

Review Article

# Recommendations for VTE Prophylaxis in Medically Ill Patients


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Venous thromboembolism (VTE) remains highly prevalent in medically ill patients, and often leads to increased mortality and cost burden during hospitalization and post-discharge. Nearly half of all VTEs occur during or after hospitalization, with pulmonary embolism accounting for 10% of inpatient mortality. Appropriate prophylaxis in high-risk medically ill patients has been shown to reduce risk of VTE and related mortality. Despite current evidence-based guidelines, VTE prophylaxis has been under-used. This owes greatly to ambiguity and concerns related to appropriate patient and prophylactic agent selection, and duration of prophylaxis. Because many acutely ill medical patients have multiple comorbidities, the risk of major bleeding must be considered when choosing to implement pharmacological VTE prophylaxis. Multiple risk assessment models have been developed and validated to help estimate VTE and bleeding risks in this population. While studies have shown that the risk for VTE often extends far beyond hospital discharge, there is no evidence to support extending prophylaxis after hospital discharge. The appropriate selection of VTE prophylaxis requires consideration for cost, availability, patient preference, compliance, and underlying comorbidities. Our paper reviews the current evidence and reasoning for appropriate selection of VTE prophylaxis in acutely medical ill patients, and highlights our own approach and recommendations.

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## Introduction

Venous thromboembolism (VTE) including deep vein thrombosis (DVT) and pulmonary embolism (PE) remains a major health problem with a reported high mortality rate and economic toll to the United States (U.S.) Health System. It is highly prevalent and is considered among the major causes for death in the U.S. Nearly one third of patients will have a recurrent event in their lifetime.<sup>1</sup> It is estimated that 100,000 people die each year of VTE.<sup>1</sup> Almost half of VTEs occur during or after hospitalization, with PE accounting for 10% of inpatient mortality.<sup>2</sup> Hospitalized medically ill patients are at increased risk for VTE during and after their hospital stay.<sup>3,4</sup> Although VTE prophylaxis for medically ill inpatients is crucially important, and despite the existence of published guidelines, we continue to see low adoption of such recommendations and lack of a standardized approach in many health systems. This document provides up-to-date and evidence-based recommendations for VTE prophylaxis in medically ill hospitalized patients, and presents our own approach to address this critical issue.

## Epidemiology

While VTE remains the most common preventable cause of death in hospitalized medical patients, pharmacologic prophylaxis has been proven to reduce PE risk by 57%.<sup>5</sup> Never the less VTE prophylaxis remains underused and inappropriately prescribed. Up to 900,000 patients experience their first VTE while hospitalized.<sup>6</sup> Factors that increase risk include age, immobility, hypercoagulability, and renal insufficiency.<sup>7</sup> Among hospitalized medically ill patients, 75% have multiple risk factors leading to an 8-fold increase in VTE risk when compared to the general population.<sup>7,8</sup> Around 21% of PE cases are fatal, translating into 40,000 deaths yearly, with 75% of fatal VTE occurring in medically ill hospitalized patients.<sup>7,9</sup>

Medically ill patients have increased VTE-related readmission rates that reach up to 28% six months post hos-

pital admission.<sup>10</sup>) Based on a large retrospective analysis, more than 50% of cumulative six months VTE events were diagnosed within the first month post-hospitalization despite receiving prophylaxis, 57% of these events occurred post-discharge.<sup>11</sup>)

## Pathophysiology

The basic principles of Virchow's triad are fundamental when determining VTE risk in medically ill patients. Circulatory stasis or immobility, endothelial damage or inflammation, and overall hypercoagulable state, including prior history of thrombophilia or VTE, all cumulatively increase risk for VTE.<sup>12,13</sup>) Hospitalization alone is still considered the single most important risk factor for developing VTE, as these patients are more likely to be obese, elderly, immobile, and with active inflammation as a consequence of their underlying comorbidities and acute illness (e.g., sepsis, shock).<sup>14,15</sup>)

Medical conditions associated with a moderate to high risk of VTE include history of VTE or thrombophilia, malignancy, respiratory, infectious or cardiac disease (e.g. congestive heart failure (CHF)), cerebrovascular accident, autoimmune disorders and or renal insufficiency. Patients with active cancer or metastatic disease, who have received chemoradiotherapy within six months prior to admission are also considered high risk.<sup>16</sup>) In a post hoc analysis of the data from the MEDENOX study, immobile patients (defined as an autonomous walking distance of less than ten meters at the end of the treatment period) in the placebo group had a 20.3% incidence of VTE.<sup>17</sup>) Further analysis of the MEDENOX data confirmed reduction of VTE risk with using thromboprophylaxis agents.<sup>18</sup>)

## Extended Prophylaxis after Discharge

Patients hospitalized for medical illness are at increased risk of VTE post-discharge based on their age, comorbidities, and continued immobility. Despite the increased risk in the post-discharge period, multiple trials have shown inconsistent results when addressing the efficacy and safety of continued pharmacological prophylaxis. Prophylaxis regimens were found to be effective when provided for a duration of hospitalization up to 6–14 days, however the average length hospital stay is currently much shorter.<sup>19,20</sup>) Prescribing post-discharge thromboprophylaxis for acutely ill medical patients has many challenges including route of administration, refusal of injectable agents, compliance, and cost.<sup>21–25</sup>) Based on one study, risk of VTE in hospitalized medically ill patients was highest within the first 19 days after hospital admission (a period that may encompass both duration of hospitalization and period after discharge).<sup>20</sup>) In a large real-world analysis of

more than 11,000 acutely ill medical patients, the risk for VTE was cumulative, with 57% of VTEs occurred thirty days post-admission.<sup>10,11,25</sup>) In another study, 66.9% of patients who experienced DVT and/or PE events were diagnosed within the first month after hospital discharge, with 19.9% between months 1 and 2, and 13.2% between months 2 and 3.<sup>26</sup>) In the International Medical Prevention Registry on Venous Thromboembolism (IMPROVE) registry of 15,156 patients, the median time for all VTE events was 17 days (interquartile range 6–43 days), and the median time for post-discharge VTE events was 44 days (interquartile range 25–68 days).<sup>27</sup>)

In an effort to increase compliance and safety in preventing VTEs post-hospitalization, multiple randomized control trials have been performed examining the utility and safety of several novel oral anticoagulants in the use of extended duration VTE prophylaxis. In 2017, betrixaban was food drug administration (FDA) approved for VTE prophylaxis in acutely ill medical patients based on results from the APEX trial. The APEX trial was a large randomized, double-blinded, multicenter Phase 3 study that compared standard enoxaparin dosage versus oral betrixaban (a novel oral anticoagulant, factor Xa inhibitor) for VTE prophylaxis for duration of 35 to 42 days.<sup>28</sup>) In this study, daily betrixaban 80 mg demonstrated a 25% relative risk reduction in VTE and VTE related death vs. enoxaparin.<sup>28</sup>) There was no significant difference ( $p = .003$ ) in major bleeding events, but clinical non-major bleeding events were increased (Fig. 1), thus proving its utility in extended VTE prophylaxis.<sup>25</sup>)

In October 2019, rivaroxaban also received FDA approval for VTE prophylaxis in acutely ill medical patients with low bleeding risk based on a sub-analysis of the Phase 3 MAGELLAN trial. MAGELLAN, is a multicenter, randomized, double-blind trial that evaluated the efficacy and safety of oral rivaroxaban 10 mg for  $10 \pm 4$  days or  $35 \pm 4$  days as compared to standard subcutaneous enoxaparin dosage in medically ill hospitalized patients.<sup>25</sup>) Rivaroxaban 10 mg was shown to be non-inferior at ten days and superior at 35 days compared to enoxaparin for composite asymptomatic proximal or symptomatic VTE, but with increased major bleeding events at both 10 and 35 days (Fig. 1).<sup>25</sup>) MARINER is another trial that was conducted in medically ill patients which showed that rivaroxaban 10 mg daily, given to medical patients for 45 days after hospital discharge, was not associated with a significantly lower risk of symptomatic VTE and related death compared to placebo.<sup>29</sup>)

Though there are now several options for extended duration VTE prophylaxis in acutely ill medical patients, the utilization of this practice within our current medical system remains limited. Additionally, this practice has not yet been adopted into current standard VTE prophylaxis

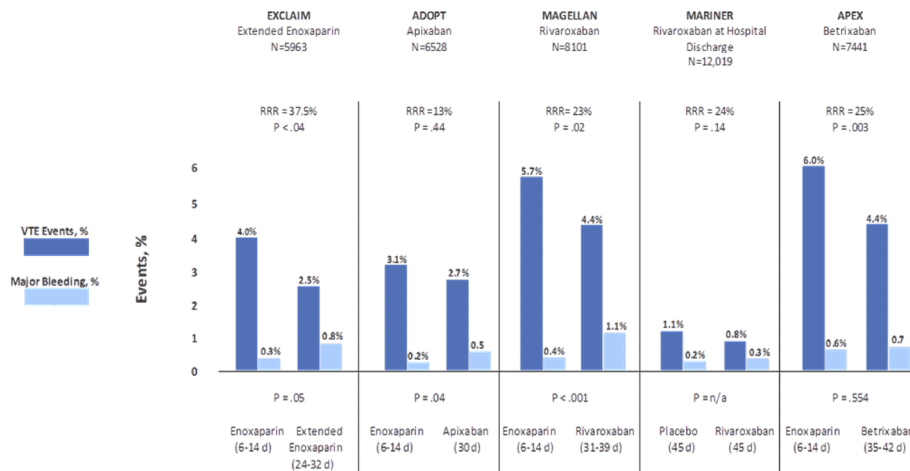


Fig. 1 Trial results addressing extended prophylaxis treatment.

recommendations.<sup>30)</sup>

### Risk Stratification

To optimize outcomes, risk for bleeding should be estimated when considering pharmacological prophylaxis in medically ill patients. The strongest risk factors to estimate bleeding risk in medical hospitalized patients are active gastrointestinal ulcer, bleeding within three months prior to admission, and a platelet count of less than  $50 \times 10^9/L$ . Other risk factors include age >85 years, hepatic failure, severe renal failure, and/or critical care unit admission.<sup>7)</sup> Additionally, those with central venous catheter placement, rheumatic disease, malignancy, and male gender are considered to be at increased risk for bleeding during hospital admission.<sup>31)</sup>

Despite the known prevalence and associated mortality related to VTE in medically ill hospitalized patients, prevention has remained suboptimal. Multiple risk assessment models have been studied to help promote appropriate utilization of thromboprophylaxis modalities in medically ill patients. Perhaps the most studied of these are the Padua and IMPROVE risk assessment models, both of which have now been externally validated.<sup>32)</sup> In making recommendations regarding DVT prophylaxis in hospitalized medical patients, the American College of Chest Physicians (ACCP) recommended individualized approach based on balancing the benefit of reducing VTE with the risk of bleeding using risk assessment models. ACCP 2012 guidelines used Padua Prediction Scoring System (Table 1), however, the American Society of Hematology (ASH) 2018 guidelines referred to Padua and IMPROVE as RAMs that may also be useful in predicting VTE and bleeding risk.<sup>16)</sup>

The Padua VTE RAM used an 11-factor model appointing one to three points per factor in a binary fashion: high risk of VTE was designated with a score of four or more

Table 1 Padua predictive score for VTE among hospitalized medical patients

VTE risk factor	Points
Decreased mobility	3
Thrombophilia	3
Previous trauma or surgery within the last month	2
Age ≥70	1
Heart or respiratory failure	1
Ischemic stroke or acute myocardial Infarction	1
Acute rheumatologic disorder and/or acute infection	1
Obesity	1
Hormonal therapy	1

VTE: venous thromboembolism

Low risk: score <4

High risk: score ≥4

warranting pharmacologic prophylaxis, and low VTE risk was designated with a score less than four.<sup>16)</sup> Risk factors taken into account included active cancer, previous VTE, reduced mobility, known thrombotic condition, recent trauma/surgery, age >70, heart or respiratory failure, acute myocardial infarction or stroke, acute infection or rheumatologic disorder, body mass index >30, and/or use of hormone replacement therapy.

The IMPROVE VTE RAM was derived from a large international registry of 15,156 hospitalized, acutely ill medical patients. The RAM consisted of seven independent VTE risk factors that were designated one to three points each, depending on their strength of association with VTE risk.<sup>33)</sup> Risk factors include age >60 years, prior VTE, intensive care unit or coronary care unit stay, lower limb paralysis, immobility, known thrombophilia, and/or cancer. Two groups were identified within the cohort and were divided by VTE incidence rate. Low VTE risk (VTE event rate <1.0%) was designated a score of zero to one, at-risk, or moderate VTE risk (VTE event

rate of ~1.0–1.5%) a score of two to three, and high VTE risk (VTE event rate of 4% or more) a score of four or more (Table 2).<sup>3)</sup> Recent large-scale external validation studies of the associative IMPROVE RAM have shown good calibration and discrimination suggesting that the IMPROVE associative VTE RAM may reliably stratify risk for VTE.<sup>2,3,34,35)</sup>

The evidence-derived IMPROVE Bleed RAM used 13 clinical and laboratory factors, and designated a score of seven or more to identify a patient cohort (~10% of the population) at high risk of bleeding (major bleed risk 4.1% vs 0.4%) (Table 3).<sup>3)</sup> Patients with a score of less than seven were considered at lower risk for bleeding.

The above mentioned validated VTE and bleeding risk

**Table 2** The International Medical Prevention Registry on Venous Thromboembolism (IMPROVE-VTE) score

VTE risk factor	Points
Previous VTE	3
Known thrombophilia	2
Cancer	2
Current lower limb paralysis	2
Immobilization	1
ICU/CCU stay	1
Age >60	1

VTE: venous thromboembolism, ICU: intensive care unit, CCU: coronary care unit

Low risk: score 0–1 (VTE risk <1.0%)

Moderate risk: score 2–3 (VTE risk 1.0–1.5%)

High risk: score of ≥4 (VTE risk >4%)

**Table 3** IMPROVE-BLEED risk score

Bleeding risk factor	Points
Active gastric or duodenal ulcer	4.5
Prior bleeding within the last 3 months	4
Thrombocytopenia (<50 × 10 <sup>9</sup> /L)	4
Age ≥85 years	3.5
Liver failure (INR >1.5)	2.5
Severe kidney failure (GFR <30 mL/min/m <sup>2</sup> )	2.5
Admission to the ICU/CCU	2.5
Central venous catheter	2
Rheumatic disease	2
Active malignancy	2
Age: 40–84 years old	1.5
Male	1
Moderate kidney failure (GFR: 30–59 mL/min/m <sup>2</sup> )	1

INR: international normalization ration, GFR: glomerular filtration rate, ICU: intensive care unit, CCU: coronary care unit

Low risk: score <7

- Major bleed risk=0.4%

High risk: score ≥7

- ~10% of the population

- Major bleed risk=4.1%

scores can be used at bed side during hospital admission to help providers tailor safe and patient-centric thromboprophylaxis plan.<sup>3)</sup>

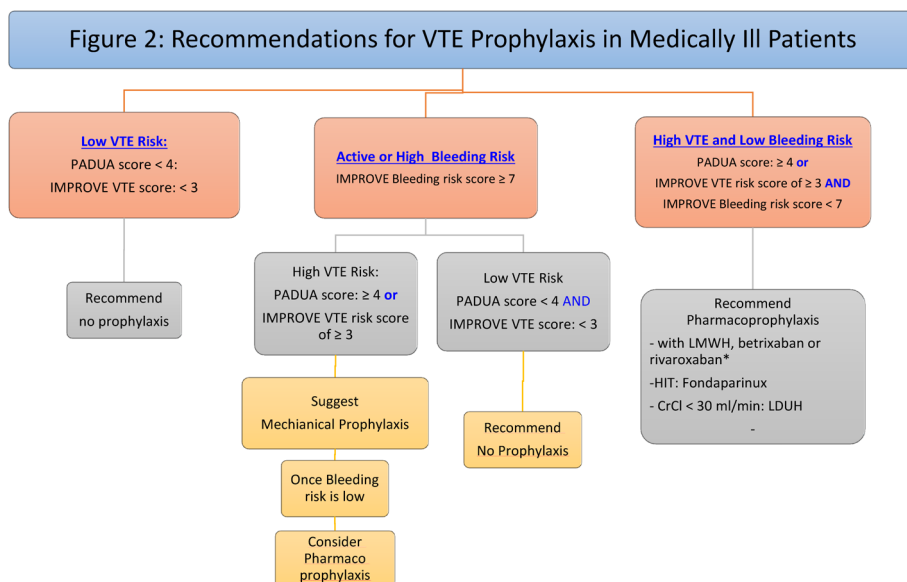
## Current Evidence

### Pharmacologic prophylaxis

Both the 2018 ASH and 2012 ACCP VTE guidelines recommended the use of low molecular weight heparin (LMWH), low dose (twice daily [BID] or three times daily [TID]) unfractionated heparin (LDUH), or fondaparinux in acutely ill hospitalized patients at increased risk for thrombosis (Grade 1B).<sup>30,35)</sup> Additionally, the ASH guidelines recommended pharmacoprophylaxis or mechanical VTE prophylaxis over combined therapies.<sup>30)</sup> These guidelines were based on trials which included acutely ill hospitalized patients (mean age was >65 years) admitted for CHF, severe respiratory disease, or acute infectious, rheumatic, or inflammatory conditions who were immobilized and had at least one additional risk factor (e.g. age >40, active cancer, previous VTE, or serious infection). Duration of prophylaxis use ranged from 6–21 days or discharge from hospital, whichever came first.<sup>7)</sup> Meta-analysis of multiple trials demonstrated that anticoagulant thromboprophylaxis was associated with significant reduction in fatal PE and symptomatic DVT rates, but did not show a substantial difference in non-fatal PE, major bleeding, and all-cause mortality.<sup>7)</sup> Based on pooled analysis data, there was no significant difference seen between LDUH and LMWH for DVT, PE, overall mortality and heparin induced thrombocytopenia. However, there was a decrease in bleeding events seen with LMWH.<sup>7)</sup> There is no compelling data to suggest that LDUH TID, compared with BID dosing, reduces VTE or results in increased bleeding.<sup>7)</sup> In summary, there is no clear evidence in the current literature to support choosing one form of pharmacoprophylaxis over another in the medical population based on outcomes or from a cost-effectiveness standpoint (Figs. 1 and 2).

### Mechanical prophylaxis

Based on pooled analyses, graduated compression stockings (GCS) did not show significant reduction in symptomatic VTE, but did increase risk for skin breakdown.<sup>7)</sup> There are no high quality studies of intermittent pneumatic compression (IPC) or venous flow pumps (VFP) devices in hospitalized medical patients.<sup>7)</sup> However, despite the uncertain benefit, mechanical thromboprophylaxis with GCS or IPC devices have been suggested by guidelines over no prophylaxis in patients at risk for VTE who are at high risk for bleeding.<sup>7)</sup> IPC devices have several limitations in medical populations: mechanical devices must be worn continuously which restricts patient mobility and



**Fig. 2** Recommendations for VTE prophylaxis in medically ill patients. ESRD: end stage renal disease, HIT: heparin induced thrombocytopenia, LDUH: low dose unfractionated heparin, LMWH: low molecular weight heparin, VTE: venous thromboembolism. \* We suggest using betrixaban or rivaroxaban as an alternative to LMWH based on medication coverage and convenience (oral vs. injectable).

are often considered to be uncomfortable resulting in patient deferral. This paradoxically may increase the risk of VTE.<sup>36</sup> Overall risk reduction with the utilization of pneumatic compression devices is minimal. A recent study that included critically ill patients who received pharmacologic thromboprophylaxis in addition to IPC did not result in a significantly lower incidence of proximal lower-limb DVT than pharmacologic thromboprophylaxis alone ( $p=0.74$ ).<sup>37</sup>

Medically ill patients with a Padua VTE score of  $\geq 4$  or an IMPROVE VTE score of  $\geq 3$ , provided that their IMPROVE-BLEED risk score is  $< 7$ , should be offered pharmacologic prophylaxis during their hospital stay. Those with an IMPROVE-BLEED risk score of seven or more may benefit from mechanical means of prophylaxis until there is a reduction in their bleeding risk.<sup>3</sup>

### Our Approach

Because of the prevalence and risk associated with VTE, further efforts should be made across the country to help reduce the risk of in-hospital VTE related death. Allina Health, a large, not-for-profit health system with over 12 hospitals and 90 clinics has committed to providing up-to-date and evidence-based recommendations to address this serious health issue.

The following recommendations were created based on collaboration between vascular medicine, hospitalist, and intensive care unit specialists.

### Recommendations (Fig. 2)

1. For acutely ill hospitalized medical patients at increased risk of thrombosis (Padua score of  $\geq 4$  or IMPROVE VTE risk score of  $\geq 3$ ), and low risk for bleeding (IMPROVE-BLEED risk score of  $< 7$ ), we recommend anticoagulant thromboprophylaxis with LMWH, LDUH (BID or TID), fondaparinux or betrixaban.
  - a. We suggest using LMWH over LDUH.
  - b. In patients with history of heparin induced thrombocytopenia (HIT), we suggest using fondaparinux.
  - c. In patients with end stage renal disease (ESRD), we suggest using LDUH.
  - d. We suggest using betrixaban or rivaroxaban as an alternative to LMWH based on medication coverage and convenience (oral vs. injectable).
  - e. We suggest not using other direct oral anticoagulants.
2. For acutely ill hospitalized medical patients at low risk of thrombosis (Padua score of  $< 4$  or IMPROVE VTE risk score of  $< 3$ ), we recommend against pharmacologic or mechanical thromboprophylaxis.
3. For acutely ill hospitalized medical patients with increased risk of VTE (Padua score of  $\geq 4$  or IMPROVE VTE risk score of  $\geq 3$ ), who are bleeding or at risk for bleeding (IMPROVE-BLEED risk score of  $\geq 7$ )



- a. We recommend against anticoagulant prophylaxis.
- b. We suggest optimal use of mechanical thromboprophylaxis with GCS, or IPC.
- c. When bleeding risk decreases, and VTE risk persists, we suggest that pharmacologic thromboprophylaxis substituted for mechanical prophylaxis.
4. **In acutely ill hospitalized patients who receive an initial course of thromboprophylaxis:**
  - a. We suggest against extending the duration of thromboprophylaxis beyond the period of patient immobilization or acute hospitalization when heparin is used.
  - b. We recommend extended thromboprophylaxis to 35–42 days with betrixaban or to 31–39 days when rivaroxaban is used.
5. **We suggest against using thromboprophylaxis in chronically immobilized patients including nursing home residents who do not have other indications for anticoagulation.**

As part of Allina Health's continued efforts toward risk reduction of inpatient VTE, a tailored order-set which implements both the IMPROVE and IMPROVE-BLEED scoring systems has been introduced to the hospitalist teams. Additionally, a Quality Improvement project has been initiated to further evaluate clinician's selection of appropriate VTE thromboprophylaxis. With multidisciplinary support and a continually evolving administrative plan, it is our hope that VTE rates will continue to decline amongst our hospital admissions, and that our model for VTE prophylaxis may serve as a model for other medical institutions in the future.

## Conclusion

Although VTE complications related to medical admissions are prevalent, fatal, and potentially preventable, appropriate measures to screen, assess, and initiate prophylactic therapy in patients at risk are lacking.<sup>38)</sup> Because of the significance of VTE related morbidity and mortality in medically hospitalized patients, we recommend appropriate and evidence-based thromboprophylaxis protocols focusing on a balance between VTE and bleeding risk.

Although hospitalized medically ill patients are at increased risk for VTE during and after their hospital stay, we recommend against extended pharmacoprophylaxis after discharge unless betrixaban or rivaroxaban are the agents of choice. Based on the current evidence, when indicated, it is prudent to recommend LMWH, LDUH, or fondaparinux for up to 6–14 days, oral betrixaban for 35–42 days or oral rivaroxaban for 31–39 days.

In addressing the need for implementing VTE prophylaxis in medically ill hospitalized patients, the use of the Padua, IMPROVE, and IMPROVE-BLEED RAMs should be encouraged in guiding clinical decision-making. When

implemented, RAMs have been shown to decrease overall rates of VTE in hospitalized medical patients while balancing the risk for bleeding complications. We agree with the current guideline recommendations to individualize VTE prophylaxis selection based on patient preference, compliance, cost, and ease of administration (e.g., oral vs. injection, daily vs. BID vs. TID dosing).<sup>7)</sup>

More research is warranted to standardize risk assessment tools, streamline the selection of appropriate prophylactic agent, and to determine the appropriate duration of prophylaxis.

## Disclosure Statement

All authors have no conflict of interest.

## Author Contributions

Study conception: NS, EW

Data collection: NS, EW

Analysis: NS, EW

Investigation: NS, EW

Writing: NS, EW

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Critical review and revision: all authors

Final approval of the article: all authors

Accountability for all aspects of the work: all authors

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