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A Mechanistic Investigation of Enantioconvergent Kumada Reactions of Racemic α**-Bromoketones Catalyzed by a Nickel/ Bis(oxazoline) Complex**

Haolin Yin, **Gregory C. Fu***

Division of Chemistry and Chemical Engineering, California Institute of Technology, Pasadena, California 91125, United States

Abstract

In recent years, a wide array of methods for achieving nickel-catalyzed substitution reactions of alkyl electrophiles by organometallic nucleophiles, including enantioconvergent processes, have been described; on the other hand, experiment-focused mechanistic studies of such couplings have been comparatively scarce. The most detailed mechanistic investigations to date have examined catalysts that bear tridentate ligands and, with one exception, processes that are not enantioselective; studies of catalysts based on bidentate ligands could be anticipated to be more challenging, due to difficulty in isolating proposed intermediates as a result of instability arising from coordinative unsaturation. In this investigation, we explore the mechanism of enantioconvergent Kumada reactions of racemic α-bromoketones catalyzed by a nickel complex that bears a bidentate chiral bis(oxazoline) ligand. Utilizing an array of mechanistic tools (including isolation and reactivity studies of three of the four proposed nickel-containing intermediates, as well as interrogation via EPR spectroscopy, UV-vis spectroscopy, radical probes, and DFT calculations), we provide support for a pathway in which carbon–carbon bond formation proceeds via a radical-chain process wherein a nickel(I) complex serves as the chain-carrying radical and an organonickel(II) complex is the predominant resting state of the catalyst. Computations indicate that the coupling of this organonickel(II) complex with an organic radical is the stereochemistry-determining step of the reaction.

Graphical Abstract

ASSOCIATED CONTENT

Supporting Information. The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/ jacs.9b08185.

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^{*}**Corresponding Author**: gcfu@caltech.edu.

Procedures and characterization data (PDF)

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INTRODUCTION

Nickel-catalyzed couplings of alkyl electrophiles with organometallic nucleophiles are emerging as a powerful tool for achieving an extensive array of substitution reactions (eq 1). ¹ Rather than a single universal pathway, it is instead to be expected that the mechanisms of these processes will depend on the identity of the electrophile, the nucleophile, the ligand, and other reaction parameters. Indeed, a wide variety of pathways have been suggested to date, including those illustrated in Figure 1.2,3,4

nickel catalyst $alkyl-X$ R-M $alkyl-R$

(1)

Experiment-based mechanistic investigations of nickel-catalyzed couplings of alkyl electrophiles have focused on catalysts that bear tridentate ligands (Figure 1), despite the fact that bidentate ligands are also useful for many such processes; $¹$ this may be due to the</sup> instability of key reactive intermediates in the case of bidentate ligands, due to increased coordinative unsaturation. Furthermore, only one detailed experiment-based mechanistic study has been reported of nickel-catalyzed enantioconvergent reactions (Figure 1c), $2c$ which represent an important subset of nickel-catalyzed couplings of alkyl electrophiles. That investigation examined nickel/pybox-catalyzed Negishi reactions of propargylic halides with organozinc reagents, and it provided support for the radical-chain pathway illustrated in Figure 1c. We have been interested in gaining insight into the generality of this mechanism with regard to other nickel-catalyzed enantioconvergent couplings of alkyl electrophiles.

We therefore decided to investigate enantioconvergent Kumada couplings of α-haloketones effected by a chiral nickel/bis(oxazoline) catalyst (eq 2).⁵ This process diverges from our previous study (Figure 1c) in several significant ways, including the use of a different family of electrophiles (α-haloketones instead of propargylic halides), a different family of nucleophiles (organomagnesium instead of organozinc reagents), and a different class of ligands (bidentate bis(oxazoline) instead of tridentate pybox).

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(2)

RESULTS AND DISCUSSION

Reaction Conditions.

For this mechanistic study, we have modified the reaction conditions that we described in our original report (eq 2 versus eq 3).⁵ The most significant change is the use of discrete Ni^{II}Br₂(Ph-BOX) (1), rather than a mixture of 7% NiCl₂•glyme/9% Ph-BOX, which eliminates any complexity that might arise from the presence of chloride and of excess ligand. An X-ray crystallographic study of Ni^{II}Br₂(Ph-BOX) (1) reveals a tetrahedral nickel complex (Figure 2).

(3)

As illustrated in Figure 3, we have monitored the course of this nickel/bis(oxazoline) catalyzed Kumada coupling, and we have established that the ee of the product remains ~90% throughout the reaction. Furthermore, the electrophile is essentially racemic throughout the coupling process.

Evidence for the Formation of an Organic Radical from the Electrophile.

When the α-bromoketone illustrated in eq 4 is subjected to the standard coupling conditions, the trans:cis ratio of the cyclized product is 1.3:1 (both diastereomers are racemic). This value is essentially identical to that obtained in a n -Bu₃SnH-mediated reductive cyclization of the same α-bromoketone (eq 5; 1.4:1), which is consistent with organic free-radical **R** serving as a common intermediate in the two processes.⁶

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(5)

(4)

Identification of the Predominant Resting State of the Catalyst During the Coupling Process: NiIIPhBr(Ph-BOX) (2).

When the standard enantioconvergent Kumada coupling (eq 3) is analyzed via electron paramagnetic resonance (EPR) spectroscopy, no significant quantity of an EPR-active species is detected throughout the course of the reaction, suggesting that $Ni^I (d⁹)$ and Ni^{III} $(d⁷)$ complexes do not accumulate during the coupling process. While the coupling is in progress, the reaction mixture remains light orange-yellow, whereas $Ni^{II}Br₂(Ph-BOX)$ (1) is magenta. The d→d absorption for nickel complex **1** (DME at −60 °C) appears at 517 nm, whereas low-temperature UV-vis spectroscopy of a reaction in progress reveals an absorption feature at 474 nm, but not at 517 nm (Figure 4). This rules out $Ni^{II}Br₂(Ph-BOX)$ (**1**) as the resting state of the catalyst during coupling.

On the basis of the UV-vis and the EPR spectroscopic studies, we hypothesized that NiIIPhBr(Ph-BOX) (**2**) might be the predominant resting state of the catalyst during the Kumada reaction. We therefore sought to independently synthesize nickel complex **2** via the oxidative addition of bromobenzene to $Ni(cod)_2$ in the presence of Ph-BOX. Unfortunately, when attempting to crystallize the desired adduct (**2**) from the reaction mixture under a variety of conditions, we consistently obtained $Ni^{II}Br_2(Ph-BOX)$ (1) instead, along with biphenyl in the supernatant (e.g., eq 6).⁷

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We conjectured that, under these conditions, $Ni^{II}Br₂(Ph-BOX)$ (1) might be generated by the oxidative addition of bromobenzene to nickel(0) to transiently furnish desired $Ni^{II}PhBr(Ph-$ BOX) (2), followed by decomposition to $Ni^{II}Br₂(Ph-BOX)$ (1). Consistent with this suggestion, when we monitor a reaction via UV-vis spectroscopy, we observe the formation and then the disappearance of an intermediate, which then affords $Ni^{II}Br₂(Ph-BOX)$ (1) (Figure 5). We speculate that the proposed intermediate, NiIIPhBr(Ph-BOX) (**2**), may correspond to the species that we observe during a coupling reaction (i.e., the absorption maximum at 474 nm in Figure 4; cf. Figure 5b).

Because NiIIPhBr(Ph-BOX) (**2**) appears to be unstable in solution at room temperature, we sought to isolate it via immediate precipitation. Thus, by carrying out the reaction of $Ni(cod)$, Ph-BOX, and bromobenzene in *n*-pentane, we have been able to isolate an orange precipitate, elemental analysis of which is close to that expected for NiIIPhBr(Ph-BOX) (**2**) (eq 7, $R = H$).⁸ Our attempts to obtain X-ray quality crystals have been unsuccessful, leading instead to the formation of $Ni^{II}Br₂(Ph-BOX)$ (1) and biphenyl.

The decomposition of a related nickel complex, $Ni^{II}PhBr(bpy)$, to generate biphenyl has been observed, and it has been suggested that this occurs via a bimolecular pathway.⁹ Building on the hypothesis that the decomposition of desired NiIIPhBr(Ph-BOX) (**2**) might also proceed through a bimolecular reaction, we targeted the synthesis of an analogue of nickel complex **2** that bears a more sterically demanding bis(oxazoline) ligand. Specifically, we have determined that the same conditions that afford complex **2** also provide complex **2 Ph** in similar yield (eq 7). Complexes **2** and **2 Ph**, both of which are coordinatively unsaturated, are unstable in solution at room temperature; however, unlike complex **2**, complex **2 Ph** is sufficiently stable in THF/n-pentane at −40 °C that it can be crystallized and structurally characterized, revealing a square-planar nickel complex (Figure 6).

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(7)

The low-temperature UV-vis spectrum of Ni^{II}PhBr(Ph-BOX^{Ph}) (2^{Ph}) is similar to that of the parent complex, $Ni^{II}PhBr(Ph-BOX)$ (2), as well as to that of a coupling reaction in progress (Figure 7a). We hypothesize that the absorption feature at ~470 nm corresponds to the Laporte forbidden d→d transition, consistent with its low absorptivity ($\varepsilon \sim 250 \text{ M}^{-1} \text{ cm}^{-1}$) and with time-dependent density functional theory (DFT) calculations (Figure 7b). Thus, the independent preparation of NiIIPhBr(Ph-BOX) (**2**) and NiIIPhBr(Ph-BOXPh) (**2 Ph**) support the hypothesis that nickel complex **2** is the predominant resting state of the catalyst during the stereoconvergent Kumada coupling of an α-haloketone catalyzed by a nickel/ bis(oxazoline) catalyst. In our previous study of a Negishi reaction of a propargylic halide catalyzed by a nickel/pybox catalyst (Figure 1c), $2c$ an arylnickel(II) complex was also the principal resting state, and we continued our investigation of the Kumada reaction with this similarity in mind.

Formation of NiIBr(Ph-BOX) (3) from NiIIPhBr(Ph-BOX) (2).

Dissolving Ni^{II}PhBr(Ph-BOX) (2) in THF at −40 °C, followed by layering with *n*-pentane, led to the isolation of Ni^IBr(Ph-BOX) (3) as yellow crystals, accompanied by biphenyl in the supernatant (eq 8).¹¹ Coordinatively unsaturated nickel complex **3** is unstable in solution at room temperature, undergoing disproportionation to afford $Ni^{II}Br₂(Ph-BOX)$ (1) and metallic nickel(0).

(8)

An X-ray crystallographic study of Ni^IBr(Ph-BOX) (3) reveals an unsymmetrical trigonal planar geometry at nickel, with N–Ni–Br angles of 148.27(3) and 120.71(3) \AA (Figure 8; an unsymmetrical geometry has previously been observed for three-coordinate nickel(I) complexes¹²). Our DFT calculations indicate that there is a low barrier (~1.4 kcal mol⁻¹) for bending to a symmetrical geometry.

The EPR spectrum of Ni^IBr(Ph-BOX) (3) displays an axial signal with $g_1 = 2.329$ and $g_{\ell\ell} =$ 2.085 (Figure 9a). The observed g anisotropy is consistent with a nickel-centered radical. This assignment is further supported by DFT calculations, which indicate that the majority of the spin density is on nickel (Figure 9b).

In DME at −60 °C, NiIBr(Ph-BOX) (**3**) is yellow, with an intense absorption band observed at ~410 nm in the UV-vis spectrum (Figure 10). The spectrum is distinct from that of a coupling reaction in progress, $Ni^{II}Br₂(Ph-BOX)$ (1), and $Ni^{II}PhBr(Ph-BOX)$ (2).

Reactivity Studies of Isolated Nickel Complexes: NiIIBr2(Ph-BOX) (1), NiIIPhBr(Ph-BOX) (2), and NiIBr(Ph-BOX) (3).

With several potential intermediates in hand, we turned to investigating the reactivity of the three isolated nickel complexes, vis-a-vis a possible catalytic cycle (Figure 11).^{2c} In step 1, a nickel radical (Ni^IBr(Ph-BOX), 3) abstracts a halogen atom from the electrophile to generate an organic radical (\mathbf{R}^{\bullet}) and $\text{Ni}^{\text{II}}\text{Br}_2(\text{Ph-BOX})$ (1). In step 2, the organic radical (\mathbf{R}^{\bullet}) reacts with the resting state of nickel ($Ni^{II}PhBr(Ph-BOX)$, 2) to furnish a diorganonickel(III) intermediate (NiIIIRPhBr(Ph-BOX), **4**), which reductively eliminates in step 3 to afford the coupling product and to regenerate the chain-carrying radical $(NⁱBr(Ph-BOX), 3)$. Meanwhile, in step a, the $Ni^{II}Br₂(Ph-BOX)$ (1) that is produced in step 1 reacts with the nucleophile (PhMgBr) to re-form the resting-state nickel complex ($Ni^{II}PhBr(Ph-BOX)$, 2).

Transmetalation between NiIIBr2(Ph-BOX) (1) and PhMgBr to generate the resting state of nickel (Ni^{ll}PhBr(Ph-BOX), 2) (step a in Figure 11).—Treatment of $Ni^{II}Br₂(Ph-BOX)$ (1) with excess PhMgBr in DME at −60 °C results in the formation of NiIIPhBr(Ph-BOX) (**2**) within 30 seconds, as monitored via low-temperature UV-vis spectroscopy (Figure 12). In contrast, nickel complex **1** does not react with the other coupling partner, the α-haloketone, under these conditions.

Abstraction by NiIBr(Ph-BOX) (3) of a halogen atom from the electrophile (step 1 in Figure 11).—Treatment of Ni^IBr(Ph-BOX) (3) with the α-bromoketone in DME at −60 °C results in an immediate color change from yellow to magenta and in the formation of $Ni^{II}Br₂(Ph-BOX)$ (1) (eq 9), as well as a mixture of stereoisomeric products derived from homocoupling of the organic radical generated by bromine abstraction from the electrophile. Analysis of the abstraction reaction by low-temperature UV-vis spectroscopy reveals that the absorption feature at \sim 410 nm, corresponding to Ni^IBr(Ph-BOX) (3), disappears in less than 15 seconds (estimated second-order rate constant: >10⁴ M−1 s−1). Nickel complex **3** reacts at least 30 times more rapidly with the α-bromoketone than with PhMgBr under these conditions, which is consistent with the proposed catalytic cycle (Figure 11) and is not supportive of a transmetalation-first pathway (e.g., Figure 1a).

Coupling of the resting state of nickel (NiIIPhBr(Ph-BOX), 2) with the

electrophile.—In a stoichiometric reaction, arylnickel complex NiIIPhBr(Ph-BOX) (**2**) couples with the α-bromoketone in DME at −60 °C to provide the product in 90% ee and 78% yield (eq 10; comparable to the catalyzed conditions: 90% ee, 75% yield (eq 3)), along

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with $Ni^{II}Br_2(Ph-BOX)$ (1) (Figure 13). When the progress of this stoichiometric reaction is monitored via low-temperature UV-vis and EPR spectroscopy, no significant intermediates are observed, consistent with our observations for the catalyzed coupling (vide supra).

(10)

Evidence for Out-of-Cage Nickel–Carbon Bond Formation.

According to the mechanism outlined in Figure 11, coupling of the organic radical with Ni^{II}PhBr(Ph-BOX) (2) occurs through an out-of-cage pathway. 5-Hexenyl radicals that bear a carbonyl group α to the radical have been reported to cyclize with a rate constant of $\sim 10^5$ s -1 , 13 whereas the rate constant for diffusion is typically >10⁸ s⁻¹.¹⁴ Therefore, our observation of cyclized product for the coupling illustrated in eq 4 is consistent with the conclusion that the organic radical has a sufficient lifetime to escape the solvent cage. Furthermore, the ratio of uncyclized (**U**) to cyclized (**C**) products increases as the concentration of the nickel catalyst increases (Figure 14), as expected for out-of-cage, but not for in-cage, coupling.

Inhibition by TEMPO and Activation by NiIBr(Ph-BOX) (3).

The pathway outlined in Figure 11 is a radical-chain process wherein $Ni^IBr(Ph-BOX)$ (3) serves as the chain-carrying radical. Consistent with this mechanism, the addition of TEMPO, a radical trap, inhibits coupling (a TEMPO–electrophile adduct can be identified by ESI–MS15), and the addition of nickel complex **3** accelerates coupling (eq 11).

Mechanistic Summary (Figure 11).

Ni^{II}Br₂(Ph-BOX) (1) reacts rapidly (<30 seconds) with PhMgBr in DME at −60 °C to form Ni^{II}PhBr(Ph-BOX) (2) (Figure 12; step a in Figure 11), which is the predominant resting state of nickel during the coupling process (Figure 7a). A small amount of Ni^{II}PhBr(Ph-BOX) (2) decomposes to generate $Ni^IBr(Ph-BOX)$ (3) (eq 8), the chain-carrying radical. Nickel complex **3** abstracts a bromine from the α-bromoketone to provide an organic radical (\mathbf{R}^{\bullet}) and Ni^{II}Br₂(Ph-BOX) (1) (eq 9; step 1 in Figure 11). Nickel complex 1 is rapidly converted by PhMgBr to NiIIPhBr(Ph-BOX) (**2**) (Figure 12; step a in Figure 11), while the organic radical reacts with NiIIPhBr(Ph-BOX) (**2**) to generate NiIIIRPhBr(Ph-BOX) (**4**) (step 2 in Figure 11); because NiIIPhBr(Ph-BOX) (**2**) is the resting state of nickel during catalysis, and therefore at a much higher concentration than the organic radical, radical–radical coupling is avoided. Reductive elimination of NiIIIRPhBr(Ph-BOX) (**4**) furnishes the coupling product and regenerates $Ni^IBr(Ph-BOX)$ (3), the chain-carrying radical (step 3 in Figure 11).¹⁶

Insight into the Origin of Enantioselectivity: DFT Calculations.

Either step 2 or step 3 (Figure 11) could be stereochemistry-determining in this nickel/ bis(oxazoline)-catalyzed enantioconvergent Kumada coupling. Using DFT calculations $((U)MO6/6-311+G**, SMD(THF)/(U)B3LYP/6-31G*)$, we have calculated the relative energies of the two diastereomers of Ni^{III}RPhBr(Ph-BOX) (4) and the transition states for nickel–carbon bond homolysis (reverse of step 2) and for reductive elimination (step 3) (Figure 15; for more details, see the Supporting Information). The computed energy diagram indicates that nickel–carbon bond formation (step 2) is stereochemistry-determining, with

 $G^{\ddagger} = 1.1$ kcal/mol favoring formation of the stereoisomer illustrated in eq 3, corresponding to 86% ee at −60 °C. In contrast, the two previous computational studies of enantioconvergent nickel-catalyzed coupling reactions of alkyl electrophiles suggested that reductive elimination is the stereochemistry-determining step when a chiral pybox and a diamine ligand are used.^{3c,e}

CONCLUSIONS

There have not been in-depth experiment-focused mechanistic studies of nickel-catalyzed coupling reactions of nucleophiles with alkyl electrophiles wherein bidentate, rather than tridentate, ligands are employed, perhaps due to the difficulty of isolating nickel intermediates that are coordinatively unsaturated. Exploiting a range of tools, we have investigated the mechanism of nickel/bis(oxazoline)-catalyzed enantioconvergent Kumada reactions of racemic α-haloketones, and we have provided further support for a radical-chain pathway, for a coupling that is significantly different from our previous study of nickel/ pybox-catalyzed Negishi reactions of propargylic halides. In particular, we have isolated three of the four proposed nickel-containing intermediates (two of which are unstable in solution at room temperature), including an organonickel complex, as well as explored their reactivity. We have identified the likely resting state of nickel during the catalytic cycle, and we have described evidence for a radical pathway that involves out-of-cage nickel–carbon bond construction. Our DFT calculations point to the formation of a diorganonickel(III) complex as the stereochemistry-determining step of the reaction, with the final step in the

catalytic cycle (reductive elimination) having a low activation barrier (<5 kcal/mol), which precludes isolation of the diorganonickel(III) complex. Further mechanistic studies of nickel-catalyzed stereoconvergent coupling reactions of alkyl electrophiles are underway.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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- (16). Our DFT calculations indicate that all of the elementary steps in the proposed catalytic cycle (Figure 11) are exergonic and that O-bound nickel enolates are higher in energy than their Cbound isomers (see the Supporting Informations).

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Figure 1.

An overview of previous experiment-based mechanistic studies of nickel-catalyzed couplings of alkyl electrophiles with organometallic nucleophiles. For the sake of simplicity, all elementary steps are depicted as being irreversible.

X-ray crystal structure of Ni^{II}Br₂(Ph-BOX) (1) (thermal ellipsoids at 30% probability; for clarity, the hydrogen atoms have been omitted).

Figure 3.

The yield and ee of the coupling product, as well as the ee of the unreacted electrophile, as a function of time (eq 3).

Figure 4.

UV-vis spectra of a coupling in progress (eq 3) and of $Ni^{II}Br₂(Ph-BOX)$ (1). The absorption from PhMgBr has been subtracted.

Figure 5.

(a) UV-vis spectra of $Ni^{II}Br₂(Ph-BOX)$ (1) and of the reaction of $Ni(cod)₂$, Ph-BOX, and Ph-Br (eq 6, except in DME). (b) Absorbance at 474 nm as a function of time.

Figure 6.

X-ray crystal structure of NiIIPhBr(Ph-BOXPh)•THF (**2 Ph•**THF) (thermal ellipsoids at 30% probability; for clarity, the solvent and the hydrogen atoms have been omitted).

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Figure 7.

(a) UV-vis spectra of a coupling reaction in progress (eq 3), of NiIIPhBr(Ph-BOX) (**2**), and of Ni^{II}PhBr(Ph-BOX^{Ph}) (2^{Ph}). (b) Natural transition orbital (NTO) representations¹⁰ of the computed (B3LYP/6–31G*) lowest energy transition for NiIIPhBr(Ph-BOX) (**2**).

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Figure 8.

X-ray crystal structure of NiIBr(Ph-BOX) (**3**) (thermal ellipsoids at 30% probability; for clarity, the hydrogen atoms have been omitted).

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Figure 9.

(a) X-band EPR spectrum of Ni^IBr(Ph-BOX) (3) (collected in toluene glass at 77 K with $v =$ 9.4 GHz at 2 mW power and a modulation amplitude of 2 G) and simulated spectrum (parameters used for simulation: $g_1 = 2.084$, $g_2 = 2.087$, $g_3 = 2.329$, line width = 5.28); hyperfine couplings from the nitrogen atoms were not resolved. (b) DFT-computed (B3LYP/ 6-31G*) spin density plot for NiIBr(Ph-BOX) (**3**).

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Figure 10.

UV-vis spectra of a coupling reaction in progress (eq 3), of $Ni^{II}Br₂(Ph-BOX)$ (1), of $Ni^{II}PhBr(Ph-BOX)$ (2), and of $Ni^IBr(Ph-BOX)$ (3).

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Figure 11.

Possible mechanism for the nickel/bis(oxazoline)-catalyzed enantioconvergent Kumada coupling of an α-bromoketone with a Grignard reagent. Intermediates that have been isolated are boxed. For the sake of simplicity, all elementary steps are depicted as being irreversible.

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Figure 12.

UV-vis spectra of the reaction between $Ni^{II}Br₂(Ph-BOX)$ (1) and PhMgBr after 30 seconds, of a separate catalyzed coupling reaction in progress (eq 3), of $Ni^{II}Br₂(Ph-BOX)$ (1), and of NiIIPhBr(Ph-BOX) (**2**).

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Figure 13.

UV-vis spectra of the reaction between NiIIPhBr(Ph-BOX) (**2**) and an α-bromoketone after 6 hours, of Ni^{II}PhBr(Ph-BOX) (2), and of Ni^{II}Br₂(Ph-BOX) (1).

Ratio of uncyclized (**U**) to cyclized (**C**) products, as a function of the loading of $Ni^{II}Br₂(Ph-$ BOX) (**1**).

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Figure 15.

Computed relative free energies for step 2 and for step 3 of the proposed catalytic cycle (Figure 11), calculated at (U)M06/6-311+G**,SMD(THF)//(U)B3LYP/6x-31G*) level of theory.