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Activated Endothelial TGF β 1 Signaling Promotes Venous Thrombus Non-Resolution in Mice Via Endothelin-1: Potential Role for Chronic Thromboembolic Pulmonary Hypertension

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Abstract

Rationale: Chronic thromboembolic pulmonary hypertension (CTEPH) is characterized by defective thrombus resolution, pulmonary artery obstruction and vasculopathy. Transforming growth factor-beta (TGF β) signaling mutations have been implicated in pulmonary arterial hypertension, whereas TGF β 's role in the pathophysiology of CTEPH is unknown.

Objective: To determine whether defective TGF β signaling in endothelial cells contributes to thrombus non-resolution and fibrosis.

Methods and Results: Venous thrombosis was induced by *inferior vena cava* ligation in mice with genetic deletion of TGF β 1 in platelets (Plt.TGF β -KO) or TGF β type II receptors in endothelial cells (End.TGF β RII-KO). Pulmonary endarterectomy specimens from CTEPH patients were analyzed using immunohistochemistry. Primary human and mouse endothelial cells were studied using confocal microscopy, quantitative PCR and western blot. Absence of TGF β 1 in platelets did not alter platelet number or function, but was associated with faster venous thrombus resolution, whereas endothelial TGF β RII deletion resulted in larger, more fibrotic and higher vascularized venous thrombi. Increased circulating active TGF β 1 levels, endothelial TGF β RI/

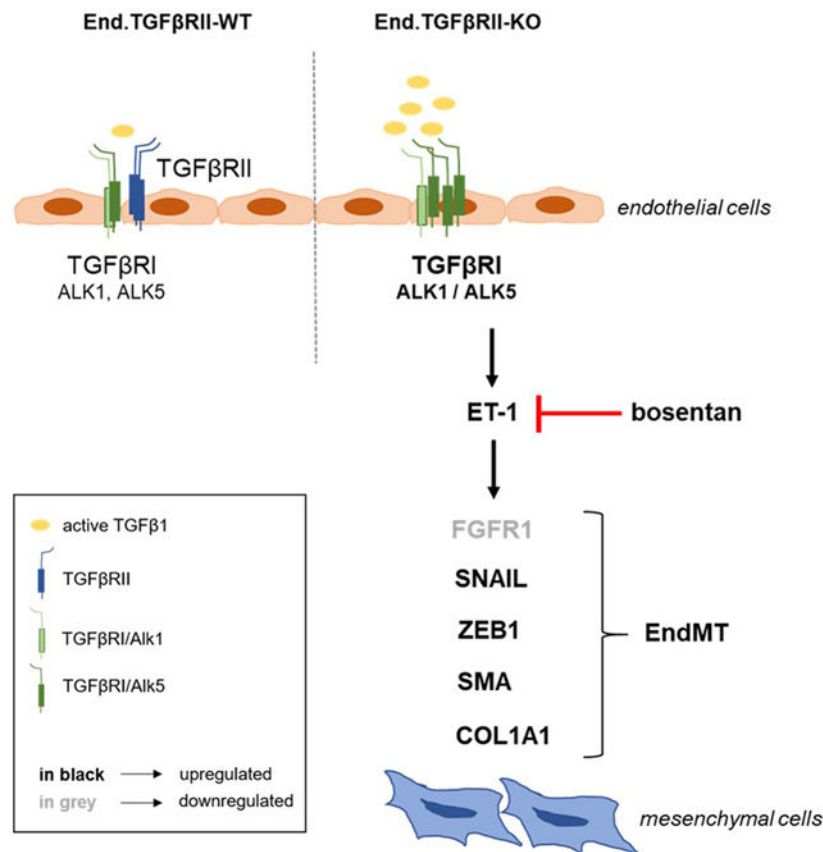
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DISCLOSURE
None declared.

ALK1 and TGF β R1/ALK5 expression were detected in End.TGF β R2-KO mice, and activated TGF β signaling was present in vessel-rich areas of CTEPH specimens. CTEPH-ECs and murine endothelial cells lacking TGF β R2 simultaneously expressed endothelial and mesenchymal markers and transcription factors regulating endothelial-to-mesenchymal transition, similar to TGF β 1-stimulated endothelial cells. Mechanistically, increased endothelin-1 levels were detected in TGF β R2-KO endothelial cells, murine venous thrombi or endarterectomy specimens and plasma of CTEPH patients, and endothelin-1 overexpression was prevented by inhibition of ALK5, and to a lesser extent of ALK1. ALK5 inhibition and endothelin receptor antagonization inhibited mesenchymal lineage conversion in TGF β 1-exposed human and murine endothelial cells and improved venous thrombus resolution and pulmonary vaso-occlusions in End.TGF β R2-KO mice.

Conclusions: Endothelial TGF β 1 signaling via type I receptors and endothelin-1 contribute to mesenchymal lineage transition and thrombofibrosis, which were prevented by blocking endothelin receptors. Our findings may have relevant implications for the prevention and management of CTEPH.

Graphical Abstract



Keywords

Endothelial cells; endothelin-1; fibrosis; pulmonary hypertension; remodeling; thrombosis

Subject Terms:

Basic Science Research; Cell Signaling-Signal Transduction; Fibrosis; Vascular Biology

INTRODUCTION

Pulmonary hypertension encompasses a broad spectrum of cardiac and pulmonary diseases that lead to progressive right heart failure and ultimately death. Chronic thromboembolic pulmonary hypertension (CTEPH) is clinically classified as group 4 of pulmonary hypertension.¹ Histologically, CTEPH can be distinguished from other forms of pulmonary hypertension by the occlusion of pulmonary arteries by unresolved thrombofibrotic material partly recanalized by large and irregular neovessels.^{2, 3}

Previous studies suggested that CTEPH represents the result of an inadequate healing response to acute pulmonary embolism and incomplete resolution of thrombi.⁴ Because the pathomechanisms underlying CTEPH are largely unknown and no therapy is available to reverse this process, surgical removal of the thrombofibrotic material obliterating the pulmonary arteries currently represents the only ‘curative’ approach.

Endothelial cells are important during venous thrombus resolution, as suggested by findings in mice.⁵⁻⁷ Supporting a role for an imbalanced and defective angiogenesis in the pathogenesis of CTEPH, a higher expression of angiostatic growth factors has been reported in endothelial cells isolated from pulmonary endarterectomy (PEA) specimens,⁸ whereas others found that they possess a highly increased *in vitro* angiogenic potential.⁹ Endothelial cells may undergo phenotypic conversion into mesenchymal cells, a process termed endothelial-to-mesenchymal transition (EndMT). EndMT was shown to contribute to tissue fibrosis, including the lung,^{10, 11} but endothelial phenotype switching may also generate migratory, invasive and sprouting cells required for new vessel formation.¹²

Transforming Growth Factor-beta (TGF β) is a multifunctional cytokine affecting many cell types and tissue remodeling processes, including angiogenesis and organ fibrosis. In humans, the TGF β family consists of more than 30 members, including the isoforms TGF β 1, β 2 and β 3. Binding of TGF β ligands assembles a heteromeric receptor complex consisting of two type I (TGF β RI, also called activin receptor-like kinases or ALK1 and ALK5) and two type II (TGF β RII) receptor components, serine-threonine protein kinases which regulate gene expression by activation of SMAD transcription factors.¹³ TGF β RI/ALK5 receptors are broadly expressed and propagate the signal via phosphorylation of SMAD2 and SMAD3. In endothelial cells, TGF β can also activate ALK1, which induces phosphorylation of SMAD1 and SMAD5. The diversity of the endothelial response to TGF β is facilitated, at least in part, via the accessory TGF β receptor endoglin.¹⁴ TGF β type III receptors (TGF β RIII or betaglycan) are ubiquitously expressed and present TGF β ligands to type II receptors.¹⁵

Fibrotic lesions containing large, irregular vessels are typical histological findings in CTEPH. Interestingly, gene mutations of TGF β signaling molecules, such as the bone morphogenetic protein receptor type 2, endoglin, ALK1 or SMAD9 have been reported in

patients belonging to group 1 of pulmonary hypertension.¹⁶ TGF β expression and an important role of TGF β signaling pathways have been demonstrated in pulmonary hypertension experimentally induced by hypoxia¹⁷ or monocrotaline,¹⁸ while TGF β ligand trapping improved the hemodynamics, remodeling and survival in preclinical pulmonary hypertension models.¹⁹ However, the role of TGF β signaling in venous thrombus resolution and thrombofibrosis in CTEPH has never been directly addressed. Using whole genome microarray analysis of human endothelial cells outgrown from CTEPH PEA specimens, we observed a significant upregulation of TGF β and associated signaling molecules (M.L.B., unpublished data). The present study was undertaken to examine the hypothesis that overexpression of TGF β 1 and activated TGF β 1 signaling in endothelial cells is causally involved in venous thrombus non-resolution and the development of thrombofibrosis in CTEPH.

METHODS

A detailed description of all materials and methods is provided in the Online Supplemental Information and are available from the corresponding author upon reasonable request.

Studies involving experimental animals.

Generation of mice: Mice with platelet-specific deletion of transforming growth factor beta 1 (Plt.TGF β -KO) were generated, as described.²⁰ To generate mice with inducible, endothelial-specific deletion of TGF β RII, mice with loxP-flanked (floxed, flox/flox) TGF β RII locus were mated with mice expressing a tamoxifen-inducible Cre recombinase-estrogen receptor ER^{T2} fusion protein under control of the endothelial receptor tyrosine kinase (Tie2) promoter. Mice expressing a green fluorescent reporter gene under control of the Tie2.Cre^{ERT2} promoter were described earlier.²¹ Genotyping was performed using primer pairs shown in Online Table I. Cre recombinase activity was induced with tamoxifen citrate containing rodent chow (TD55125; Harlan Teklad). Age- and sex-matched littermates were used throughout the study. Mice were assigned a numerical code to ensure that experiments and analyses were carried out in a blinded manner.

Induction of venous thrombosis and pulmonary embolism: Venous thrombosis was induced in mice by subtotal ligation of the *inferior Vena cava* (IVC) over a spaceholder (5-0 Prolene suture; Ethicon). Only male mice, aged between 12 and 14 weeks, were examined to minimize any effects of sex-specific differences in the susceptibility to venous thrombosis, as described before.²² Surgical IVC ligation was performed by the same operator blinded to the mouse genotype/treatment group. In a subset of mice, bosentan (Tocris) was used to antagonize endothelin-1 signaling using littermate mice randomly assigned to the control or treatment group. Non-invasive hemodynamic measurements were performed to determine blood flow velocity and the extent of venous thrombosis using high frequency ultrasound (Vevo 3100; VisualSonics). Mice that did not develop a thrombus on day 1 after surgery were excluded from the study. Three weeks after surgery, lungs and the IVC were either paraffin embedded and processed for histological analysis or digested and prepared for flow cytometry. Paraffin-embedded IVC segments were cut into 5 μ m-thick serial longitudinal sections and out of them, five sections (equally spaced through the

thrombus) were stained using Carstairs' to simultaneously detect fibrin and fibrosis and to select the maximal thrombus area (Online Figure IA and IB). Paraffin-embedded lung tissue was cut into 5 μm -thick serial cross sections and stained using Carstairs' or rabbit monoclonal antibodies against fibrinogen (abcam; ab189490), CD41 (exbio; 11-763-C100) or TGF β 1 (Novus Biologicals; MAB240). All experiments involving animals had been approved by the Animal Research Committee of the University of Mainz and the authorities of Rhineland-Palatine and complied with national guidelines for the care and use of laboratory animals.

Enzyme-linked immunoassay: Plasma levels of active TGF β 1, plasminogen activator inhibitor-1 (PAI-1), platelet-factor 4 (PF4) and endothelin-1 (ET-1) were determined using enzyme-linked immunoassay (TGF β 1 from R&D Systems; PAI-1, PF4 and ET-1 from abcam).

Aortic ring assay: Murine aortas cut into 2 mm-sized pieces were embedded in either matrigelTM or collagen type I (both Corning) and cultivated in Endothelial Cell Growth Medium MV2 kit (PromoCell). In some experiments, SB431542 (10 μM ; to block ALK5; Tocris), K02288 (10 μM ; to block ALK1; Selleckchem) or bosentan (10 μM ; to block endothelin receptors; Tocris) were added.

Studies using primary endothelial cells.

Isolation and cultivation: Human Umbilical Vein Endothelial Cells (HUVECs, PromoCell), Human Pulmonary Arterial Endothelial Cells (HPAECs, ATCC), Human Pulmonary Vein Endothelial Cells (HPVECs, CellBiologics) and endothelial cells outgrown from PEA tissue (CTEPH-ECs) were cultivated, as suggested by the supplier or as published.² Mouse primary endothelial cells (mPECs) were isolated from lungs of male and female mice using magnetic beads (Miltenyi).

Immunofluorescence confocal microscopy: Cells were plated onto gelatin-coated coverslips, fixed with 4% PFA and permeabilized using 0.2% Triton X-100 (in PBS; Roth). After blocking of unspecific antigen binding, primary antibodies were added followed by incubation with fluorescence-conjugated secondary antibodies. Images were collected on a Leica LSM710 confocal microscope and analyzed with Leica software (LAS X). Isotype IgG controls (Dako; X0944 for mouse, X0936 for rabbit and Santa Cruz, sc-2026 for rat) were used to exclude unspecific background staining (Online Figure IIA and IIB).

Studies using murine platelets.

Platelet counts in anticoagulated whole blood were determined using an Automated Hematology Analyzer (KX-21N; Sysmex). PRP was obtained by centrifugation of citrated blood and processed for flow cytometry analysis of activated integrin $\alpha\text{IIb}\beta$ 3 expression or light transmission aggregometry in response to ADP (Sigma-Aldrich, A2754), thrombin (Sigma-Aldrich; T6624) or convulxin (EnzoLifeSciences, ALX-350-100-C050), respectively.

Studies involving human tissue.

Patient recruitment: Tissue specimens were obtained from patients diagnosed with CTEPH who underwent PEA surgery at the Kerckhoff Clinic, Department of Thoracic Surgery, Bad Nauheim, Germany. All patients with confirmed CTEPH transferred for PEA were eligible for the study; only patients who refused or withdrew consent were excluded. Plasma samples used in the study were obtained from the *Mainz Registry for Pulmonary Hypertension* (PHYREM) at the University Medical Center Mainz, Germany. Out of 150 consecutive patients who had been prospectively enrolled in the registry at the time that plasma for the present study was requested, we selected samples (n=45 in total), which met specific requirements, such as: (i) plasma availability from three follow-up appointments; (ii) absence of HIV infection; (iii) absence of inflammatory disease; and (iv) absence of congenital heart disease. Of these patients, the diagnosis was chronic thromboembolic pulmonary hypertension (CTEPH) in 19 and pulmonary arterial hypertension in 26. Both studies were conducted in accordance with the amended Declaration of Helsinki and approved by the local ethics committee, and all patients gave written informed consent.

Histology: Serial cross sections and microarrays through PEA tissue specimens were stained using modified Carstairs' stain and classified into five distinct regions containing primarily fresh or organized thrombus, myofibroblasts, vessels or fibrosis, as described.² Interstitial collagen was detected using picrosirius red staining followed by microscopy under polarized light.

Flow cytometry.

Cells were fixed in 0.1% PFA and permeabilized using 0.1% Triton X-100. Unspecific binding was blocked using FcR blocking reagent (Miltenyi Biotech; 130-092-575) followed by incubation with fluorescence-labeled primary antibodies.

Quantitative real time polymerase chain reaction.

Total RNA was isolated using Trizol® reagent (Ambion), treated with DNase I (Promega) and reverse transcribed into cDNA using iScript cDNA Synthesis Kit (BioRad). Primer sequences and quantitative *real time* PCR conditions are shown in Online Table II (human) and Online Table III (mouse).

Western blot.

Cells were lysed in RIPA buffer (Cell Signaling Technology). Proteins were fractionated by gel electrophoresis and transferred to nitrocellulose membranes (Protran®, Whatman). After blocking, membranes were incubated overnight with primary antibodies against ET-1 (ThermoFisher; PA3-067). Antibodies against glyceraldehyde-3-phosphate dehydrogenase (GAPDH; HyTest; 5G4 Mab 6C5) were used to ensure total protein loading. Protein bands were visualized using horseradish peroxidase-conjugated secondary antibodies (Amersham Biosciences; NA934V for rabbit and NA931V for mouse) and detected with SuperSignal® West Pico Substrate (Pierce).

Immunohistochemistry.

Immunohistochemistry was performed on 4% zinc formalin-fixed paraffin sections, as described.² For mouse thrombi, serial sections were used; for PEA specimens, tissue microarrays were prepared. Sections were photographed on an Olympus BX51 microscope and analyzed using analysis software (Image ProPlus). Isotype IgG controls were used to exclude unspecific background staining (Online Figure IIC and IID).

Statistical analysis.

Quantitative data are presented as mean±standard error of the mean (SEM). Normal distribution was examined using the D'Agostino-Pearson omnibus normality test. For comparison of two groups and normal distribution, Student's t-test was performed. If more than two groups and/or different time points were compared, One- resp. Two-way ANOVA followed by Bonferroni's multiple comparisons test were performed. Multiple test correction across experiments was not performed. Non-parametric tests were used if normal distribution was not present. All analyses were performed using GraphPad Prism version 7.04 for Windows (GraphPad Prism Software).

RESULTS

Platelet-specific deletion of TGFβ1 results in faster venous thrombus resolution.

TGFβ1, its receptors (TGFβRII, TGFβRI/ALK1, TGFβRI/ALK5) and phospho-SMAD2/3 or phospho-SMAD1/5 were expressed in resolving venous thrombi obtained from C57BL/6 wild-type mice at day 21 after IVC ligation (Online Figure IIIA). To study the role of TGFβ during venous thrombus resolution, mice with genetic deletion of TGFβ1 in platelets (Plt.TGFβ-KO), the main source of circulating TGFβ1,²³ were subjected to IVC ligation and venous thrombus resolution followed over 21 days. Of note, we and others had previously shown that absence of TGFβ in platelets does not affect platelet function^{24,25} and that platelets contribute to venous thrombus progression in this model.²⁶ The presence and co-localization of TGFβ1 and CD41-positive platelets in 2 days-old venous thrombi is shown in Online Figure IIIB. Non-invasive ultrasound imaging revealed that the percentage of mice forming a venous thrombus (76 vs. 82% in Plt.TGFβ-WT; P=0.3856; Figure 1A) was similar in the Plt.TGFβ-WT and Plt.TGFβ-KO group. In those mice that formed a thrombus and were included in the study, the thrombus area at day 1 after IVC ligation also did not differ (P=0.6818; Online Figure IVA). Circulating platelet numbers (P=0.8359 and P=0.7046, respectively; Online Figure VA) and plasma PF4 levels (P>0.9999 for both; Online Figure VB) did not differ between Plt.TGFβ-WT and Plt.TGFβ-KO mice, uninjured or at day 21 after IVC ligation. Analysis of activated integrin αIIbβ3 expression, without and in response to ADP and thrombin (Online Figure VE and VF) and platelet aggregation in response to thrombin (Online Figure VIA and VIB) also revealed no differences between Plt.TGFβ-WT and Plt.TGFβ-KO mice. Venous thrombi resolved significantly faster in Plt.TGFβ-KO mice compared to Plt.TGFβ-WT controls (P=0.0156 at day 21; Figure 1B) resulting in significantly smaller thrombi at day 21 (2.91±0.33 vs. 5.95±0.62 mm² in Plt.TGFβ-WT mice, P=0.0004; Figure 1C and 1D; 2.31±0.65 vs. 6.11±0.67 mm³, P=0.0067; not shown). Carstairs' staining revealed no differences in the fibrotic area as proportion of the total thrombus area between Plt.TGFβ-KO and Plt.TGFβ-WT mice (22.7±2.02 vs. 26.4±2.06% in

Plt.TGF β -WT mice, $P=0.2115$; Figure 1E and 1F). Similar findings were obtained following immunohistochemistry of smooth muscle α -actin (2.78 ± 0.28 vs. $2.76\pm 0.69\%$ in Plt.TGF β -WT mice, $P=0.9838$; not shown) and sirius red staining of interstitial collagen (6.72 ± 1.83 vs. $5.81\pm 1.16\%$ in Plt.TGF β -WT mice, $P=0.6615$; Figure 1G and 1H).

Deletion of TGF β RII in endothelial cells is associated with increased expression of TGF β type I receptors and activated TGF β 1 signaling.

To examine the importance of TGF β signaling in endothelial cells for thrombus (non)-resolution, we generated mice in which TGF β RII was specifically deleted in endothelial cells (End.TGF β RII-KO). Confocal microscopy analysis confirmed lower TGF β RII expression in endothelial cells lining the IVC of End.TGF β RII-KO mice compared to End.TGF β RII-WT controls (Online Figure VIIA). Flow cytometry analysis of CD31-positive cells from mouse lungs demonstrated significantly reduced numbers of TGF β RII-positive cells in End.TGF β RII-KO mice compared to cells from End.TGF β RII-WT mice (0.25-fold, $P<1\times 10^{-4}$), but also revealed a significant increase in the number of CD31-positive cells expressing ALK1 (2.95-fold, $P=0.0074$), ALK5 (11.3-fold, $P=0.0199$), phospho-SMAD2/3 (1.89-fold, $P=0.0008$) or phospho-SMAD5 (1.85-fold, $P=0.0424$), whereas the number of CD31/TGF β RIII-positive cells did not differ (1.08-fold; $P=0.6189$; Online Figure VIIB). Confocal microscopy analysis confirmed higher TGF β RI/ALK1 and TGF β RI/ALK5 expression in mPECs isolated from End.TGF β RII-KO mice compared to End.TGF β RII-WT controls (Online Figure VIIC).

Total (i.e. latent) TGF β 1 levels in plasma did not differ, however endothelial-specific deletion of TGF β RII was associated with significantly increased plasma levels of activated TGF β 1 (604 ± 1.5 vs. 148 ± 3.2 pg/mL in End.TGF β RII-WT mice; $P=0.0050$). In this regard, mPECs from End.TGF β RII-KO mice were found to overexpress factors involved in TGF β activation, such as matrix metalloproteinase-9 (MMP9; 3.7-fold, $P=0.0202$) or thrombospondin-1 (TSP1; 2.9-fold, $P=0.0089$; Online Figure VIIIA). Activated TGF β 1 plasma levels further increased at day 21 after IVC ligation in End.TGF β RII-WT (25.8-fold), and to a greater extent in End.TGF β RII-KO mice (27.4-fold; $P=0.0377$).

Activated TGF β signaling in endothelial cells delays thrombus resolution and promotes the formation of fibrotic, vessel-rich thrombi.

Next, End.TGF β RII-WT and End.TGF β RII-KO mice were subjected to IVC ligation. The percentage of mice developing a venous thrombus (73 vs. 66% in End.TGF β RII-WT; $P=0.3569$; Figure 2A) and the thrombus area at day 1 after surgery ($P=0.3539$; Online Figure IVB) did not significantly differ between genotypes. Similar to Plt.TGF β mice, plasma PF4 levels (Online Figure VC), platelet activated integrin α IIB β 3 expression (Online Figure VG and VH) and platelet aggregation in response to thrombin (Online Figure VIC and VID) did not differ in End.TGF β RII-WT and End.TGF β RII-KO mice, uninjured or at day 21 after IVC ligation. Preincubation of C57BL/6/J mouse platelets with TGF β 1 (10 ng/mL) did not alter their response to the platelet agonists thrombin (Online Figure VIE) or convulxin (Online Figure VIF). Endothelial deletion of TGF β RII was associated with significantly slower thrombus resolution ($P<1\times 10^{-4}$ vs. End.TGF β RII-WT mice at day 14 and 21 after IVC ligation; Figure 2B) resulting in increased venous thrombus size at day 21 (3.71 ± 1.05

vs. $0.85 \pm 0.38 \text{ mm}^2$ in End.TGF β RII-WT mice, $P=0.0187$; Figure 2C and 2D; 16.63 ± 0.41 vs. $5.23 \pm 1.05 \text{ mm}^3$, $P=0.0005$; not shown). Analysis of mouse lungs revealed significantly higher numbers of vessels occluded with fibrinogen-positive, unresolved thrombotic material in End.TGF β RII-KO mice that had formed a thrombus compared to End.TGF β RII-WT mice (6.6 ± 0.53 vs. 1.0 ± 0.49 occluded arteries in End.TGF β RII-WT mice, $P < 1 \times 10^{-4}$; Figure 3A-3C). In these areas, CD41-positive platelets and strong TGF β 1 immunosignals could also be observed (Figure 3D). Of note, clinical signs of respiratory insufficiency or increased mortality (less than 1% in both groups) were not observed in End.TGF β RII-KO mice. Pulmonary artery obstructions were less frequent in mice that did not develop venous thrombosis, irrespective of their genotype (2.7 ± 0.57 vs. 2.0 ± 0.65 in End.TGF β RII-WT mice, $P=0.9368$), and also not observed in Plt.TGF β mice ($P > 0.9999$; Online Figure IXA-IXC). Venous thrombi in End.TGF β RII-KO mice contained significantly higher amounts of fibrotic material (27.6 ± 2.5 vs. $16.0 \pm 2.8\%$ in End.TGF β RII-WT mice; $P=0.0311$; Figure 2E and 2F) and interstitial collagen (28.2 ± 2.4 vs. $17.9 \pm 2.6\%$; $P=0.0262$; Figure 2G and 2H) compared to End.TGF β RII-WT animals. The SMA-positive area was also significantly increased (6.9 ± 0.5 vs. $3.9 \pm 1.4\%$ in End.TGF β RII-WT mice; $P=0.0465$; Figure 4A and 4C). Furthermore, SMA immunosignals frequently co-localized with CD31-positive cells lining vascular channels, which were increased in thrombi of End.TGF β RII-KO ($P=0.0029$ vs. End.TGF β RII-WT mice; Figure 4D), a finding reminiscent of vessels in patients with CTEPH (Figure 4B).

Flow cytometry analysis of venous thrombi 21 days after IVC ligation confirmed higher numbers of CD31-positive cells also expressing FSP1 (65.9 ± 3.2 vs. $39.9 \pm 5.3\%$ in End.TGF β RII-WT mice; $P=0.0286$; Figure 4E and 4F) or CDH5-positive cells also expressing SMA (23.6 ± 1.7 vs. $13.2 \pm 2.0\%$ in End.TGF β RII-WT mice; $P=0.0286$; Figure 4G and 4H) in End.TGF β RII-KO mice. Lineage tracing employing endothelial reporter animals and confocal microscopy confirmed that (GFP-positive) endothelial cells expressed the mesenchymal markers SMA, FSP1 or DDR2 at day 21 (Online Figure XA), in line with endothelial-to-mesenchymal phenotype conversion during venous thrombus remodeling. On the other hand, and regarding the possible expression of FSP1 in myelomonocytic cells, a cell type also involved in thrombus resolution, the number of Mac2-positive macrophages was decreased within organizing thrombi of End.TGF β RII-KO mice (7.5 ± 0.15 vs. $13.0 \pm 1.7\%$ in thrombi of End.TGF β RII-WT mice; $P=0.0174$; not shown).

Quantitative PCR analysis of CD31-positive mPECs revealed significantly higher mRNA levels of mesenchymal markers and transcription factors involved in regulating endothelial-to-mesenchymal transition (SNAIL; 3.0-fold, $P=0.0079$), TWIST (7.0-fold, $P=0.0119$), ZEB1 (3.6-fold, $P=0.0049$) upon genetic deletion of TGF β RII, whereas mRNA expression of the endothelial marker *Cdh5* was significantly reduced (0.37-fold, $P < 1 \times 10^{-4}$; Online Figure VIIIA). Interestingly, mRNA levels of FGFR1, an antagonist of TGF β signaling involved in EndMT,²⁷ were significantly lower in endothelial cells lacking TGF β type II receptors (0.16-fold, $P=0.0001$; Online Figure VIIIA), and decreased numbers of CD31/FGFR1-positive cells were confirmed using flow cytometry analysis of mouse lungs (3.0-fold, $P < 1 \times 10^{-4}$; Online Figure VIIB). At the functional level, a higher number of endothelial lectin-positive cells co-expressing SMA or FSP1 (44.3 ± 2.0 vs. $1.0 \pm 1.0\%$ and 49.7 ± 1.2 vs. $0.0 \pm 0\%$ in End.TGF β RII-WT, respectively, $P < 1 \times 10^{-4}$ for both; Online Figure XB and XC)

and an increased sprouting into matrigel™ or collagen (342±42 vs. 105±11 μm total sprout length in End.TGFβRII-WT, $P < 1 \times 10^{-4}$, and 258±24 vs. 94±15 μm, $P < 1 \times 10^{-4}$; Online Figure XD) was observed in aortic rings from End.TGFβRII-KO mice, whereas dual-positive cells were rarely detected in their End.TGFβRII-WT counterparts. These findings in transgenic mice suggested that increased TGFβ1 signaling via type I receptors in endothelial cells impairs venous thrombus resolution and that enhanced endothelial-to-mesenchymal transition may play a role in this process.

Evidence of overactive TGFβ signaling and endothelial-to-mesenchymal transition in patients with chronic thromboembolic pulmonary hypertension.

To examine the importance of our findings in mice for thrombus non-resolution in humans, tissue microarrays of PEA specimens from CTEPH patients were immunostained for components of the TGFβ signaling pathway. Expression of TGFβ1 (Figure 5A and 5B) and its receptors TGFβRII (Figure 5A and 5C), TGFβRI/ALK1 (Figure 5A and 5D) and TGFβRI/ALK5 (Figure 5A and 5E) was significantly increased in vessel-rich regions, and TGFβRII- and ALK5-immunopositive cells were also observed at significantly increased numbers in areas containing myofibroblasts. Phospho-SMAD2/3 (Figure 5A and 5F) and phospho-SMAD5-positive cells (Figure 5A and 5G) were also significantly elevated in areas containing vessels compared to all other regions.

Immunohistochemical analysis of PEA tissue microarrays suggested co-localization of immunosignals for CD31 and CDH5 with FSP1, DDR2 or SMA in vessel-rich regions (Online Figure XIA-XIE). Confocal microscopy analysis confirmed that CDH5-positive cells also express SMA, as shown in PEA specimens and primary endothelial cells isolated from CTEPH tissue (Figure 6A). HPAECs examined in parallel were found to express endothelial, but not mesenchymal markers. HPAECs were used as controls in these experiments because preliminary analyses confirmed significantly higher expression of TGFβRII ($P=0.0316$ vs. HUVECs), ALK1 ($P=0.0079$ vs. HPVECs and $P=0.0047$ vs. HUVECs), ALK5 ($P=0.0024$ vs. HPVECs and $P=0.0012$ vs. HUVECs) and endoglin ($P=0.0126$ vs. HPVECs and $P=0.0088$ vs. HUVECs) (Online Figure XIIA-XIID). Quantitative *real time* PCR analysis of CTEPH-ECs demonstrated that CDH5 mRNA expression levels were significantly reduced (0.36-fold, $P=0.0012$; Figure 6C) and SMA (*ACTA2*) mRNA levels significantly increased (23.6-fold, $P < 1 \times 10^{-4}$; Figure 6D) compared to HPAECs, and increased SMA expression was also observed in HPAECs following exposure to TGFβ1, whereas HUVECs (lacking TGFβ receptor type I-III isoforms) did not respond to TGFβ1 (Figure 6B).

Previous studies have shown that TGFβ1 is a major inducer of EndMT.²⁷ To examine the importance of TGFβ1 for EndMT in CTEPH, the expression of endothelial and mesenchymal markers and transcription factors regulating EndMT was examined in CTEPH-ECs and compared to those in HPAECs with and without TGFβ1 stimulation. Highly elevated mRNA levels of transcription factors involved in EndMT, such as SNAIL (8.0-fold, $P < 1 \times 10^{-4}$; Figure 6E), ZEB1 (2.3-fold, $P=0.0043$; Figure 6F) and TWIST (73.4-fold, $P=0.0241$; Figure 6G) were observed in CTEPH-ECs, similar to HPAECs stimulated with TGFβ1. FGFR1 mRNA levels were significantly lower in CTEPH-ECs and TGFβ1-

stimulated HPAECs (0.36-fold, $P=0.0042$, and 0.12-fold, $P=0.0002$, respectively; Figure 6H). Taken together, increased TGF β 1 expression and signaling as well as endothelial-to-mesenchymal transition were observed in vessel-rich areas of CTEPH tissue and primary endothelial cells outgrown from it, supporting a role during the development of thrombofibrotic lesions, similar to our findings in mice.

Endothelin-1 is induced by TGF β 1, elevated in human and murine thrombofibrosis and endothelin receptor antagonization prevents EndMT.

Endothelin receptor antagonists are one of the main therapeutic components in the management of patients with PAH.¹ Stimulation of HPAECs with TGF β 1 significantly increased ET-1 mRNA (11.5-fold, $P<1\times 10^{-4}$ vs. HPAECs; Figure 7A) and protein (2.1-fold, $P<1\times 10^{-4}$; Figure 7B-7D) expression, and this effect could be prevented by coinubation with the ALK5 inhibitor SB431542 ($P<1\times 10^{-4}$ vs. TGF β 1-stimulated HPAECs for mRNA levels in Figure 7A; $P=0.0023$ vs. TGF β 1-stimulated HPAECs for protein levels in Figure 7C) and, although to a lesser extent, by the ALK1 inhibitor K02288 ($P<1\times 10^{-4}$; Figure 7A, 7C and 7D). ET-1 was most prominently expressed in vessel-rich regions in CTEPH PEA specimens ($P<1\times 10^{-4}$ vs. all others; Figure 7E and 7F), and significantly increased ET-1 level were detected in plasma of CTEPH patients (12.6 ± 2.29 pg/mL) compared to healthy controls (2.92 ± 0.26 pg/mL; $P=0.0022$; mean age, 56 years; 40% male; Figure 7G), and ET-1 plasma levels in CTEPH patients were similar to those in patients with PAH (9.2 ± 0.54 pg/mL; $P>0.9999$). Although platelets are present in CTEPH PEA lesions,² plasma levels of platelet factor 4 (PF4) did not differ between patients with CTEPH (224 ± 19.6 pg/mL) or PAH (250 ± 22.4 pg/mL) and those from healthy controls (269 ± 22.3 pg/mL, $P=0.9902$ vs. CTEPH and $P>0.9999$ vs. PAH; Online Figure VD). The clinical characteristics of both patient groups are shown in Online Table IV.

Elevated ET-1 mRNA (8.9-fold, $P=0.0022$; Figure 8A) and protein (Figure 8B) expression was also observed in primary ECs isolated from End.TGF β R2-KO mice compared to those from End.TGF β R2-WT mice. Plasma ET-1 levels in End.TGF β R2-KO animals were significantly elevated compared to End.TGF β R2-WT mice at baseline (18.2 ± 2.09 vs. 7.2 ± 0.71 pg/mL in End.TGF β R2-WT mice, $P=0.0074$) and further increased to a significantly greater extent 21 days after IVC ligation (32.1 ± 4.6 vs. 12.6 ± 3.2 pg/mL in End.TGF β R2-WT mice, $P=0.0002$ vs. End.TGF β R2-WT and $P=0.0030$ vs. End.TGF β R2-KO at baseline; Figure 8C). At this time point, a strong ET-1 immunosignal was observed in resolving murine thrombi, particularly in those of End.TGF β R2-KO mice (Figure 8D). Of note, ET-1 plasma levels and immunosignals in thrombi of Plt.TGF β -KO mice did not differ from those in their Plt.TGF β -WT littermates ($P>0.9999$; Online Figure XIIB and XIIC). Moreover, preincubation of C57BL6/J wild-type platelets with ET-1 (1 μ M) did not alter their response to thrombin or convulxin stimulation (Online Figure VIE and VIF). Antagonization of endothelin receptors using bosentan reduced the outgrowth ($P=0.0347$ in End.TGF β R2-WT and $P<1\times 10^{-4}$ in End.TGF β R2-KO mice; Online Figure XIIB and XIIC) and mesenchymal marker expression (Online Figure XIIC) of endothelial sprouts from aortic rings of End.TGF β R2-KO mice. Importantly, administration of bosentan following IVC ligation significantly reduced venous thrombus size at day 21 in End.TGF β R2-KO mice ($P=0.0079$ vs. control-treated mice) to values similar to those

observed in End.TGF β RII-WT mice (1.4 ± 0.10 vs. 2.3 ± 0.09 mm² in End.TGF β RII-WT mice, $P>0.9999$; Figure 8E and 8F). The number of occluded pulmonary arteries also significantly decreased compared to control-treated End.TGF β RII-KO mice (3.9 ± 0.67 vs. 6.6 ± 0.53 of occluded arteries per microscope field in End.TGF β RII-KO, $P=0.0103$; Figure 3A-3C). Flow cytometry analysis of 21 day-old venous thrombi showed that bosentan increased the relative number of CD31- or Cdh5-positive cells within thrombi (2.1- and 1.8-fold, respectively; $P<1\times 10^{-4}$ and $P<0.0007$ vs. control-treated End.TGF β RII-KO mice), whereas the percentage of CD31- or CDH5-positive cells simultaneously expressing FSP1 or SMA was significantly decreased compared to control-treated End.TGF β RII-KO mice (0.07- and 0.32-fold; $P<1\times 10^{-4}$ and $P=0.0006$ vs. control-treated End.TGF β RII-KO mice; Figure 8G and 8H), confirming our *in vitro* data and underlining the importance of endothelin-1 signaling during thrombus non-resolution and endothelial-to-mesenchymal transition *in vivo*.

Coincubation of HPAECs with bosentan also completely prevented the increased expression of SNAIL ($P=0.0068$; Online Figure XVA), ZEB1 ($P=0.0005$; Online Figure XVB), SMA ($P=0.0013$; Online Figure XVC) and COL1A1 ($P=0.0009$; Online Figure XVD) in HPAECs stimulated with TGF β 1, whereas it increased the expression of FGFR1, a major inhibitor of EndMT ($P<1\times 10^{-4}$; Online Figure XVE). Of note, bosentan also normalized the TGF β 1-induced mRNA overexpression of ET-1 to levels observed in unstimulated human endothelial cells ($P=0.0003$; Online Figure XVF). Findings very similar to those after bosentan with regard to SMA, COL1A1 and FGFR1 mRNA expression were observed in TGF β 1-stimulated HPAECs treated with the ALK5 inhibitor SB431542 ($P=0.0011$, $P=0.0049$ and $P<1\times 10^{-4}$, respectively), whereas inhibition of ALK1 using K02288 had no effect or increased the levels of the respective mRNAs ($P=0.0232$, $P>0.9999$ and $P>0.9999$, respectively; Online Figure XVIA-XVIC). Also, SB431542 and bosentan significantly increased the mRNA expression of ALK1 ($P=0.0001$ and $P=0.0033$, respectively; Online Figure XVIIIA), whereas K02288 reduced the expression of ALK5 in HPAECs stimulated with TGF β 1 ($P=0.0428$; Online Figure XVIIIB).

In summary, our findings in two transgenic mouse strains at late time points following experimental venous thrombosis, in PEA tissue microarrays of patients with CTEPH as well as in human and mouse pulmonary endothelial cells suggest that TGF β RI-mediated induction of ET-1 and signaling via ALK5 promotes endothelial-to-mesenchymal lineage conversion and thrombofibrosis and that these sequelae of increased circulating active TGF β 1 levels and TGF β signaling in endothelial cells are reversible upon antagonization of endothelin receptors (Online Figure XVIII).

DISCUSSION

A distinctive feature of CTEPH is the obliteration of pulmonary arteries with thrombofibrotic material. TGF β is a multifunctional growth factor regulating diverse biological processes including angiogenesis and fibrosis, whereas much less is known about its role during thrombus remodeling. Our group has recently shown that TGF β 1 released from activated platelets promotes neointima formation following carotid artery injury and thrombosis.²⁵ Using a mouse model of venous thrombosis, we now demonstrate a major role

of TGF β signaling during postthrombotic vascular remodeling also in the venous system. More specifically, we showed that platelet-specific deletion of TGF β 1 did not alter platelet number or activation or their response to agonist stimulation, in line with previous reports,^{25,26} but accelerates the resolution of venous thrombi. On the other hand, increased levels of active TGF β 1 in plasma, as observed after endothelial-specific TGF β RII deletion possibly due to increased MMP9 and TSP1 expression and latent TGF β 1 activation, resulted in delayed venous thrombus resolution and persistent pulmonary vascular obstructions with platelet-/fibrin-rich material also containing TGF β 1. In these mice, overexpression of TGF β type I receptors and endothelin-1 was observed, and activated TGF β signaling and ET-1 overexpression was also present in plasma and PEA specimens of patients with CTEPH, particularly in areas containing vessels. Importantly, findings of enhanced endothelial sprouting, mesenchymal lineage transition and venous thrombus non-resolution could be reversed by endothelin receptor antagonization.

Defective angiogenesis and impaired thrombus revascularization may underlie the accumulation of thrombofibrotic material in pulmonary arteries of patients with CTEPH. Mice with inducible genetic deletion of TGF β RII in endothelial cells exhibited larger venous thrombi containing numerous enlarged vascular structures and increased amounts of myofibroblasts and collagen, thus recapitulating some of the typical features of the vascular lesions in CTEPH. Regarding the mechanisms underlying the delayed thrombus resolution in the presence of overactivated endothelial TGF β signaling, differential expression of TGF β target genes involved in vein wall remodeling, in particular uPA and MMP9,²⁸ may have played a role, whereas plasma or endothelial mRNA levels of PAI-1 did not differ. In addition to fibrinolysis, blocking of ligand-induced VEGFR phosphorylation⁵ or deletion of VEGFR receptors in Tie2-positive cells⁷ was shown to delay the resolution of murine venous thrombi, whereas VEGF gene therapy enhanced this process.⁶ TGF β 1 downregulates the expression and binding capacity of VEGF receptors,²⁹ and our analyses revealed significantly lower levels of VEGF and its main receptors in mPECs from End.TGF β RII-KO mice. On the other hand, mRNA expression of angiotensin-2, a negative regulator of angiotensin-1–Tie2 signaling and angiogenesis,³⁰ was elevated in endothelial cells lacking TGF β RII. Plasma and tissue levels of angiotensin-2 are upregulated in patients with idiopathic PAH and have been proposed as biomarker of disease severity.³¹

Although the vasculopathy in CTEPH is believed to be the result of thrombus non-resolution,³ prothrombotic alterations such as highly activated platelets and increased spontaneous platelet aggregation have also been reported.^{32,33} In this regard, plasma PF4 levels were not found to be elevated in patients with CTEPH compared to those with non-thrombotic forms of pulmonary hypertension or healthy controls in our study. Importantly, deletion of TGF β 1 in platelets did not alter platelet number and activation or their aggregatory response to agonist stimulation, suggesting that the observed differences in vein thrombus size are not due to differences in the aggregatory response of platelets derived from Plt.TGF β -KO mice, but a reduction in TGF β released from activated platelets following IVC ligation.

Previous studies have shown that TGF β is indispensable during angiogenesis, an integral part of almost every tissue remodeling process. Severe vascular defects and an

embryonically lethal phenotype were observed in mice with global gene inactivation³⁴ or constitutive deletion of TGF β type II receptors in Tie1.Cre³⁵ and Tie2.Cre³⁶ expressing cells. Immature, highly dilated and fused yolk sac blood vessels and resorption at E11.5 were present in mice with systemic inactivation of type I TGF β receptors.³⁷ Endothelial-restricted deletion of TGF β RII in adult mice did not affect vessel morphogenesis.³⁸ Also in our study, a vascular phenotype of endothelial TGF β RII deficiency was only observed following thrombotic vein injury. Interestingly, thrombin was shown to promote the internalization of TGF β type II receptors in endothelial cells³⁹ suggesting that downregulation of endothelial TGF β RII occurs *in vivo* in response to prothrombotic stimuli.

The angiogenic response to TGF β depends on the balance between two distinct TGF β type I receptor signaling pathways, with endothelial-restricted ALK1 promoting endothelial cell proliferation, migration and sprouting and the broadly expressed ALK5 favoring blood vessel maturation and extracellular matrix synthesis.^{40,41} TGF β has been shown to possess a higher affinity for ALK5 than ALK1.⁴² Although basal endothelial sprouting and outgrowth activity was increased in End.TGF β RII-KO mice, endothelial cells lacking TGF β type II receptors were less mature (as indicated by lower CDH5 expression) and also expressed mesenchymal markers. Co-localization with mesenchymal markers and increased expression of transcriptional regulators of EndMT were also observed in murine venous thrombi and in human CTEPH-ECs *ex vivo* or PEA specimens *in situ*. Expression of mesenchymal markers in TGF β 1-stimulated HPAECs could be inhibited blocking ALK5 signaling, whereas ALK1 inhibitors had no effect. Endothelial phenotype switching may be necessary to generate highly migratory and invasive cells and to initiate angiogenesis, as suggested by findings in brain-derived microvascular endothelial cells where stimulation with TGF β 1 was shown to simultaneously induce angiogenesis and EndMT.⁴³

Increased plasma levels of TGF β 1 and the presence of EndMT has been reported in patients with PAH^{10,44} or experimental models of the disease.¹¹ Despite the prominent presence of thrombofibrosis in CTEPH, few studies have examined EndMT in this subgroup of pulmonary hypertension. *Ex vivo*, 'transitional' cells co-expressing endothelial and smooth muscle cell markers were observed in endarterectomy material of patients with CTEPH,⁴⁵ similar to our findings in PEA specimens. Moreover, conditioned medium from the myofibroblast-like cells was found to contain higher amounts of TGF β 1 and to induce smooth muscle α -actin expression in co-cultured endothelial cells.⁴⁵ TGF β signaling via SMAD2/3–SLUG was also shown to mediate EndMT during human interpositional vein graft remodeling.⁴⁶ Although a recent study reported reduced tubulointerstitial renal fibrosis in mice with endothelium-specific heterozygous TGF β type II receptor deletion,⁴⁷ the remaining TGF β RII allele may have prevented the increase in TGF β 1 and TGF β RI expression observed in our model.

The findings of this study also suggest a role for ET-1 during endothelial-to-mesenchymal lineage conversion and thrombofibrosis downstream of TGF β 1 and its receptors. Previous studies have shown that TGF β is a potent inducer of ET-1,⁴⁸ and that TGF β and ET-1 synergistically promote extracellular matrix production and fibrosis.⁴⁹ Increased production of ET-1 has been observed in various human fibrotic diseases, including pulmonary hypertension,^{10,50} and ET-1 may potentiate the profibrotic effects of TGF β via mechanisms

involving EndMT.⁵¹ Increased ET-1 expression has been primarily reported in patients with non-thrombotic forms of pulmonary hypertension and shown to play a role in pulmonary artery vasoconstriction, pulmonary arterial smooth muscle cell proliferation and pulmonary hypertension.⁵² A previous study examining the plasma miRNA profile of patients with CTEPH found miRNA let-7c to be downregulated and identified TGF β 1 and ET-1 as its main targets.⁵³ In this and another study,⁵⁴ significantly higher plasma ET-1 levels were detected in patients with CTEPH, similar to our findings. Beyond the well-known vasoactive and mitogenic effects of ET-1, a direct role for ET-1 during thrombus resolution has never been shown. Of note, we also could not detect any effects of ET-1 (and of TGF β 1) on wild-type platelet response to agonist stimulation. Here we demonstrate that endothelin receptor antagonization prevented the TGF β 1-induced expression of receptors and transcriptional regulators involved in EndMT, SMA and collagen expression and also restored venous thrombus size and the presence of pulmonary vaso-occlusions in End.TGF β R2-KO mice to findings in End.TGF β R2-WT mice. Although a causal role of endothelin-1 in CTEPH has not been directly demonstrated, ET receptor antagonists are prescribed in patients with inoperable CTEPH or persistent/recurrent pulmonary after PEA and have been shown to improve hemodynamic parameters and survival.^{55,56} Our findings of increased ET-1 expression in chronic murine venous thrombi, human CTEPH endothelial cells or PEA specimens and reversal of overactivated endothelial TGF β signaling following endothelin receptor antagonization provide a pathophysiological basis of this current clinical practice and also support the usefulness of blocking endothelin-1 signaling beyond its vasoactive effects.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Nonstandard Abbreviations and Acronyms:

ALK	activin receptor-like kinase
CTEPH	chronic thromboembolic pulmonary hypertension
CTEPH-ECs	endothelial cells outgrown from CTEPH tissue

EndMT	endothelial-to-mesenchymal transition
ET-1	endothelin-1
FGFR1	fibroblast growth factor receptor 1
FSP-1	fibroblast-specific protein-1
HPAECs	human pulmonary arterial endothelial cells
HPVECs	human pulmonary vein endothelial cells
HUVECs	human umbilical vein endothelial cells
IVC	<i>inferior Vena cava</i>
mPECs	mouse pulmonary endothelial cells
PAH	pulmonary arterial hypertension
PAI-1	plasminogen activator inhibitor-1
PEA	pulmonary endarterectomy
PF4	platelet factor 4
PFA	paraformaldehyde
PRP	platelet-rich plasma
SMA	smooth muscle alpha-actin
SMAD	small mothers against decapentaplegic homolog
SNAIL	snail family zinc finger 1
TGFβ	transforming growth factor-beta
Tie2	endothelial receptor tyrosine kinase
TMA	tissue microarrays
TWIST1	Twist-related protein 1
ZEB1	zinc finger E-box binding homeobox 1

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NOVELTY AND SIGNIFICANCE

What Is Known?

- Chronic thromboembolic pulmonary hypertension (CTEPH) is a life-threatening cardiovascular disease characterized by obstruction of pulmonary artery branches by unresolved thrombofibrotic material.
- Currently, there is no therapy to “prevent” development of CTEPH after venous thromboembolism, and treatment consists mostly of surgical extraction of the thrombofibrotic material.
- Mutations of genes encoding TGF β signaling molecules have been implicated in the pathophysiology of other forms of pulmonary arterial hypertension.

What New Information Does This Article Contribute?

- We show that increased TGF β 1 signaling in endothelial cells via ALK5/TGF β RI receptors delays the resolution of venous thrombi in mice and results in chronic pulmonary thromboembolism.
- We identified activated TGF β signaling and plasma levels of endothelin-1 (ET-1) as a potential pathomechanism underlying venous thrombus non-resolution and thromboembolism.
- Inhibition of ET-1 with bosentan, an ET-1 receptor antagonist, reversed the conversion of endothelial cells to myofibroblasts and improved venous thrombus resolution but also inhibited thrombotic pulmonary obstructions.

Our findings in two transgenic mouse strains following experimental thrombosis of the inferior vena cava are supported by PEA tissue microarrays of patients with CTEPH and analysis of human and mouse pulmonary endothelial cells. Taken together, our results suggest that ALK5/TGF β RI-mediated induction of ET-1 promotes endothelial-to-mesenchymal lineage conversion and thrombofibrosis, and that these sequelae of increased circulating active TGF β 1 levels and TGF β signaling in endothelial cells are reversible upon antagonization of endothelin receptors. They may thus have clinically relevant implications for patients with, or at risk of developing CTEPH.

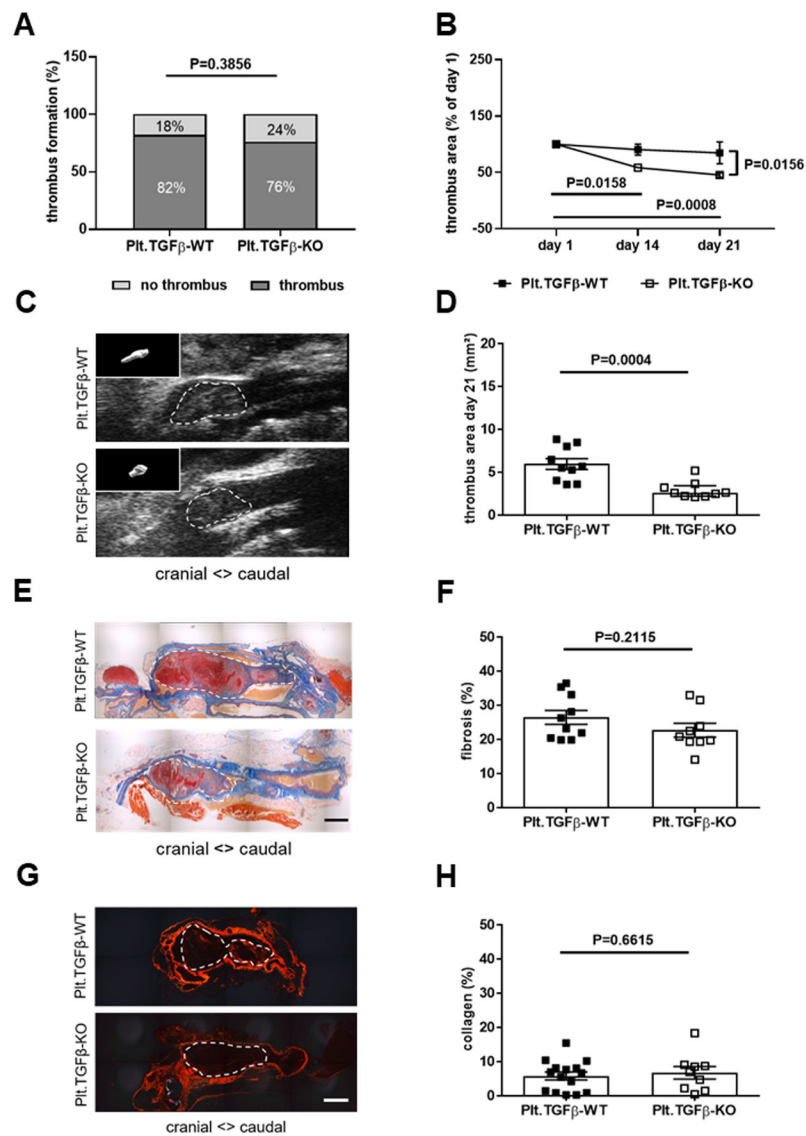


Figure 1. Platelet-specific deletion of TGFβ1 and venous thrombus resolution.

The percentage of mice that formed a venous thrombus on day 1 after IVC ligation is shown (A). Thrombus resolution (B) and thrombus area at day 21 after IVC ligation (C, D) were measured by ultrasound in Plt.TGFβ-WT (n=10) and Plt.TGFβ-KO (n=9) mice. In panel B, thrombus area at day 21 is expressed relative to thrombus area at day 1 after surgery. In panel C, representative ultrasound snapshots of thrombosed IVC segments in Plt.TGFβ-WT and Plt.TGFβ-KO mice at day 21 after IVC ligation are shown (with 3-dimensional thrombus reconstruction as insert). Representative pictures of longitudinal cross-sections through the thrombosed IVC at day 21 after ligation following Carstairs' staining (E) and quantification of thrombofibrosis (blue signal) (n=10 Plt.TGFβ-WT and n=9 Plt.TGFβ-KO mice; F). Representative pictures after Sirius red stain (G) and quantification of interstitial collagen (red signal) (n=15 for Plt.TGFβ-WT and n=9 for Plt.TGFβ-KO mice; H). Exact p-values, as determined by χ^2 test in (A), Two-Way ANOVA followed by Bonferroni's multiple comparisons test (3 comparisons per genotype) in (B; non-significant p-values are

not shown), Mann-Whitney test in (D) and Student's t-test in (F) and (H), are shown in the graphs. Scale bars in (E) and (G) represent 200 μm .

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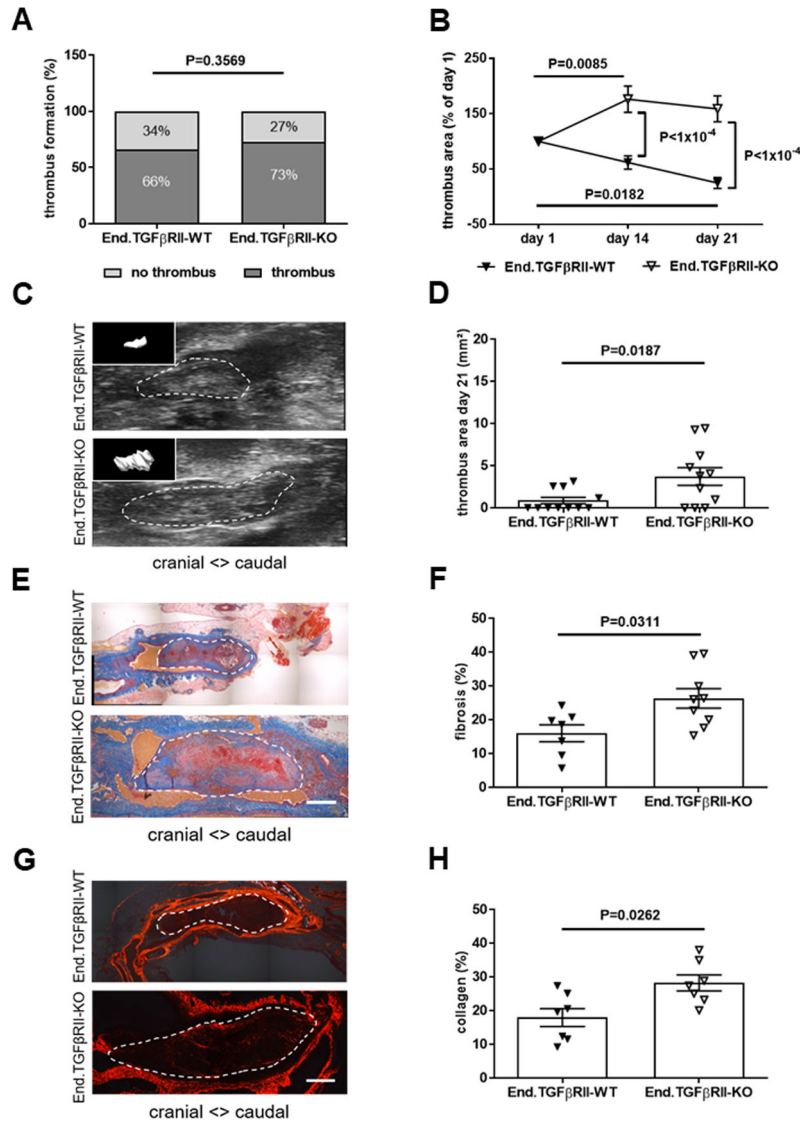


Figure 2. Endothelial-specific deletion of TGFβRII and venous thrombus remodeling.

The percentage of mice that formed a venous thrombus on day 1 after IVC ligation is shown (A). Thrombus resolution (B) and thrombus area (C, D) measured by ultrasound at day 21 after IVC ligation in End.TGFβRII-WT and End.TGFβRII-KO mice (n=11 mice per group). In panel B, thrombus area at day 14 and 21 is expressed relative to thrombus area at day 1. In panel C, representative ultrasound snapshots of thrombosed IVC segments in End.TGFβRII-WT and End.TGFβRII-KO mice at day 21 after IVC ligation shown (with 3-dimensional thrombus reconstruction as insert). Representative pictures of longitudinal cross-sections through the thrombosed IVC at day 21 after ligation following Carstairs' staining (E) and quantification of thrombofibrosis (blue signal) (n=7 End.TGFβRII-WT and n=9 End.TGFβRII-KO mice; F). Representative pictures after Sirius red stain (G) and quantification of interstitial collagen (red signal) (n=7 mice per group; H). Exact p-values, as determined by χ^2 test in (A), Two-Way ANOVA followed by Bonferroni's multiple comparisons test (3 comparisons per genotype) in (B; non-significant p-values are not

shown), and Mann-Whitney test in (D, F and H) are shown in the graphs. Scale bars represent 200 μm .

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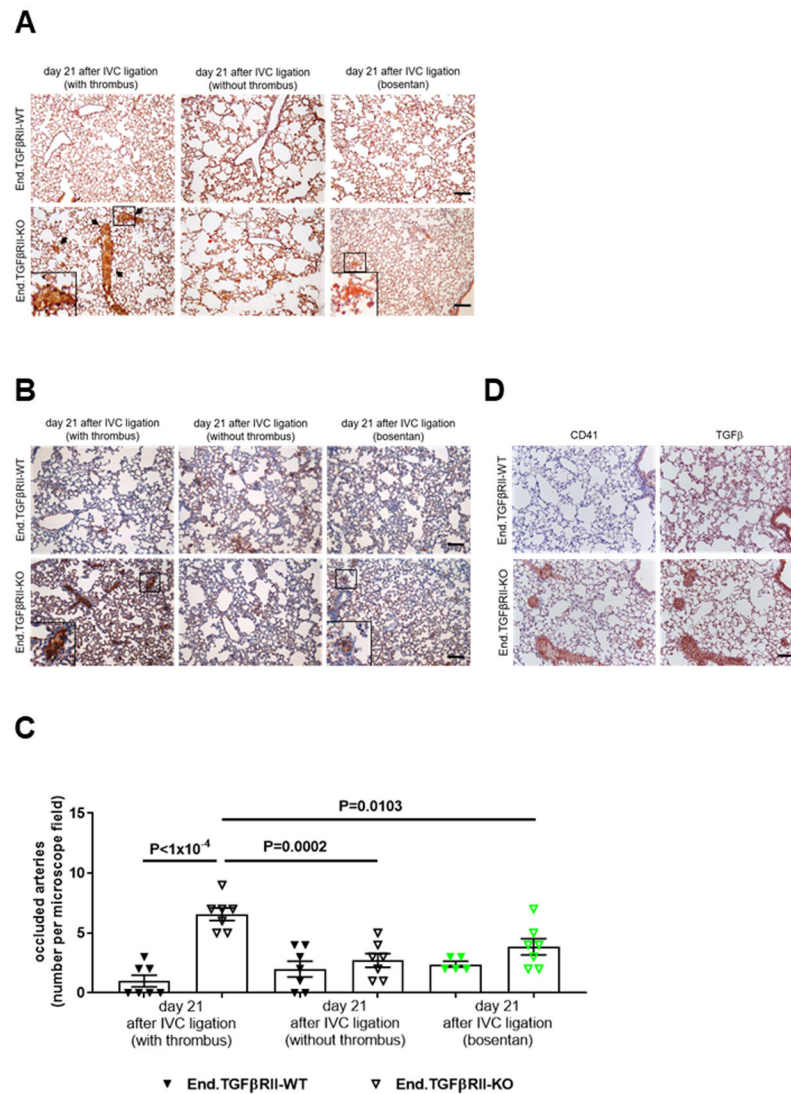


Figure 3. Detection of pulmonary vascular occlusions following venous thrombosis.

Representative images after analysis of pulmonary artery obstructions in PBS- and formalin-infused paraffin-embedded mouse lungs from End.TGFβRII-WT and End.TGFβRII-KO mice at day 21 after IVC ligation using Carstairs' staining (A; arrows) or immunostaining of fibrinogen (B). Mice that developed a venous thrombus at day 1 and mice without a thrombus were examined separately to distinguish pulmonary embolization and in situ thrombosis (n=7 mice per group). End.TGFβRII-KO mice treated with bosentan over 3 weeks were also examined (marked in green; n=5 End.TGFβRII-WT and n=7 End.TGFβRII-KO mice). Scale bars represent 10 μm. The results of the quantitative analysis of red, fibrin-rich material (arrows in A) in the pulmonary parenchyma are shown (C). Exact p-values, as determined by One-Way ANOVA followed by Bonferroni's multiple comparisons test (7 comparisons) are shown in panel C. Non-significant p-values are not shown. Representative images of lungs from End.TGFβRII-WT and End.TGFβRII-KO mice at day 21 after IVC ligation showing results after immunostaining for the platelet marker CD41 and TGFβ1. Scale bar represent 10 μm.

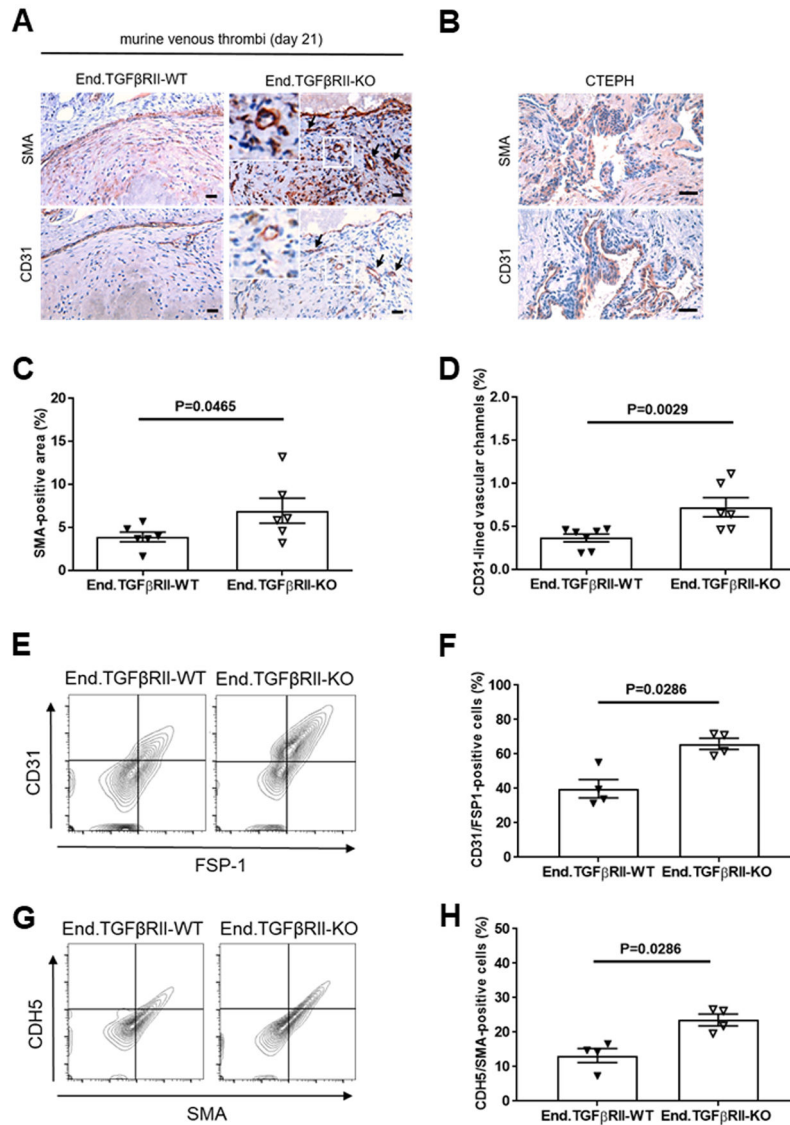


Figure 4. Presence of myofibroblasts and endothelial cells in chronic venous thrombi of End.TGFβRII mice.

Representative images and quantitative analysis of the SMA-immunopositive area (A, C) and vascular channels lined by CD31-immunopositive cells (A, D) in 21-days-old venous thrombi of End.TGFβRII-WT (n=6 in C and n=7 in D) and End.TGFβRII-KO mice (n=6). Data shown in panel D represent the area lined by CD31-positive channels per total thrombus area (%). Scale bars represent 10 μm. Cells expressing endothelial and myofibroblast markers are highlighted by black arrows. Representative images of SMA- and CD31-immunopositive cells in vessel-rich regions of PEA tissue specimens from patients with CTEPH are shown in (B). Scale bars represent 100 μm. Representative flow cytometry dot blots after analysis of 21-days-old venous thrombi for the number of cells double-positive for CD31 and FSP1 (n=4 mice per group; E, F) or CDH5 and SMA (n=4 mice per group; G, H). Exact p-values, as determined by Mann-Whitney test, are shown in panels C, D, F and H.

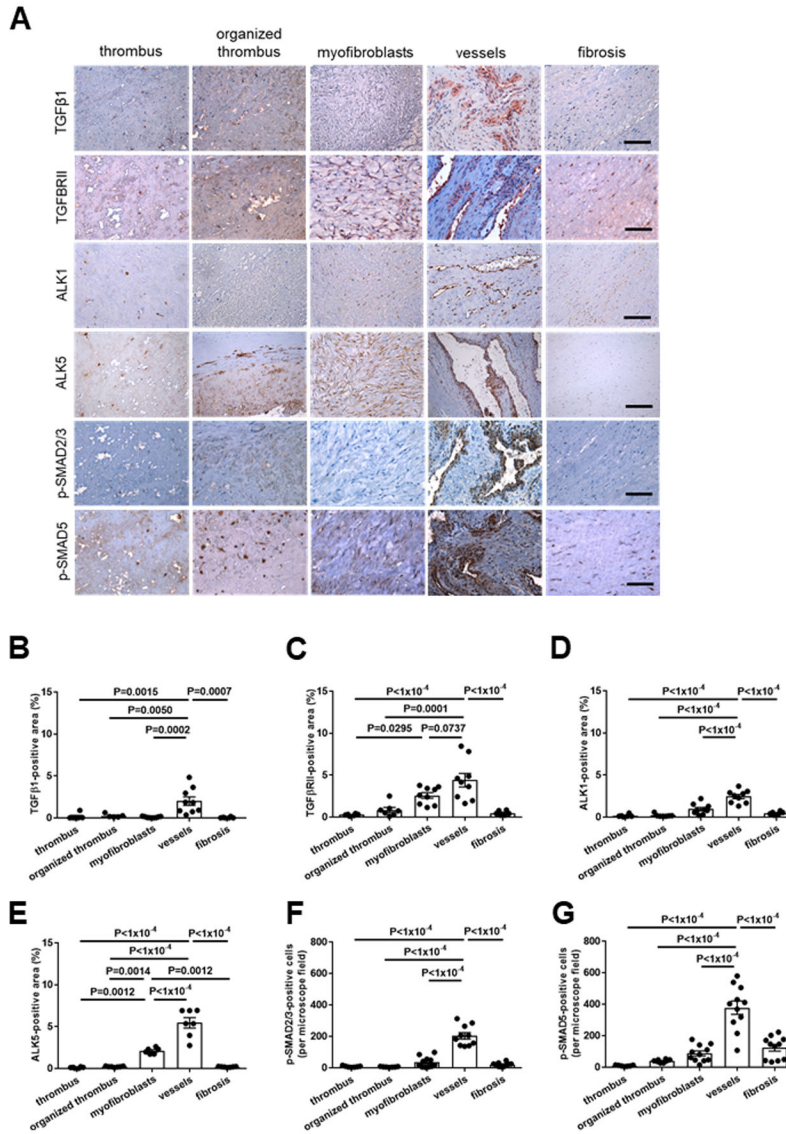


Figure 5. Expression of TGFβ1, its receptors and downstream signaling mediators in pulmonary endarterectomy specimens of patients with CTEPH.

Representative images in regions primarily containing fresh or organized thrombus, myofibroblasts, vessels or fibrosis (A) and the results of the quantitative analysis after immunohistochemical analysis of the expression of TGFβ1 (B), TGFβRII (C), TGFβRI/ALK1 (D), TGFβRI/ALK5 (E), p-SMAD2/3 (F) and p-SMAD5 (G) in PEA samples from n=9 CTEPH patients are shown. Scale bars represent 100 μm. Exact p-values, as determined by One-Way ANOVA followed by Bonferroni's multiple comparisons test (10 comparisons), are shown in panels B-G. Non-significant p-values are not shown.

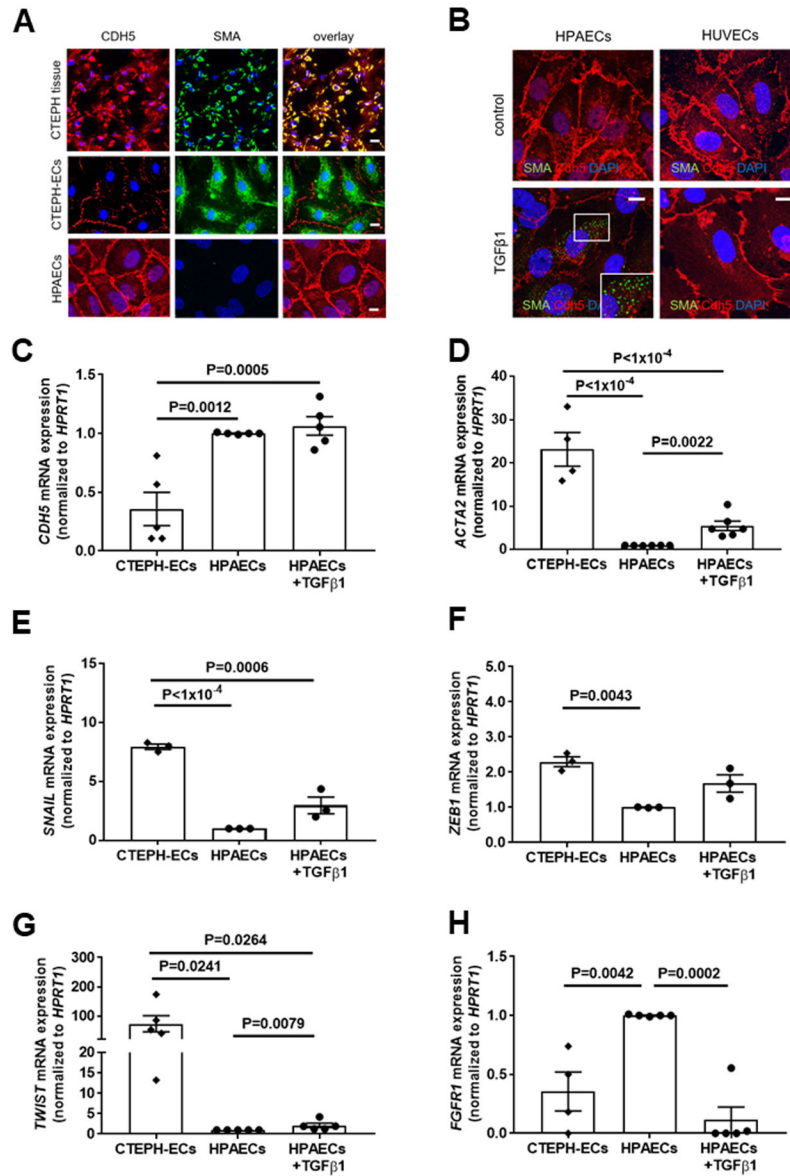


Figure 6. Expression of endothelial and mesenchymal markers in primary human pulmonary endothelial cells from patients with CTEPH: comparison to TGFβ1 stimulation.

Representative pictures after immunofluorescence confocal microscopy for VE-cadherin (CDH5) and SMA in CTEPH tissue specimens, endothelial cells outgrown from CTEPH tissue (CTEPH-ECs) and HPAECs (A). Representative confocal pictures for CDH5 and SMA in HPAECs and HUVECs with and without TGFβ1 stimulation (10 ng/ml for 7 days) to induce EndMT (B). Quantitative analysis of *CDH5* (n=5 biological replicates; C), *SMA* (*ACTA2*; n=4 for CTEPH and n=6 for all others; D), *SNAIL* (n=3; E), *ZEB1* (n=3; F), *TWIST* (n=5; G) and *FGFR1* (n=4 for CTEPH and n=5 for all others; H) mRNA expression in HPAECs with and without TGFβ1 stimulation (10 ng/mL for 7 days) and CTEPH-ECs. Scale bars represent 10 μm. Exact p-values, as determined by One-Way ANOVA followed by Bonferroni's multiple comparisons test (3 comparisons) and by Mann-Whitney test for

the comparison of HPAECs with and without TGF β 1 stimulation, are shown in panels C-H. Non-significant p-values are not shown.

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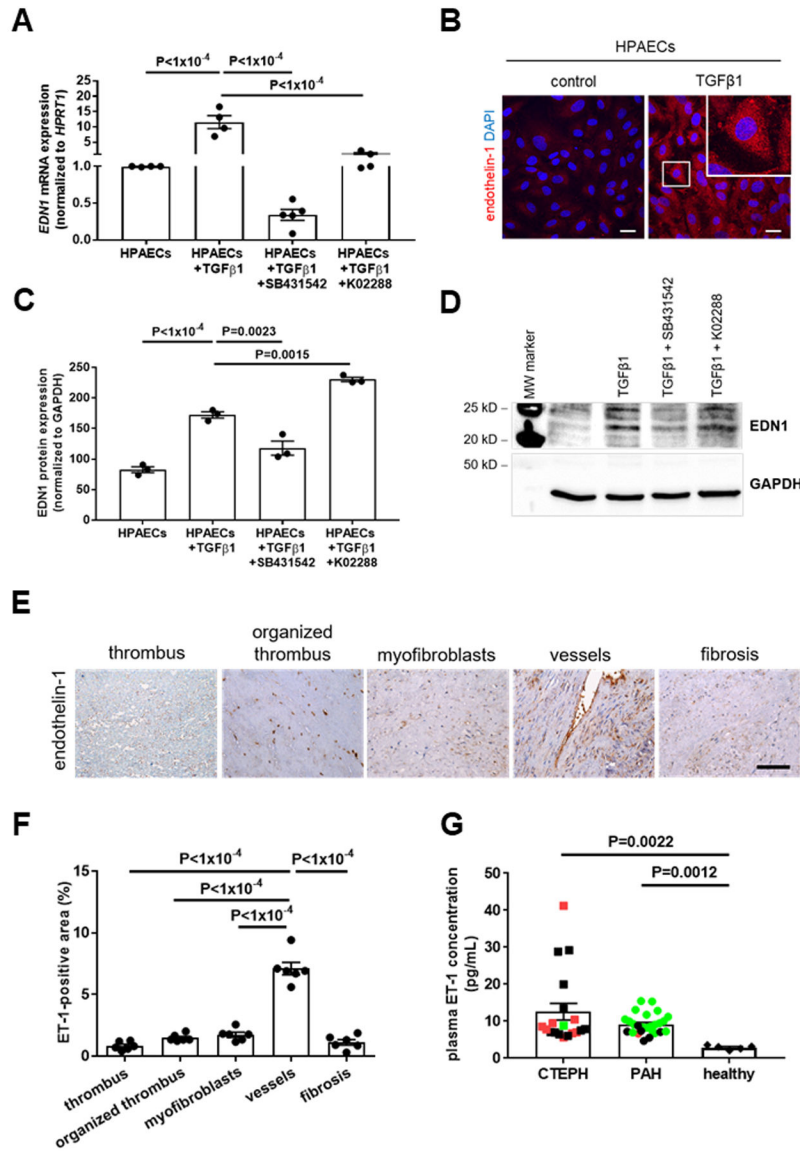


Figure 7. Endothelin-1 expression in primary human pulmonary endothelial cells and in patients with CTEPH: effect of TGFβ1.

Quantitative *real time* PCR (n=4 biological replicates; **A**) and western blot analysis (n=3 biological replicates; **C**) of endothelin-1 (EDN1) mRNA or protein expression in HPAECs treated with TGFβ1 (10 ng/mL for 7 days). A representative western blot membrane is shown in **(D)**. In some experiments, SB431542 (10 μM) was added to block ALK5 or K02288 (10 μM) to block ALK1 dependent signaling. Exact p-values, as determined by One-Way ANOVA followed by Bonferroni’s multiple comparisons test (4 comparisons), are shown in panels A and C. Data are normalized to *HPRT1* and are expressed as -fold change vs. HPAECs (set at 1). Representative confocal pictures of HPAECs treated with TGFβ1 after immunodetection of endothelin-1 (ET-1; **B**). Scale bars represent 10 μm. Analysis of ET-1 expression in human n=6 PEA specimens primarily containing fresh or organized thrombus, myofibroblasts, vessels or fibrosis. Representative pictures (**E**) and the results of the quantitative analysis (**F**) are shown. Scale bar represents 100 μm. Exact p-values, as

determined by One-Way ANOVA followed by Bonferroni's multiple comparisons test (10 comparisons), are shown in panel F. Plasma levels of ET-1 in patients with CTEPH (n=19) or PAH (n=26) and healthy individuals (n=5; **G**). Patients who received endothelin receptor antagonists are marked in green, patients who received soluble guanylate cyclase stimulators are marked in red. Exact p-values, as determined by Kruskal-Wallis test followed by Dunn's multiple comparisons test (3 comparisons), are shown in panel G. Non-significant p-values are not shown.

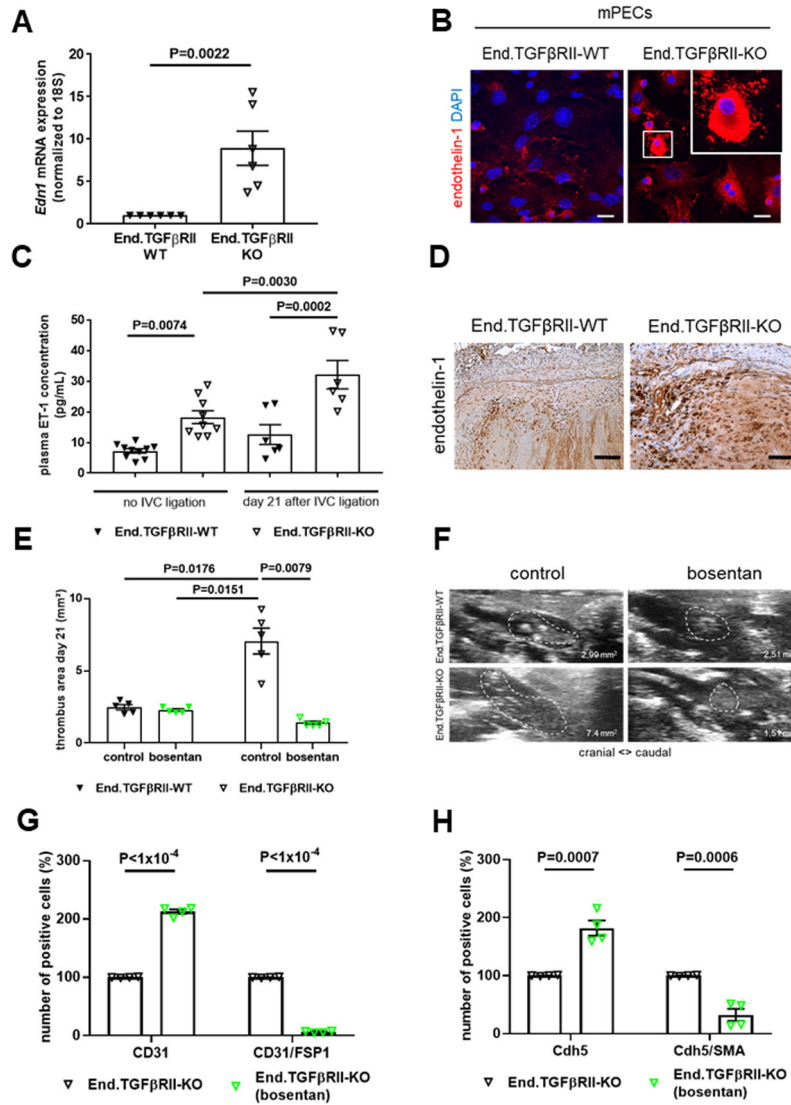


Figure 8. Endothelin-1 expression in mouse pulmonary endothelial cells or venous thrombi and effects of endothelin receptor antagonization on EndMT.

Quantitative *real time* PCR analysis (**A**) and representative confocal pictures (**B**) after analysis of endothelin-1 (EDN1) mRNA or protein expression in mPECs isolated from End.TGFβRII-WT and End.TGFβRII-KO mice and cultured in MV2 medium. Exact p-values, as determined by Mann-Whitney test are shown (n=6 biological replicates). Data are normalized to 18S and are expressed as -fold change vs. End.TGFβRII-WT mice (set at 1). Scale bars represents 10 μm. Plasma levels of ET-1 in samples from End.TGFβRII-WT and End.TGFβRII-KO mice uninjured (no IVC ligation; n=10 per group) and 21 days after IVC ligation (n=6 per group). Exact p-values, as determined by One-Way ANOVA followed by Bonferroni's multiple comparisons test (4 comparisons), are shown. Non-significant p-values are not shown. Representative images of endothelin-1 protein expression in thrombosed vein wall segments 21 days after IVC ligation of End.TGFβRII-WT and End.TGFβRII-KO mice (**D**). Scale bars represent 10 μm. Quantitative analysis of thrombus area at day 21 after IVC ligation in End.TGFβRII-WT and End.TGFβRII-KO controls and

mice treated with bosentan (n=5 per group; 5 mg/kg BW; **E**). Representative ultrasound images are shown in (**F**). Quantitative flow cytometry analysis of CD31- and CD31/FSP1 (n=4 per group; **G**) or CDH5- and CDH5/SMA (n=4 per group; **H**) double-positive cells residing within 21 day-old thrombi of End.TGF β RII-KO control- and bosentan-treated mice. Results in mice treated with bosentan are labeled in green. Exact p-values, as determined by Two-Way ANOVA followed by Bonferroni's multiple comparisons test (6 comparisons; **E**) and multiple t test (**G** and **H**), are shown. Non-significant p-values are not shown.

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