focused on diverse populations, it provides a starting point for researchers and program planners interested in developing programs to increase vaccination in their communities. The framework can help researchers and planners avoid the "black box" in intervention development where mechanisms of change are unclear. Instead, planners can identify salient psychosocial factors, for example, and select appropriate behavior change techniques specific to the factor they aim to address.<sup>46,50</sup> However, some factors specific to subpopulations may not be included in this framework. Researchers and planners must still engage with their communities and stakeholders to ensure their programs are appropriate.<sup>46</sup> Specifically, engaging in community-based participatory research (CBPR) can ensure community members and stakeholders are involved in all stages of intervention development, implementation, evaluation, and dissemination.<sup>51</sup> Researchers and program planners have successfully employed CBPR and partnered with communities to assess needs, develop interventions, and implement cancer prevention programs, including HPV vaccination interventions, in diverse settings.<sup>52-55</sup>

#### **Future research**

Our systematic review revealed gaps in the literature at the individual-, provider-, and cliniclevels. We describe future research needed to 1) link the significant body of literature on parental intentions to vaccination behavioral outcomes, 2) identify provider psychosocial factors associated with recommendation behaviors and subsequent uptake, 3) understand factors that influence implementation and adoption of system-level evidence-based

interventions within clinics, 4) study factors associated with vaccine series completion, and 5) identify adolescent psychosocial and behavioral factors associated with vaccination outcomes.

First, primary studies in diverse populations have described correlates and predictors of parental intentions to vaccinate their adolescent children.<sup>56-61</sup> However, only one review went beyond describing correlates of parental intentions and described the association between parental intentions and HPV vaccination outcomes.<sup>34</sup> This lack of attention to the relation between parental intentions and HPV vaccination outcomes is surprising. This gap represents a lost opportunity to link previous findings about intentions may act as a mediator between psychosocial variables, such as attitudes and beliefs, and HPV vaccination.<sup>42</sup> A clear understanding of the associations between intentions, other psychosocial factors, and vaccination outcomes can help refine the current framework of vaccination and inform future interventions.

Provider recommendation is a well-documented predictor of HPV vaccination. <sup>25,27,28,30,32,33,36-40</sup> However, a shift in focus is needed moving away from focusing strictly on provider recommendation behaviors to examining why providers differentially recommend the vaccine for patients based on age, sex, or other factors and why they communicate differentially with parents.<sup>62</sup> Studies examining the psychosocial factors associated with provider recommendations would provide a deeper understanding of the context of the patient-provider interaction.<sup>63-65</sup> Identifying psychosocial correlates of provider behaviors was beyond the scope of this review. However, the few reviews that described findings from studies of predictors of provider recommendation found that provider knowledge, beliefs, personal discomfort discussing sexual health with parents, and concern about cost were associated with recommendation behaviors.<sup>28,37</sup> Exploring these factors in more depth can inform provider-level interventions that can enhance vaccine recommendation quality and provider communication with parents.

Our review highlights important clinic-level systems associated with increased HPV vaccination coverage. These include provider assessment and feedback systems and patient reminder systems,<sup>31</sup> both recommended by The Guide to Community Preventive Services (The Community Guide) to increase vaccination behaviors.<sup>66</sup> Successful implementation of *The Community Guide* recommended strategies and of cancer control and prevention strategies, such as HPV vaccination interventions, often depend on clinic-level factors such as leadership engagement and organizational culture.<sup>67,68</sup> Alternatively, clinic-level characteristics that can hinder implementation of these strategies include competing demands within the clinic, limited resources, limited organizational support, or other practices that work against or fail to incorporate the new systems.<sup>69,70</sup> Thus, future research is needed to understand factors that influence the adoption and implementation of these clinic-level factors relevant to adoption and implementation science and implementation frameworks can help interventions developers or clinic stakeholders identify clinic-level factors relevant to adoption and implementation of these systems.<sup>71</sup>

The lack of parent-level psychosocial factors and provider-level factors, other than recommendation, associated with completion in the reviews highlights the need for studies focused on these factors to inform multilevel intervention development. While clinic-level systems such as patient reminders are promising interventions to increase completion,<sup>31,72</sup> a more robust understanding of the multilevel factors associated with completion is critical. This is important given ACIP recommendations moving from a three dose series to a two dose series for those under 15 years old.<sup>73</sup>

Finally, future research focusing on adolescent-level psychosocial factors and behaviors associated with HPV vaccination outcomes will be particularly important as policy-makers debate whether to eliminate parental consent for adolescent vaccinations.<sup>74,75</sup> The Society for Adolescent Health and Medicine supports adolescent consent for vaccination,<sup>76</sup> yet research is lacking on the effectiveness of adolescent consent in increasing vaccination rates. <sup>77</sup> Identifying adolescent psychosocial and behavioral factors associated with HPV vaccine initiation and completion can significantly impact this research landscape.

#### Limitations

This review did not capture research not yet incorporated into reviews or reviews published since the search date. Additional themes or factors may emerge from continued research. While factors associated with vaccination may become more or less important over time, we chose not to weigh factors found in more recent reviews over factors identified in older reviews. Similarly, our findings do not include conclusions on the strength of the associations within reviews or across reviews. For example, Tables 2 and 3 depict factors associated with vaccination outcomes, but while consistency of assessment may signal the robustness of the association, frequency of assessment does not imply degree of the associations (effect size). Further, some clinic-level best practices for increasing vaccination, such as others described by *The Community Guide*,<sup>66</sup> were not included in this systematic review. Because our focus was not specifically on interventions, this review did not capture some Community Guide best practices. Limiting the search to HPV vaccination alone may have affected our ability to identify best practices related to improving adolescent vaccination outcomes in general.

In our review, we listed all factors associated with vaccination outcomes supported by 2 primary studies from integrative, systematic, and literature reviews that included different populations, study designs, and research questions. This approach has some limitations. First, nuanced differences between subpopulations may be lost in data synthesis. Second, differing research questions, populations, settings, and methodologies may mean that reviews did not cover the entire literature body that assessed HPV vaccination outcomes among adolescents in the U.S. leaving out some factors from our synthesis. Finally, we chose not to weigh findings from some reviews over others based on review type or AMSTAR response options for systematic reviews. This approach has limitations if the methodological rigor of reviews significantly differed. However, as previously noted, no tool exists to compare rigor across review types since each type has different methods and objectives. Among systematic reviews, AMSTAR assessments revealed that only five included gray literature searches. This points to the potential for publication bias in the other

reviews. AMSTAR responses were based solely on author reporting and may not reflect the methods actually used for a review if authors' statements were less explicit than required to confirm AMSTAR elements.

#### Conclusion

In summary, an updated synthesis of the multilevel factors associated with HPV vaccine initiation and completion among U.S. adolescents is needed. Our systematic review and multilevel framework depict salient factors including parent knowledge, beliefs, outcome expectations, and intentions; parent behaviors; provider recommendation; and patient- and provider-targeted systems that can be modified and targeted through interventions to increase HPV vaccination coverage and reach Healthy People 2020 goals. Future research is needed to empirically link parental intentions to vaccinate and vaccination outcomes, to assess correlates of provider recommendation behaviors and subsequent vaccine uptake among patients, and to identify clinic factors associated with successful implementation of clinic-level systems shown to improve HPV vaccination.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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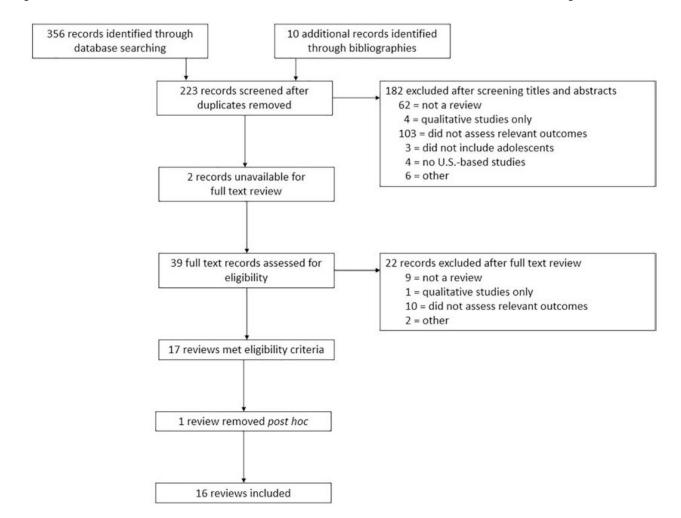
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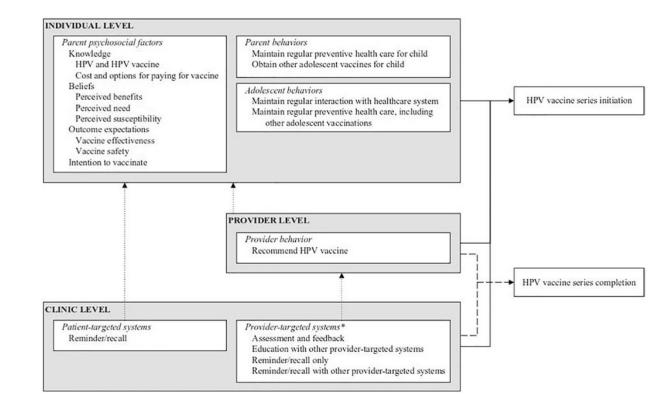
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- Provider recommendation consistently associated with adolescent HPV vaccine uptake
- Provider- and clinic-level factors most important for series completion
- Research needed to identify provider-level factors associated with recommendation
- Research needed on implementation strategies for effective clinic-level systems



**Figure 1.** PRISMA flowchart



#### Figure 2.

Multilevel model of factors associated with HPV vaccination among adolescents ages 9-17 years in the U.S.

\*Education with other provider-targeted systems and reminder/recall with other providertargeted system were associated with series initiation only. All other clinic factors were associated with both initiation and completion.

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# Table 1.

Review characteristics and factors assessed by HPV vaccination outcomes

Author, year; Search time period; review type	# of studies/pubs (k); Study design	Population; <sup>1</sup> M Country <sup>2</sup>	Iodifiable 1	Modifiable factors assessed	AMSTAR <sup>3</sup>
		Outcome: HPV vaccine series initiation	ries initiati	u	
Loke, 2017 Jan 2016 – Mar 4, 2017 Systematic review	k=42 studies Cross-sectional; data-report; retrospective cohort; prospective cohort	Parents of males and females 9-21 yrs U.S., United Kingdom, Norway, Hong Kong, Taiwan, Malaysia, Japan, Canada, Australia, Netherlands, Germany, France, Denmark, Latvia	• •	Individual: Parental acceptance, information, parental concerns (safety, sexual activity, perceived susceptibility), peer encouragement parental preference to wait until older Provider: Provider recommendation	Yes: 5 No: 4 CA: 2 NA: 0
Radisic, 2017 Oct 2009 – Jul 10, 2015 Systematic review	<i>k</i> =18 studies Quantitative, qualitative, mixed methods	Parents of males ages 9-18 yrs U.S., Canada, Italy, Denmark	• •	Individual: Parental attitudes towards vaccinations in general, beliefs, concerns (efficacy, safety, related to sexual activity), cues to action, cost, egalitarianism beliefs, parent-child dialogue, perceived risks and benefits, religion <b>Provider</b> : Provider recommendation	Yes: 6 No: 3 CA: 2 NA: 0
Vollrath, 2017 Jan I, 1999 – Mar I, 2017 Integrative review	A=37 publications Cluster randomized trial; cross- sectional; nonrandomized control trial; qualitative; pre/ posttest; randomized intervention trial; retrospective chart review; systematic review	Parents of adolescent males and females U.S., Australia, Canada, Georgia, Honduras, India, Iran, Italy, Pakistan	• • •	Individual: Adolescent receipt of other vaccines; Parental attitudes (perceived susceptibility, need, concerns about safety), knowledge Provider: Provider recommendation Clinic: Patient reminders; provider education; provider reminder/ recall	e Z
Walling, 2016 Jan 1, 2006 – Apr 30, 2015 Systematic review	<i>k</i> =51 publications Intervention studies	Males and females 11-26 yrs U.S.	•	<b>Clinic</b> : Community, practice, and policy level interventions (physician-focused; reminder & recall systems; school-based programs; social marketing).	Yes: 5 No: 4 CA: 2 NA: 0
Valentino, 2016 N/A Literature review	N/A	Adolescent females; young adult females; parents of adolescent females; providers U.S.	• •	Individual: Adolescents' attitudes, knowledge: Parental concerns (safety, sexual connotation), cost, knowledge, perceived risk <i>Provider</i> : Cost, knowledge, perceived parental attitudes regarding HPV vaccination, provider recommendation, recommendation practices, time	AN
Small, 2014 Jan I, 2009 – Jun I, 2011 Literature review	<i>k</i> =19 publications Longitudinal, cross-sectional	Females; parents of adolescent females; providers U.S.	• • •	Individual: Parental concerns (safety), cost, HPV risk, history of preventative health care, insurance, knowledge Provider: Provider recommendation Clinic: Vaccine availability, vaccination opportunity in several types of health care visits	AN

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Author, year; Search time period; review type	# of studies/pubs (k); Study design	Population; <sup>I</sup> Country <sup>2</sup>	Modifiable	Modifiable factors assessed	AMSTAR <sup>3</sup>
Trim, 2012 2001-2011 Systematic review	k=53 studies Cross-sectional	Parents of adolescents U.S., EU, Canada, Asia, New Zealand, Australia	•	Individual: Parental attitudes towards vaccines in general and the HPV vaccine, behaviors, concerns (safety), knowledge	Yes: 2 No: 6 CA: 2 NA: 1
Bartlett, 2011 2006 - Dec 30, 2010 Literature review	k=14 studies Retrospective cohort, prospective cohort, randomized retrospective cohort, mixed	Females ages 9-18 yrs; providers U.S.	•	Individuat: Parental attitudes, concerns (related to sexual connotation, safety, efficacy), knowledge, need for accurate vaccine information	NA
	methods		••	<i>Provider</i> : Attitudes, cost, provider recommendation <i>Clinic</i> : Vaccine availability	
		Outcome: Vaccine series completion	ies completic		
Gallagher, 2016 Database inception – Feb	k=48 studies in 61 publications No study designs mentioned;	Males and females 9-19 yrs U.S., Canada, Europe	•	Individual: Concomitant healthcare, healthcare utilization, risk behaviors; Parental history of preventative health care, knowledge	Yes: 8 No: 3
2014 Systematic review	included only those reporting multivariable analyses or qualitative findings		•	<i>Clinic</i> : Accessibility, delivery model (health facility, school- based, mixed-model)	CA: 0 NA: 0
		Outcome: Vaccine series initiation and completion	iation and co	npletion	
Rosen, 2018 N/A – Aug 2016 Systematic review	<i>K</i> =60 publications Cross-sectional; qualitative; retrospective study	Healthcare clinicians defined as an individual qualified to deliver health care services to patients within recommended as erronu	•	<i>Provider:</i> Provider knowledge and beliefs about HPV and HPV vaccine; attitudes and beliefs about recommending HPV vaccine; intentions to recommend HPV vaccine; professional practices	Yes: 3 No: 6 CA: 2 NA: 0
		for vaccination U.S.			
Ryan, 2018 1996 – Nov 2016	<i>k</i> =15 publications Intervention; randomized		•	Individual: Parental acceptance of HPV vaccine, attitudes, awareness, healthcare decision-making, intention, knowledge	Yes: 3 No: 6
oysternatic review	controlled triat; qualitative locus groups; survey	providers U.S.	•	Provider: Provider perspective on patients, recommendation	NA: 0
Kim, 2017 Jun 2006 – Feb 2015	k=22 publications Cross-sectional, intervention,	Immigrant parents of males and females age-eligible for HPV	•	Individual: Parental awareness, concerns, knowledge, willingness, healthcare utilization	Yes: 4 No: 6
Systematic review	mixed methods, quantative	vaccine	•	Provider: Provider recommendation	NA: 0
Galbraith, 2016 Database inception – Jan 2015 Integrative review	<i>k</i> =67 studies Cross-sectional; qualitative; mixed methods; longitudinal cohort; intervention tests	Parents of African-American and Latino adolescent females U.S.	•	Individual: Adolescent history of preventative care; Parental acculturation, attitudes, beliefs, concerns (safety, effectiveness), cost, history of preventative health care, information source, intentions to vaccinate, knowledge, parent- daughter communication (sex-related), social norms, social support	NA
			•	Provider: Provider recommendations	

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Author, year; Search time period; review type	# of studies/pubs (k); Study design	Population; <sup>I</sup> Country <sup>2</sup>	Modifiable	Modifiable factors assessed	AMSTAR <sup>3</sup>
Niccolai, 2015 Database inception – Jul 2014 Systematic review	<i>k</i> =14 studies Randomized controlled trials; quasi-experimental	Males and females 18 yrs U.S.	•	<b>Clinic:</b> Community and practice level interventions (physician- focused; reminder & recall systems; school-based programs; social marketing)	Yes: 5 No: 5 CA: 1 NA: 0
Holman, 2014 Jan 1, 2009 – Dec 31, 2012 Systematic review	<i>k</i> =55 publications Quantitative, qualitative	Parents of adolescents 11-17 yrs; health care professionals; under- served & disadvantaged populations; adolescent males U.S.	• •	Individual: Parental awareness, beliefs, concerns (accessibility, safety, effectiveness), cost, HPV related experiences, parent-child communication, perceived risks and benefits, religion <i>Provider</i> : Cost, knowledge, perceived parental attitudes regarding HPV vaccination, recommendation practices	Yes: 0 No: 8 CA: 2 NA: 1
Kessels, 2012 2006 – Mar 7, 2011 Systematic review	k=25 studies in 33 publications Cross-sectional, longitudinal	Females 9-18 yrs U.S.		Individual: Adolescents' healthcare utilization, information source, knowledge, vaccination history, sexual activity related factors; Parental attitudes, beliefs, knowledge, history of sexually transmitted infections or HPV related diseases, intention to vaccinate <i>Provider</i> : Provider recommendation	Yes: 6 No: 3 CA: 2 NA: 0

 $I_{\rm Extracted}$  data related to vaccination among adolescents only.

 $^{2}\mathrm{Extracted}$  data related to HPV vaccination in the U.S. only.

 $\frac{3}{3}$  Assessed for systematic reviews only. CA = Can't answer; NA = Not Applicable.

## Table 2.

Factors positively associated with HPV vaccination outcomes among U.S. female and male adolescents ages 9-17 years

Taroet		Outcomes		
population	Ini	Initiation	Completion	Initiation and Completion
Female adolescents	Parent psychosocial factors	Parent behaviors	Parent psychosocial factors	
	Knowledge	Seeking HPV vaccination information <sup>32</sup>	Knowledge	
	HPV *25,36,38	Familial decision making about vaccine <sup>32</sup>	HPV vaccine <sup>30</sup>	
	HPV vaccine *38	Maternal experience with Pap tests <sup>39</sup>	Provider behavior	
	Beliefs	Adolescent behaviors	Recommendation <sup>25,30</sup>	
	Perceived benefits <sup>37</sup>	Interaction with healthcare system	Adolescent behaviors	
	Perceived susceptibility <sup>28</sup>	Regular visit with provider <sup>39</sup>	Interaction with healthcare system $*3^{0}$	
	Perceived vaccine effectiveness <sup>36,37</sup>	Recent visit with provider <sup>25,38</sup>	Parental behaviors	
	Perceived vaccine safety <sup>36</sup>	Receipt of other adolescent vaccines <sup>25</sup>	Maternal experience with Pap tests <sup>30</sup>	
	Satisfaction with information <sup>25</sup>	Provider behavior		
	Adolescent psychosocial factors	Recommendation <sup>28,36-39</sup>		
	Knowledge			
	HPV <sup>25</sup>			
	HPV vaccine <sup>25</sup>			
Male adolescents	Parent psychosocial factor			
	Intentions to vaccinate <sup>34</sup>			
	Parent behavior			
	Discussions about vaccine with son <sup>34</sup>			
	Adolescent behavior			
	Interaction with healthcare system			
	Visit with provider in past year <sup>34</sup>			
Female and male adolescents	Parent psychosocial factors	Clinic systems		Clinic systems
	Acceptance <sup>33</sup>	Provider-targeted		Provider-targeted
	Knowledge	Assessment and feedback <sup>31</sup>		Assessment and feedback <sup>31</sup>
	HPV vaccine <sup>32</sup>	Education only <sup>*40</sup>		Reminder/recall only35
	Beliefs	Education paired + other <sup>40</sup>		Patient-targeted

Target		Outcomes		
population	h	Initiation	Completion	Initiation and Completion
	Perceived effectiveness <sup>26</sup>	Reminder/recall only <sup>40</sup>		Reminder/recall <sup>29,31,40</sup>
	Perceived susceptability <sup>26</sup>	Reminder/recall + other <sup>27,40</sup>		
	Adolescent behaviors	Patient-targeted		
	Interaction with healthcare system	Reminder/recall <sup>31</sup>		
	Receipt of other adolescent vaccines <sup>40</sup>			
	Provider behavior			
	Recommendation <sup>27,32,33,40</sup>			

Mixed results found for association between factor and vaccination outcomes

#### Table 3.

Factors negatively associated with HPV vaccination outcomes among U.S. female and male adolescents ages 9-17 years

Target population	Outcome
larget population	Initiation
Female adolescents	Parent psychosocial factors
	Lack of knowledge, require information <sup>37</sup>
	HPV vaccine <sup>36</sup>
	Beliefs
	Low perceived need <sup>36,37</sup>
	Low perceived susceptibility <sup>36,37</sup>
	Concerns about vaccine safety <sup>25,37,39</sup>
	Concerns about vaccine effectiveness <sup>32,36,37</sup>
	Concerns about cost <sup>39</sup>
	Concerns about sexual activity *26,32
	Dissatisfaction with information on vaccine <sup>25</sup>
	Adolescent behavior
	Interaction with healthcare system
	Lack of recent preventive care visit <sup>25</sup>
	Provider behavior
	Lack of recommendation <sup>36,37</sup>
Male adolescents	Parent psychosocial factors
	Lack of knowledge <sup>28</sup>
	Beliefs
	Low perceived benefit <sup>28</sup>
	Low perceived need <sup>28</sup>
	Provider behavior
	Lack of recommendation <sup>28</sup>
Female and male adolescents	Parent psychosocial factors
	Lack of knowledge, require information <sup>28,33,40</sup>
	Beliefs
	Low perceived need <sup>26,28,39,40</sup>
	Low perceived susceptibility <sup>27,33,38</sup>
	Concerns about vaccine safety <sup>32,33,38,40</sup>
	Concerns about cost <sup>28,37,40</sup>
	Parent behavior
	Previous refusal to vaccinate child <sup>26</sup>
	Provider behavior
	Lack of recommendation <sup>28</sup>

<sup>\*</sup>Mixed results found for association between factor and vaccination outcomes