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Early Onset Noninfectious Pulmonary Syndromes after Hematopoietic Cell Transplantation



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KEYWORDS

- Idiopathic pneumonia syndrome • Cryptogenic organizing pneumonia
- Hematopoietic cell transplantation

KEY POINTS

- Idiopathic pneumonia syndrome (IPS) is an uncommon but deadly complication of transplantation with many clinical phenotypes.
- A better understanding of IPS pathobiology and the role of occult infection is needed to develop effective therapies.
- Some drugs given for conditioning or graft-versus-host disease prevention and treatment have known potential pulmonary toxicities.
- Venous thromboembolism and pulmonary hypertension can cause pulmonary symptoms with normal chest imaging after a hematopoietic cell transplant.

INTRODUCTION

More than a million hematopoietic cell transplants (HCTs) have been performed worldwide to treat a spectrum of benign and malignant diseases.^{1,2} Survival rates after HCT are increasing over time because of advances in donor and recipient selection, pretransplant conditioning, infection and graft-versus-host disease (GVHD) prevention and treatment, blood transfusion management, and critical care.^{3–6} Nonrelapse mortality within 200 days of allogeneic HCT decreased from 30% to 16% comparing years 1993–1997 to 2003–2007, respectively, at one high-volume US transplant center.⁴ Despite improved overall survival, noninfectious lung injuries remain an important cause of morbidity and mortality after HCT. A recent “call to arms”

urges concerted efforts toward identifying effective preventive and therapeutic strategies.⁷

This article focuses on noninfectious pulmonary complications that manifest within the first few months after HCT. The first section reviews epidemiology, pathogenesis, treatment, and outcomes of the diffuse lung injuries collectively referred to as idiopathic pneumonia syndrome (IPS) and its clinically relevant subtypes, including diffuse alveolar hemorrhage (DAH) and cryptogenic organizing pneumonia. The second section reviews pulmonary toxicities of drugs commonly used for conditioning or GVHD prophylaxis and treatment. The final section summarizes the limited knowledge of less common pulmonary syndromes that occur after HCT, including pulmonary alveolar

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proteinosis, venous thromboembolism, and pulmonary hypertension.

IDIOPATHIC PNEUMONIA SYNDROME

Definition

The National Institutes of Health sponsored a workshop in 1991 with the goal of unifying research on lung complications of transplantation.⁸ The standard IPS definition proposed by this group required evidence of widespread alveolar injury and absence of active lower respiratory tract infection (LRTI). LRTI could be excluded by either nonresponse to broad-spectrum antibiotics or at least one bronchoscopy with bronchoalveolar lavage (BAL) testing negative for an extensive panel of known pulmonary pathogens. Transbronchial biopsy was recommended when clinically permissible. Many clinical syndromes were included in this IPS definition, including acute respiratory distress syndrome (ARDS), acute interstitial pneumonitis, delayed pulmonary toxicity syndrome, peri-engraftment respiratory distress syndrome, DAH, cryptogenic organizing pneumonia, and bronchiolitis obliterans syndrome. The working group acknowledged the clinical heterogeneity within this definition and recommended multidisciplinary investigation to improve our understanding of IPS pathobiology and motivate novel treatments.

The emergence of new diagnostic technologies and newly recognized pulmonary pathogens resulted in updates to the original IPS definition.^{9,10} The most recent published revision requires the exclusion of heart failure, acute kidney injury, and iatrogenic fluid overload as cause for the widespread alveolar injury.¹¹ The modified definition in **Box 1** incorporates an evolved understanding of pulmonary pathogens^{12–16} and an appreciation that inflammatory lung injury and hydrostatic pulmonary edema can coexist.¹⁷

Epidemiology

Our knowledge of IPS epidemiology in the contemporary era is limited by the age of the currently available evidence and heterogeneous definitions used (**Table 1**). Two large retrospective cohort studies applied the standard IPS definition and found results similar to earlier studies of noninfectious interstitial pneumonitis and idiopathic interstitial pneumonitis. Incidence of IPS in these populations that included children and adults was 5.7% after autologous HCT¹⁸ and 8% after allogeneic HCT.^{18,19} Median time to IPS onset was 21 days, ranging from 7 to 34 days. Risk factors included high-grade GVHD, age, total-body-irradiation (TBI) dose, and transplant indication.

Box 1

Modified definition of idiopathic pneumonia syndrome

1. Widespread alveolar injury, as evidenced by
 - a. Multilobar opacities on chest imaging
 - b. Symptoms and signs of pneumonia
 - c. Abnormal pulmonary physiology
 - i. Increased alveolar to arterial oxygen difference
 - ii. New or increased restrictive pulmonary physiology
2. Absence of active LRTI
 - a. Negative tests for
 - i. *Bacteria*: stains and cultures for bacteria, acid-fast bacilli, *Nocardia*, *Legionella*, *Mycoplasma*
 - ii. *Viruses*: culture, DFA, and PCR for respiratory viruses (adenovirus, influenza, parainfluenza, metapneumovirus); shell vial culture (CMV, RSV); DFA for CMV, VZV, HSV; cytopathology for viral inclusions
 - iii. *Fungi*: stain and culture; serum and BALF galactomannan ELISA for *Aspergillus* species; PCR for *Zygomycetes* and other non-*Aspergillus* invasive molds in some clinical settings
 - b. Consider tests for possible pulmonary pathogens: HHV6, rhinovirus, coronavirus
 - c. Consider lung biopsy if clinical condition permits and less invasive diagnostics are insufficient
3. No alternate explanatory cause for pulmonary dysfunction, such as heart failure, acute kidney injury, or iatrogenic fluid overload

Abbreviations: BALF, BAL fluid; CMV, cytomegalovirus; DFA, direct fluorescent antibody staining; ELISA, enzyme-linked immunosorbent assay; HHV6, human herpesvirus 6; HSV, herpes simplex virus; PCR, polymerase chain reaction; RSV, respiratory syncytial virus; VZV, varicella zoster virus.

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Mechanical ventilation was used in 62% to 69% of IPS cases, and mortality rates were approximately 75% in the hospital or within 30 days of discharge. Recent studies added prior HCT and

Table 1
Idiopathic pneumonia syndrome risk factor studies

First Author, Year	Years	Population	N	IPS Incidence (%)	IPS Risk Factors	Fatality/ Mortality (%)
Meyers et al, ¹⁴⁶ 1982	1969–1979	Allo, syn BMT	625	11–12 ^a	HM/AA, age, TBI in AA	61
Weiner et al, ¹⁴⁷ 1989	1978–1983	Allo BMT	1183	11 ^a	Methotrexate, age, severe GVHD, BMT >6 mo after diagnosis, reduced performance status, TBI dose >4 cGy/min	78
Wingard et al, ¹⁴⁸ 1988	1976–1985	BMT	382	15 ^a	HM	73
Kantrow et al, ¹⁸ 1997	1989–1991	First BMT	1165	5.7–7.6	Nonleukemia malignancy, grade IV acute GVHD	74
Bilgrami et al, ¹⁴⁹ 2001	1993–1997	Auto PBSCT	271	3.4	—	80
Wong et al, ¹⁵⁰ 2003	1992–2000	Auto HCT	164	12	—	15
Fukuda et al, ¹⁹ 2003	1997–2001	Allo HCT	1100	2.2–8.4	Age, grade II–IV acute GVHD, acute leukemia or MDS, TBI dose	75
Keates-Baleeiro et al, ²³ 2006	1999–2005	Allo HCT ^b	93	11.8	Acute GVHD ^c	64
Zhu et al, ¹⁵¹ 2008	1997–2007	Allo HCT	192	12	HLA matched unrelated donor, grade III–IV acute gut GVHD	87–100
Sakaguchi et al, ²⁴ 2012	1990–2009	Auto, allo HCT ^b	251	8	High-risk disease, busulfan conditioning	15
Sano et al, ²⁰ 2014	1988–2007	Allo HCT ^b	210	6.7	Grade II–IV acute GVHD, prior HCT	79

Abbreviations: AA, aplastic anemia; allo, allogeneic; auto, autologous; BMT, bone marrow transplantation; HM, hematologic malignancy; MDS, myelodysplastic syndrome; PBSCT, peripheral blood stem cell transplantation; syn, syngeneic; TBI, total body irradiation.

^a Idiopathic interstitial pneumonia considered equivalent to IPS.

^b Study population included children only.

^c Univariate analysis.

receipt of blood component transfusions to the list of IPS risk factors.^{20–22}

Although IPS definitions, study populations, conditioning regimens, and observation times varied across these studies, there was a consistently high rate of respiratory failure and death. Reported outcomes were better in children than adults.^{23,24} Updated studies are needed to better understand potential targets for intervention and inform bedside conversations about prognosis.

Pathogenesis

The histopathology of IPS spans a spectrum that includes diffuse alveolar damage, lymphocytic bronchiolitis, organizing pneumonia, and interstitial pneumonitis (**Fig. 1**).²⁵ Efforts to elucidate the pathogenesis of IPS are challenged by the heterogeneity of the syndrome and the concurrence of processes that modify biology, such as extrapulmonary GVHD and use of mechanical ventilation. Our current knowledge of IPS pathobiology derives largely from studies using murine models that recapitulate many of the biological features and physiologic changes observed in clinical settings.

In experimental IPS models, cytokine/chemokine-mediated signal transduction cascades orchestrate noninfectious lung inflammation and injury (**Fig. 2**). Tumor necrosis factor (TNF)- α ,^{26,27} interferon (IFN)- γ ,^{28,29} and lipopolysaccharide (LPS)²⁷ are proposed to mediate key pathways that converge in the lung.³⁰ Early in IPS development, TNF- α released from injured tissues may enhance costimulatory communication between the donor T cells migrating into the lung and radioresistant host monocytes/antigen-presenting cells.^{31–35} Through dysregulation of interleukin (IL)-6, low IFN- γ levels

promote expansion of alloreactive donor CD4+ cells, including T helper 17 (T_H17) cells.^{30,31,35,36} Neutrophilic alveolitis is noted in the later stages of experimental IPS.^{26,37} It is hypothesized that LPS translocates from intestines that were damaged by conditioning or acute GVHD and circulates to the lung where it activates neutrophils and macrophages.³⁰ The exact mechanisms of this proposed composite biology and how it ultimately injures host cells are not fully elucidated. In the end, severe pulmonary dysfunction results from capillary leak, pulmonary edema, disruption of pulmonary surfactant, and injury to and in some cases apoptosis of the bronchial epithelium, alveolar epithelium, and/or vascular endothelium.^{33,38}

The few clinical studies examining cytokine levels in patients with IPS support some of these experimental findings. Blood and BAL fluid levels of TNF- α signaling and LPS-binding protein are elevated before and during IPS,^{39–44} a finding that could be consistent with a “complex interaction of donor cells and recipient macrophages.”⁴¹ These studies support the hypothesized model of the dual roles played by TNF- α and LPS in IPS pathogenesis and suggest there is overlapping biology occurring in the systemic and lung compartments. The cytokines IL-2,⁴² IL-6,⁴³ and monocyte chemoattractant protein (MCP)-1 are also elevated in clinical IPS.

Although these studies are important progress toward understanding IPS pathobiology, there remains work to be done. For example, it is not known how biology varies between IPS subtypes. A recent study by Seo and colleagues⁴⁵ highlights another important limitation to our understanding of IPS. Using modern molecular diagnostic technologies, the investigators detected occult potential pathogens in 57% of BAL fluid samples taken

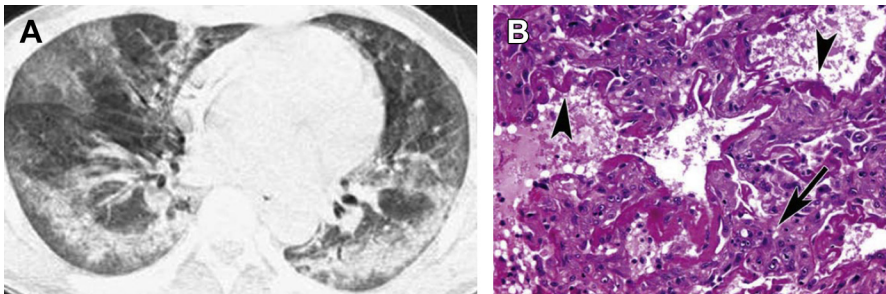


Fig. 1. IPS in 40-year-old man with acute myelogenous leukemia 4 weeks after allogeneic hematopoietic stem cell transplantation. (A) High-resolution computed tomography scan obtained at level of lower lung zones shows bilateral patchy areas of consolidation and ground-glass attenuation. (B) Photomicrograph of histopathologic specimen shows that alveolar septa are thickened by edema and round cell infiltration (arrow). Hyperplasia and desquamation of alveolar lining cells, fibrinous exudation, and hyaline membranes (arrowheads) are seen within alveolar spaces (hematoxylin-eosin, original magnification $\times 250$). (From Franquet T, Müller NL, Lee KS, et al. Pictorial essay. High-resolution CT and pathologic findings of noninfectious pulmonary complications after hematopoietic stem cell transplantation. *Am J Roentgenol* 2005;184:629–37. Reprinted with permission from the American Journal of Roentgenology.)

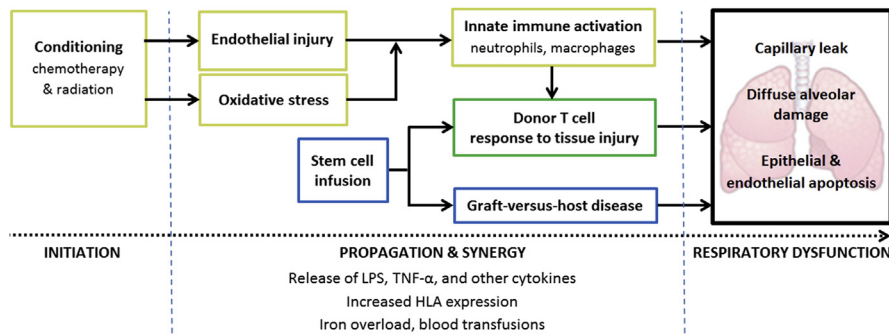


Fig. 2. IPS pathobiology. IPS can result from damage caused by pretransplant conditioning (yellow boxes) or from GVHD (blue boxes). These two pathways may act simultaneously and synergistically (green box) and may be amplified by inflammatory stimuli, such as iron overload and blood transfusions. LPS, lipopolysaccharide; TNF- α , tumor necrosis factor- α .

from clinically diagnosed IPS cases. Patients with occult microorganisms experienced worse outcomes. This study suggests that infection may play unrecognized roles in IPS development, phenotype, or severity. Alternatively, it is possible that clinical research from which the basic understanding of IPS arose was biased because of misclassification of true infectious pneumonia as IPS. Additional research is needed to progress our ability to develop new preventive and therapeutic strategies for IPS.

Predicting the Development and Outcome of Idiopathic Pneumonia Syndrome

Effective methods to identify patients at highest risk for IPS and predict responsiveness to specific immunomodulatory therapies could focus preventive efforts and treatment on those most likely to benefit. Using an unbiased proteomic approach, Schlatzer and colleagues⁴⁴ compared profiles of peptides found in blood collected the day of HCT between individuals who subsequently developed IPS and controls. The investigators generated and internally validated high-performing models that used varied combinations of peptides to predict the development of IPS and its response to treatment with etanercept, a soluble TNF- α -binding protein. Several proteins in the acute phase response signaling pathway were selected for inclusion in the prediction models and changed throughout the course of IPS, consistent with dysregulated innate immunity. Although this single study requires validation in an independent population with special attention to the potential role of occult infection, it highlights that biomarkers may be useful to advance our understanding of IPS.

Several other lines of inquiry examined associations between genetic polymorphisms or other biomarkers and the development of end points that overlap with IPS, such as acute lung injury

and GVHD.^{46–51} Whether the results of these studies are generalizable to IPS and their potential to identify new therapeutic targets is unknown.

Treatments and Outcomes

High-dose systemic corticosteroids and supportive care are the current standard treatments for IPS. Metcalf and colleagues first reported their observations treating 63 people with DAH following autologous or allogeneic HCT.⁵² Survival to hospital discharge was higher in those treated with more than 30 mg of methylprednisolone daily for 4 to 5 days followed by a taper (67% survival vs 10% on lower doses and 0% with supportive therapy alone). The 3 treatment groups experienced similar infection rates. A subsequent cohort study that included 81 IPS cases confirmed better survival with corticosteroids and showed similar outcomes when comparing 2 mg/kg/d prednisolone with 4 mg/kg/d.¹⁹ In these observational studies, corticosteroids may have been withheld from the sickest patients who subsequently died, resulting in an inflated estimate of their benefit. Regardless, survival was unacceptably low at 30% to 33% despite treatment.

In an effort to improve outcomes, a series of studies motivated by preclinical data examined the clinical impact of modulating TNF- α in IPS. In observational studies, coadministration of methylprednisolone 1 to 2 mg/kg/d with etanercept 0.4 mg/kg given twice weekly for a maximum of 8 doses resulted in low toxicity, improved pulmonary physiology,^{43,53} and increased short-term survival for IPS.⁵⁴ In contrast, a randomized placebo-controlled trial of etanercept/glucocorticoid combination therapy showed no survival benefit.⁵⁵ Importantly, enrollment was terminated early resulting in an increased chance of a false-negative result. In another trial, children receiving etanercept experienced higher rates of survival

without supplemental oxygen 28 days after enrollment compared with historical controls.⁴⁰ Although long-term survival was not studied, 63% of etanercept-treated children remained alive 1 year later, a higher survival rate than seen in prior studies of corticosteroid therapy. The limitations of this trial include the lack of a contemporary control group and potential that participants have different characteristics than the broader population at risk for IPS. Whether *etanercept* improves survival or not and who is most likely to benefit remains unanswered.

A few other agents may meaningfully modify the biology of IPS; however, currently available evidence does not support their routine use. *Keratinocyte growth factor* (KGF) protects against chemoradiation-induced epithelial cell injury and enhances tissue repair, limiting IPS severity in mice and GVHD severity in clinical settings.^{56,57} The limited data regarding the potential impact of *antioxidants* on IPS are conflicting.⁵⁸ *Antifibrinolytic therapy* may improve outcomes by directly reducing hemorrhage or indirectly by reducing the number of blood component transfusions, though the results of small observational studies are mixed.^{59,60} *Defibrotide* is a profibrinolytic therapy that prevents and improves survival from sinusoidal obstruction syndrome,^{61,62} a complication of HCT that also arises from endothelial injury.⁶³ Its use for IPS, however, may be limited by increased risk of hemorrhage. *Macrolides* suppress production of macrophage-derived cytokines implicated in IPS pathogenesis⁶⁴ and improve cryptogenic organizing pneumonia in small studies outside HCT settings.^{65,66} Macrolides may similarly benefit patients with IPS when administered at doses higher than are commonly used for infection prophylaxis. The recent discovery of Th17 CD4+ T cells⁶⁷ and their important role in IPS and GVHD^{28,36,68} may lead to new directions using *halofuginone*⁶⁹ or other Th17-suppressing therapies for IPS.

CLINICAL SUBTYPES OF IDIOPATHIC PNEUMONIA SYNDROME

Peri-Engraftment Respiratory Distress Syndrome

Engraftment syndrome (ES) is defined as fever or rash occurring within 5 days of neutrophil engraftment.⁷⁰ Risk factors for ES include male sex, myeloablative conditioning, high-dose TBI, and non-matched-related stem cell donor.⁷¹ A subset of patients with ES develops the noninfectious pulmonary infiltrates and hypoxemia characteristic of *peri-engraftment respiratory distress syndrome* (PERDS), also known as capillary leak syndrome

(**Fig. 3**).^{72,73} The capillary leak of PERDS results from activation of engrafting neutrophils, possibly in response to conditioning toxicity or T-cell autoreactivity.^{72,74} PERDS occurs after 2.5% of autologous HCT. Its reported incidence varies widely after allogeneic HCT, whereby neutrophil activation may be a component of acute GVHD.⁷⁵ In the original description of PERDS after autologous HCT, 32% of 19 patients were mechanically ventilated and 26% died.⁷⁰ Most severe PERDS cases treated with systemic corticosteroids improved. Whereas ES is associated with transplant-related mortality,^{71,75} the better response to corticosteroids and higher survival of PERDS relative to other IPS subtypes highlights the fact that IPS subtypes may have importantly different biology.

Diffuse Alveolar Hemorrhage

DAH is a subtype of IPS defined as BAL showing any of the following⁷⁶⁻⁷⁸:

- At least 20% hemosiderin-laden macrophages
- Blood in at least 30% of alveolar surfaces
- Progressively bloodier return on serial lavages

DAH manifests as dyspnea, nonproductive cough or hemoptysis, and hypoxemia with or without fever and may be progressive. Radiographically, diffuse alveolar and interstitial infiltrates in a predominantly central and basilar distribution are particularly suggestive of DAH; however, other patterns of diffuse opacities may

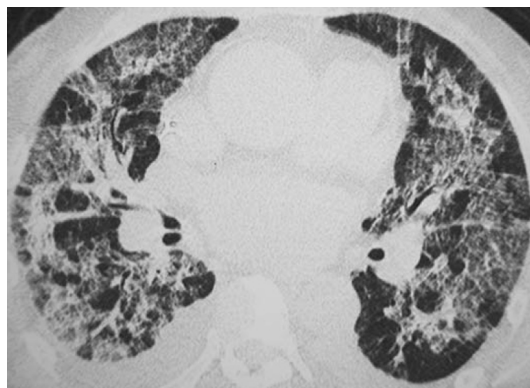


Fig. 3. ES in 46-year-old woman with non-Hodgkin lymphoma 3 weeks after allogeneic hematopoietic stem cell transplantation. High-resolution computed tomography scan shows bilateral areas of consolidation having peribronchovascular and subpleural distribution. Note right pleural effusion. (From Franquet T, Müller NL, Lee KS, et al. Pictorial essay. High-resolution CT and pathologic findings of noninfectious pulmonary complications after hematopoietic stem cell transplantation. *Am J Roentgenol* 2005;184:629-37. Reprinted with permission from the American Journal of Roentgenology.)

be seen (Fig. 4).⁷⁹ Although proposed to be a biologically distinct subtype of IPS,^{80,81} DAH epidemiology and its poor outcomes are similar to those of IPS as a whole. In studies specifically examining DAH in transplant settings, incidence ranges from 1% to 21% with similar rates between autologous and allogeneic HCT.⁸⁰ Median onset ranges from 11 to 19 days after HCT.^{77,80,82,83} Risk factors for DAH include myeloablative conditioning, TBI, and increased age.^{79–81,84} Respiratory failure requiring mechanical ventilation occurs in most cases, and overall mortality ranges from 64% to 100%.^{52,82,85,86} High-dose systemic corticosteroids and supportive care that may include platelet transfusions are standard; procoagulant therapies had variable success in small studies and are not routinely used.^{59,60,87,88}

Delayed Pulmonary Toxicity Syndrome

Delayed pulmonary toxicity syndrome is a subtype of IPS that only occurs after autologous HCT. It presents with exertional dyspnea, nonproductive cough, often fever, reduced diffusing capacity, and radiographic findings of bilateral ground-glass, linear-nodular, consolidative, or mixed opacities. The incidence is 29% to 64% after receiving pre-HCT conditioning regimens containing carmustine (BCNU), cyclophosphamide, and cisplatin in combination. The median time of onset is 45 days (range, 21–149 days), and treatment with corticosteroids (1 mg/kg/d) results in resolution in up to 92% of cases.⁸⁹

Cryptogenic Organizing Pneumonia/Acute Fibrinous Organizing Pneumonia

Cryptogenic organizing pneumonia (COP), previously called bronchiolitis obliterans-organizing

pneumonia, is an idiopathic interstitial pneumonia that occurs after HCT. COP typically manifests as a subacute illness characterized by fever, dyspnea, and nonproductive cough. However, the timing and pace of onset of COP varies; its severity ranges from mild to severe respiratory failure.⁹⁰ The median onset is 108 days after HCT.⁹¹ Risk factors include acute and chronic GVHD, female donors for male recipients, and conditioning with combination cyclophosphamide and TBI.^{91,92} Radiographs commonly show unilateral or bilateral patchy foci of consolidation and ground-glass opacities with a subpleural, peribronchial, or bandlike pattern (Fig. 5).⁹³ Pulmonary function tests show ventilatory restriction and reduced diffusing capacity with or without obstruction. The definitive diagnosis of COP requires surgical lung biopsy; however, a case presenting with typical clinical features and bronchoscopy excluding infectious pneumonia may justify a treatment trial.⁹⁴ Histologic samples are characterized by patchy proliferation of immature fibroblasts called Masson bodies in a matrix of loose connective tissue involving the terminal airways, alveolar ducts, and alveoli with or without bronchiolar intraluminal polyps.⁹⁵ COP most often resolves with several months of corticosteroid therapy and frequently relapses with discontinuation of steroids. In one study of 51 COP cases treated with 1 mg/kg/d prednisolone following HCT, 78% resolved or remained stable and 22% progressed resulting in 16% case fatality.⁹¹

Acute fibrinous organizing pneumonia (AFOP) is a recently recognized, rare, and poorly understood complication of HCT.^{96,97} AFOP may be part of the spectrum of nonspecific dysregulated response to lung injury along with diffuse alveolar damage and

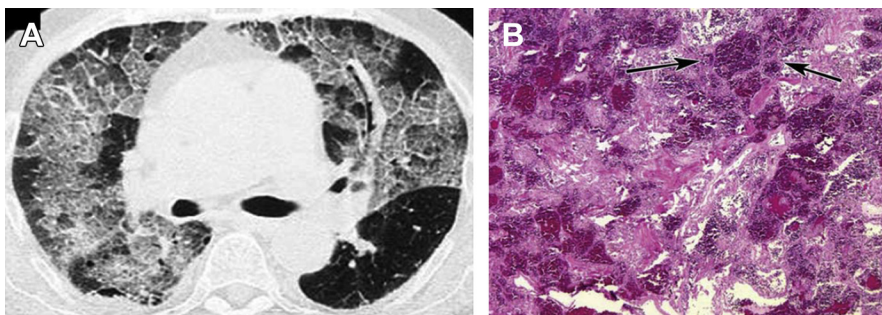


Fig. 4. DAH in 46-year-old woman with non-Hodgkin lymphoma 3 weeks after allogeneic hematopoietic stem cell transplantation. (A) High-resolution computed tomography scan obtained at level of carina shows diffuse ground-glass opacity in addition to septal thickening (crazy paving). (B) Photomicrograph of histopathologic specimen shows that macrophages containing hemosiderin are present within alveolar spaces (arrows) (hematoxylin-eosin, original magnification $\times 100$). (From Franquet T, Müller NL, Lee KS, et al. Pictorial essay. High-resolution CT and pathologic findings of noninfectious pulmonary complications after hematopoietic stem cell transplantation. *Am J Roentgenol* 2005;184:629–37. Reprinted with permission from the American Journal of Roentgenology.)

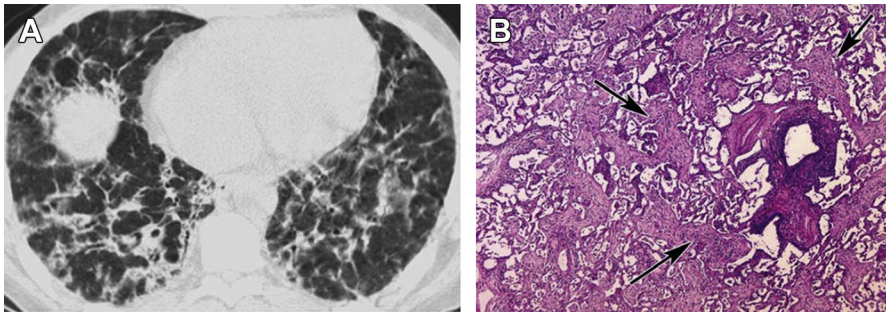


Fig. 5. Organizing pneumonia after hematopoietic stem cell transplantation in 38-year-old man. (A) High-resolution computed tomography scan obtained at level of lower lung zones shows bilateral patchy areas of consolidation in predominantly peribronchial distribution. (B) Photomicrograph of histopathologic specimen shows presence of fibroblastic tissue in lumina of peribronchial alveoli (arrows) (hematoxylin-eosin, original magnification $\times 100$). (From Franquet T, Müller NL, Lee KS, et al. Pictorial essay. High-resolution CT and pathologic findings of noninfectious pulmonary complications after hematopoietic stem cell transplantation. *Am J Roentgenol* 2005;184:629–37. Reprinted with permission from the American Journal of Roentgenology.)

COP.⁹⁶ AFOP coexistent with COP marks a worse prognosis.⁹⁸ The original series of 17 AFOP cases described an acute to subacute respiratory illness with bibasilar reticular or nodular infiltrates.⁹⁹ Histologically, AFOP is characterized by patchy aggregates of intra-alveolar fibrin deposition (fibrin balls) with associated organizing pneumonia and in some cases neutrophilic inflammation of the alveolar walls. The clinical course of AFOP follows one of 2 trajectories: fulminant respiratory failure or subacute disease with recovery after treatment with corticosteroids. Corticosteroids and mycophenolate mofetil dual therapy may be effective for severe cases.¹⁰⁰

TOXICITIES OF CONDITIONING AND GRAFT-VERSUS-HOST DISEASE PROPHYLAXIS REGIMENS

The patterns of pulmonary toxicity caused by drugs used for pre-HCT conditioning or GVHD are listed in **Table 2**. The drugs are categorized as those with established toxicity in HCT and drugs with toxicities observed outside HCT settings.

Drugs with Known Toxicities in Hematopoietic Cell Transplant

Carmustine, or BCNU, sometimes used alone or in combination before autologous HCT, is associated

Table 2
Pulmonary toxicity of chemotherapeutic and immunosuppressive agents

Agent	Pulmonary Toxicity
Known pulmonary toxicity in HCT	
Carmustine	Acute pneumonitis
Cyclosporine	Capillary leak (noncardiogenic pulmonary edema), ARDS
Sirolimus	Organizing pneumonia, DAH, ARDS, pulmonary alveolar proteinosis
Known pulmonary toxicity in other clinical settings	
Cyclophosphamide	Interstitial pneumonia, organizing pneumonia
Fludarabine	Interstitial pneumonitis, acute eosinophilic pneumonia
Azathioprine	Organizing pneumonia, DAH, interstitial pneumonitis, laryngeal edema, vasculitis
Tacrolimus	Organizing pneumonia
Mycophenolate mofetil	Pulmonary edema, ARDS, pulmonary fibrosis, bronchiectasis
Rituximab anti-CD-20 antibody	ARDS, DAH, interstitial pneumonitis, organizing pneumonia, pulmonary fibrosis, hypersensitivity pneumonitis
Alemtuzumab anti-CD52 antibody	DAH

with acute-onset pneumonitis with an incidence of 4% to 59%. Prior mediastinal radiation therapy, BCNU dose greater than 1000 mg, and age younger than 54 years are risk factors for developing pneumonitis after autologous HCT for lymphoma.¹⁰¹

Noncardiogenic pulmonary edema and ARDS have been reported in association with *cyclosporine* after bone marrow transplantation that resolves when the medication is discontinued and is postulated to be an idiosyncratic reaction.¹⁰²

Sirolimus, used for acute GVHD prophylaxis¹⁰³ and for primary immunosuppression in active chronic GVHD, causes rare pulmonary toxicities that may be severe and fatal.^{104,105} The predominant histologic patterns are organizing pneumonia, pulmonary hemorrhage, diffuse alveolar damage, and in a minority of cases pulmonary alveolar proteinosis.^{104,106} The mainstay of treatment is discontinuation of the drug with or without corticosteroids (1 mg/kg/d), which typically results in complete resolution of symptoms within 2 to 4 months.

Drugs with Potential Toxicities in Hematopoietic Cell Transplant

Some chemotherapeutic or immunosuppressive agents used in HCT have known pulmonary toxicities in other clinical settings and should be considered during the evaluation of lung disease following HCT. *Cyclophosphamide*, used in combination with TBI or other chemotherapy agents in preparative regimens for HCT, is associated with interstitial pneumonia.¹⁰⁷ *Fludarabine*, a purine analogue used in nonmyeloablative conditioning regimens before allogeneic HCT, is infrequently associated with pulmonary toxicity in the forms of interstitial pneumonitis or, less commonly, acute eosinophilic pneumonia usually 3 to 14 days after the last dose.^{108,109} Most cases respond to systemic corticosteroid therapy (1 mg/kg/d), suggesting an immunologic mechanism in the pathogenesis. Fludarabine-associated pulmonary toxicity can recur during steroid taper with improvement after reinstitution of steroid therapy.^{108,110,111}

Monoclonal antibodies used for prophylaxis or treatment of acute GVHD are infrequently associated with pulmonary toxicity. *Rituximab*, a chimeric antibody against CD20, can result in ARDS and DAH developing within hours of administration or interstitial pneumonitis and COP developing within weeks.¹¹² Most cases resolve completely after discontinuation of therapy with or without corticosteroids.¹¹³ A case series of renal transplant recipients reported DAH after treatment with *Alemtuzumab*, a cytolytic anti-CD52 monoclonal

antibody used in reduced-intensity conditioning regimens to decrease the incidence and severity of acute and chronic GVHD and reduce graft rejection.¹¹⁴

OTHER NONINFECTIOUS PULMONARY SYNDROMES

Pulmonary Alveolar Proteinosis

In *pulmonary alveolar proteinosis* (PAP), terminal bronchioles and alveoli accumulate pulmonary surfactant and other amorphous periodic acid Schiff (PAS)-positive lipoproteins consequent to macrophage dysfunction.^{115,116} PAP presents with cough, dyspnea, hypoxemia, and diffuse alveolar opacities with central mid and lower lung predominance.¹¹⁷ There are congenital, autoimmune, and secondary forms of PAP. The congenital and autoimmune types arise from malformation of the granulocyte-macrophage colony-stimulating factor (GM-CSF) receptor^{118,119} and formation of anti-GM-CSF autoantibody,¹²⁰ respectively. Secondary PAP occurs rarely after HCT, possibly from macrophage depletion or anti-GM-CSF alloantibody.¹²¹ The diagnosis is suggested by bronchoscopy yielding opaque/milky BAL fluid and PAS-positive material present within macrophages after exclusion of infectious pneumonia. However, lung biopsy is required for definitive diagnosis. PAP after HCT may be self-limited but can also progress to fatal respiratory failure. Optimal treatment of this rare entity, including the role of GM-CSF therapy, is unknown. As is done in other settings, whole lung lavage should be considered in severe cases to restore alveolar ventilation with repeat lavage considered for recurrences.¹²²

Venous Thromboembolism

Venous thromboembolism (VTE) is an underrecognized complication of HCT. In a retrospective study of 1,514 HCT recipients, the incidence of symptomatic VTE within the first 180 days after transplantation was 4.6%, including 0.7% incidence of non-catheter-associated lower extremity deep venous thrombosis (DVT) and 0.6% incidence of pulmonary embolism.¹²³ The median time after HCT admission to the development of non-catheter-associated lower extremity DVT and pulmonary embolism was 63 and 66 days, respectively. Prior VTE and GVHD were risk factors for the development of VTE after HCT. Thrombocytopenia was only partially protective against the development of VTE. The safety and efficacy of thromboprophylaxis in patients with HCT remains uncertain. In patients with thrombocytopenia, anticoagulant therapy for documented

VTE should be accompanied by platelet transfusions to maintain a platelet count of $5 \times 10^4/L$ or greater to reduce the risk of bleeding complications.

Pulmonary Cytolytic Thrombus

Pulmonary cytolytic thrombus (PCT) is seen exclusively in allogeneic HCT recipients and almost always in children.¹²⁴ The incidence of PCT has been reported to range from 1.2% to 4.0% with a median onset at 3 months (range 1.3–11.3 months) after transplant. Clinical manifestations include fever, cough, and respiratory distress. Radiographic findings range from small, peripheral nodules to diffuse opacities. Diagnosis requires lung biopsy with histology characterized by vascular occlusions in distal pulmonary vessels, entrapment of leukocytes, endothelial disruption, and infarction of adjacent tissue. In a single-center, retrospective study, grades II to IV acute and chronic GVHD were independent risk factors for developing PCT. Treatment of PCT consists of systemic corticosteroids (prednisone 1–2 mg/kg/d) until pulmonary symptoms resolve (typically within 2 weeks) followed by a steroid taper over 2 to 4 weeks. The strong association with acute and chronic GVHD, as well as the response to corticosteroid therapy, suggests that PCT is a manifestation of alloreactive lung injury. The prognosis with PCT is favorable, and there have been no reported deaths attributable to this entity.

Pulmonary Hypertension

Pulmonary hypertension can occur after HCT secondary to a variety of causes that are managed by treating the underlying problem, including VTE, left heart failure, and hypoxemia-inducing diseases of the pulmonary parenchyma and airways.¹²⁵ Pulmonary arterial hypertension (PAH) is rarely reported after HCT and is best described in other clinical contexts.¹²⁶ This section focuses on *pulmonary venoocclusive disease* (PVOD), a syndrome of increased vascular resistance that is a rare cause of fatigue, dizziness, weakness, and dyspnea after HCT. Because of the overlapping clinical features, PVOD is easily misdiagnosed as PAH and may represent a spectrum of the same process¹²⁵; however, it is important to distinguish from PAH because of the therapeutic implications discussed later.

The true incidence, risk factors, and outcomes of PVOD after HCT are unknown given the lack of prospective studies, nonspecific early manifestations, and heterogeneity of clinical phenotype.^{126,127} Reported mortalities are close to 100% 2 years from diagnosis.¹²⁸ PVOD is hypothesized to arise from HCT-related vascular

endothelial damage¹²⁹ and is characterized by pulmonary interstitial edema and capillary congestion due to fibrous occlusion of the postcapillary venules and sometimes larger veins.¹³⁰ As a result of elevated capillary pressures, PVOD may be accompanied by radiographic evidence of pulmonary edema and pleural effusions.¹³¹ Elevated pulmonary artery pressures may be detected on echocardiogram; however, cardiac catheterization is recommended to confirm elevated pulmonary vascular resistance.¹³² The triad of increased pulmonary vascular resistance with normal left heart filling pressures and radiographic edema is variably present in PVOD¹³³; therefore, surgical lung biopsy should be considered if important for prognostication and referral for lung transplantation.¹²⁸

Many therapies used in other clinical settings have been tried for PVOD that develops after HCT. Supplemental oxygen should be prescribed for all patients with hypoxemia.¹³⁴ Although there are case reports describing benefits from systemic anticoagulation or immunosuppression, especially in the presence of autoimmune features, the role of these therapies in the contemporary era of pulmonary vasodilator therapies is unknown.^{135,136} In some cases, a trial of systemic immunosuppression may be reasonable.¹²⁸ Additional potential therapies include diuretics, inotropes, and pulmonary vasodilators (eg, calcium channel blockers, phosphodiesterase-5 inhibitors, endothelin receptor antagonists, prostanoids).^{126,128} In contrast to PAH, historical experience with pulmonary vasodilators in PVOD demonstrates mixed results.^{137–139} Vasodilators may cause harm and even death in patients with predominantly postcapillary vascular constriction. Alveolar flooding may result from increased perfusion in regions of fixed high postcapillary resistance.¹⁴⁰ Consultation with a pulmonary hypertension specialist is advised before giving vasodilators or inotropes to this population. Therapy may be most safely initialized in closely monitored settings.

Transfusion-Related Acute Lung Injury

Transfusion-related acute lung injury (TRALI) is defined as noncardiogenic pulmonary edema and respiratory failure occurring during or within 6 hours of a blood component transfusion.^{141,142} TRALI is likely underrecognized in the highly transfused HCT population.¹⁴³ TRALI is caused by activation of pulmonary-sequestered neutrophils in response to passive transfusion of antibodies or other activating substances.¹⁴⁴ TRALI may be mild or result in mechanical ventilation and death.¹⁴⁵ Treatment is supportive care.

SUMMARY

A broad spectrum of noninfectious pulmonary syndromes contributes to morbidity and mortality after HCT. By being aware of the syndromes described in this article, practitioners can diagnose important causes of pulmonary dysfunction and institute appropriate therapies. Research is needed to identify individuals at risk for these syndromes and better elucidate their biology in effort to find more effective therapies.

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