



Caenorhabditis elegans ADAR editing and the ERI-6/7/MOV10 RNAi pathway silence endogenous viral elements and LTR retrotransposons

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Endogenous retroviruses and long terminal repeat (LTR) retrotransposons are mobile genetic elements that are closely related to retroviruses. Desilenced endogenous retroviruses are associated with human autoimmune disorders and neurodegenerative diseases. *Caenorhabditis elegans* and related *Caenorhabditis* spp. contain LTR retrotransposons and, as described here, numerous integrated viral genes including viral envelope genes that are part of LTR retrotransposons. We found that both LTR retrotransposons and endogenous viral elements are silenced by ADARs [adenosine deaminases acting on double-stranded RNA (dsRNA)] together with the endogenous RNA interference (RNAi) factor ERI-6/7, a homolog of MOV10 helicase, a retrotransposon and retrovirus restriction factor in human. siRNAs corresponding to integrated viral genes and LTR retrotransposons, but not to DNA transposons, are dependent on the ADARs and ERI-6/7. siRNAs corresponding to palindromic repeats are independent of the ADARs and ERI-6/7, and are in fact increased in *adar-* and *eri-6/7-*defective mutants because of an antiviral RNAi response to dsRNA. Silencing of LTR retrotransposons is dependent on downstream RNAi factors and P granule components but is independent of the viral sensor DRH-1/RIG-I and the nuclear Argonaute NRDE-3. The activation of retrotransposons in the ADAR- and ERI-6/7/MOV10-defective mutant is associated with the induction of the unfolded protein response (UPR), a common response to viral infection. The overlap between genes induced upon viral infection and infection with intracellular pathogens and genes coexpressed with retrotransposons suggests that there is a common response to different types of foreign elements that includes a response to proteotoxicity presumably caused by the burden of replicating pathogens and expressed retrotransposons.

RNA interference | ADAR | retrotransposon | RNA silencing

Transposons can have profound effects on cellular function such as disruption of gene function by transposon insertion, cell death as a consequence of transposon-induced double stranded breaks, and genomic rearrangements caused by homologous recombination between repeat elements. Retrotransposons and endogenous retroviruses may affect cellular function through overexpression of pathogenic proteins (reviewed in ref. 1) or the activity of retroviral proteins on endogenous sequences (2–4). Palindromic repeat elements such as those formed by adjacent but inverted insertion of vertebrate Alu elements into genes can cause the accumulation of dsRNA (5).

Many RNA viruses replicate via a dsRNA intermediate, which is detected to trigger an IFN-based antiviral response in mammals and an RNA interference response in invertebrates. To avoid an antiviral response to endogenous palindromic dsRNAs when in fact there is no viral infection, ADARs edit adenosines to inosines in endogenous dsRNA, destabilizing the RNA duplex and thus preventing recognition by RIG-I and MDA-5/IFIH1 (6, 7). The importance of ADAR editing is underscored by the severe defects caused by ADAR mutations in human and mouse. Human ADAR1 mutations can cause Aicardi–Goutières Syndrome, which

manifests as a congenital viral infection (8). Similarly, a severe form of age-related macular degeneration, geographic atrophy, is linked to the accumulation of Alu dsRNA and an inflammasome response thought to be caused by the loss of Dicer1 activity with age (5, 9).

The nematode *Caenorhabditis elegans* has, in addition to the *adr-1* and *adr-2* ADAR genes, a very active and diversified RNA interference (RNAi) machinery that can act on dsRNA; ADAR activity competes with Dicer for dsRNA (10). *C. elegans* RNAi pathways regulate gene expression and silence DNA transposons through piRNAs and endogenous siRNAs. The *C. elegans* homolog of the human MOV10 helicase, ERI-6/7, is an endogenous RNAi factor that acts in an RNAi pathway that regulates the expression of about 100 *C. elegans* genes of probable viral origin (11). Loss-of-function mutations in *eri-6/7* and other *eri* (enhanced RNAi) genes that also act in this pathway cause an enhanced response to exogenously administered dsRNA (Eri phenotype). The loss of silencing of the endogenous target genes of the *eri* genes is thought to liberate a limiting shared factor for the exogenous RNAi pathway, resulting in stronger silencing. *C. elegans* defective in ADAR editing (the *adr-1;adr-2* double mutant) or defective for the ERI-6/7/helicase RNAi pathway are healthy. However, *C. elegans* defective in both the ADAR and the ERI-6/7 pathways show severe synthetic phenotypes such as a

Significance

Silencing of transposable elements and viruses is critical for the maintenance of genome integrity, cellular homeostasis, and organismal health. Here we describe multiple factors that control different types of transposable elements, providing insight into how they are regulated. We also identify stress response pathways that are triggered upon misregulation of these transposable elements. The conservation of these factors and pathways in human suggests that our studies in *Caenorhabditis elegans* can provide general insight into the regulation of and response to transposable elements and viruses.

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reduced fecundity and vulval rupturing (as described later and in ref. 12). We used the *adar-* and *eri-6/7*-defective mutant to genetically and transcriptionally study the interactions between ADAR editing and endogenous RNAi. Similar to the rescue of lethality caused by the absence of ADARs by loss of MAVS (a factor downstream of RIG-I) in mammals (13), the morphological defects of the *adar-* and *eri-6/7*-defective mutant are rescued by loss of *drh-1*, a gene encoding the *C. elegans* ortholog of the viral sensor protein RIG-I. Loss of ADAR editing in enhanced RNAi mutants results in antiviral RNAi response to dsRNA from palindromic repeat elements that are normally edited by ADARs but are now a substrate of the RNAi machinery, resulting in an accumulation of novel siRNAs and accompanied by an up-regulation of the RNAi machinery.

In addition, we show that long terminal repeat (LTR) retrotransposons are regulated by ADARs and ERI-6/7/MOV10. The human ERI-6/7 homolog MOV10 is an antiviral factor and restricts retrotransposon activity (14, 15). MOV10 binds to 3'UTRs and is thought to clear these of 3'UTR binding proteins and/or secondary structures (16); MOV10 also has helicase-independent antiviral activity (17). *C. elegans* harbors fragments and full-length copies of at least 20 families of LTR-containing retrotransposons that belong to the Ty3/gypsy and the BEL/Pao classes (18, 19). LTR retrotransposons and endogenous retroviruses (ERVs) are related to retroviruses, from which they differ in the absence of an envelope protein gene or the presence of inactivating mutations. We found that retrotransposons (but not DNA transposons) are silenced through a mechanism that requires ADARs and ERI-6/7 for siRNA generation. The ERI-6/7 helicase, together with the Argonaute ERGO-1, acts in an RNAi pathway that silences genes that are likely to be remnants of viruses integrated in the genome (11). We here show that the ERI-6/7 helicase regulates expression of viral envelope genes that may have been acquired by LTR retrotransposons, potentially forming an endogenous retrovirus; alternatively, these viral envelope genes may have been lost from some but not all copies of an endogenous retrovirus, leaving behind retrotransposons. Viruses, and also retrotransposons, coopt the ER for maturation and assembly (20, 21). The strong induction of the UPR in *C. elegans* defective in ADAR editing and with a defective ERI-6/7 endogenous RNAi pathway is likely a consequence of ER stress caused by overexpression of retrotransposons and viral proteins: we found a tight coexpression of retrotransposon genes and UPR genes under conditions such as loss of silencing factors and loss of germ line P granules. The similarities between LTR retrotransposons that have integrated into the genome and viruses that invade the cell extends beyond sequence similarities to the silencing mechanisms of these elements and to the transcriptional response to these elements.

Results

ADAR Editing Enzymes and the ERI-6/7 RNAi Pathway Interact to Prevent the Induction of a Toxic Antiviral Response to Endogenous dsRNA. ADAR editing is essential in mammals, as demonstrated by the lethality of ADAR1 and ADAR2 mutants in the mouse and the severe defects caused by hypomorphic mutations in human ADAR1. In contrast, the two *C. elegans* ADAR genes (Fig. 1A) are dispensable for viability. Because both the ADARs and RNAi can act on dsRNA, and both have antiviral activity in mammals, we tested for synthetic interactions between *adar* null mutants and RNAi pathway mutants. We found that *C. elegans* mutants that are defective in the two ADAR genes and defective in the ERI-6/7 helicase (a homolog of human MOV10 and MOV10L1; Fig. 1A) that acts in an RNAi pathway that targets recently acquired genes are severely compromised (for simplicity, we call the *adr-1 eri-6/7;adr-2* triple mutant “*adar-eri*” from here on): the animals display defects in vulva morphology, causing frequent rupture (SI Appendix, Fig. S1A); appear starved; and exhibit partially penetrant lethality. As a result, they produce

almost no offspring (Fig. 1B). Synthetic lethality of the *adr-1;adr-2* mutations with the RdRP *rrf-3* was reported by Reich et al. (12).

The *eri-6/7* single mutant is defective in RNAi-mediated silencing of recently acquired genes. To identify the cause of the near-inviability of the *adar-eri* triple mutant, we sought to determine whether *adar-eri* triple mutants have additional defects in RNAi pathways. We generated sequencing libraries of small RNA between 18 and 28 nucleotides in length that includes microRNA, piRNA, and endogenous siRNAs (small RNA mapping to genes, transposons, etc.; Dataset S1) (22). Whereas wild type worms and *eri-6/7* and *adr-1;adr-2* mutants have grossly similar levels of siRNAs relative to microRNAs (SI Appendix, Fig. S1B), the *adar-eri* triple mutant has an increase in siRNAs (Fig. 1C). These siRNAs are 22 nucleotides long and start with a 5'G, typical of siRNAs produced by RNA-dependent RNA polymerases (SI Appendix, Fig. S1C).

The endogenous siRNAs were mapped to genes, pseudogenes, and transposons that are regulated by particular Argonautes. *adar-eri* triple mutants display the expected loss of ERGO-1 Argonaute class siRNAs that are dependent on ERI-6/7 (Fig. 1D); these siRNAs are also missing in the *eri-6/7* single mutant (SI Appendix, Fig. S1D). However, the largest change is an increase in siRNAs corresponding to loci that are not known to be regulated by the ERI-6/7 pathway or to mostly coding loci that do not produce siRNAs in wild type (Fig. 1C and D). A previously identified class of siRNAs present in *adar* mutants is not further increased in *adar-eri* triple mutants (SI Appendix, Fig. S1E). Over 450 genes show an increase in siRNAs of threefold or more in the *adar-eri* triple mutant, of which 40% are increased only in the *adar-eri* triple mutant (SI Appendix, Fig. S1F).

The increase in siRNAs suggests that *adar-eri* triple mutants activate RNAi too intensely. This hypothesis is supported by transcriptome analysis of the *adar*, *eri*, and *adar-eri* triple mutants (Dataset S2) (22). We found that genes with GO term “silencing by RNA” are significantly enriched (P value = $2.8E-19$) among genes with increased expression in the *adar* mutant and in the *adar-eri* triple mutant versus wild type (Fig. 1E). A survey of known RNAi factors indeed shows a 4- to 14-fold induction of many of the core RNAi factors in both *adar* and *adar-eri* triple mutants, but not in the *eri-6/7* mutant (SI Appendix, Fig. S1G and F). Also, gene inactivations of RNAi factors including DRH-1/RIG-1, RDE-1/AGO, and NRDE-3/AGO suppress the lethality of the *adar-eri* triple mutant (Fig. 1F), consistent with the previous demonstration that *rde-1* RNAi-defective mutations suppress the lethality of *adar-rrf-3* triple mutant (12). DRH-1 is thought to facilitate primary viral siRNA production from viral dsRNA by Dicer (23), and, like the Argonaute RDE-1, is thought to be almost exclusively involved in RNAi of exogenous and viral dsRNA, whereas the other suppressors of the lethality of the *adar-eri* triple mutant, DCR-1, RDE-4, and MUT-16, are required in both exogenous and endogenous RNAi pathways. The Argonaute NRDE-3 binds secondary siRNAs produced by RdRPs to induce transcriptional gene silencing. Thus, *adar-eri* triple mutant is sickly because of the hyperinduction of antiviral RNA interference response that includes a nuclear RNAi component.

How is the RNAi machinery induced? Using RNAi of *drh-1/RIG-1* and *nrde-3/AGO*, the *adar-eri* triple mutant phenotype was suppressed and mRNAseq (22) was used to quantify the expression of other RNAi factors. As a control, *adar-eri* triple mutant animals were exposed to a control RNAi vector that produces a ~200-bp vector dsRNA that does not match the *C. elegans* genome. Compared to wild type worms not exposed to this control dsRNA, most RNAi factors are induced twofold in wild type worms exposed to control RNAi, possibly because of the presence of the 200-bp dsRNA (SI Appendix, Fig. S1H). As a consequence, the induction of the RNAi machinery in the *adar-eri* triple mutant on RNAi control vector compared to wild type on

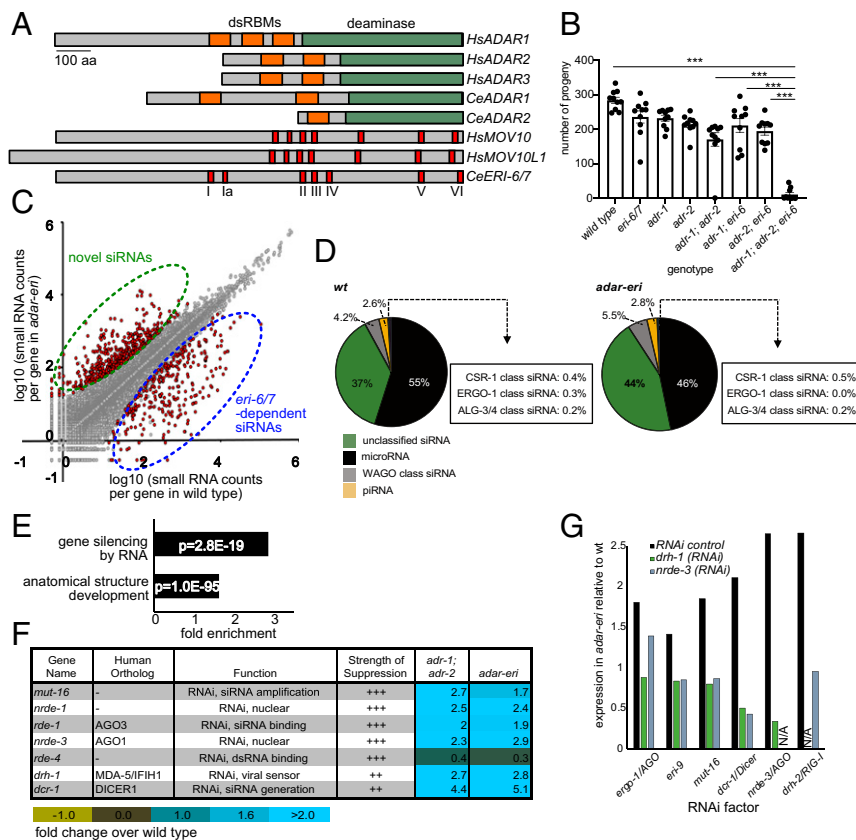


Fig. 1. ADAR editing enzymes and the ERI-6/7 RNAi pathway to prevent the induction of a toxic antiviral response to endogenous dsRNA. (A) ADAR and MOV10 proteins encoded in the human and *C. elegans* genomes. Indicated are double-stranded RNA-binding motifs (in orange), the deaminase domains (in green), and the Superfamily I sequence motifs that coordinate the NTP and nucleic acid binding (in red). (B) *adar-eri* triple mutants have a severely reduced fecundity, whereas *adr-1*, *adr-2*, and *eri-6/7* single and double mutants show only modest reductions in brood size ($n = 10$ parents; $***P < 0.001$). (C) A subset of genes produces more endogenous siRNAs in *adar-eri* triple mutants than in wild type. The number of genes per gene in *adar-eri* is plotted against the number of siRNAs per gene in wild type. In red are indicated genes that produce statistically significantly different numbers of siRNAs in *adar-eri* versus wild type ($P < 0.05$). (D) *adar-eri* mutants produce novel siRNAs that do not act in canonical endogenous siRNA pathways. siRNAs are classified by the specific Argonaute protein they are known to bind to or depend on. (E) GO-term enrichment analysis of genes up-regulated in *adar-eri* (and *adar*) mutants identifies RNA silencing. (F) Expression levels of suppressors of *adar-eri* phenotypes relative to wild type. Differential expression for all genes shown is significant ($q < 0.05$). (G) Expression of RNA silencing genes in *adar-eri* triple mutants after knockdown of *drh-1/RIG-1*, *nrde-3/AGO*, or control RNAi.

RNAi control vector is less pronounced than the induction observed without exogenous RNAi. However, our data show that the sum of the induction of the RNAi machinery by the control RNAi vector and as a consequence of the *adar-eri* mutations is dependent on the Dicer complex gene *drh-1/RIG-1* and on the siRNA-binding protein *nrde-3/AGO* (Fig. 1G and SI Appendix, Fig. S1 I and J), suggesting that the induction of the RNAi machinery requires accumulating siRNAs and that dsRNA is not sufficient to induce the RNAi machinery.

In summary, in the absence of ADAR editing, and with a hyperactive RNAi response because of the absence of the negative regulator of RNAi *eri-6/7*, the *adar-eri* triple mutant produces increased numbers of siRNAs, resulting in severe phenotypes that depend on the canonical RNAi pathway as well as the nuclear RNAi pathway. Both these pathways are induced, and this induction depends on the viral RNA sensor DRH-1 and the nuclear RNAi factor NRDE-3. Whereas DRH-1/RIG-1 was previously shown to act in exogenous RNAi and antiviral RNAi, these data establish a role for DRH-1 in endogenous RNAi.

Editing of Palindromic Repeat RNA Affects Gene Expression. Since ADARs and RNAi act on dsRNA, we focused our analysis on genes of which the transcripts can fold into a dsRNA. A source of dsRNA are palindromic repeat elements and inverted repeat-containing transposons that have inserted into genes and are

therefore expressed. We mapped the small RNA to ~11,000 transposons and ~65,000 inverted repeats. A total of 296 transposons and 487 inverted repeats produce more siRNAs in the *adar-eri* triple mutant than wild type (Fig. 2A and SI Appendix, Fig. S2A). The transposons with increased siRNAs in the *adar-eri* triple mutant generally do not encode transposase proteins, have long inverted repeats, and are predicted to form extensive hairpins (SI Appendix, Fig. S2B).

How does this antiviral RNAi response against palindromic dsRNA affect gene expression? siRNAs traditionally silence genes, which can be a consequence of either mRNA slicing by Argonautes and/or transcriptional silencing by nuclear RNAi. For the genes with a twofold or more increase in siRNA expression in the *adar-eri* triple mutant, two thirds have significantly decreased expression in our total RNA-seq analysis (Fig. 2B). In an analysis of all gene expression changes (independent of editing or siRNA changes) we found that ADAR and ERI-6/7 both target many of the same genes that are silenced in wild type (Fig. 2C). This suggests that ERI-6/7 RNAi pathway target genes may have dsRNA structures that direct them to the RNAi pathway. In addition to the observed overlap between genes silenced by ADAR and ERI-6/7, there is also an overlap of genes with reduced expression in *adar* and *eri-6/7* mutants versus wild type (Fig. 2D and SI Appendix, Fig. S2 C and D and Table S1).

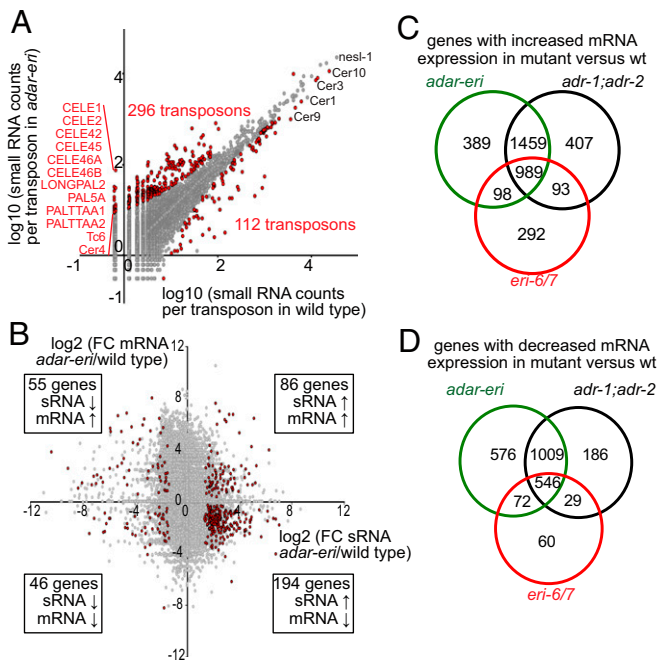


Fig. 2. ADAR editing and the ERI-6/7 RNAi pathway prevent an RNAi response against palindromic repeat RNA and affect gene expression. (A) siRNAs originate from transposons in *adar-eri* triple mutants. Transposons indicated by red dots show a statistically significant difference in siRNAs ($P < 0.05$). (B) mRNA expression changes in *adar-eri/wt* for each gene in the genome versus changes in siRNAs. Genes with significantly different expression ($P < 0.1$) of both mRNA and siRNAs are indicated in red. (C) Overlap between genes silenced by the *eri*, *adar*, and *adar-eri* genes. (D) Overlap between genes silenced in the absence of the *eri*, *adar*, and *adar-eri* genes.

In summary, *C. elegans* genes that contain palindromic repeat sequences produce siRNAs in the *adar-eri* mutant. In wild type, these palindromic repeat dsRNAs are edited (SI Appendix, Fig. S2 E–H). Editing could result in a destabilization of the hairpin; in the absence of editing, these hairpins are a substrate of Dicer and produce siRNAs. Thus, ADAR editing, together with the ERI-6/7 RNAi pathway, prevents an RNAi response against *C. elegans* transcripts that form hairpins, i.e., an RNAi response against self.

ADARs and ERI-6/7 Regulate LTR Retrotransposons and Endogenous Viral Elements. Among the misregulated transcripts in the *adar-eri* triple mutant are two transcripts corresponding to two orthologous genes of unknown function, C38D9.2 and F15D4.5; these transcripts are up to 60-fold induced in the *adar-eri* triple mutant versus wild type or *eri-6/7* (Fig. 3A and C and SI Appendix, Table S2), with a slightly lower induction in the *adar* double mutant versus wild type and no induction in the *eri-6/7* mutant. These genes (henceforth named Cer19-ORF2) are adjacent to annotated Cer19 LTR retrotransposon gag-pol genes and are likely part of these Cer19 retrotransposons: the genes are found in between the LTRs of Cer19 and encode proteins that have zf-CCHC gag-knuckle signatures typical of nucleocapsid proteins (Fig. 3B and SI Appendix, Fig. S3A). These Cer19-ORF2 copies encode expressed proteins (24).

Although *C. elegans* has relatively few full-length retrotransposons (18, 19), the genomes of related nematodes *Caenorhabditis brenneri* and *Caenorhabditis inopinata* contain an expansion of transposable elements (SI Appendix, Fig. S3B) (25). These nematodes have retrotransposons homologous to Cer19 that contain at least two genes: ORF2 and a gag-pol gene (SI Appendix, Fig. S3A). The closest *C. inopinata* Cer19 homolog encodes an envelope protein

in addition to these two genes, suggesting that Cer19 is an endogenous retrovirus in *C. inopinata*.

For one *C. elegans* retrotransposon, evidence of activity exists, although new insertions have never been observed in the wild type strain that is widely used in *C. elegans* research: the Cer1 Ty3/gypsy element (Fig. 3E) (26). VLPs of the Cer1 have been observed, and an insertion of Cer1 into a coding gene exists in some strains of *C. elegans* that is not present in wild type. Like Cer19, Cer1 RNA is expressed at increased levels (11-fold) in *adar-eri* triple mutants versus wild type (Fig. 3F and SI Appendix, Fig. S3C and Table S2). Whereas many full-length LTR retrotransposons are regulated by ADAR and ERI-6/7 (Fig. 3G), other classes of transposons are not misregulated in *adar-eri* mutants, showing that LTR retrotransposons are differentially regulated from other types of transposons.

We previously identified ~100 genes that are silenced by the ERI-6/7 RNAi pathway; these genes are likely recently integrated viruses because of their poor conservation between nematode species, repeated integrations in the worm genome, and gene structure (11). Although the lack of conservation of these genes relegates them to molecular genetic nugatory, *C. elegans* produces high numbers of ERI-6/7-dependent siRNAs corresponding to these genes (SI Appendix, Table S3). These siRNAs may provide protection against future infections with closely related viruses. Several of the genes that the ERI-6/7 pathway silences encode viral envelope proteins homologous to the phlebovirus glycoprotein G2 that is found in Cer19 elements in other *Caenorhabditis* spp. (SI Appendix, Fig. S3D). Notably, *C. inopinata* has lost *eri-6/7* and *ergo-1* (25) and has, in addition to an expansion in retrotransposons, a large expansion of the number of viral envelope protein genes (SI Appendix, Table S4); the loss of the ERI-6/7 pathway could be the cause of this viral invasion or expansion of the *C. inopinata* genome. In addition, a gene encoding a protein that has homology to viral DNA polymerases present in high copy numbers in other *Caenorhabditis* spp. is also silenced by *eri-6/7*-dependent siRNAs (11) (SI Appendix, Fig. S3E). Thus, whereas the ADARs together with ERI-6/7 silence LTR retrotransposons, the ERI-6/7 pathway silences integrated viral genes that may be part of endogenous retroviruses.

ADARs and the ERI-6/7 Helicase Are Required for Retrotransposon siRNAs. Since the ERI-6/7 helicase acts in RNAi targeting recently acquired genes, we hypothesized that Cer19 retrotransposon expression is regulated by siRNAs. Small RNA sequencing showed that, in wild type, Cer19-ORF2 is ranked among the top 30 siRNA-producing genes in the genome (SI Appendix, Table S3), and siRNAs matching to Cer19-ORF2 are as much as 14-fold depleted in the *adar-eri* triple mutant (Figs. 3D and 4A). siRNAs corresponding to the LTRs are not depleted (Fig. 4B). The siRNAs are of 22-nucleotide length and have a 5'G typical of secondary *C. elegans* siRNAs produced by RNA-dependent RNA polymerases (Fig. 4C). For the LTR retrotransposons Cer1 and Cer9 (Fig. 4A and SI Appendix, Fig. S3F), antisense siRNAs are partially depleted in the *adar-eri* triple mutant. This suggests that LTR retrotransposons are silenced by siRNAs that are dependent on the activity of ADARs and ERI-6/7. Retrotransposons are not obvious candidates of ADAR regulation, since retrotransposons lack inverted repeats that could produce dsRNA. Exogenous siRNA production is initiated at sites of cleavage in the mRNA that are subsequently uridylylated before serving as templates for RdRP activity (27). In endogenous RNAi, there is no dsRNA present that directs the slicing of the mRNA through primary siRNAs. It is not known which features flag an endogenous gene for siRNA production by RdRPs. In the *adar* double and *adar-eri* triple mutants, siRNAs are depleted for the 3'UTR and ORF; however, siRNAs downstream of the 3'UTR are increased, suggesting that transcriptional readthrough occurs in these mutants and that the RdRP that produces these types of antisense siRNAs

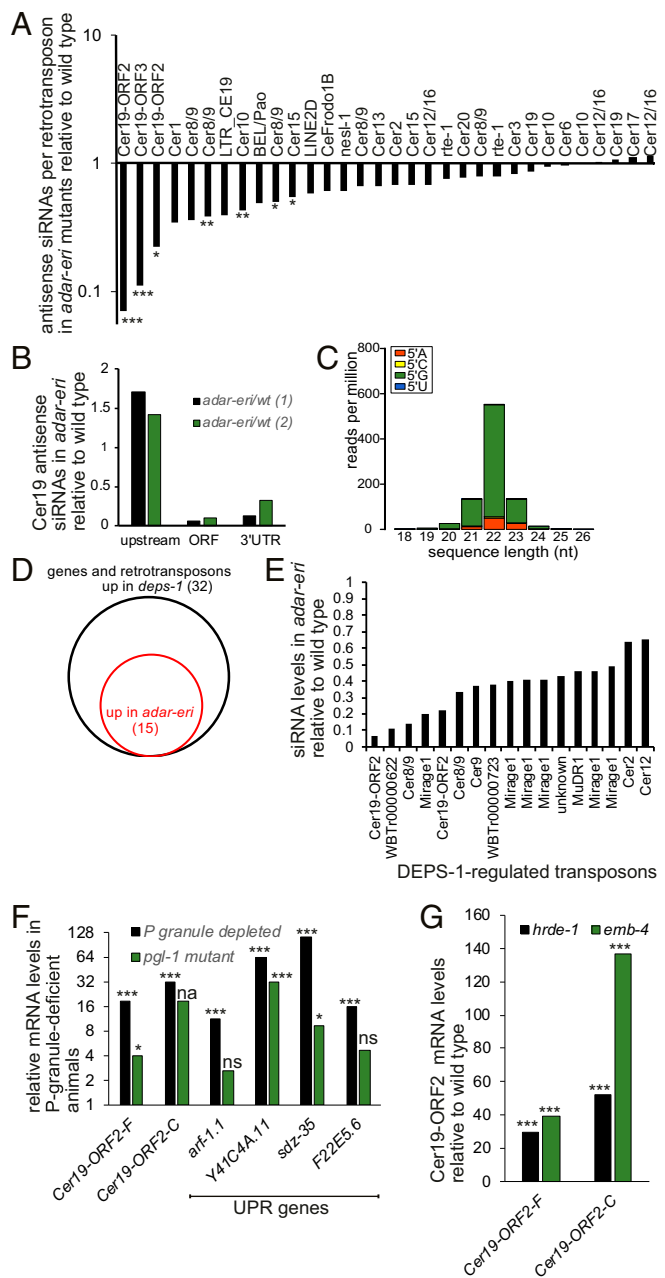


Fig. 4. P granule components and RNAi factors silence retrotransposons. (A) Relative levels of antisense siRNAs of full-length retrotransposons in *adar-eri* triple mutants versus wild type. (B) Antisense Cer19 siRNAs corresponding to ORF2 and the 3'UTR but not the 5'UTR and promoter are depleted in *C. elegans*. (C) Antisense Cer19 siRNAs in wild type are predominantly 22G secondary siRNAs. The first nucleotide is indicated by color. (D) Many DEPS-1-regulated genes are *adar-eri*-regulated retrotransposons. (E) Antisense siRNAs are decreased in *adar-eri* triple mutants versus wild type for DEPS-1-regulated retrotransposons. (F) Animals lacking P granules have increased expression of Cer19 [data from Knutson et al. (30)]. Data are shown for P granule-depleted animals at day 2 adult stage and *pgl-1* mutant animals at day 1 adult stage. (G) Cer19 is silenced by the nuclear Argonaute HRDE-1 and its interactor EMB-4 [data from Akay et al. (31)]; * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$, ns = not significant).

in the *adar-eri* triple mutant (SI Appendix, Fig. S4E). Since loss of DRH-1 does suppress the morphological phenotype of the *adar-eri* triple mutant caused by an excessive RNAi response, this indicates

that overproduction of palindromic siRNAs does not trigger loss of retrotransposon silencing.

Taken together, whereas the upstream factors in LTR retrotransposon silencing differ from silencing of DNA transposons with the involvement of ADARs, ERI-6/7 and RDE-4 versus piRNAs, both retrotransposon and DNA transposon silencing depend on downstream nuclear RNAi factors and require P granules.

The UPR Is Induced When Retrotransposons Are Desilenced in the Absence of ADAR Editing and ERI-6/7 Antiviral RNAi. To identify additional pathways that regulate retrotransposons, we analyzed genes coexpressed with the Cer19-ORF2 genes using the SPELL engine, a query-driven search engine using all published *C. elegans* mRNA expression data (33). One third of genes most similar in expression profiles to Cer19 are other retrotransposons, indicative of a central regulatory mechanism of retrotransposon expression or silencing (Fig. 5A). Among the nonretrotransposon genes coexpressed with retrotransposons are the UPR genes *sdz-35* [a *C. elegans* paralog of KCTD10, substrate-specific adapter of a BCR (BTB-CUL3-RBX1) E3 ubiquitin–protein ligase complex] and *rf-2* (an RdRP), both of which are highly induced in conditions of protein misfolding induced by the drug tunicamycin (34).

The *adar-eri* triple mutant shows a dramatic induction of genes with GO terms related to the unfolded protein response (UPR) relative to wild type (Fig. 5B). Of the 20 *C. elegans* genes most induced by the ER stress-inducing drug tunicamycin (34), all are similarly induced in *adar-eri* triple mutants, but not in *adar* and *eri* single mutants (Fig. 5C). To determine whether the induction of the UPR is a consequence of the hyperactive RNAi response that produces new siRNA from palindromes, we analyzed mRNA-seq data of *adar-eri* triple mutants in which the RNAi genes *drh-1* and *nrde-3* that mediate the hyperactive RNAi response are depleted. These data show that these RNAi factors are not required for the induction of the UPR or for the desilencing of retrotransposons, showing that the developmental and fertility defects of the *adar-eri* triple mutant are independent of retrotransposon desilencing (Fig. 5D).

The UPR consists of three branches, namely IRE1, PERK, and ATF6. A signature of the induction of the IRE1 branch is the IRE1-mediated cleavage of the mRNA of the transcription factor XBP-1, excising an “intron” resulting in a functional XBP-1 mRNA. An analysis of our RNAseq data, and confirmation using qRT-PCR, showed increased IRE1-mediated excision of the *xbp-1* “intron” in the *adar-eri* triple mutant (Fig. 5E and SI Appendix, Fig. S5A), indicating a bona fide UPR response involving the IRE1 branch.

The coexpression of retrotransposons and UPR genes suggests a link between the induction of the UPR and the overexpression of retrotransposons observed in the *adar-eri* triple mutant. Like retrotransposons, UPR genes are up-regulated in animals deficient for P granules (Fig. 4F). Viruses use the ER for maturation and assembly of virus particles (20). Similarly, the yeast retrotransposon Ty1 RNA is translated in association with the SRP to target the nascent peptide to the ER. The Ty1 gag protein is subsequently translocated into the ER, a translocation that is necessary for virus-like particle (VLP) assembly (21). Given the high overexpression of retrotransposon mRNAs and correlated UPR induction, it is possible that the overexpression of retrotransposons results in the accumulation of gag protein or virus-like particles (VLPs) and that the induction of the UPR is caused by viral or retrotransposon protein-induced ER stress.

To study the interaction between RNAi factors, the ADARs, and UPR genes, we assayed animals with mutations or RNAi depletions for multiple of these genes for potential interactions. We found interactions with mutations in the ER chaperone gene *hsp-4/BiP*. *adr-1* and *eri-6/7* mutations enhance the *hsp-4* mutant phenotype: *hsp-4 adr-1* double mutants are sterile at 15 °C and

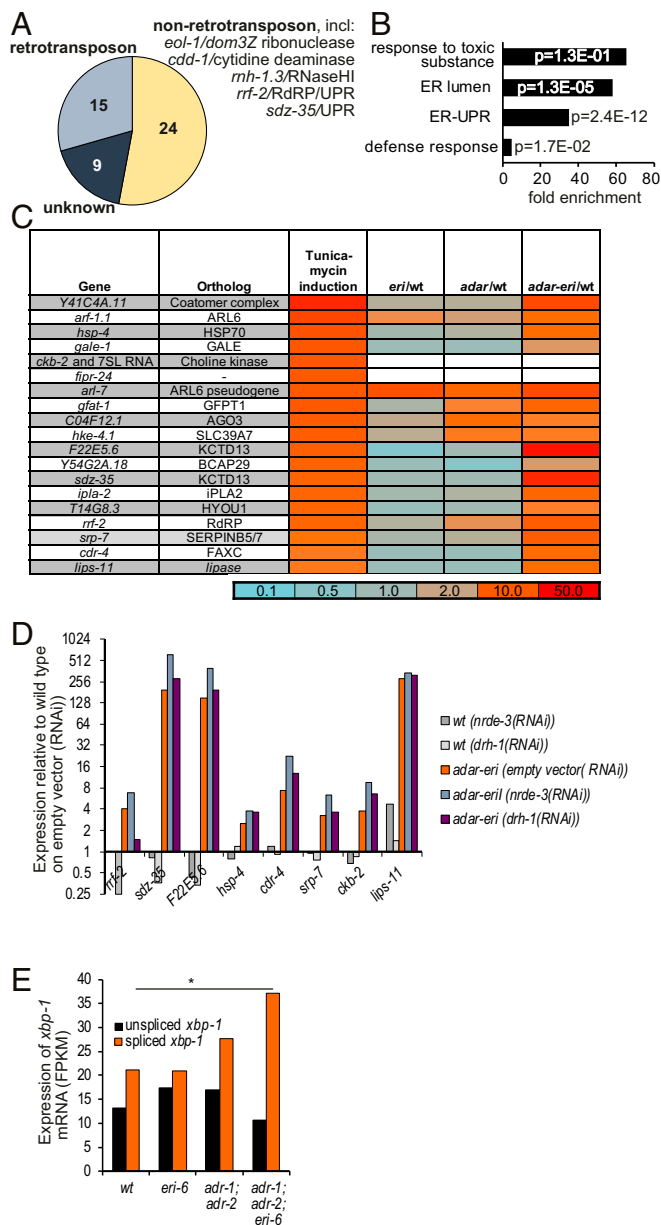


Fig. 5. The induction of the UPR when retrotransposons are desilenced in the absence of ADAR editing and ERI-6/7 antiviral RNAi. (A) Coexpression analysis of Cer19. Analysis of the 48 most similarly expressed genes based on cumulative expression profiling [SPELL (33)]. (B) GO-term enrichment analysis of genes only up-regulated in *adar-eri* triple mutants and not in *adar* double or *eri-6* mutants (168 genes). (C) Relative expression levels of UPR genes in *adar* and *eri* mutants (RNAseq); shown are the 19 most induced genes when wild type *C. elegans* is treated with the UPR-inducing drug tunicamycin (34). Seventeen of these genes are up-regulated in *adar-eri* mutants ($P < 0.01$); *ckb-2* and *fibr-24* are not expressed in wild type but highly expressed in *adar-eri* triple mutants. (D) The induction of the UPR in *adar-eri* mutants is independent of the RIG-I ortholog DRH-1 and the Argonaute NRDE-3. The induction of the RdRP gene *rrf-2* is dependent on DRH-1. (E) Expression of non-IRE-1-spliced *xbp-1* mRNA and IRE-1-spliced *xbp-1* mRNA in *adar* and *eri* mutants (RNA-seq; $*P < 0.05$).

hsp-4;eri-6 double mutants are larval-lethal at 15 °C, whereas the *hsp-4* single mutant is viable at 15 °C (SI Appendix, Fig. S5B).

The drug tunicamycin inhibits N-glycosylation and thus induces the UPR. In *adar-eri* mutant animals, the UPR is constitutively induced, and thus an additional load of misfolded proteins may

cause increased lethality. We tested the *adar* and *eri* mutants for tunicamycin sensitivity at 25 °C. The *adar-eri*, *eri*, and *adar* mutants were all more sensitive to 10 mg/mL tunicamycin than wild type (SI Appendix, Fig. S5C), indicating the presence of an increased load of misfolded proteins in *adar* and *eri* mutants.

hsf-1/HSF1 Regulates UPR Genes and Retrotransposons. Viral infection and retrotransposon activation induce a common transcriptional response: 13% of the genes induced specifically in the *adar-eri* mutant (but not in the *adar* or *eri* mutants) are among the 320 genes induced upon infection with Orsay virus, a positive strand RNA virus that infects the intestine of *C. elegans* (35) (Fig. 6A and SI Appendix, Table S5). In addition, more than a third of the genes specifically up-regulated in the *adar-eri* mutant are silenced by *pals-22*, a negative regulator of the intracellular pathogen response (IPR) to the microsporidium *Nematocida parisii* (36), indicating an overlap between the responses to retrotransposons and to intracellular pathogens (Fig. 6A).

To identify the pathways that regulate retrotransposons and UPR factors, we searched for and identified shared motifs in the promoter regions of these genes. A site similar to the HSF-1/heat shock factor binding site (HSE) was identified in the promoter regions of Cer19 ORF2 genes and of several UPR genes (Fig. 6B). Indeed, Cer19 and these UPR genes are developmentally regulated by HSF-1 (37, 38), with HSF-1 under physiological conditions repressing the expression of these genes (Fig. 6C). The UPR genes *sdz-35* and *F22E5.6* are associated with HSF-1 and up-regulated in *hsf-1(ok600)*. The Cer19 genes and also many ERGO-1-ERI-6/7 targets are expressed exclusively at higher temperatures (at 25 °C): of the 183 genes identified that only are expressed at 25 °C and not at 15 °C or 20 °C (39), 33 genes are ERGO-1-ERI-6/7 targets (SI Appendix, Fig. S5D). This increase in expression at 25 °C is accompanied by a reduced expression of critical silencing factors *eri-6/7*, *emb-4*, and *drh-3* (39). Cer19 retrotransposons are also expressed in response to heat shock (40). Heat shock leads to dsRNA accumulation in HSF-1 granules (41). In an RNAi screen for transcription factors that interact with the *adar-eri* triple mutant, we found that loss of *hsf-1* in the *adar-eri* triple mutant results in larval lethality (SI

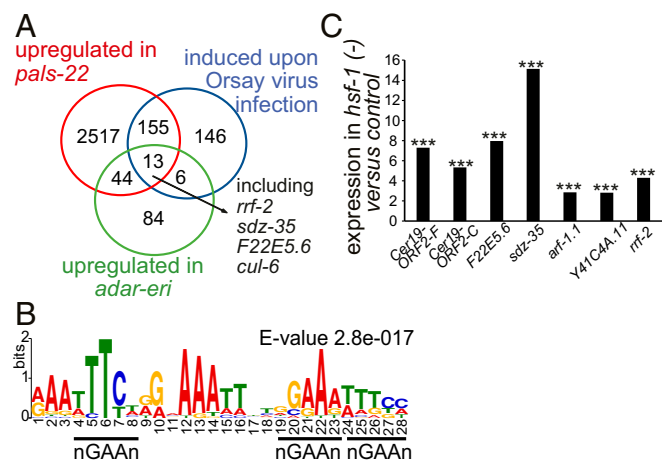


Fig. 6. *hsf-1/HSF1* regulates UPR genes and retrotransposons. (A) Genes induced in *adar-eri* mutants overlap with genes induced upon Orsay virus infection and genes up-regulated in a *pals-22* mutant. (B) A motif similar to the HSF-1 binding element (HSE) was identified in the 2-kb promoter regions of retrotransposons Cer19-ORF2 ($n = 2$ copies of the motif) and UPR genes *F22E5.6* ($n = 5$ copies), *rrf-2* ($n = 2$ copies), and *sdz-35* ($n = 5$ copies). (C) Expression of retrotransposons and UPR genes in *hsf-1* (RNAi) animals (without heat shock exposure) compared to animals exposed to control RNAi (data from Brunquell et al. (38); $***P < 0.001$).

Appendix, Fig. S5B), indicating that the loss of multiple retrotransposon silencing factors is lethal.

Discussion

ADARs in mammals prevent a RIG-I-mediated IFN response against endogenously encoded dsRNA, e.g., dsRNA produced from close insertions of two Alu elements into transcribed regions. Similarly, in *C. elegans*, ADARs, together with negative regulators of the RNAi pathway, prevent an DRH-1/RIG-I-dependent response triggered against genomically encoded dsRNA-containing mRNAs, in this case an RNAi response. Many of these dsRNAs correspond to palindromic repeat elements that have inserted into genes. This antiviral RNAi response against endogenous dsRNA has profound effects on the transcriptome mediated by the nuclear RNAi factor NRDE-3 (12). The Argonaute NRDE-3 acts in the ERGO-1 and ERI-6/7 endogenous RNAi pathway to silence viral genes, but also acts in the exogenous RNAi pathway to silence genes targeted by exogenous dsRNA. NRDE-3 shuttles siRNAs from the cytoplasm into the nucleus to direct histone modifications to the corresponding genes and trigger heritable gene silencing. In the *eri-6/7* mutant, the ERI-6/7-dependent siRNAs are missing, and NRDE-3 is cytoplasmic. Since loss of NRDE-3 suppresses the lethality of the ADAR-ERI triple mutant, it is likely that NRDE-3 binds the novel palindromic siRNAs (that are similar to exogenous siRNAs) and shuttles them into the nucleus to induce histone H3 modifications, ultimately causing lethality by inappropriate gene silencing.

The genomically encoded dsRNAs trigger an increase in the expression of RNAi machinery factors (including *mut-16*, *rde-1*/AGO, *dcr-1*/DICER) in both the *adar* double mutants and in the *adar-eri* triple mutants. Both these mutants produce increased numbers of siRNAs. The RNAi-factor induction is dependent on DRH-1/RIG-I and NRDE-3/AGO, suggesting that it is the overexpression of siRNAs that triggers this transcriptional response. One model to explain how the increased number of siRNAs is sensed in the cell is that endogenous siRNAs are outcompeted by the new palindromic siRNAs for binding by NRDE-3 (or other Argonautes), thereby releasing silencing of an unknown regulatory factor that is usually silenced by endogenous siRNAs. Alternatively, an Argonaute that specifically binds exogenous (and palindromic) siRNAs and not endogenous siRNAs, like RDE-1, promotes RNAi machinery expression when in complex with siRNAs. The ERI-6/7/MOV10 RNA helicase single mutant does not accumulate siRNAs derived from palindromic repeats, and therefore exogenous RNAi pathway genes are not induced. This enhanced RNAi (*eri*) mutant does have an enhanced response to many dsRNAs introduced by feeding *Escherichia coli*-expressing dsRNAs (11, 42). It is possible that, when dsRNA from *E. coli* is introduced into the *eri-6/7* mutant, RNAi pathway genes are induced, contributing to the enhanced RNAi phenotype, similar to the induction of the RNAi machinery in *adar* mutants by palindromic dsRNAs/siRNAs. In fact, the enhanced RNAi phenotype of an *eri-6/7* single mutant is suppressed by inactivation of many of the same RNAi components that suppress the inviability of the *adar-eri* triple mutant (11, 42).

While the ADARs and the ERI-6/7 RNAi pathway prevent silencing of palindromic repeats that can form double-stranded RNAs, the ADARs and ERI-6/7 are required to silence retrotransposons and integrated viral genes. ERI-6/7 targets include viral envelope proteins and viral DNA polymerases that vary dramatically in copy number in different *Caenorhabditis* spp., with the *C. inopinata* genome harboring high copy numbers of retrotransposon genes and retroviral envelope genes. The lack of ERGO-1 and ERI-6/7 in *C. inopinata* supports a role for this pathway in silencing of viruses and retrotransposons. Loss of both ADARs and ERI-6/7 results in a strong up-regulation of LTR retrotransposon expression, accompanied by a loss of siRNAs, particularly in the 3'UTR. Retrotransposons and ERI-6/7

target genes are among the genes that produce the most siRNAs in wild type worms. Why do ADAR-ERI mutants produce fewer retrotransposon siRNAs? MOV10 in mammals associates with TUTases that uridylylate LINE-1 retrotransposons; uridylation prevents LINE-1 reverse transcription and destabilizes the RNA. MOV10 binds to 3'UTRs to displace 3'UTR proteins and resolve secondary structures. Possibly, loss of ERI-6/7/MOV10 results in an inability to resolve 3'UTR secondary structures that are also stabilized by the loss of ADARs. The presence of these structures may prevent siRNA generation because of an inability of an RdRP to target the 3'UTR, either because of lack of uridylation or presence of secondary structures. Alternatively, ADARs could affect dsRNA intermediates formed by small RNA primers base-paired with retrotransposon RNA that are generated during reverse transcription of LTR retrotransposons. Finally, ADARs could affect siRNA biogenesis independent of editing.

Downstream of siRNA biogenesis, retrotransposon silencing is dependent on P granule components and nuclear RNAi factors in the germ line, similar to silencing of DNA transposons downstream of piRNAs. We found that additional pathways affect retrotransposon expression: retrotransposons are desilenced at elevated temperatures and require the HSF-1 heat shock factor for silencing, with potentially direct binding of HSF-1 to sites in the promoter regions of retrotransposons.

Desilencing of retrotransposons is accompanied by an up-regulation of the unfolded protein response in ADAR-ERI mutants but also in animals lacking P granules and in HSF-1 mutant animals. A study of the *adr-1;adr-2;rrf-3* mutant from the Bass lab (12) used poly(A)⁺ RNA-seq in embryos in their analyses; Cer19 and UPR genes are significantly up-regulated in these conditions, albeit not as dramatically. This difference is likely due to the analysis of a different developmental stage of the animals (embryos versus adults) and the methodology [poly(A)⁺ versus total RNA-seq].

In an analysis of genes coexpressed with Cer19, we found several UPR genes among genes coexpressed along with retrotransposons. The unfolded protein response is likely to be due to the increase in expression of viral proteins and/or virus-like particle assembly at the ER. The genes that are induced in response to infection with Orsay virus, a nonenveloped positive strand virus, overlap with genes up-regulated in *adar-eri* triple mutants, as well as with the genes that are coexpressed with LTR retrotransposons. All three conditions show an up-regulation of the RdRP gene *rrf-2*, two genes encoding KCTD10 paralogs that are substrate-specific adaptors of a BCR (BTB-CUL3-RBX1) E3 ubiquitin-protein ligase complex, the cullin gene *cul-6*, also a component of a E3 ubiquitin-protein ligase complex, and a gene encoding a protein with homology to PALS-26. *cul-6* acts in a stress response pathway to the intracellular pathogen *N. parisii*, called the intracellular pathogen response (IPR), that is induced upon proteotoxic stress caused by intracellular infection and/or by prolonged heat stress, and is negatively regulated by *pals-22* (43). *pals-22* mutants also show up-regulation of *rrf-2*, of the two genes encoding KCTD10 paralogs, and of Cer19 (36) and many other genes specifically up-regulated in *adar-eri* mutants or coexpressed with retrotransposons. The identification of *cul-6*/cullin and substrate-specific adaptors of a E3 ubiquitin ligase complex suggests a role for ubiquitylation in the response to LTR retrotransposons in *C. elegans*. In mouse, LINE-1 retrotransposon mobilization is restricted by ubiquitylation of a retrotransposon-encoded protein by an E3 ubiquitin ligase, resulting in protein degradation in mouse embryonic stem cells (44).

The LINE2A retrotransposon is another retrotransposon that is silenced by the ADARs and ERI-6/7 (Fig. 3G). QTL mapping of activity of the LINE2A retrotransposon in natural isolates of *C. elegans* has identified a number of natural gene variants that may be responsible for the loss of LINE2A silencing, including variants of the genes *adr-1*/ADAR, *rrf-2*/RdRP, *mh-1.3*/RNaseH1,

and *hsf-1/HSF1* (45). This finding supports the role of these genes in retrotransposon silencing.

C. elegans uses multiple mechanisms to silence repeats and transposable elements, many of which are conserved in human. Palindromic repeats are edited by ADARs, preventing an antiviral RNAi response. DNA transposons are silenced by piRNAs. Integrated viral genes are targeted by the ERI-6/7/MOV10 endogenous RNAi pathway. LTR retrotransposons are silenced by ADARs together with the ERI-6/7/MOV10 pathway. The presence of many copies of viral envelope genes in *Caenorhabditis* spp., including within LTR retrotransposons, suggests that some of these may represent endogenous retroviruses. How these elements are targeted for silencing remains unknown. In addition to the diverse silencing mechanisms, there are similar responses in *C. elegans* to infection with a nonenveloped RNA virus, infection with an intracellular pathogen, and desilencing of retrotransposons that are likely the result of proteotoxicity.

Materials and Methods

C. elegans Strains and Culture. The following strains were used: N2 (wild type), BB4 [*adr-1(gv6); adr-2(gv42)*], BB21 [*adr-1(tm668); adr-2(ok735)*], GR1814 [*eri-6(mg379)*], and GR1744 [*adr-1(gv6) eri-6(mg379); adr-2(gv42)*].

Brood size assays were performed at 20 °C with 10 plates with a single worm for each genotype. An unpaired t-test was used to assess the statistical significance of observed differences in brood size. For tunicamycin assays, embryos were dropped on plates containing 10 µg/mL tunicamycin and monitored for arrest and lethality at 25 °C. All other assays were performed at 20 °C.

For total RNA (BB4, GR1744, GR1814, and N2) and small RNA sequencing experiments, worms were grown to 70 h after dropping L1-arrested worms onto *E. coli* OP50 at 20 °C, the young adult stage that produces embryos; duplicate samples were obtained and analyzed. For mRNA-seq, GR1744, GR1814, and N2 were exposed to feeding RNAi of *nrde-3*, *drh-1*, or control vector dsRNA for two generations before harvesting at the adult stage, 70 h post L1 arrest.

Immunostaining. PGL-1 immunostaining was done as described previously (46).

qRT-PCR Analysis UPR Genes. cDNA was made using a Retroscript kit and amplified in triplicate with the following primers: [*xbp-1*: 1105 (ccgcatcctcatcaac) and 1106 (accgtctgtctctctcaatg)], 1107 (tgctttgtaatcagcagtg) and 1108 (accgtctgtctctctcaatg)]; *act-1* control, 1113 (cttggtatggagtcgcc) and 1114 (ttagaagcactgctggtaac); *Y45F10D.4* control, 653 (gtccttcaaatcagttcagc) and 654 (gttctgtcaagtagtcgcaga); *srp-7* (aatgtctccagtagctcggttaag and aattccgagcagtaggaag); and *Y41C4A.11* (gccatggatttgactgctt and cgtggattttcggagacc).

RNAi Suppression Screen. GR1744 animals were fed on a library of *E. coli*-producing dsRNA corresponding to genes encoding RNAi factors. Feeding (in

duplicate) was started at the L1 stage; phenotypes were scored in adults of the next (F1) generation. Suppression was scored as “strong” when no rupture was observed and as “moderate” when fewer than 10% of progeny ruptured. Retests were done twice in duplicate in parallel with controls N2 (wt), GR1814, BB4, and BB21. RNAi clones were sequenced to confirm gene identity.

Small RNA Differential Expression Analysis. Small RNA libraries were prepared as described before (47). Small RNA was mapped to the *C. elegans* genome (WBcel235) using Bowtie. Based on overlap between map positions of the small RNA and the coordinates of microRNA and piRNA genes, microRNAs and piRNAs were identified. The remaining small RNAs are endogenous siRNAs. The siRNAs were mapped to genes (WS247 and WS260). The gene identities were used to further classify siRNAs into specific endogenous RNAi pathways mediated by particular Argonaute proteins. Small RNA reads were also mapped to genes (over 46,000), transposons (over 11,000), and inverted repeats (over 65,000) using annotation files downloaded from Wormbase (10/2017) using bwa and featureCounts and analyzed for differential expression using Deseq2. A *P* value < 0.05 was used as a cutoff for differential expression.

Total and mRNA Differential Expression Analysis. rRNA was removed from total RNA using Ribo-zero (Epicentre/Illumina). RNA-seq libraries were made using NEBNext Ultra. Single-end sequencing runs of 50 nt were done. Reads were first mapped to rRNA and tRNA using Bowtie2. Reads not mapping to rRNA and tRNA were then mapped to WBcel235 genome/WS247 annotation using Tophat2 with minimum intron size of 20 (-i), maximum intron size 50,000 (-l), and allowing for 1 mismatch (-N 1). Both guided and de novo transcriptome assembly were performed to identify new genes and transcripts. Differential expression analysis was done using Cufflinks, Cuffmerge, and Cuffdiff with multiread correct. To map to transposons and inverted repeats, featureCounts was used counting all alignments, and Deseq2 was used to assess differential expression. A maximal *P* value of 0.1 was used as a cutoff for differential expression.

mRNA-seq was done using NEBNext Poly(A) Magnetic Isolation Module with NEBNext Ultra Directional RNA library kit. GO-term enrichment was analyzed using GOrilla. Statistical significance of data mined from published studies was obtained from the original publications.

Motif Discovery. MEME was used for motif discovery.

Data Availability. The data reported in this paper have been deposited in the Gene Expression Omnibus (GEO), <https://www.ncbi.nlm.nih.gov/geo>, accession no. GSE143595. All other data generated or analyzed during this study are included in this published article, *SI Appendix*, and *Datasets S1* and *S2*.

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