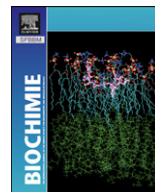




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## Review

## STAT1 and pathogens, not a friendly relationship

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## ARTICLE INFO

## Article history:

Received 3 December 2009

Accepted 9 February 2010

Available online 13 February 2010

## Keywords:

STAT1

Pathogens

## ABSTRACT

STAT1 belongs to the STAT family of transcription factors, which comprises seven factors: STAT1, STAT2, STAT3, STAT4, STAT5A, STAT5B and STAT6. STAT1 is a 91 kDa protein originally identified as the mediator of the cellular response to interferon (IFN)  $\alpha$ , and thereafter found to be a major component of the cellular response to IFN $\gamma$ . STAT1 is, in fact, involved in the response to several cytokines and to growth factors. It is activated by cytokine receptors via kinases of the JAK family. STAT1 becomes phosphorylated and forms a dimer which enters the nucleus and triggers the transcription of its targets. Although not lethal at birth, selective gene deletion of STAT1 in mice leads to rapid death from severe infections, demonstrating its major role in the response to pathogens. Similarly, in humans who do not express STAT1, there is a lack of resistance to pathogens leading to premature death. This indicates a key, non-redundant function of STAT1 in the defence against pathogens. Thus, to successfully infect organisms, bacterial, viral or parasitic pathogens must overcome the activity of STAT1, and almost all the steps of this pathway can be blocked or inhibited by proteins produced in infected cells. Interestingly, some pathogens, like the oncogenic Epstein–Barr virus, have evolved a strategy which uses STAT1 activation.

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## 1. Activation of STAT1

## 1.1. Molecular structure of STAT1

STAT1 was initially identified as an interferon  $\alpha$  (IFN $\alpha$ ) mediator [1,2], and thereafter found to be a major component of the cellular response to IFN $\gamma$ . STAT1 belongs to a family of transcription factors comprising STAT1, STAT2, STAT3, STAT4, STAT5A, STAT5B and STAT6 [3,4]. The transcript of STAT1 undergoes alternative splicing, resulting in two isoforms: STAT1 $\alpha$  (91 kDa) and STAT1 $\beta$  (84 kDa) [5]. The  $\alpha$  isoform possesses a complete transactivation domain (TAD) and two major phosphorylated sites: tyrosine 701 and serine 727. The  $\beta$  isoform is shorter and lacks most of the TAD, including serine 727; both isoforms contain an SH2 domain, a DNA-binding domain (DBD) and an N-terminal domain. Diffraction studies show STAT1 crystals dimers forming through interaction of the phosphotyrosine 701 and the SH2 domain (Fig. 1).

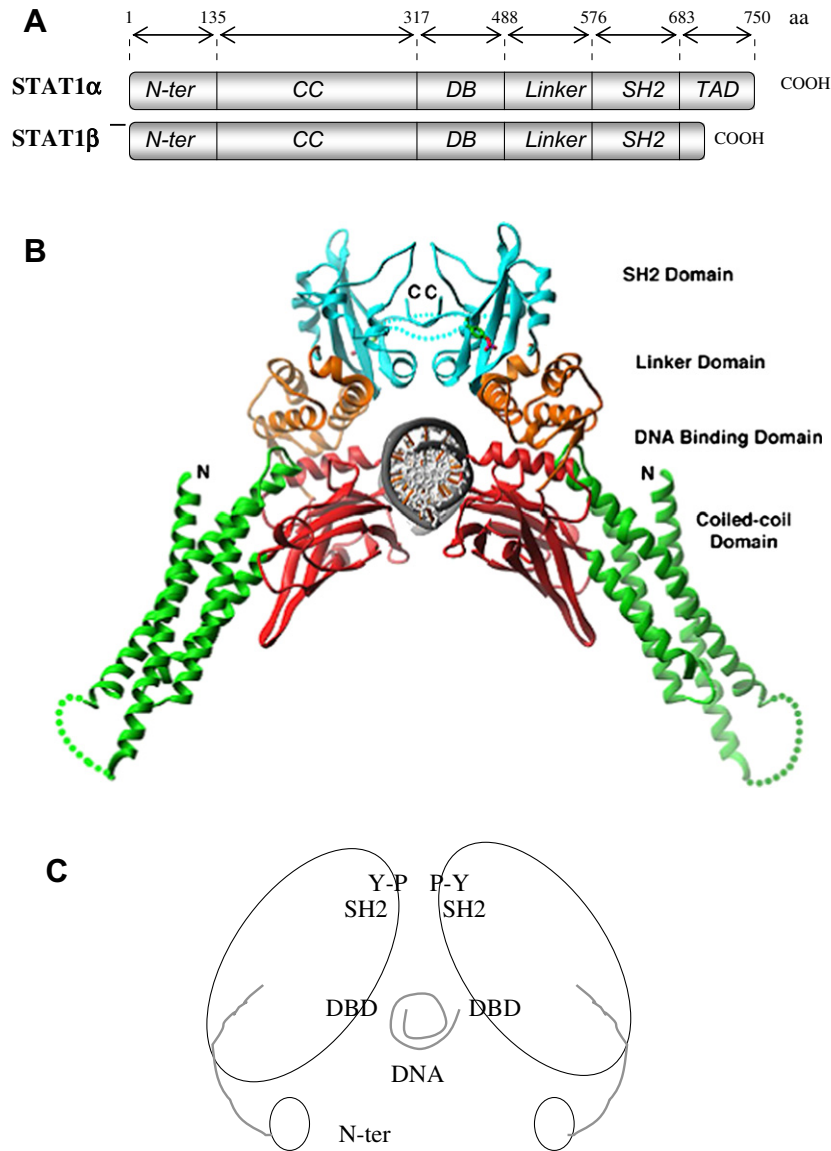
## 1.2. Stimuli that activate STAT1

STAT1 is an essential effector of IFNs. Following activation of the IFN $\gamma$  receptor, its two subunits, IFNGR1 and IFNGR2 which are isolated in the absence of stimulation [6–8] become assembled [9,10]. The two JAK family kinases, JAK1 and JAK2, which are constitutively bound to the inactive chains of the receptor, become activated, resulting in the autophosphorylation of JAK2, which in turn phosphorylates JAK1. The two kinases then phosphorylate the IFNR subunits, forming STAT1 binding sites [11]. STAT1 binds via its SH2 domain [12] and is phosphorylated on tyrosine 701 [13,14] (Fig. 2A). The activation of STAT1 following IFN $\alpha$  triggering is somewhat different. The subunit IFNAR2 of the IFN $\alpha$ R forms a complex with TYK2 and STAT2 in the absence of stimulation by IFN $\alpha$ , and the subunit IFNAR1 is associated to JAK1 [15,16]. Following interaction of IFN $\alpha$  with the two subunits of its receptor [17,18], JAK1 and TYK2 do not autophosphorylate, but instead phosphorylate one another [19], and subsequently phosphorylate both IFNAR1 and IFNAR2 [20], as well as the tyrosine 690 of STAT2 to which STAT1 binds through its SH2 domain, and STAT1 on tyrosine 701. The phosphorylated STAT1/STAT2 dimer is then released from the IFNAR2 chain (Fig. 2B). STAT1 is also activated in response to several interleukins, including IL2 and IL6 (see Table 1 and references [21–24]); and in response to growth factors including EGF and PDGF (see Table 1 and reference [21]).

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**Fig. 1.** Molecular structure and ribbon model of the STAT1 dimer. A: Schematic molecular organization of STAT1 $\alpha$  and STAT1 $\beta$ . B: 3D structure of STAT1 (residues 135–712) (from reference [56]). C: Schematic rendering of the STAT1 dimer in its phosphorylated form showing the SH2 domains interacting with tyrosine 701 (Y–P) the DNA-binding domain (DBD) interacting with DNA and the cup-and-ball-like N-terminal domain (adapted from reference [83]).

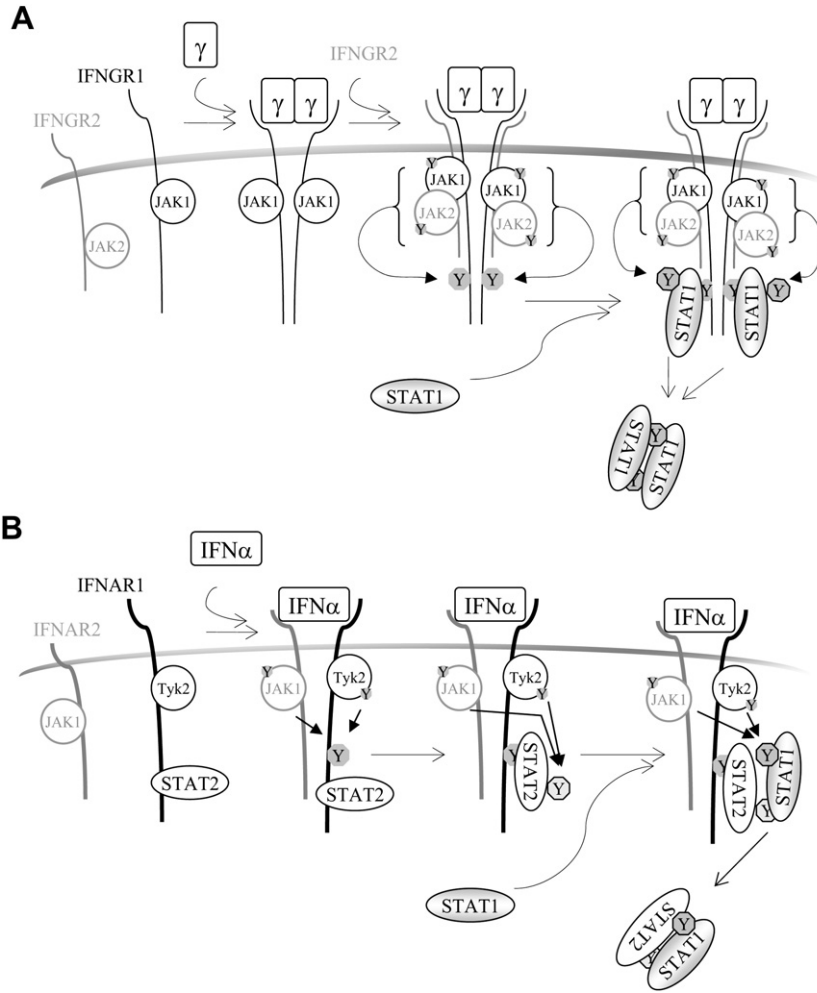
Oncostatin M and growth hormone also activate STAT1: this occurs through activation of JAK2 which binds to signaling proteins such as Grb2, Ras or Raf [25–27]. Other factors such as angiotensin II [28], HGF [29] and TNF [30] activate STAT1. However, they appear to do so without inducing its nuclear translocation nor activating its DNA-binding, suggesting cytoplasmic functions for STAT1.

### 1.3. Phosphorylation of tyrosine 701, serine 727, and of other residues

The phosphorylation on tyrosine 701 that follows the activation of JAK1 and JAK2 by the IFN $\gamma$ R or the activation of JAK1 and TYK2 by IFN $\alpha$ R is necessary for dimerisation [13]. However, if leucine 706 is replaced by a serine, phosphorylation on tyrosine 701 is no longer detectable following treatment of the cells with IFN $\gamma$ . STAT1 retains nonetheless the capacity to dimerise and to form gamma interferon-activated (GAF) complexes [31], possibly through interaction of the N-terminal domains [32] (Figs. 1 and 3).

Several different kinases phosphorylate serine 727, including ERK 1/2 following IFN $\gamma$  stimulation [33,34], p38 $\alpha$  following IFN $\gamma$  [35,36], LPS [36,37], UV [36] and BCR stimulation [38], calmodulin kinase II (CaMKII) following IFN $\gamma$  [39] or BCR stimulation [38], and PKC $\delta$  following IFN $\alpha$  [40] or IFN $\gamma$  stimulation [41] (see Table 2). The mechanisms leading to serine 727 phosphorylation are yet to be elucidated. For instance, while PKC $\delta$  can phosphorylate STAT1 on serine 727 in response to stimulation by type II or type I IFNs [40,41], serine 727 phosphorylation is unchanged in PKC $\delta$ -deficient macrophages [42]. In addition, under certain conditions of stimulation such as the addition of IFN $\gamma$  [43], serine 727 phosphorylation may be entirely dependent on the phosphorylation of tyrosine 701; while under other conditions, such as UV treatment or lipopolysaccharide stimulation [44,45], it is independent of it, suggesting that different subsets of protein kinases are involved. Interestingly, adenosine, an immunosuppressive compound, has been found to inhibit serine 727 phosphorylation [46].

Although on serine 727, phosphorylation is not required for the full activation of STAT1 [47], it seems to be required for certain



**Fig. 2.** Mechanisms of activation of STAT1 in the cytoplasm following interferon receptor activation. A. Phosphorylation of STAT1 on tyrosine (Y) 701 by JAK1 and JAK2 following IFN $\gamma$  stimulation. B. Phosphorylation of STAT1 on tyrosine (Y) 701 by JAK1 and TYK2 following stimulation by IFN $\alpha$ .

target genes such as Mx (myxovirus), IRF1 or CBP [42]. Interestingly, mice expressing STAT1 with a S727L mutation were extremely sensitive to bacterial infection and had strongly reduced expression of IFN $\gamma$  gene targets [48]. The phosphorylation of serine 727 in response to IFN $\gamma$  is also dependent on the conserved leucine 724 residue [49]. The function of other known phosphorylated sites of STAT1 has not been completely elucidated. For instance, serine 708 phosphorylation following activation of the I $\kappa$ B Kinase  $\epsilon$  (IKK $\epsilon$ ) by IFN $\alpha$  [50] (Table 2). There may be a hierarchy between the

phosphorylation sites of STAT1, but probably due to the many effectors involved, it is not currently understood.

1.4. Other mechanisms of activation

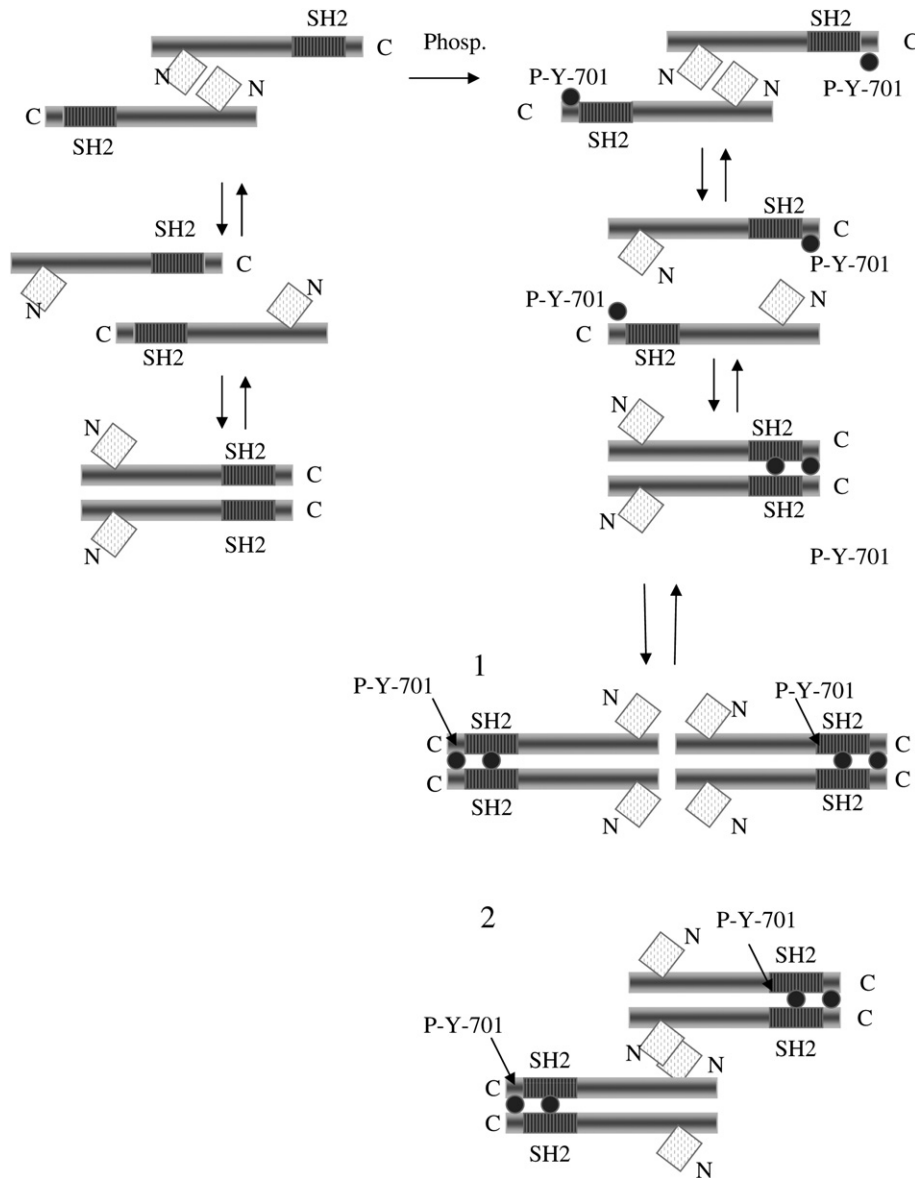
Acetylation and methylation are also involved in STAT1 activation. Direct methylation of STAT1 on arginine 31 by methyltransferase PRMT1 (protein R (R for arginine) methyltransferase 1) was suggested [51] but subsequently questioned [52,53]. Recent work showed that it is the inactivator of STAT1, PIAS1 (protein inhibitor of STAT1-1), which is methylated by PRMT1, leading to increased affinity of STAT1 for its DNA targets [54]. Acetylation of STAT1 on leucine favors tyrosine 701 dephosphorylation (see: [55]).

1.5. Other conformations of STAT1

There are other configurations of the STAT1 dimer which may not depend on phosphorylation. Dimers of STAT1 can form from both phosphorylated and unphosphorylated STAT1 [56,57]. In the case of unphosphorylated STAT1, the SH2 domains do not participate in dimerisation [57]: they are positioned at opposite ends of the dimer, whose conformation involves the interaction of the N-terminal domains, the coiled-coil domain and the DBD, resulting in an antiparallel conformation [57] (Fig. 3). The role of the N-terminal

**Table 1**  
STAT1 activators.

IFNs	IFN $\alpha$ , IFN $\beta$ et IFN $\omega$ ( <i>omega</i> ) IFN $\gamma$ IFN $\lambda$ ( <i>lambda</i> )	[322] [323] [324]
ILs	IL2, IL3, IL6, IL9–IL12, IL15, IL17, IL22 IL21 IL26 IL27	[21] [22] [23] [24]
FCs	EGF ( <i>epidermal growth factor</i> ) VEGF ( <i>vascular endothelial growth factor</i> ) FGF ( <i>fibroblaste growth factor</i> ) HGF ( <i>hepatocyte growth factor</i> )	[21]
Hs	GH ( <i>growth hormone</i> ), Angiotensine, Oncostatine M (OSM)	[21]



**Fig. 3.** Speculative model for the mechanism of STAT1 activation. Unphosphorylated dimers can form (left side of figure) by interaction of the N-terminal ends (marked N). When phosphorylated, the dimers form by interaction of SH2 domains with phosphotyrosine 701 (P-Y-701). Tetramers can also form by interaction of the N-terminal ends of the phosphorylated dimers, in two different conformations (adapted from reference [59]).

domain is probably important, although still unclear as its crystal structure is only partially elucidated due to its mobility. It is thought to play a regulatory role by allowing the formation of antiparallel dimers, thereby exposing tyrosine and facilitating its

dephosphorylation [58]. In fact, a recent study suggests a regulatory role for the equilibrium between phosphorylated and unphosphorylated STAT1 dimers, this equilibrium is tilted toward parallel dimers by tyrosine phosphorylation [59]. One of the questions that still remains unanswered is the real nature of the functional unit formed by STAT1, including associated proteins.

**Table 2**

Stimuli and kinases involved in the phosphorylation of serine 727 of STAT1.

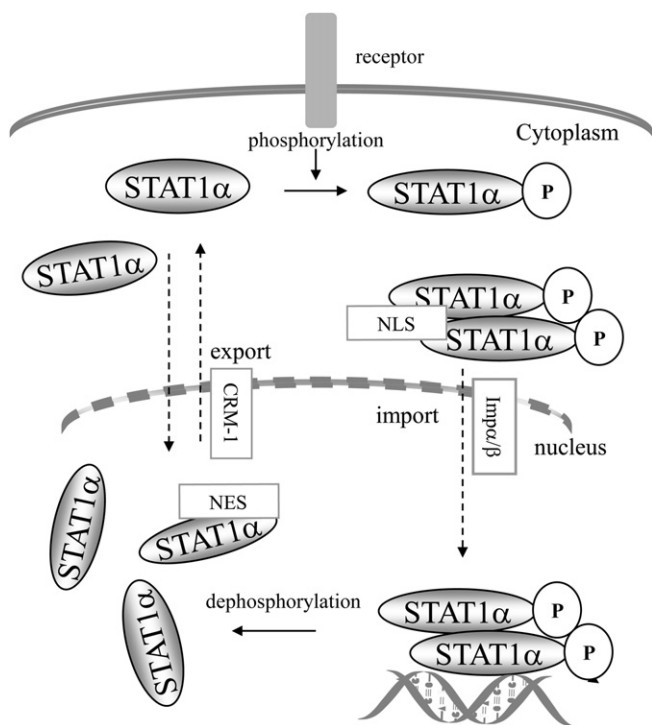
Kinase	Stimulus	Ref.
ERK1/2	IFN $\gamma$	[33,34]
P38 $\alpha$	IFN $\gamma$	[35]
	LPS	[37]
	UV, LPS, IFN $\gamma$	[36]
	BCR	[38]
CAMKII	IFN $\gamma$	[39]
	BCR	[38]
PKC $\delta$	IFN $\alpha$	[40]
	IFN $\gamma$	[41]

## 2. Nucleo-cytoplasmic shuttling of STAT1

STAT1 is activated within the cytoplasm, and exerts its known biological function as a transcription factor in the nucleus. Activated STAT1 is transferred from the cytoplasm to the nucleus, and once released from its targets, returns to the cytoplasm. Several studies have shown that this process is complex and involves a combination of active transfer requiring specialised transfer proteins, and passive transfer, including transfer of non activated STAT1 molecules.

## 2.1. Nuclear import

Following IFN $\alpha$ - or IFN $\gamma$  stimulation, STAT1 becomes nuclear within minutes. It interacts with protein complexes (pore targeting complexes, PTACs) [60], comprising importin  $\alpha$  and  $\beta$  (or karyopherin  $\alpha$  and  $\beta$ ) [61,62] which interact with the NLS (nuclear localisation sequence) [63]. The NLS of STAT1 is dimer-specific [64–66], it comprises an arginine/lysine rich motif (R378, K379, K410, K413 and R418) that is located within the DBD of STAT1 [67] in which residue K413 is essential [64]. Residue L407 [65], and the N-terminal domain [68] are also essential, suggesting an important role for spatial conformation in nuclear translocation. Nuclear accumulation of STAT1 requires intact SH2 and tyrosine 701, and its driving force is the binding to DNA which prevents tyrosine dephosphorylation [69,70]; tyrosine dephosphorylation of STAT1 appears to take place within the nucleus and to be required for STAT1's return to the cytoplasm [71,72] (Fig. 4). However, STAT1 also undergoes a signal-independent constitutive nucleo-cytoplasmic shuttling whose mechanism is still unclear [66,73]. Interestingly, under certain conditions of stimulation such as angiotensin II [74], TNF- $\alpha$  [30] or HGF [29], phosphorylated STAT1 dimers were found to be unable to enter the nucleus and bind DNA suggesting that some forms of STAT1 may have a strictly cytoplasmic function. Thus, while it is clear that tyrosine 701 phosphorylation and dimerisation of STAT1 are key processes for the nuclear localisation of STAT1, there are mechanisms that are independent of the formation of dimers, but which are not clearly identified. Moreover, functions of STAT1 within the cytoplasm are probable, as was shown for STAT3, which, in its unphosphorylated form, binds NF- $\kappa$ B and activates a subset of  $\kappa$ B-dependent genes [75].



**Fig. 4.** Nucleo-cytoplasmic shuttling of STAT1. STAT1 becomes phosphorylated on tyrosine 701 in the cytoplasm and enters the nucleus by interaction of its dimer-specific NLS with importin  $\alpha/\beta$ . Phosphorylated STAT1 interacts with its DNA targets; when released from DNA, STAT1 is dephosphorylated and can return to the cytoplasm involving interaction of the NES with CRM1. There is also a constitutive nucleo-cytoplasmic shuttle of unphosphorylated STAT1. (Adapted from [69]).

## 2.2. Nuclear export

STAT1 contains an NES (nuclear export sequence) located between residues 392 to 413, within the DBD [65]. This sequence interacts with exportin-1 (or CRM1) an essential component of the export of high molecular weight molecules to the cytoplasm [76,77]. Nuclear export by exportin-1 requires that it interacts with the component Ran-GTP [78,79]. The nuclear export of STAT1 requires its dephosphorylation on tyrosine 701, an event which occurs once STAT1 is released from its DNA target [69] (Fig. 4). However, nuclear export of STAT1 appears to be also mediated by other molecules than exportin-1. Comparative analysis of the nuclear shuttling of the  $\alpha$  and the  $\beta$  isoforms also revealed interesting differences between both isoforms [80].

## 2.3. Constitutive nucleo-cytoplasmic shuttling of non activated STAT1

In unstimulated cells, STAT1 is detectable both in the cytoplasm and the nucleus, where it forms unphosphorylated dimers [81] (see Fig. 4). As mentioned above, unphosphorylated dimers have two possible conformations, an antiparallel conformation in which the CC domain of one monomer interacts with the DBD of the other monomer, and a parallel conformation [82,83]. Nevertheless, active monomeric forms of STAT1 may exist.

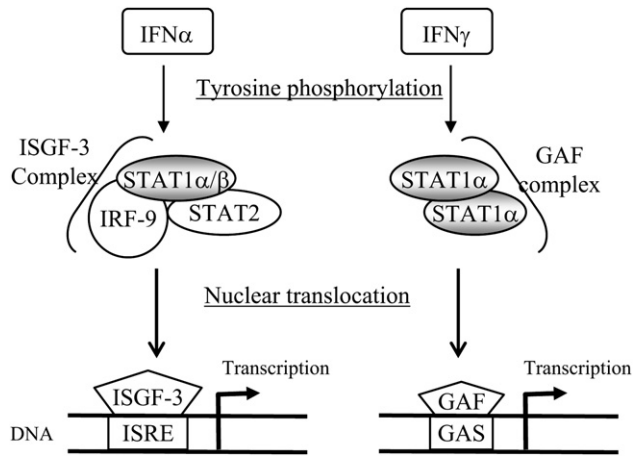
## 3. STAT1 in transcription

### 3.1. Binding of STAT1 to its gene targets

The recognition sequence of STAT1 is an 8–10 base-pair sequence with the STAT-consensus TTN<sub>4–6</sub>AA. Two STAT1-specific consensus sequences have been identified, the GAS (Gamma interferon Activated Sequence), and the ISRE (Interferon Stimulated Response Element). They bind STAT1, as shown by EMSA (Electrophoretic Mobility Shift Assay), Chip (Chromatin Immuno-Precipitation) and reporter assays. The GAS sequence consists in a palindromic sequence (see Table 3) which interacts mostly with the GAF complex (see above, and Fig. 5) [84]. Some promoters, such as those of IFN $\gamma$  [85] and MIG1 (monokine inducer by gamma 1) [86] contain tandem GAS sequences with which STAT1 homodimers interact via their N-terminal domain, an interaction which apparently stabilises the complex and increases the expression of the target gene [85,87]. The ISRE sequence is composed of repeats of the motif 5'-TTTC-3' or its complement 5'-GAAA-3' (underlined in Table 3) separated by one or two nucleotides [88]. In the case of the promoter of the ISG-15 gene, IRF9 binds the ISRE sequence and interacts with STAT1, which binds the neighbouring half GAS site (highlighted in bold in Table 3), thus stabilizing the complex [89]. Such a combination of consensus motifs is also found in the promoter of the GBP gene, which contains partially overlapping contiguous GAS and ISRE sites (see Table 3) [90]. Both motifs are required for the induction of GBP by IFN $\alpha$ . Their

**Table 3**  
STAT1 binding sequences.

Sequence	Name	Interactor	Ref.
5'-TTCNNNT/GAA-3'	consensus GAS	GAF	[84]
5'-CGTCATTTCCCCGAAATCAG-3'	IRF1 GAS	STAT1	
AGTTTCNNNTTTCNC/T	consensus ISRE	ISGF-3 (STAT1/STAT2/IRF9)	[88]
5'-CTCGGGAAAGGGAAAC CGAAACTGAAGCC-3'	ISG-15 ISRE	IRF9 STAT1	[325]
5'-AAGTACTTTTCAGTTTCATATT ACTCTAAATC-3'	GBP GAS IRSE		[90]
5'-GGAAAAGAGAAGAGAAAGT-3'	unusual ISRE	IRF1	[93,94]



**Fig. 5.** Transcriptional complexes formed with STAT1 following treatment with IFN $\alpha$  and IFN $\gamma$ . There are two major complexes: the ISGF-3 complex comprising STAT1, IRF9 and STAT2 which binds the ISRE DNA motif, and the GAF complex comprising a STAT1 homodimer which binds the GAS DNA motif.

combination may facilitate the induction of GBP by IFNs type I and II [91,92]. Other ISRE motifs (unusual ISRE) comprise 5'-TTTC-3' sequences separated by nucleotides, and are also recognised by factors of the IRF family [93,94], such as the Sp100 gene, a target of IRF1 (see Table 3).

Given that the different STATs have very different functions in cells, the similarity between their DNA target sequences [95] is intriguing. For instance, STAT1 and STAT3 share 72% homology in protein sequence and recognise very similar, if not sometimes identical, consensus sequences on their target genes [95]. Yet, STAT1 is mostly an inhibitor of proliferation and promoter of cell death, and STAT3 is mostly involved in cell survival and proliferation. Furthermore, in STAT3-depleted cells, STAT1 was found to lose its ability to induce some targets but not others [96], suggesting a complex coordination of these two STATs, as previously discussed [97]. Identification of the genuine STAT-binding sequences within IFN-treated cells using whole genome analysis such as CHIP (Chromatin Immuno-precipitation) or CHIP-chip (CHIP combined with microarray), although still technically challenging, has started to reveal STAT1's chromosomal targets [98,99] and suggests that multiple mechanisms direct STAT1 binding to its targets under different activation conditions [98].

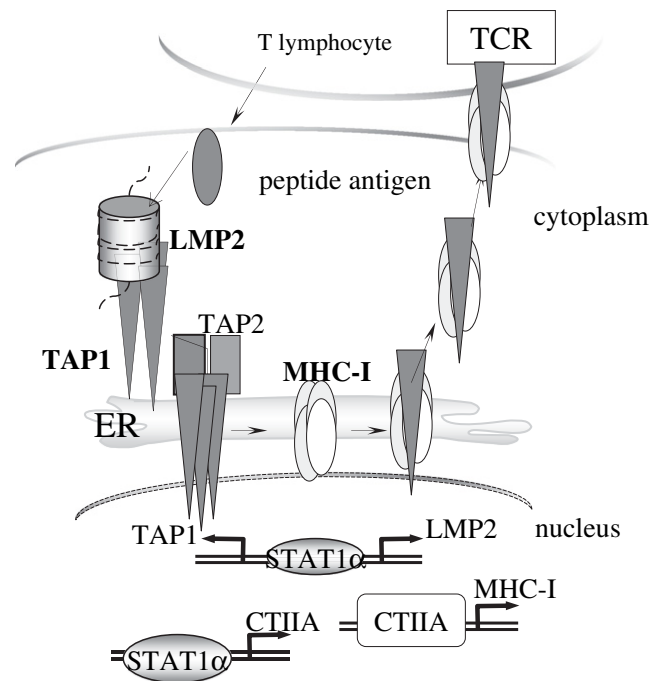
### 3.2. Components of the transcriptional complex

Once in the nucleus, the phosphorylated and dimerised STAT1 needs to interact with other components to induce transcription. The required co-factors include Nmi-1 (N-myc interacting protein 1), CBP/p300 (for CREB (cyclic AMP Response Element) Binding protein) MCM-5 (minichromosome maintenance 5) and BRCA-1 (Breast Cancer susceptibility gene-1) [100]. MCM-5 is a helicase with an ATPase activity involved in DNA replication, which binds the STAT1 $\alpha$  isoform and increases its transcriptional activity [101,102]. CBP/p300 is a histone acetyltransferase involved in chromatin remodeling, which binds both the C-terminal and the N-terminal regions of STAT1 [103]. This interaction is mediated by the phosphorylated serine 727 and the adjacent leucine 724, the latter being required for the binding of the STAT1 complex to RNA polymerase [49]. Interestingly, the CBP/p300 is in limiting amounts in the nucleus and the different transcription factors compete for it. CBP/p300 can form complexes with STAT1 without directly interacting with it, as in the ISGF-3 complex in which CBP/p300 interacts with STAT2, but not STAT1 [104]. Although it binds the same target sequences as the  $\alpha$  isoform, the  $\beta$  isoform of

STAT1 has not been reported to interact with CBP/p300. If such an interaction did occur, it would involve only the N-terminal domain of STAT1 $\beta$  and might not be functional.

### 3.3. Transcriptional activity of STAT1

The regulation of gene expression by STAT1 varies with the target and the cellular context. It can be direct or indirect, and it can be an activation or an inhibition. In cellular systems in which STAT1 is activated, the expression of many genes is induced, including CXCL9 (or Mig1) [105], p21waf1/cip1 [106–108], ifi205 [33], and Hsp70 [109,110]. STAT1 also stimulates the transcription of genes in cooperation with other transcription factors such as NF- $\kappa$ B [111], as observed for IP10 (induced protein 10) [112] and ICAM1 (intracellular adhesion molecule 1) [113,114]; STAT1 also cooperates with Sp1 [115] for the induction of several genes including IRF1 [116]. The cooperation of STAT1 with other transcription factors varies with the cellular system. In monocytes STAT1 cooperates with PU.1 in the induction of the Fc $\gamma$ R1 (Fc gamma Receptor 1) [117], and in differentiating myeloid cells STAT1 cooperates with IRF1 and PU.1 for the induction of the gene Phox [118]. In these systems, a basal level of STAT1 may be required since its presence in the complex is required for CBP/p300 to associate to the transcriptional complex [119,120]. STAT1 can also inhibit the transcription of genes such as cyclin A [121–123], c-myc [124], MMP-9 [125], Bcl2 and BclxL [126]. Even when it is not phosphorylated, STAT1 can induce the constitutive expression of a subset of genes involved in immune regulation [127], in particular LMP2 (low molecular mass polypeptide 2), TAP1 (transporter associated with antigen processing 1) [128,129] and procaspase 3 [130] (Fig. 6). However, STAT1 $\beta$ , which has a truncated TAD, is apparently unable to promote transcription despite its ability to bind the promoter regions of IRF1 [131], LMP2, TAP1 [128] and Fas [35]. It has been suggested that induction of transcription by STAT1 $\beta$  can take place with plasmid-encoded



**Fig. 6.** Components of antigen presentation by CMH1 whose expression is modulated by STAT1 $\alpha$ . The figure depicts the antigen presenting machinery; the identified STAT1 targets are highlighted in bold.

promoters but not with cellular promoters because its lack of TAD impairs chromatin remodeling [101].

#### 4. STAT1 as an inhibitor of infection and of proliferation

STAT1 regulates the immune system, cell differentiation, tumour suppression, cell growth inhibition and apoptosis.

##### 4.1. Stimulation of the immune system

STAT1 plays an essential role in the immune response to viruses [132–134], bacteria [31,134–136] and parasites [137,138]. STAT1-deficient mice die mainly of viral infection after less than 8 weeks [42,134,139]. In humans, high sensitivity to mycobacterial infection has been found to be associated with mutations in STAT1 that interfere with its functions [31,140,141], and high sensitivity to viral diseases has been shown to be associated with mutations in the STAT1 gene resulting in the complete absence of the protein [133]. Thus, reduced activity of STAT1 is associated with susceptibility to infectious diseases. STAT1 is involved in all the steps of the processing and the presentation of antigenic peptides by the major histocompatibility complexes (MHC) I and II, including the expression of the proteasome subunits LMP2 [128] and LMP7, TAP1 [129], and indirectly the MHC-I and MHC-II through activation of CIITA (class II transactivator) [142] (see Fig. 6). In mice, STAT1 regulates the expression of immunoglobulins: in its absence, circulating IgE increases [143]. STAT1 is also necessary for class switching, an early step of B cell maturation which is induced by IL27 [24]; IgM-to-IgG2A class switching is abolished in the absence of STAT1 [24]. STAT1 also plays an essential role in the production of IgG2A following BCR stimulation [38,144]. STAT1 directly induces the expression of the protein T-bet [144] which itself activates the class switching of immunoglobulins [145] (Fig. 7). There are no studies on the implication of STAT1 in the class switching of immunoglobulins in humans. Recently, the expression of surface IgG was found absent in cells from STAT1-deficient patients [326].

##### 4.2. Inhibition of cell growth

IFN $\alpha$  and IFN $\gamma$  require STAT1 to exert their negative action on proliferation [146]. This is also true of retinoic acid [123]. Interestingly, in systems in which EGF negatively regulates proliferation, the activation of STAT1 is observed, and its expression is required [147,148]. Active cyclin/CDK complexes are required, and in sufficient amount, for the G1/S and the G2/M transitions to occur normally [149]. Inhibition of cell growth by STAT1 occurs mainly through the

regulation of genes involved in cell cycle control: two CDKs (Cyclin Dependent Kinase) inhibitors, p21waf1 and p27kip1, which associate to CDKs and inhibit their kinase activity [150] are induced by STAT1 [123,148,151,152]; and cyclin A [122,123], and cyclins B, D2, D3 and E [123] are repressed. STAT1 is also responsible for the inhibition of the expression of c-myc [124,153]. As c-myc represses the expression of p21waf1, this further enhances cell cycle arrest.

##### 4.3. Regulation of cell death

STAT1 induces the expression of procaspases, the latent forms of the caspases which are proteases that transmit the apoptotic pathway in the cytoplasm by sequential cleavage in response to external or internal stimuli. STAT1 was shown to constitutively induce the expression of the procaspases –1, –3 and –11; –1 and –11 are required for the subsequent cleavage of procaspases –3 and –8 in murine lymphocytes [154,155]. STAT1 also induces the expression of procaspases in response to external stimuli: the expression of procaspase-3 in response to TNF- $\alpha$  requires STAT1 [130], and IFN $\gamma$ , EGF, 7-ketocholesterol and thrombin have been shown to induce the expression of procaspases in a STAT1-dependent manner but with considerable variation according to the stimuli reaching cells. STAT1 also mediates cell death induced by IL21 in mantle cell lymphoma [156] (see Table 1). Procaspases genes are not the only proapoptotic genes that are induced by STAT1. The Fas gene (CD95/APO-1) is induced in response to IFN $\gamma$  in colon adenocarcinoma cells [157,158], in microglial cells [159] and in fibroblasts [158]. In cardiac muscle cells, the ischaemia/reperfusion injury-induced apoptosis is accompanied by activation of STAT1, which induces the expression of the FasL (Fas ligand) Fas and caspase-1 genes [35,160]. This is inhibited by STAT1 anti-sense RNA. In addition, in this system, the activation of STAT1 leads to the inhibition of the promoters of the anti-apoptotic proteins Bcl2 and Bcl-X [126]. In multiple myeloma cells treated with IFN $\gamma$ , the expression of the TRAIL gene (TNF-related apoptosis inducing ligand) has been found to increase [161].

A high level of expression of STAT1 stimulates the TNF- $\alpha$  proapoptotic pathway. STAT1 has been shown to interact with TRADD, thereby inhibiting the activation of NF- $\kappa$ B [162], STAT1 competes with TRAF2, and prevents the formation of the NF- $\kappa$ B activating complex TRADD/TRAF2/RIP [163]. STAT1 operates at two different levels to potentiate TNF- $\alpha$ -induced apoptosis: it inhibits NF- $\kappa$ B signaling [164] and it induces the constitutive expression of procaspase-3, a component which is required for caspase-8-induced apoptosis [130] (see Fig. 11).

STAT1 also interacts with p53 (Fig. 8), a major regulator of apoptosis and cell cycle. The transcription factor p53, present at low basal levels, is induced following hypoxia, nutrient starvation, DNA damage or activation by oncogenes. Activation of p53 involves several post-translational modifications including phosphorylation [165], methylation [166] and acetylation [167]. The phosphorylation of p53 is a key event of its activation and involves some 15 different kinases [168,169], while ubiquitination by the ubiquitin-ligase Mdm2 (mouse double minute 2) is a negative regulator [170]. The incidence of spontaneous or chemically induced tumours has been found to be higher in STAT1/p53 double knock-out mice than in p53 knock-out mice [171]. In murine embryo fibroblasts (MEF), apoptosis induced by cytotoxic agents such as cisplatin or doxorubicin requires the expression of a functional STAT1. In these cells, STAT1 potentiates p53 by increasing its expression through the repression of the expression of its inhibitor Mdm2; furthermore, STAT1 interacts directly with p53 and increases its transcriptional activity on targets such as Noxa, Bax and Fas [172]. In human fibroblasts, activation of p53 by DNA damage following Xray exposure is regulated by STAT1. In this system, STAT1 regulates the

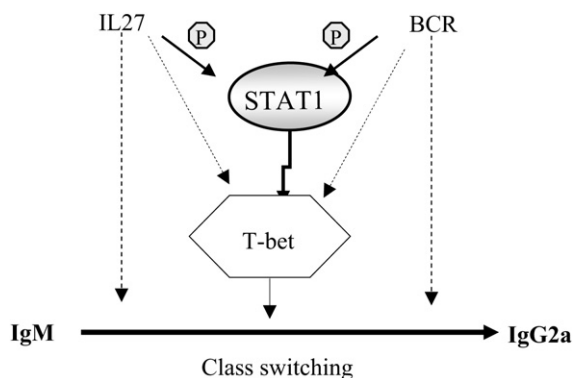
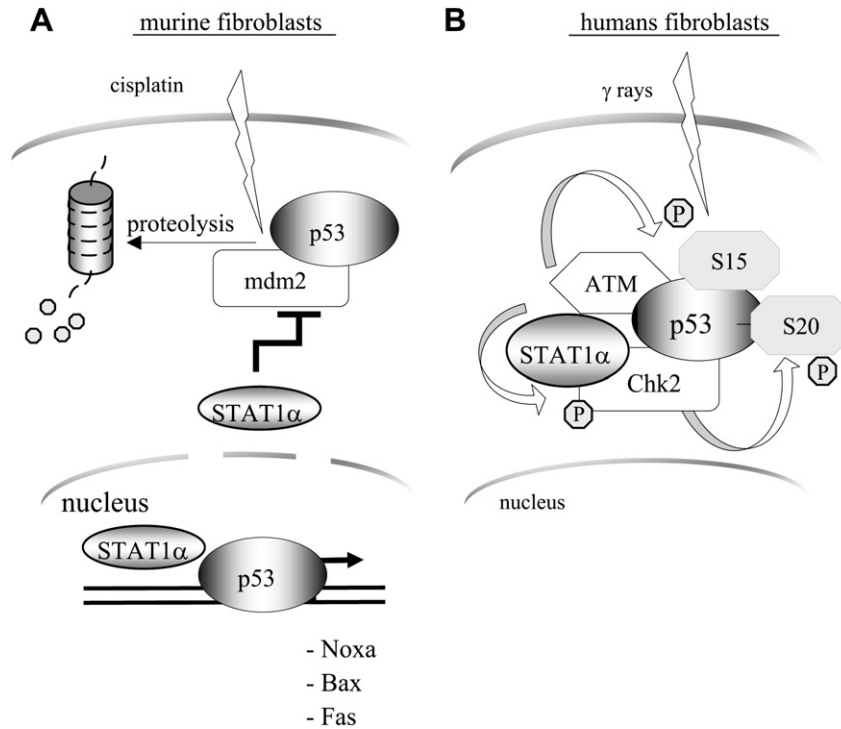


Fig. 7. Mechanism of the modulation of IgM to IgG2A class switching in mice by STAT1. In mice, the class switching of Ig is under the control of STAT1 through its target T-bet.





**Fig. 8.** Modulation of p53 activity by STAT1 $\alpha$ . A: STAT1 $\alpha$  stabilises p53 by inhibiting Mdm2 expression, and potentiates the transcriptional activity of p53 by forming complexes at the promoter level. B: STAT1 $\alpha$  promotes serine 15 and serine 20 phosphorylation of p53.

phosphorylation of p53 on serine 15 by ATM (ataxia telangiectasia mutated), and on serine 20 by Chk2 (checkpoint 2). It also facilitates the activation of Chk2 by ATM [173]. In B cells, physical interaction of p53 and STAT1 has also been observed, and it has been found that inhibition of STAT1 protects cells against apoptosis induced by fludarabine [174], a cytotoxic compound used mainly in B cell lymphoma and chronic lymphoid leukemia (CLL) [175], whose efficacy depends on a functional p53 [176]. Fludarabine has also been found to reduce specifically STAT1 protein and mRNA levels in peripheral lymphocytes [177] and lymphoblastoid B cells [178]. However, in the same cells, overexpression of STAT1 $\alpha$  sensitised to fludarabine treatment [174], suggesting that STAT1 may act at different antagonistic levels of the pathways triggered by fludarabine. In fact, recent data indicate that treatment of cells with genotoxic agents, such as doxorubicin and to a lesser degree fludarabine, activates STAT1. This activation depends on p53, even if it is transcriptionally inactive [179]. Thus, the function of STAT1 goes beyond the activation of the transcription of proapoptotic genes. By its action on p53 it participates in the selection of which pathway – leading to cell death, or cell cycle arrest – will take place when cells are exposed to different stresses (Fig. 8). Although some of the relevant mechanisms have been clarified, it is still unclear how STAT1 influences the expression of the targets of p53.

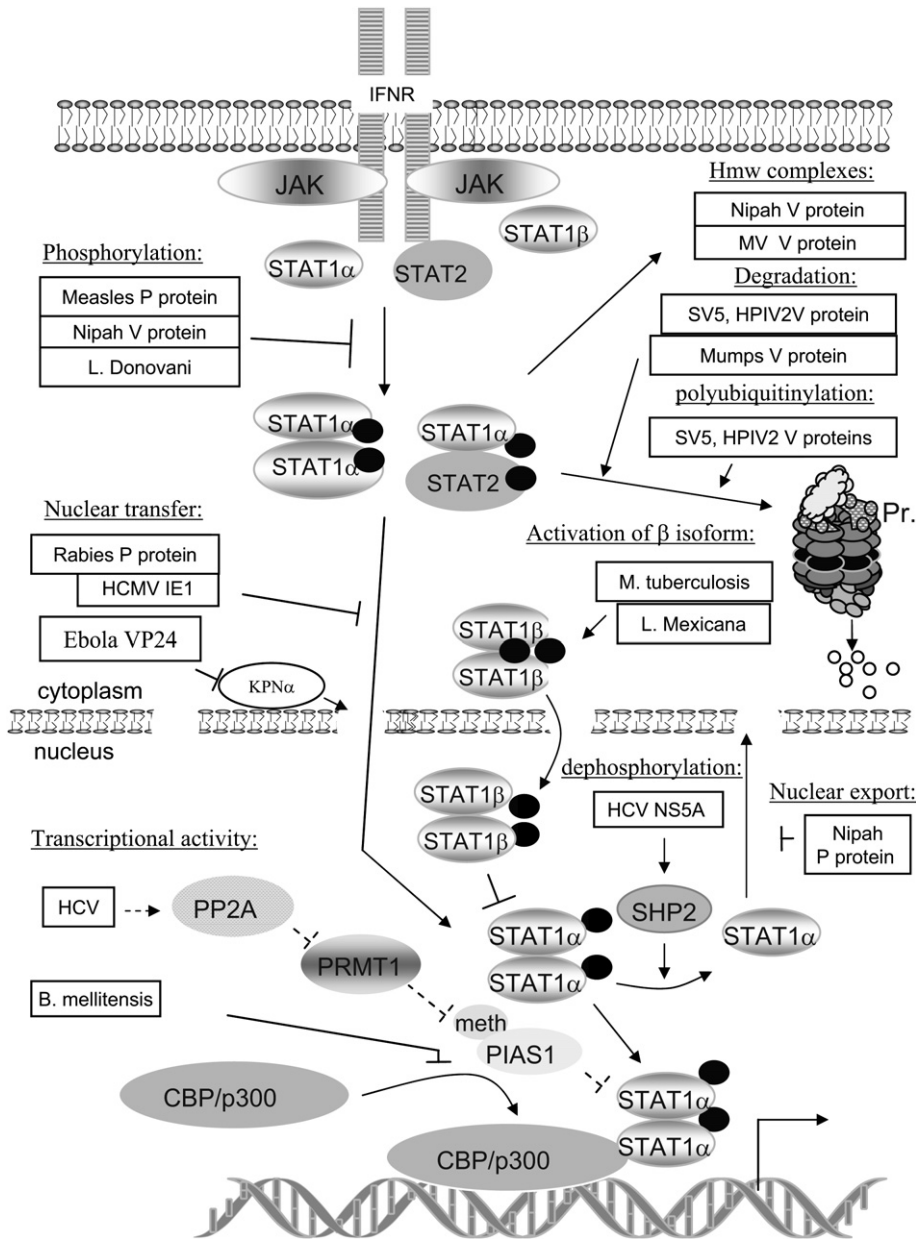
#### 4.4. Involvement in cell differentiation

A role for STAT1 in cell differentiation has been demonstrated in STAT1  $-/-$  mice. In these mice, excessive osteoblastogenesis is the result of increased activity of the transcriptional factor Runx-2, which is normally sequestered in the cytoplasm by unphosphorylated STAT1 [180,181]. In human cells, the differentiating action of bryostatin-1 [182] has been found to depend on the expression of STAT1 [183]; and the differentiation of acute promyelocytic

leukemia cells induced by retinoic acid was found to require the phosphorylation of STAT1 on serine 727 [184,185].

#### 4.5. Tumour suppression

STAT1 is a negative regulator of tumorigenesis, angiogenesis and metastasis formation [186]. In STAT1-deficient mice, spontaneous and chemically induced tumours arise more frequently than in wild-type animals, and the anti-tumour activity of IFN $\alpha$  is reduced [187], thus defining STAT1 as a “tumour suppressor” [171]. Indeed, in humans several cancers which resist IFN treatment are associated with a diminished expression of STAT1 [188–190], and in mammary cancer, the level of activation of STAT1 is linked to a good prognosis, correlating well with the stage of the tumour, the extension to ganglia and the expression of cathepsin D [191]. In Wilms tumours, the phosphorylation of STAT1 on serine 727 is also associated with a good prognosis [192]. In addition, STAT1 inhibits the expression of rho, rac and cdc42, and the activity of the Ras MAPkinase pathway in Ras activated cells [193]. The function of STAT1 as a tumour suppressor is probably linked to its function in the immune system. STAT1 activates directly the expression of the transcription factor CIITA (Class II of MHC transactivating protein), which itself activates the transcription of the MHCII (major histocompatibility complex class II) [194]. In addition, STAT1 is involved in the negative regulation of the MMP-9 which serves as an angiogenic factor [125]. However, although STAT1 is a tumour suppressor, there are indications that it can function positively in tumour growth. One report showed that it can accelerate the development of hematopoietic tumours independently of IFN signaling and in association with upregulation of the MHC class I molecules [195], suggesting a IFN-independent tumorigenic function of STAT1. Another report showed that STAT1 positively regulates some of the enzymes of the glycolysis pathway, thereby linking it to the Marburg effect [196].



**Fig. 9.** Multiple points of inhibition of the IFN-STAT1 pathway by pathogens. The actions of several pathogens on the different steps of STAT1 activation are illustrated. In the cytoplasm: inhibition of phosphorylation (*L. Donovanii*: *Leishmania Donovanii*); trapping in high molecular weight complexes (hmw) (MV: Measles Virus); induction of degradation (SV: Simian Virus, HPIV: human parainfluenza virus). Inhibition of nuclear transfer (HCMV: human cytomegalovirus, KPN $\alpha$ : karyopherin  $\alpha$ ). Activation of STAT1 $\beta$ : (*L. Mexicana*: *Leishmania Mexicana*, *M. Tuberculosis*: *Mycobacterium Tuberculosis*, Pr: proteasome). Within the nucleus: dephosphorylation (HCV: human hepatitis C virus). Inhibition of CBP/p300 binding: (*B. mellitensis*: *Brucella mellitensis*). Inhibition of STAT1 following inhibition of the methyltransferase PRMT1 (protein arginine methyltransferase 1) (HBV: human hepatitis B virus, HCV: human hepatitis C virus, meth: methyl group) the putative target of PRMT1, PIAS1 (Protein Inhibitor of STAT1) is indicated. Inhibition of nuclear export.

**5. Pathogens inhibit the STAT1 pathway**

**5.1. STAT1 is an essential component of the resistance to pathogens**

The IFN system is part of a sensing mechanism that detects pathogen invasion and triggers a response which limits the spread of the pathogen. The pathogens are detected by cytoplasmic or endosomal sensors (reviewed in: [197] and [198]) that trigger pathways leading to the activation of IRFs, AP1 and NF- $\kappa$ B. Among the early genes induced by these transcription factors the type I IFNs are key players. They trigger the IFNR/JAK system (see above) which activates the STATs, and particularly STAT1. IFN $\alpha$  and  $\beta$  are potent antiviral agents, playing a key role in the regulation of the immune

system by controlling the proliferation, differentiation, activation and maturation of several cell populations, including: dendritic cells (DC), natural killer cells (NK), Th1 cells, and memory CD8+ lymphocytes. IFN $\gamma$  is produced mainly by T helper type 1 lymphocytes, but is also produced by many other cell types. Its function is primarily to promote the antimicrobial activity of macrophages. Indeed, the main target of IFN $\gamma$  is the macrophages in which, in the context of infected cells and through a specific subset of receptors, it principally activates STAT1 (see above). In macrophages, the IFN $\gamma$ /STAT1 pathway activates microbicidal activity through the induction of NADPH oxidase and iNOS, which are key components of the efficient killing of bacteria, viruses, parasites and fungi (see: [199]). This is highlighted by the efficiency of defence against most

pathogens. For instance, there is a 90% inhibition of the replication of *Chlamydia* in IFN $\gamma$ -treated cells [200]. Possible mechanisms involve processes that are downstream of the cellular entry of the bacteria [201]. Another example is the intracellular multiplication of *Legionella pneumophila* in alveolar resident macrophages, which is inhibited by IFN $\gamma$ -treatment, again indicating the antibacterial effect of IFN $\gamma$  [202]. The IFN-induced anti-viral state of cells is due in large part to targets of STAT1. Among these, the expression of the protein kinase PKR, a target of STAT1, is induced by IFNs and is subsequently activated by double stranded RNA (dsRNA), an intermediate of RNA viruses' replication. Activated PKR phosphorylates the  $\alpha$  subunit of eukaryotic initiation factor 2 resulting in protein synthesis shut-down. The IFN/STAT1 pathway also induces cell cycle arrest through induction of the cyclin kinase inhibitors p21 and p27, and apoptosis through targets of STAT1, or of its early target IRF1. The essential role of STAT1 in the IFN $\gamma$  pathway is further demonstrated by the high sensitivity of STAT1  $-/-$  mice to infection, including infection by viruses such as the vesicular stomatitis virus (VSV) the mouse cytomegalovirus (MCMV) [134], and by bacteria such as *Listeria monocytogenes*. Interestingly, there are many different mechanisms by which pathogens inhibit STAT1 (Fig. 9).

## 5.2. Pathogens can inhibit every step of STAT1 activation

Over the course of coevolution, many pathogens, viruses, bacteria or parasites, have become able to efficiently overcome the organism's defences against them. Many of the processes developed by these pathogens are aimed at the IFN-STAT1 pathway, and specifically target steps of the activation process of STAT1, such as its phosphorylation or its nuclear localisation. Pathogens can also induce the dephosphorylation of STAT1 or prevent its methylation in the nucleus, induce the expression of its  $\beta$  isoform, and they also can induce its selective degradation. The mechanisms developed by viruses to overcome the potent effects of the defence system have been well studied: many viral proteins have been identified and several processes of inhibition have been unveiled at least partially (Fig. 9). However, the precise ways in which bacteria and parasites affect the function of STAT1 are much less well-understood. In many instances, although the cellular target has been identified, the molecular process (i.e. the bacterial or parasite proteins) has not.

### 5.2.1. Degradation of STAT1

Many viruses target STAT1 by inducing its intracellular degradation. Viruses of the *Paramyxoviridae* family (*Mononegavirales* order) form a large family of RNA viruses and code for V proteins whose expression greatly reduces the half life of STAT1 and STAT2, thereby inhibiting the JAK-STAT IFN signaling pathway; however, the mechanisms involved for each V protein are different. These viruses are classified into two subfamilies. One is the *Paramyxovirinae* subfamily, which comprise the *Respirovirus* genus (formerly *Paramyxovirus*), including the Sendai virus (SeV) and the human *parainfluenza* type 3 (HPIV3) virus; the *Rubulavirus* genus, comprising the simian virus 5 (SV5) the mumps (MuV) and human *parainfluenza* virus type 2 (HPIV2), and the *Morbillivirus* genus, comprising the Measles virus. The other is the *Pneumovirinae* subfamily which consists only of the *Pneumovirus* genus to which the human respiratory syncytial virus (RSV) belongs. The V proteins from the HPIV2, SV5 and MuV target STAT1 for proteasome-mediated degradation [203,204], some proteins target STAT1 only, others target both STAT1 and STAT2, some target only STAT2 and some target STAT3 for degradation. Expression of the V proteins by the simian virus 5 (SV5) and HPIV2 by the human *parainfluenza* virus type 2 (HPIV2) high-jacks the polyubiquitinylation pathways of the cell and induces polyubiquitylation of STAT1 and STAT2 resulting in their degradation

by the proteasome, an action that is inhibited by proteasome inhibitors such as lactacystine or MG132 [205]. The process driven by the V proteins of HPIV2, SV5 and MuV involves the assembly of cellular Ub-activating enzyme E1, the cellular Ub-conjugating enzyme E2 to STAT1/STAT2 dimers, resulting in the polyubiquitylation of either STAT1 or STAT2 [204,206,207]. The Newcastle disease virus (NDV) encodes a V protein which also induces the degradation of STAT1, but the mechanism involved has not been elucidated [208]. The SeV also induces degradation of STAT1 by directing its polyubiquitylation [209].

### 5.2.2. Inhibition of STAT1 phosphorylation

Many pathogens prevent the phosphorylation of STAT1. The human metapneumovirus (hMPV), a recently discovered *Paramyxovirus* involved in respiratory tract infections, inhibits IFN $\alpha$  signaling by preventing the phosphorylation and nuclear translocation of STAT1, but the mechanism involved has not been yet elucidated [210]. In the human macrophage U-937 cell line, the parasite *Leishmania donovani* prevents the phosphorylation of STAT1 in response to IFN $\gamma$  [137] thereby efficiently impairing the IFN $\gamma$ -JAK-STAT1 pathway by inhibition of STAT1 $\alpha$  binding to the IFN $\gamma$  response region [211]. In more recent studies performed in the murine J774A.1 and RAW264.7 macrophage cell lines, the different species of *Leishmania* have been found to affect the IFN $\gamma$ -JAK-STAT1 pathway differently: the *L. donovani*, *Leishmania major* and *Leishmania mexicana* species all inhibit phosphorylation of STAT1 in response to IFN $\gamma$ , but *L. mexicana*, which is apparently a more efficient inhibitor of the IFN $\gamma$ -JAK-STAT1 pathway, induces the preferential phosphorylation of STAT1 $\beta$ , thereby contributing to the inhibition of STAT1 $\alpha$  [212]. However, the mechanism of inhibition of STAT1 by *Leishmania* may be even more complex as another study found that *L. donovani*, *L. major* and *L. mexicana* trigger the specific degradation of STAT1 by the proteasome; indeed, infection by the parasite was significantly inhibited by the addition of proteasome inhibitors to infected cells [213], raising the question of whether there can be specific targeting of STAT1 $\alpha$  and not of STAT1 $\beta$  for the proteasome. The contribution of STAT1 to resistance to *L. major* appears to be an upregulation of CXCR3, which stimulates the migration of Th1 cells to the infection site [214]. In fact, another species, *Leishmania amazonensis*, was shown recently to efficiently downregulate the activation pathway of several cytokines, including IFN $\gamma$ , by inducing decreased phosphorylation of STAT1, STAT2 and STAT3 and also specific degradation of STAT2 [215]-an action that is prevented by proteasome inhibitors. Several pathogens inhibit the IFN $\gamma$  pathway by interfering with phosphatases; in one study, however, the activation of the SHP-1 phosphatase by *L. donovani* did not correlate with the inhibition of STAT1, although it contributed to the resistance of the parasite in cultured macrophages. In other words, inhibition of STAT1 by the parasite was independent of the induction of SHP-1 [216]. Another counterintuitive observation is that STAT1  $-/-$  mice are more resistant to visceral leishmaniasis than STAT1 proficient mice [217] suggesting that the parasite needs an efficient IFN $\gamma$  pathway at some point.

### 5.2.3. Trapping of STAT1 into high molecular weight complexes

The *parainfluenza* type 5 virus (HPIV5) sequesters STAT1 [218] as do the Nipah viruses. The Nipah (niV) and Hendra *Paramyxovirus*-family virus proteins prevent activation and nuclear translocation of STAT1 and STAT2 by trapping them into cytoplasmic high molecular weight complexes [219–221]. The Nipah virus V protein inactivates STAT1 by forming a complex with STAT2, and its expression into cells results in STAT1 being relocalised to the cytoplasm. The Nipah virus V protein possesses an NES motif which is necessary for the cytoplasmic export of the protein and the cytoplasmic relocation of STAT1; nevertheless, deletion of this NES motif does not abrogate the

ability of the protein to block the IFN response, indicating that other domains of the protein play an important role. The P protein of the measles virus directly interacts with STAT1 and prevents its phosphorylation [222]. The Nipah virus P protein, on the other hand, sequesters inactive STAT1 in the nucleus [223].

Although it also belongs to the *Paramyxoviridae*, the Measles virus (MV) functions differently. Rather than inducing the degradation of STAT1, its V protein interacts with STAT1, STAT2, STAT3 and IRF9, forming high molecular weight complexes that are packaged to cytoplasmic bodies containing an assembly of viral proteins and nucleic acid material of viral origin [224]. Although expression of the V protein of the MV clearly shows that it is a major component of the inhibition of STAT1 in cells, two other viral proteins transcribed from the same P gene are also involved. One of these, the P protein, contributes to immune evasion: its mechanism of action is proposed to be inhibition of the phosphorylation of STAT1, possibly by direct interaction [222]; the P gene of the MV also encodes a C protein: expression of this recombinant protein in cells inhibits IFN $\alpha/\beta$  and IFN $\gamma$  signaling, by a mechanism that has not been deciphered [225]. Deletion of regions of the V protein of MV has shown that discrete peptides specifically bind STAT1 and STAT2, preventing phosphorylation by JAK1 [226,227].

#### 5.2.4. Inhibition of the nuclear translocation of STAT1

The rabies virus is a *Rhabdoviridae* which belongs to the *Mono-negavirales* order. This neurotrophic single stranded RNA virus replicates in the host's cytoplasm and encodes an RNA polymerase complex consisting of a large protein L and a phosphoprotein P, both of which participate in transcription and replication. A two-hybrid screening system showed that the P protein interacts through its C-terminal domain with the N-terminal domain of STAT1 [228], this results in efficient inhibition of STAT1 nuclear accumulation in response to stimulation by either IFN $\alpha$  or IFN $\gamma$ . The inhibitory action of protein P does not involve reduced phosphorylation or reduced homodimerisation of STAT1, or heterodimerisation with STAT2 [228], although it interacts much more strongly with phosphorylated STAT1 than with non-phosphorylated STAT1 [229]. Intriguingly, the P protein contains both an NLS and an NES motif [230], and its subcellular location directs that of STAT1, thereby preventing STAT1 nuclear location after IFN stimulation [231]; however, mutant forms of the P protein that do not contain the NES signal appear to be able to inhibit the binding of STAT1 to its DNA target [231]. The nuclear import of proteins can be either facilitated or inhibited by microtubules (MT); the P proteins use MT-facilitated nuclear transfer, but they have the ability to switch to MT-inhibited transfer; in addition, they can impose a switch of STAT1's IFN $\alpha$ -induced nuclear transfer to a MT-inhibited mode, thereby preventing STAT1 nuclear import [232]. The Ebola virus, which causes Ebola hemorrhagic fever with an extremely high mortality (80%), is an efficient inhibitor of IFN $\alpha/\beta$  signaling. Its protein VP35, when expressed in cells, blocks several components of the anti-viral response, including IRF-3 [233]. A single amino-acid change can reverse this action [234]. Interestingly, a VP24 protein encoded by the virus directly interacts with karyopherins  $\alpha 1$ ,  $\alpha 5$  and  $\alpha 6$  [235], thereby preventing STAT1's interaction with these karyopherins without modifying its phosphorylation on tyrosine 701 [236,237], thus indirectly inhibiting STAT1. Another virus which exerts its inhibition of the IFN $\gamma$ -STAT1 pathway through inactivation of the nuclear transport machinery is severe acute respiratory syndrome virus (SARSV). This virus which induces a severe and frequently fatal acute respiratory syndrome, has the ability to inhibit the IFN response in infected cells. STAT1 has been found to play a role in the resistance of infected animals [238]. Transfection of SARSV viral proteins – the ORF3b, ORF6 and N protein – demonstrated their ability to specifically inhibit the expression of IFN transcriptional

targets [239]. The ORF6 protein was found to specifically inhibit the nuclear translocation of STAT1 [239] by tethering karyopherin  $\alpha 2$  and  $\beta 1$  to the membrane of the endoplasmic reticulum, thereby disrupting the nuclear transport of STAT1 [240].

#### 5.2.5. Dephosphorylation of STAT1

The vaccinia virus, a *Poxviridae* family virus, encodes for several proteins which neutralize the IFN host defence system at different levels, including inhibition of PKR, and the release of cytokine homologues which block the IFN $\alpha/\beta$  and  $\gamma$  Receptors. In addition to this, the virus encodes a protein with dual tyrosine/serine phosphatase activity whose expression is required for virus viability in tissue culture [241,242]. The dual phosphatase can both prevent STAT1 phosphorylation in infected cells and induce its dephosphorylation, thereby preventing the nuclear translocation of STAT1 and the induction of gene targets [243]. The mosquito-borne *Japanese Encephalitis flavivirus* also blocks the IFN-induced JAK-STAT pathway: its non-structural protein NS5 induces the dephosphorylation of TYK2 and STAT1, thus preventing STAT1 nuclear translocation and the transcription of its gene targets [244]. However, the phosphatase or phosphatases involved, which are probably tyrosine-phosphatases have not been identified.

The human cytomegalovirus (HCMV), a member of the  $\beta$ -herpesvirus subfamily, is a widespread DNA virus which infects a high percentage of the population. The host's immune system plays a crucial role, and in the defence against the virus, besides TNF- $\alpha$ , IFN $\gamma$  secreted by T cells can efficiently block HCMV replication in vitro. However, the virus has evolved mechanisms that can counteract the control of infection by inhibiting phosphorylation of tyrosine 701. This process appears to be due to the induction of SHP2, which directly dephosphorylates nuclear STAT1-P-tyr, resulting in its downregulation [245]. Upregulation of a another protein phosphatase, protein phosphatase 2A (PP2A), is involved in the resistance of hepatitis C and B viruses to IFN signaling. The mechanism involves upregulation of PP2A, which inhibits the protein arginine methyltransferase 1 (PRMT1) resulting in reduced STAT1 activity [246]. Other sites of inhibition of the IFN pathway by HCMV include the JAK kinase TYK2 [247], a targeted degradation of STAT2 [248] by the viral 72 kDa protein IE1 which forms physical complexes with STAT1 and STAT2, thereby preventing correct nuclear localisation and association with the promoters of IFN-responsive genes [249].

#### 5.2.6. Inhibition of STAT1 via methylation

The hepatitis B virus (HBV) is able to block IFN $\alpha$ 's action by inhibiting the methylation of STAT1, this results in an increased interaction of STAT1 with PIAS1, thereby protecting the virus against the anti-viral action of the IFN [250].

#### 5.2.7. Inhibition of STAT1 transcriptional activity

The Hepatitis C virus (HCV) efficiently antagonises the anti-viral action of IFN. The molecular mechanism by which this inhibition occurs has not been well characterised. Transfection of full length HCV and subgenomic fragments in the hepatocyte cell line Huh-T7 showed degradation and reduced phosphorylation of STAT1 [251]. Subsequent studies using transfection of the NS5A (non-structural protein5A) of HCV have shown that expression of this protein in Huh7 cells prevents normal activation of STAT1 by inhibiting its phosphorylation on tyrosine 701 and its nuclear translocation [252,253], an action that was specific to cells of hepatic origin [253]. This discrepancy between observations using transfection of single viral proteins may be due in part to the involvement of other viral proteins. For instance, the transfection of NS3/4A, another non-structural protein of HCV, has been found to inhibit the phosphorylation of STAT1 on serine 727, contributing to the efficient

inhibition of the IFN-STAT1 pathway [254]. Differences in published observations may be due in part to the use of different cellular systems; thus, the choice of cellular system may be important when dealing with a hepatotropic virus [253].

*Brucella melitensis* can develop intracellularly within phagocytes and cause chronic infection, this requires that the IFN $\gamma$  pathway be silenced: in *Brucella*-infected macrophages, the IFN $\gamma$ -induced STAT1-CBP/P300 association, required for a normal response to the cytokine, is disrupted [255].

The parasitic protozoan *Toxoplasma gondii* is a widespread parasite in human and animal populations, probably in part because it causes asymptomatic infection. This parasite has the ability to simultaneously suppress and trigger innate immune function in the host. Infection by *Toxoplasma* includes an acute phase in which the parasite disseminates in cells, which is followed by chronic infection in which the parasite is confined within quiescent cysts within tissues (reviewed in [256]). The strong Th1-type immune response raised by *Toxoplasma* involves an IL-12-driven IFN $\gamma$  secretion by lymphocytes and the activation of STAT1. In non-professional phagocytic cells that do not express STAT1 there is no anti-*Toxoplasma* activity [257], and STAT1  $-/-$  mice die of infection although they produce normal levels of IFN $\gamma$  [258], pointing to a key function of STAT1 in the anti-parasitic function itself. Indeed, IFN $\gamma$ -inducible genes include genes such as inducible nitric oxide synthase (iNOS), which is under the control of STAT1 (see: [256]). Part of the parasite's immune evasion may result from its ability to induce the IFN $\gamma$ -signaling inhibitor SOCS-1 (suppressor of cytokine signaling 1): in murine macrophage cell lines *T. gondii* infection induced the expression of SOCS-1, resulting in inhibited STAT1 tyrosine phosphorylation, and in SOCS-1  $-/-$  mice, inhibition of the anti-parasitic effect of IFN $\gamma$  was reduced [259]. However, in a different context, that of human fibroblasts, *T. gondii* was found to inhibit IFN $\gamma$ -dependent STAT1 activation without affecting its phosphorylation and nuclear trafficking, by blocking its transcriptional activity on IFN $\gamma$ -responsive genes, including IRF1 [260]. Interestingly, although the targeting of STAT1 by *T. gondii* is clearly established, the molecular mechanism of how the parasite's proteins achieve STAT1 inhibition is not known in detail. Among the identified mechanisms, two bring about an increased IL-12 production: the triggering of the Toll Like Receptor by the parasite's surface glycosylphosphatidylinositols (GPI), involving MyD88 and the NF- $\kappa$ B pathway; and the triggering of the chemokine receptor 5 (CCR5) by the parasite cyclophilin C-18 [261]. The blockade in STAT1 signaling, as well as that of NF- $\kappa$ B signaling, has also been attributed to a parasitic heat shock protein (HSP-70) which efficiently attenuates the suppressive action of *T. gondii* within infected cells (see: [256]), however, the mechanistic details of how STAT1 is blocked are not identified.

### 5.2.8. Increased expression/phosphorylation of the inhibitory form STAT1 $\beta$

When infecting cells, the bacterium *Mycobacterium tuberculosis* induces cell-mediated immunity: infected macrophages secrete IFN $\alpha$  and IFN $\beta$  [262], as do dendritic cells (DC) [263]. In the meantime, events downstream from IFN activation are impaired, including a significant reduction in the abundance of the ISGF-3 components STAT1, STAT2 and IRF9 [264]. In addition, IFN- $\gamma$ -activated human macrophages are unable to restrict the growth of the virulent *M. tuberculosis* [265,266] suggesting that the bacterium interferes with the response to IFN $\gamma$  [267] and efficiently subverts IFN action by acting on events that are downstream of the triggering of IFN receptors. Surprisingly, however, the tyrosine phosphorylation, dimerisation, nuclear transfer and the DNA binding of STAT1 all appear to function normally [264]. Although reduced binding to the CBP/p300 coactivator was noted early on in *M. tuberculosis*-infected murine macrophages, the transcription of STAT1 $\alpha$ -dependent IFN $\gamma$

targets has been found to be blocked in the absence of any modification of the phosphorylation or stability of STAT1 $\alpha$  [268]. As discussed in the above sections, the STAT1 $\alpha$ /STAT1 $\beta$  ratio affects the resistance of cells to apoptosis [174] and to viral infection [269]. Interestingly, in one study of *M. tuberculosis*-infected cells, stabilisation of the mRNA for STAT1 $\beta$  was observed, accompanied by increased expression and phosphorylation of this isoform, suggesting a mechanism for inhibiting the signaling pathway of STAT1 [270].

*L. mexicana*, as already discussed above, inhibits IFN $\gamma$  signaling through an IFNR/JAK-independent increased phosphorylation of STAT1 $\beta$ , thereby inhibiting STAT1 $\alpha$ , possibly through competition at the level of target gene promoters [212].

## 6. The paradoxical activation of STAT1 by the oncogenic Epstein–Barr virus

The Epstein–Barr Virus (EBV) has evolved an extremely complex and intertwined interaction with its host's defence system.

### 6.1. Epstein–Barr virus – transformed cells

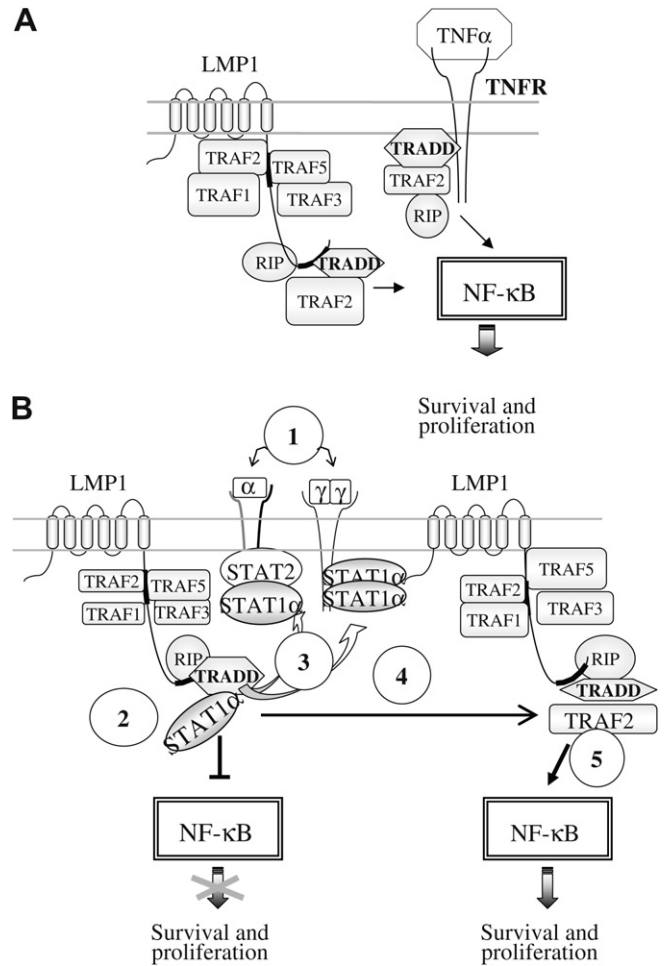
The EBV is usually responsible for a mild, often asymptomatic and undetected infection; but this DNA virus is also associated with several malignant diseases, including Burkitt's lymphoma, post-transplant lymphoma, lymphoma associated with HIV infection, Hodgkin's disease, T cell lymphomas and leukemia, epithelial neoplasia such as nasopharynx carcinoma, mammary carcinoma, and gastric carcinoma [271,272]. Following infection, the EBV persists in a latent form within memory B cells that are CD23- and CD27-positive and CD5- and IgD-negative. There is also a persisting production of virion by the salivary glands of the healthy subject [273,274]. Among the many genes encoded by the EBV, a limited number have been shown to be essential for latency. Two of these genes code for non-polyadenylated small RNAs, EBER1 and EBER2 (for EBV Encoded RNA), six genes code for nuclear proteins EBNA 1, 2, 3A, 3B, 3C and LP (EBNA for EBV Nuclear Antigen) and three code for membrane proteins LMP1, LMP2A and LMP2B (LMP stands for Latent Membrane Protein) [275,276]. The expression of these proteins varies with the pathophysiological context. A classification of four viral latencies is used (latencies 0, I, II and III). The EBV has also been shown to transform cells in vitro [277], resulting in lymphoblastoid cell lines (LCL) which grow indefinitely and express the EBV genes corresponding to latency III [278,279]. It is important to note that LCLs can arise in vivo. They probably originate from a subpopulation of memory B cells which remain positive for EBV and have kept the potential to spontaneously generate LCLs [280–282] with characteristics similar to the LCLs generated in vitro [276]. Cells from LCLs are similar to lymphoblastic B cells. They are bigger than B lymphocytes, with a larger cytoplasm and numerous short cytoplasmic extensions. Their growth rate is variable, with a doubling time varying from 20 to 48 h. They tend to form aggregates, but do not adhere to the plastic of the culture flask [276]. On their surface they express the B cell markers, CD19 and CD20, and the B cell differentiation markers that are induced by the EBV: CD23, CD38, CD39, CD54, CD58 and CD70 [283–285]. The LCLs retain the capacity to spontaneously differentiate in so-called plasmacytoid cells. The plasmacytoid cells are similar to plasma cells, they produce high levels of immunoglobulins and have a diminished expression of CD23, CD11a and CD58, and an elevated expression of CD54 and CD38 [286]. Interestingly, these changes also occur during normal differentiation of B lymphocytes to plasma cell. Meanwhile, the expression of the latency proteins EBNA2 and LMP1 diminishes during the differentiation of LCL into plasmacytoid cells [286,287].

The latency protein LMP1 is a transmembrane protein of 63kDa encoded by the BNLF1 gene of the EBV. It consists of six

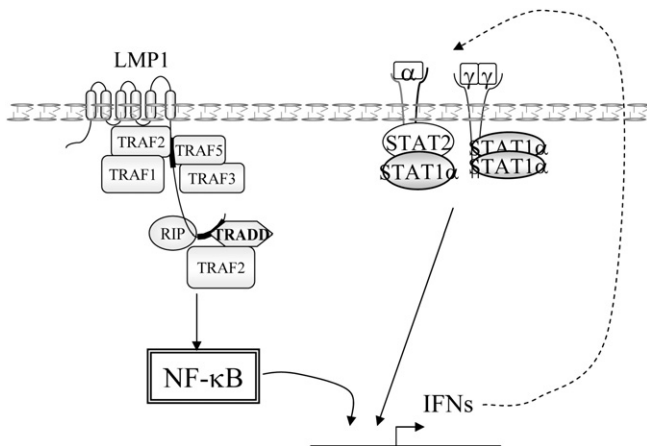
transmembrane domains (from amino-acid 25 to amino-acid 194). The N-terminus is a short cytoplasmic sequence (1–24) and the C-terminus is a longer cytoplasmic stretch (195–386) which contains two activating regions, CTAR1 (C-terminal activating region 1) and CTAR2 [288] (Figs. 10 and 11). LMP1 has been shown to be involved in cell transformation and cell immortalisation [289–291]. LMP1 forms homo-aggregates through interaction of its cytoplasmic N-terminal ends [292]. Following oligomerisation, LMP1 behaves in a manner similar to an activated TNF receptor (TNFR) [293–295]. The cytoplasmic regions CTAR1 and CTAR2 allow the association of the signaling molecules: TRAF, (TNFR-associated factor) [296,297], TRADD (TNFR-1-associated death domain protein) [298], and RIP (receptor-interacting protein) [299]; these proteins activate the kinases p38 $\alpha$ , NIK (NF- $\kappa$ B-inducing kinase) and JNK which in turn activate transcription factors including ATF2 (activating transcription factor 2), NF- $\kappa$ B [300–303] and AP1 [304]. These transcription factors in turn activate the transcription of genes involved in cell growth, such as c-Met, the EGF receptor and cyclin D2; angiogenesis, such as FGF2, VEGF MMP-9 and IL8; and protection against apoptosis such as Bcl2 [305], Bif-1 [306] and A20 [307,308]. These proteins potentially account for the bulk of the molecular mechanism of cell transformation induced by LMP1. In addition, some of the transcription factors that are activated, such as ATF [309] and AP1 [310], contribute to the maintenance of viral latency by activating the expression of LMP1 itself.

6.2. Activation of STAT1 by the oncoprotein LMP1

The constitutive phosphorylation of STAT1 on tyrosine 701 and serine 727 has been described in most cells expressing LMP1 such as LCLs or EBV-positive Burkitt lymphoma [178,311–313]. However, it is not always observed [314], particularly not in all LCLs [315], and may depend on the cell type. The activation of STAT1 in LMP1-expressing cells was initially thought to result from the binding of JAK3 to CTAR3, identified as a JAK-binding motif in the cytoplasmic region of LMP1 [312]. However, there is no detectable binding of JAK3 to LMP1 in either EBV-infected Burkitt lymphoma or in LCLs [316]. Furthermore, mutation of key amino-acids in either CTAR1, CTAR2 or both, results in the suppression of NF- $\kappa$ B, AP1 and STAT1 activation [317]. By combining inhibition of NF- $\kappa$ B activation and antibody neutralisation of IFNs, we were able to show that activation of STAT1 in LCLs can be accounted for by the constitutive



**Fig. 11.** Actions of STAT1 in EBV-positive lymphoblastoid cells expressing LMP1. A: activation of the NF- $\kappa$ B pathway by LMP1 or the TNFR. B: Complex interaction of STAT1 with the LMP1-activated NF- $\kappa$ B pathway. 1: Induction by NF- $\kappa$ B of IFN $\alpha$  and  $\gamma$  production leading to STAT1 activation. 2: Inhibition of TRADD by STAT1 $\alpha$  binding, leading to NF- $\kappa$ B inhibition. 3: Activation of STAT1 by IFNs following its liberation from TRADD. 4 and 5: activation of the NF- $\kappa$ B pathway by LMP1.



**Fig. 10.** Proposed mechanism for the activation of STAT1 by the oncogenic protein LMP1 in Epstein-Barr-transformed lymphoblastoid cell lines. Activation of the oncoprotein LMP1 results in the activation of NF- $\kappa$ B, the induction of the expression of IFNs and their production by cells drives the constitutive activation of STAT1 (adapted from reference [318]).

activation of NF- $\kappa$ B by LMP1, resulting in enhanced secretion of IFN $\alpha$ , activating STAT1, which in turn induces IFN $\gamma$  expression [318]. The direct involvement of LMP1 has further been demonstrated by the observation that STAT1 is phosphorylated on tyrosine 701 in Burkitt cells transfected with an inducible LMP1 [319]. In these experiments, the phosphorylation of STAT1 was detected after 4 h of induction, which is compatible with a secretory loop of IFNs (Fig. 10). However, in some LCLs, phosphorylation of STAT1 was detected on serine 727 but not on tyrosine 701, and DNA-binding capacity was increased, suggesting that perhaps other modifications of STAT1, such as acetylation, may also be involved in its activation by LMP1 [315]. This also points to the importance of the phosphorylation of serine 727 of STAT1, which is due in part to the activation of p38 $\alpha$  and JNK by LMP1 through TRAF1 and RIP, but independent of NF- $\kappa$ B, and can be further enhanced by the induction of IFN $\alpha$  and IFN $\gamma$  secretion, which activate ERK 1/2, CaMKII and PKC $\delta$ . STAT1 is probably not the only STAT family member to be activated by LMP1: in the BJAB cell line transfected with inducible LMP1, the phosphorylation of STAT3 is also detected. STAT1 is an inhibitor of cell growth and activator of apoptosis, and it is not clear why in some LCLs it can be constitutively activated. Several explanations can be proposed. Firstly, STAT1 $\alpha$  was found to

associate to TRADD, thereby inhibiting the TNF- $\alpha$ -induced activation of NF- $\kappa$ B [163]; it follows that IFN $\gamma$ , by increasing the recruitment of STAT1 monomers to the IFNGR1 receptor, can potentiate the action of TNF- $\alpha$  [320]. Since LMP1 activates NF- $\kappa$ B by mechanisms that are similar to those triggered by the TNFR, it is possible that in LMP1-expressing cells activation of STAT1 results in the potentiation of NF- $\kappa$ B activation. Indeed, the inhibition of STAT1 by overexpression of the  $\beta$  isoform results in a diminished NF- $\kappa$ B capacity to bind the DNA  $\kappa$ B sequence. Secondly, the promoter region of LMP1 contains the sequence 5'-TTCctgGAA-3', which is similar to a classical GAS sequence such as the one present in the IRF1 promoter [314]; however, DNA-binding and reporter gene experiments have shown STAT3 binding to this motif [314]. Nevertheless, the function of STAT1 activation in EBV-transformed cells is not entirely clear. It has recently been shown that LMP2A and LMP2B, whose function is not fully elucidated, induce decreased responsiveness of cells to IFNs by accelerating their surface turnover, thereby reducing STAT1 activation [321]. Although this observation was made in transfected epithelial cells, it indicates that the interaction of the EBV with its host's defence system is complex, and that the survival of EBV-transformed cells in the host must be the result of a subtle equilibrium between the cells' anti-viral defence, including STAT1, and the virus's maintenance proteins.

## Acknowledgments

Part of the research described in this review was supported by: Association pour la Recherche contre le Cancer (ARC) (grant 3133); réseau herpès virus et cancer; Société Française d'Hématologie (SFH); Ligue contre le cancer, and Ministère de la recherche et des technologies (MENRT).

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