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Transcriptome signature of ventricular arrhythmia in dilated cardiomyopathy reveals increased fibrosis and activated TP53

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Abstract

Aims: One-third of DCM patients experience ventricular tachycardia (VT), but a clear biological basis for this has not been established. The purpose of this study was to identify transcriptome signatures and enriched pathways in the hearts of dilated cardiomyopathy (DCM) patients with VT.

Methods and Results: We used RNA-sequencing in explanted heart tissue from 49 samples: 19 DCM patients with VT, 16 DCM patients without VT, and 14 non-failing controls. We compared each DCM cohort to the controls and identified the genes that were differentially expressed in DCM patients with VT but not without VT. Differentially expressed genes were evaluated using pathway analysis, and pathways of interest were investigated by qRT-PCR validation, Western blot, and microscopy. There were 590 genes differentially expressed in DCM patients with VT that are not differentially expressed in patients without VT. These genes were enriched for genes in the TGFβ1 and TP53 signaling pathways. Increased fibrosis and activated TP53 signaling was demonstrated in heart tissue of DCM patients with VT.

Conclusions: Our study supports that distinct biological mechanisms distinguish ventricular arrhythmia in DCM patients.

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⁷Disclosures

None

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Keywords

heart failure; RNA-seq; arrhythmia; ventricular tachycardia; dilated cardiomyopathy

Introduction

Cardiac arrhythmias frequently complicate dilated cardiomyopathy (DCM), causing physical symptoms, a need for medications and/or device therapy, and sudden cardiac death[1]. In DCM, life-threatening arrhythmias can present early in disease,[2] and approximately one-third of patients experience frequent ventricular arrhythmias, which may occur independent of left ventricular (LV) dysfunction[3]. Although arrhythmia-prone patients can be identified and stratified clinically once arrhythmias develop, there is a significant knowledge gap in understanding the biological mechanisms that contribute to ventricular arrhythmias in arrhythmogenic DCM patients.

Molecular and histological studies of human heart tissue from DCM patients with and without history of ventricular arrhythmias showed that patients with a positive history had increased hypertrophy, evidence of oxidative stress, and fibrosis. Microarray analysis showed differences in gene expression that included increased expression of select genes encoding extracellular matrix proteins (*FGF18*, *COL4A2*, *COL12A1*) and ion channels (*KCNN2*, *TRPM7*); a formal analysis for enrichment of differentially expressed genes in key biological pathways has not been performed and prior results were not compared against non-failing (NF) controls.[4]

We and others have previously showed that although there is a common heart failure gene expression signature for heart failure, there are also distinct gene expression signatures that distinguish DCM from ischemic cardiomyopathy that suggest distinct disease mechanisms[5–9]. Here, we hypothesized that within DCM, sub-phenotypic gene expression signatures exist that distinguish the arrhythmogenic DCM (aDCM) from the non-arrhythmogenic DCM (naDCM) state. To test this hypothesis, we performed RNA-sequencing (RNA-seq) on 49 explanted human hearts and used a multi-analytic approach to discern aDCM- and naDCM-specific expression profiles. Using pathway analysis, our results support that distinct disease mechanisms exist within DCM that separate arrhythmogenic and non-arrhythmogenic biological sub-phenotypes of DCM, including activation of TGF β 1 and TP53 signaling.

2. Methods

2.1 Tissue collection

Heart tissues were collected as described previously[9]. Briefly, the Colorado Multicenter Institutional Review Board approved the protocol for the collection, storage, and analysis of human tissue at the University of Colorado Hospital as part of the Division of Cardiology Cardiac Tissue Biobank (COMIRB, protocol 01–568). Transplant-listed patients signed written consent for use of their explanted hearts for research purposes. Explanted tissue, absent of scarring or infarcted segments, was collected according to tissue section and flash

frozen in the operating room. NF donor hearts were similarly collected and frozen from hearts that were not utilized for transplantation for non-cardiac reasons. In this case, the heart was harvested from a recently deceased individual by the local organ procurement agency. Family members of NF organ donors signed written consent for research use of explanted cardiac tissue. Tissue samples were stored at -80 C until the time of analysis. In all samples described here, LV free wall tissue was utilized. Corresponding clinical information was stored in a secure, deidentified database.

2.2 Patient cohorts

General clinical etiology of DCM in patients transplanted for heart failure with reduced ejection fraction was determined by medical history. Arrhythmogenic patients had history of VT requiring ICD placement. Each patient had at least one appropriate shock within one year prior to transplant. The non-arrhythmogenic had no report of VT at any point throughout their medical history. NF organ donor hearts were defined by no major cardiac history and a LV echocardiography-based shortening fraction of $\geq 25\%$. Statistical differences between cohort clinical characteristics were calculated by either Mann-Whitney U Test or Fisher's Exact Test, where appropriate, using a 0.05 significance level and a two-sided p-value.

2.3 RNA extraction

RNA was extracted from heart tissue as reported previously[9]. Briefly, approximately 20mg of tissue, macroscopically free of fat, fibrosis, and blood, was mechanically homogenized in TRIzol reagent (Thermo Fisher Scientific, Waltham, MA). Total RNA was extracted, samples were DNase treated, and RNA was quantified at 260nm. RNA integrity (RIN) was measured and all samples were required to demonstrate RIN ≥ 7.0 , with a range from 7.0–9.3.

2.4 RNA sequencing

RNA sequencing was performed as reported previously[10], and a subset of the data deposited in the GEO database under GSE116250 were used in this study. Briefly, PolyA transcripts were isolated from 1 μg total RNA using oligo-dT beads and cDNA libraries were constructed using the TruSeq Stranded mRNA Library Prep Kit and protocol from Illumina (Illumina Inc., San Diego, CA). Libraries were sequenced single-read with an Illumina HiSeq 2500 for 50 cycles. Reads were filtered for quality and aligned to the GRch37/hg19 version of the reference human genome using gSNAP[11]. Expression in terms of RPKM (reads per kilobase of transcript per million reads mapped) was derived using Cufflinks[12] and Ensembl's GRch37.82 GTF. Due to the high proportion of cardiac mRNA reads known to map to the mitochondria,[13] mitochondrial genes were removed from the GTF file for a final set of 57,974 annotations.

2.5 Statistical analysis

Statistical analyses were performed in R. Expressed genes were defined as genes with mean RPKM ≥ 5 in all groups. Differential expression was analyzed using Linear Model ANOVA. Differentially expressed genes (DEGs) were defined as genes with a difference in RPKM

between groups 5 and a p-value adjusted for Benjamini-Hochberg false discovery rate (FDR) 0.05. A multiple linear regression model was used to adjust for age and sex covariates of gene expression in R. Data was transformed $\log_2(\text{RPKM}+1)$. Disease status and sex were categorical variables and age was a continuous variable. The Mann-Whitney test was used in RNAscope quantification. For all other experiments, Student's t-test (qRT-PCR) or one-way ANOVA with Tukey Method were used. Error bars represent means \pm one standard deviation, and $p < 0.05$ was considered significant. Sample sizes are indicated in figure legends. Genes were clustered using Spearman rank correlation and average linkage using the "hclust" function with the heatmap.2 package in R. Principal component analysis was calculated using the prcomp() function in R.

2.6 Pathway analysis

DEGs were interpreted using Ingenuity Pathway Analysis (IPA; Qiagen, Redwood City, CA). A dataset of Ensembl gene identifiers and fold changes was uploaded for Core Analysis. Association between upstream regulators was assessed and visualized in STRING v10[14] with the exception of the addition of the interaction between TGF β 1 and mir-193[15]. The Cardiac Conduction gene list (GO:0061337) was downloaded from the Gene Ontology AmiGO2 database v2.5.12[16–18].

2.7 qRT-PCR

cDNA was synthesized from total RNA using the High Capacity cDNA Reverse Transcription Kit (Thermo Fisher Scientific). The mRNA levels of selected targets were quantified by qRT-PCR using SYBR Select Master Mix (Thermo Fisher Scientific) and normalized to *GAPDH* and *18s*.

2.8 Second harmonic generation (SHG) and two-photon excitation fluorescent (TPEF) microscopy

Heart tissues were fixed in 10% formalin (Fisher) and embedded in paraffin. 5 μm thick sections were scanned in 20 random regions of interest. Images were acquired at 20x using a Zeiss 780 microscope (Carl Zeiss, Jena, Germany) equipped with a titanium:sapphire Chameleon Ultra II laser (Coherent, Santa Clara, CA). The average laser power of 7% at 800 nm (tuned for SHG) with 140 fs pulse duration and 80 MHz repetition rate was used. SHG signal was detected on a non-descanned detector (NDD) following transmission through a filter cube containing a narrow band 390 – 410 nm emission filter (hq400/20m-2p, Chroma Technology, Bellows Falls, VT). Quantification of collagen was done using ImageJ (NIH, <http://imagej.nih.gov/ij/>). The green (autofluorescence) and red (fibrillar collagens) channels were separated and a threshold was set for the collagen. The mean intensity and percent area were quantified using the threshold value.

2.9 Western blotting

Tissues were homogenized in RIPA buffer (150mM sodium chloride, 1% NP-40, 0.5% sodium dioxocholate, 0.1% SDS, 50mM Tris pH 7.6) with a 1:100 protease inhibitor cocktail (Sigma P8340). Tissues were mechanically homogenized using a IKA T25 Ultra-Turaxx homogenizer and lysed with gentle agitation at 4°C for one hour. Samples were

denatured in 1x Laemmli buffer. A total of 35mg (for anti-TP53) or 100mg (for anti-MDM2) of protein per sample was resolved by SDS-polyacrylamine gel electrophoresis (10% gel) and transferred to a PVDF membrane. Membranes were blocked for one hour with 5% milk in TBST. They were incubated overnight at 4°C in anti-TP53 (Santa Cruz

Biotechnology sc-6243, 1:200) and anti-MDM2 (Santa Cruz Biotechnology sc-56154, 1:500) primary antibodies or with anti-GAPDH (Invitrogen AM4300, 1:8000) primary antibody at room temperature for one hour. These were followed by a one-hour incubation with secondary antibodies anti-mouse IgG peroxidase (Sigma-Aldrich A2554, 1:5000) or anti-rabbit IgG peroxidase (Sigma-Aldrich A0545, 1:5000). Protein bands were visualized using a FluorChem 8900 (Alpha Innotec). Densitometric quantification of blots was performed using ImageLab (Bio Rad Laboratories).

2.10 RNAScope

RNAScope detection was performed according the manufacturer's protocol (Advanced Cell Diagnostics, Hayward, CA). Briefly, formalin-fixed paraffin embedded human hearts were cut into 5 µm thick tissue sections. Slides were deparaffinized in xylene, followed by rehydration in absolute ethanol washes. Following citrate buffer (Advanced Cell Diagnostics) antigen retrieval, slides were rinsed in deionized water and immediately treated with protease plus (Advanced Cell Diagnostics) at 40° C for 30 min in a HybEZ hybridization oven (Advanced Cell Diagnostics). Probes directed against POSTN and SERPINE2 mRNA and control probes were applied at 40°C in the following order: target probes, preamplifier, amplifier, and label probe for 10 minutes. After each hybridization step, slides were washed two times at room temperature. Chromogenic detection was performed followed by counterstaining with hematoxylin (American MasterTech Scientific, Lodi, CA). Staining was visualized using an Aperio CS2 slide scanner using a 40x lens (Leica Biosystems, Buffalo Grove, IL). For all samples (naDCM n = 4, aDCM n = 4), 30 fields of view were quantified.

3. Results

3.1 Clinical characteristics of patients

Forty-nine human hearts were investigated from: 19 aDCM patients, 16 naDCM patients, and 14 NF (Additional File 1). Clinical criteria for aDCM and naDCM patients are described in the Methods. Table 1 summarizes clinical characteristics between the patient groups. As expected, the aDCM cohort had a significantly greater proportion of patients taking amiodarone (p=0.04), having an implantable cardioverter defibrillator (ICD; p=0.01), and experiencing ventricular tachycardia (p<0.00001).

3.2 Principal component analysis of the cohorts

To investigate gene expression differences between arrhythmogenic and non-arrhythmogenic sub-phenotypes in DCM, we performed poly-A RNA-seq on explanted LV tissue samples (Figure 1, Additional File 2). To broadly understand gene expression relationships between cohorts and visualize global sample clustering for all expressed genes, we investigated the principal components for all 3,956 DEGs relative to NF. The first principal component

explains 34.8% of the variance and separates the samples according to NF and DCM (Figure 2). The second component, which explains 9.1% of variance, does not separate the sub-phenotypes. However, the third and eighth principal components, which explain 7.1% (Figure 2a) and 2.7% (Figure 2b) respectively, cluster the DCM samples into two semi-distinct sub-phenotype groups. The data suggest that globally, differences in NF and DCM gene expression on the first component drive the most variance within the data, but that more subtle differences in gene expression separate the sub-phenotypes on the third and eighth components and in total explain nearly 10% of the variance in gene expression. All 49 principal components are included in Additional File 3. We used IPA to investigate genes with the highest factor loadings (± 0.03) in PC3 ($n = 209$) and PC8 ($n = 240$) to determine if they were enriched for biological signatures. Only 8 genes are shared between these two datasets. PC3 genes were highly enriched for Oxidative Phosphorylation ($p = 3.16 \times 10^{-40}$) and Mitochondrial Dysfunction ($p = 1.00 \times 10^{-36}$) while PC8 genes were enriched for TGF β 1 ($p = 1.93 \times 10^{-9}$) and TP53 ($p = 9.44 \times 10^{-5}$).

3.3 Phenotype-specific expression signatures

3.3.1 Identifying phenotype-specific DEGs—Our main hypothesis was that aDCM and naDCM patients would exhibit distinct transcriptome signatures. When aDCM and naDCM are compared directly, there are only 4 significant DEGs (5% FDR): *UCHL1* and *PAK1* are upregulated in aDCM, and *JPH2* and *NDUFS7* are upregulated in naDCM. However, our principal component analysis demonstrated that there are subtler changes in gene expression, accounting for a small but significant proportion of variance, that cluster the samples by sub-phenotype and are biologically relevant. To determine if these signatures represent a distinction between aDCM and naDCM or simply natural disease progression, we removed genes common to failing hearts, by excluding DEGs shared between each sub-phenotype and the control. These 2,569 genes were all in the same fold change direction in each sub-phenotype relative to NF. The aDCM vs NF analysis yielded 590 aDCM-specific DEGs and the naDCM vs NF comparison yielded 797 naDCM-specific DEGs (Figure 1, Additional File 4). Of the 4 DEGs identified by comparing aDCM and naDCM directly, *PAK1* is aDCM-specific, *JPH2* and *NDUFS27* are naDCM-specific, and *UCHL1* is not significant. To validate the disease specificity of these gene profiles, we performed unsupervised hierarchical clustering of the combined genes for all samples to visualize gene expression clustering. The samples segregate mostly into three large distinct clusters by NF, aDCM, and naDCM (Figure 3) where 15/19 aDCM samples group within the same large cluster. This shows that although the unshared DEGs are not statistically significant between the direct aDCM vs naDCM comparison, the trends of their gene expression across the samples are sufficient to cluster by sub-phenotypes.

3.3.2 Canonical pathway analysis for phenotype-specific DEGs—We used IPA to investigate if the 590 aDCM-specific and 797 naDCM-specific DEGs were enriched for biologically relevant pathways. At a stringency of $p = 0.05$, 30 pathways were predicted enriched in aDCM and 35 in naDCM (Additional File 5). The most significant pathways with $p = 0.01$ are displayed in Figure 4A. The three most significantly enriched pathways in each analysis are significant in both sub-phenotypes: Mitochondrial Dysfunction, Oxidative Phosphorylation, and Sirtuin Signaling. (Figure 4A). These three pathways all relate to

dysregulated energy metabolism in the mitochondria, which we have shown previously is a characteristic common to distinct heart failure etiologies[9].

3.3.3 Upstream regulator analysis for phenotype-specific DEGs—Because mitochondrial dysregulation could be a downstream effect in heart failure rather than a primary causative DCM mechanism, we considered upstream regulators that might explain the gene expression changes within the sub-phenotypes. Using IPA, we investigated whether our gene lists were enriched for biologically relevant upstream regulators. Using a cutoff of $p < 0.05$ and an absolute activation z-score ≥ 2 , there are 11 significant regulators in naDCM (3 activated, 8 inhibited; Additional File 6) and 20 in aDCM (14 activated, 6 inhibited; Figure 4B). We used these significant regulators in aDCM to generate a connectivity network, where TP53 and TGF β 1 directly interact with a majority of the other regulators (Figure 4C).

3.3.3 Targeted gene analysis for aDCM-specific DEGs—Due to the previously established involvement of electrophysiological changes in aDCM, we used a targeted approach to identify genes related to cardiac electrophysiology. We compared the Cardiac Conduction gene list from Gene Ontology AmiGO2, which consists of 157 human genes, to our aDCM-specific genes and found that six genes overlapped: *ATP2B4*, *CORIN*, *GJA5*, *KCNJ11*, *SLC9A1*, *TMEM65* (fold changes=1.28, -2.52, 1.84, -1.62, 1.44, -1.36 respectively).

3.4 Increased expression of TGF β 1 targets and collagen accumulation in aDCM

Because TGF β 1 is a known regulator of cardiac fibrosis and increased cardiac fibrosis has previously been reported in DCM patients with ventricular tachycardia (VT)[4], we sought to validate its role as a distinguishing mechanism between aDCM and naDCM.

Unsupervised hierarchical clustering using TGF β 1 target gene expression from IPA demonstrates that the samples cluster into two large groups with 13/19 aDCM samples clustering together (Figure 5A). To validate upregulation of TGF β 1 targets, we confirmed that *POSTN*, *COL1A1*, and *VIM*, which are all cardiac fibroblast markers[19], had increased expression in aDCM relative to NF hearts (Figure 5B). To determine whether increased cardiac fibrosis also distinguished our aDCM and naDCM cohorts, we used second harmonic generation imaging to quantitate the collagen in LV explanted heart tissue (Figure 5C, Figure 5D)[20, 21]. aDCM patients showed significantly higher levels of collagen ($p=0.006$; Figure 5D).

3.5 TP53 signaling is activated in aDCM

TP53 is a tumor suppressor that responds to cellular stresses by regulating gene expression to control the cell cycle, apoptosis, and DNA repair. Activated TP53 has previously been noted in DCM, specifically associated with mutations in *LMNA*, which can cause aDCM[22, 23] and was an enriched pathway in an RNA-seq from biopsy tissue in patients with atrial fibrillation compared to normal sinus rhythm[24]. Unsupervised hierarchical clustering of TP53 target gene expression demonstrates that the samples cluster into two large groups with 14/19 aDCM samples clustering together (Figure 6A). Interestingly, five of the six samples that do not cluster with the majority of aDCM are the same as those that

also do not cluster for the TGFβ1 heatmap (Figure 5a). Clustering of all TP53 and TGFβ1 genes together demonstrated similar results with 14/19 aDCM samples clustering together (Additional File 7). We further validated these findings by confirming upregulation of targets in aDCM relative to NF: *CDKN1A* (p21), a cyclin-dependent kinase inhibitor and primary mediator of p53-induced cell cycle response; *CCNG2*, involved in cell cycle progression; *XPC*, involved in nucleotide excision in response to DNA damage; and *SERPINE2*, involved in proliferation (Figure 6B). TP53 signaling is tightly regulated in normal cells, where TP53 protein is typically present at low levels because MDM2 targets it for ubiquitination and destruction. However, stressors like DNA damage trigger rapid stabilization and activation of TP53, resulting in changes in gene expression. Stabilized TP53 induces expression of MDM2 in a feedback loop so that the pathway remains tightly regulated. Although neither TP53 nor MDM2 mRNA was differentially expressed in the RNA-seq, TP53 protein was significantly more expressed in aDCM compared to both naDCM and NF (p=0.02 and 0.007, respectively; Figure 6C, D), and MDM2 protein was significantly less expressed in aDCM compared to NF (p=0.04; Figure 6C, D) but was also significantly less expressed in naDCM compared to NF (p=0.003; Figure 6C, D). In aDCM, these results together suggest that MDM2 may be inhibited, allowing TP53 levels to remain higher than normal.

3.6 Increased TGFβ1 and TP53 target expression in heart tissue

To confirm our RNA-Seq results we selected two key mRNAs, *POSTN* from TGFβ1 and *SERPINE2* from TP53 to validate using RNAscope in explanted LV tissue. We detected a significant increase in the number of cells expressing *POSTN* (p=0.03) and co-expressing *POSTN* and *SERPINE2* (p=0.03), as well as an increasing trend expressing *SERPINE2* (p=0.20) in aDCM patients (Figure 7).

4. Discussion

4.1 aDCM and naDCM have distinct gene expression signatures

Prior studies have identified gene expression changes that occur in the failing human heart and are distinct from the healthy state, and that failing hearts share common gene expression signatures. However, differences in gene expression exist within heart failure that are able to accurately classify hearts by underlying disease etiologies, such as ischemic or non-ischemic disease[9]. Here, we showed that once the common, overlapping expression shared by all DCM patients is removed, we can identify biologically relevant signatures within DCM that reflect underlying arrhythmogenic (590 genes) and non-arrhythmogenic states (797 genes). It is interesting to note that five of the six aDCM samples that do not cluster together in the TGFβ1 and TP53 gene expression heatmaps (Figures 5a and 6a) are the same in both analyses. Perhaps there are sub-groups that exist within our sub-phenotypes that have distinct mechanisms, or perhaps this reflects an intermediate or transition phase from naDCM to aDCM. These data are encouraging that transcriptome analyses can reveal biologically distinct subgroups within the overall clinical heart failure presentation and potential targets for novel therapies.

4.2 Genes involved in cardiac conduction are significantly differentially expressed in aDCM

Comparison of our gene list with genes involved in cardiac conduction revealed six DEGs. *ATP2B4* encodes a calcium-transporting ATPase involved in intracellular calcium homeostasis. *CORIN* encodes a protein that converts pro-atrial natriuretic peptide to its active form, and serum corin levels have been associated with major adverse cardiac events including rehospitalization due to worsening heart failure and death[25]. *CORIN* had a -2.52 fold change in aDCM compared to NF and was additionally significantly negatively associated with age. *GJA5* is also known as connexin40, a gap junction protein, that has been associated with progressive familial heart block type 1[26]. *KCNJ11* encodes a potassium voltage-gated channel subunit. *SLC9A1* encodes a sodium-hydrogen exchanger. *TMEM65* encodes an inner mitochondrial membrane protein. Recessive mutations in *TMEM65* cause mitochondrial myopathy[27]. Additionally, *PAK1* is the only DEG identified in both the aDCMnaDCM direct comparison as well as the aDCM-specific genes. It is upregulated in aDCM relative to naDCM (fold change = 1.87) and NF (fold change = 1.69). *PAK1* encodes p21(RAC1) activated kinase 1, which is required for ventricular calcium homeostasis and normal SERCA2a levels and function[28]. *Pak1*^{-/-} mice and mice with cardiomyocyte-specific *Pak1* deletions experience increased atrial and ventricular arrhythmias[28–30]. Similarly, increased *PAK1* may cause dysregulated calcium flux and promote ventricular arrhythmia.

4.3 Age and sex have a significant contribution to aDCM-specific gene expression

Of the 590 aDCM-specific genes, 44 are significantly associated with age and 52 with sex (p < 0.05). Within the context of TP53 signaling, *PFKM*, *VCAN*, and *PTPN11*, are significantly associated with age and *VEGFA*, *SFPQ*, *TCTN1*, *KRT8*, and *FABP3* are significantly associated with sex. Within TGFβ1 signaling, *YBX1* is significantly associated with sex and *VCAN* and *VEGFA* are also components of this signaling pathway. Even within the aDCM and naDCM sub-phenotypes, there are differences in gene expression based on age and sex. Future studies with increased sample sizes will be better equipped to statistically refine differences in how age and sex may contribute to differing mechanisms within these pathways.

4.4 Upstream regulators may play a role in gene expression changes that distinguish aDCM from naDCM mechanisms

Several enriched upstream regulators were predicted as activated or inhibited in aDCM relative to naDCM (Figure 4B). Mir-193 levels have been negatively correlated with fibrosis, and TGFβ stimulates downregulation of mir-193[15, 31]. BRD4 is an epigenetic regulator that binds acetylated chromatin to promote transcription. In cardiomyocytes, BRD4 promotes expression of genes associated with pathological hypertrophy and TGFβ-mediated fibrosis in response to stress[32–34]. *CTNNB1* encodes β-catenin, a protein involved in signal transduction. It dual-functions at the intercalated disc in cell-cell adhesion and in the nucleus as an effector of gene transcription in Wnt signaling. Although inhibition of Wnt/β-catenin has been demonstrated in arrhythmogenic right ventricular cardiomyopathy (ARVC), [35] activation has been associated with cardiac fibrosis[36, 37]. HDAC6 is a histone

deacetylase that controls acetylation of α -tubulins, which are structural cytoskeletal polymers. Increased HDAC6 activity models causes α -tubulin deacetylation, depolymerization, and degradation, which were confirmed in human tissue from patients with atrial fibrillation. Further, *in vivo* HDAC6 inhibition prevents electrical remodeling and contractile dysfunction[38]. MAPK3 and MAPK1 are also known as ERK1/2 and are important regulators of cell cycle progression, proliferation, and survival. Activation of ERK1/2 has previously been reported in *LMNA*-related cardiomyopathy models,[39, 40] and pharmacological inhibition improves cardiac function[41–43]. Activation of ERK1/2 also crosstalks with RB1, which is the tumor suppressor retinoblastoma 1 predicted to be inhibited. Interestingly, A-type lamins and RB1 directly interact and this is required for proper localization and stability of RB1[44, 45]. This association can be disrupted by ERK1/2, which can inactivate RB1 and induce cell cycle entry and proliferation[46].

4.5 TGF β 1 signaling is activated in aDCM

TGF β 1 is a master regulator of fibrosis in many tissues[47, 48] through induction of myofibroblast differentiation[49]. In the heart, TGF β 1 can alter the electrophysiology of cardiac myofibroblasts by promoting pro-arrhythmic intercellular interactions between myofibroblasts and cardiomyocytes[50]. A transgenic mouse model of TGF β 1 overexpression results in cardiac hypertrophy and interstitial fibrosis,[51] and a heterozygous knockout protects against age-associated cardiac fibrosis[52]. TGF β 1 was predicted as activated in our aDCM cohort, and we showed that aDCM patients have higher levels of collagen on average than naDCM (Figure 5C, Figure 5D) and that aDCM patients trend towards higher levels of *POSTN* mRNA (Figure 7). Additionally, TGF β 1 is known to interact with a number of the other predicted upstream regulators, including activating the non-canonical ERK1/2 (MAPK3 and MAPK1) map kinase pathway (Figure 4C)[47, 53, 54]. ERK1/2 activation has previously been reported in *LMNA*-related cardiomyopathy[39, 40]. Whether or not the fibrotic signaling we detected precedes fibrotic changes or is merely a manifestation of existent fibrosis remains to be determined.

4.6 TP53 signaling is activated in aDCM

TP53 is a transcriptional regulator and a prominent tumor suppressor. Increased protein expression of TP53, decreased protein expression of its negative regulator MDM2, enriched downstream expression of TP53 target genes, and specific increased LV expression of *SERPINE2* in aDCM patients suggest that TP53 is activated in aDCM. Its role in the heart, in either a healthy state or a pathogenic one, has not been well characterized. In endothelial cells and macrophages, activation of the sympathetic nervous system via β 2-adrenergic signaling increased expression of p53, resulting in cardiac inflammation[55]. P53 accumulation has been described in failing human hearts from DCM patients, but clinical characteristics including arrhythmia were not described[56]. A transgenic mouse model of an *ACTC1* missense mutation demonstrated accumulation of TP53 and irregular calcium handling. Arrhythmia was suggested as a potential cause of death.[57] In accordance with our findings, in a zebrafish *LMNA* knockdown model, *TP53* and *CDKN1A* are both upregulated, supporting TP53 activation.[58] Recently, cardiomyocyte-specific conditional knockout of TP53 in mice led to hypertrophy and decreased contractile function[59]. This was accompanied by repression of extracellular matrix and fibrosis-related genes (including

TGFβ1, *TGFβ2*, *TGFβ3*, *POSTN*, and numerous collagens, including *COL1A1*) and increased expression of genes related to mitochondrial biogenesis and oxidative phosphorylation[59]. Similarly, our results showed that activation of TP53 is accompanied by corresponding changes in TGFβ1, fibrosis, and mitochondrial dysfunction. The results of this study are summarized in Additional File 8.

4.7 Limitations

The limitations concerning RNA-seq studies in end-stage, explanted human heart tissue have been described in detail and also apply to the current study[9]. In particular, it is important to note that adult heart tissue is comprised of many different cell types, including an estimated 20–40% cardiomyocytes[60, 61], with 65% endothelial cells, 15% fibroblasts, and 10% leukocytes comprising the noncardiomyocytes[62]. The RNA-seq in this study represents the transcriptome of whole tissue, but each cell type likely has a distinct profile. We demonstrated significant increased co-localization of two important signaling molecules in the work, but further investigation of cell-specific effects is a logical next. Additionally, this work demonstrates distinct RNA-seq profiles between NF and DCM and within DCM, but further expression validation and will be necessary to establish aDCM and naDCM as distinct biological sub-phenotypes. There are also several clinical limitations. Significantly more aDCM patients were receiving amiodarone than naDCM (Table 1; n=9 and n=2, p=0.04) and had ICDs (n=19 and n=11, p=0.01), which could potentially impact gene expression between groups. Amiodarone has been reported to decrease expression of Na⁺/K⁺ + ATPase subunits α2 and β2 (*ATPIA2* and *ATPIB2*)[63], sodium channels (*SCN4A*, *SCN5A*, *SCN1B*), connexin43 (*GJA1*), the calcium channel *CACNA1C*, various potassium channels (*KCNA5*, *KCNB1*, *KCND2*) and increase expression of other potassium channels (*KCNA4*, *KCNK1*, *KCNAB1*, *KCNE3*,[64] *KCNJ2*[65]). Our aDCM-specific DEGs do not include any of these genes. Moreover, in our unsupervised hierarchical clustering analyses with both TGFβ1 and TP53 target genes, we do not see any distinct clustering differences between patients with ICDs or taking amiodarone. Additionally, a study of the effect of amiodarone on non-CMs demonstrated that amiodarone treatment does not affect expression of TP53[66], and long-term amiodarone therapy does not affect LV remodeling or interstitial fibrosis[67]. Lastly, our clinical cohorts were selected based on patients that had never experienced VT or that had experienced VT within a year of transplant, but does not negate naDCM patients who may have been at risk of developing VT.

4.6 Conclusions

We used RNA-seq, pathway analysis, histology, and molecular studies to demonstrate that clinical distinctions in DCM arrhythmogenic sub-phenotypes result in biologically relevant differences. In our study, aDCM and naDCM were revealed to have distinct gene expression signatures, including significant differences in the TGFβ1 and TP53 pathways, which were validated by dysregulated protein expression and histological evidence of differing degrees of fibrosis. Notably, explanted LV tissue demonstrates significant increased expression and colocalization of TGFβ1 and TP53 targets, suggesting a direct link between these signaling pathways and ventricular arrhythmia. The underlying biology of end-stage heart failure differs between DCM patients with and without an arrhythmogenic phenotype, supporting distinct heart failure disease mechanisms.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations

DCM	dilated cardiomyopathy
LV	left ventricular
RNA-seq	RNA-sequencing
NF	non-failing
aDCM	arrhythmogenic DCM
naDCM	non-arrhythmogenic DCM
ICD	Implantable cardioverter-defibrillator
DEG	differentially expressed genes

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Highlights

- About 1:3 of dilated cardiomyopathy patients experience ventricular tachycardia
- Our understanding of the biological basis of these arrhythmias is incomplete
- RNA-seq demonstrates discrete arrhythmia and non-arrhythmia expression profiles
- These expression signatures are enriched for distinct biological pathways
- TP53 and TGF β 1 pathways distinguish arrhythmia and non-arrhythmia cohorts

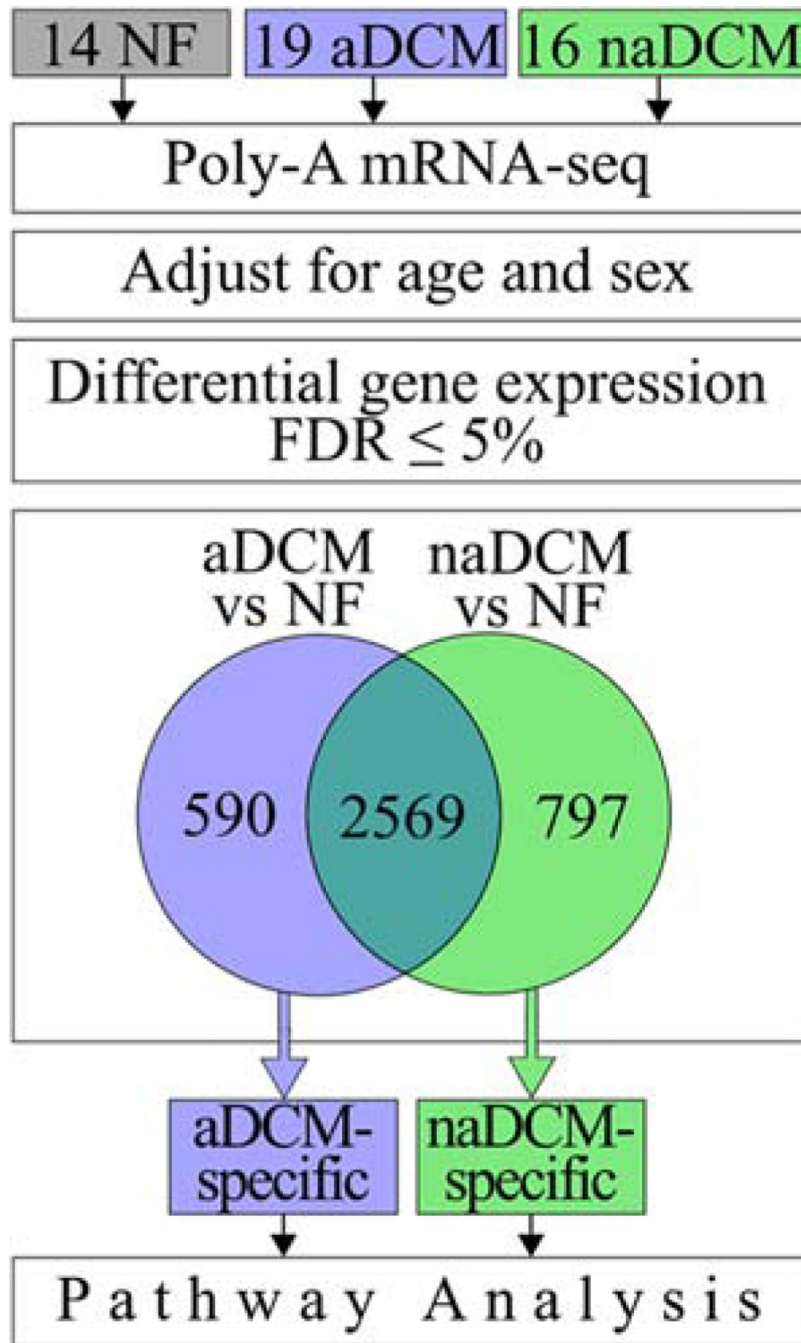


Figure 1. Schematic of RNA-seq analysis [COLOR, 1 column]

mRNA from 49 human hearts was extracted, sequenced, and adjusted for covariates. 14 NF (grey), 19 aDCM (blue) and 16 naDCM (green) explanted LVs were analyzed. DEGs at a 5% FDR were compared between each sub-phenotype and NF controls. Sub-phenotypic genes were identified and used in pathway analysis.

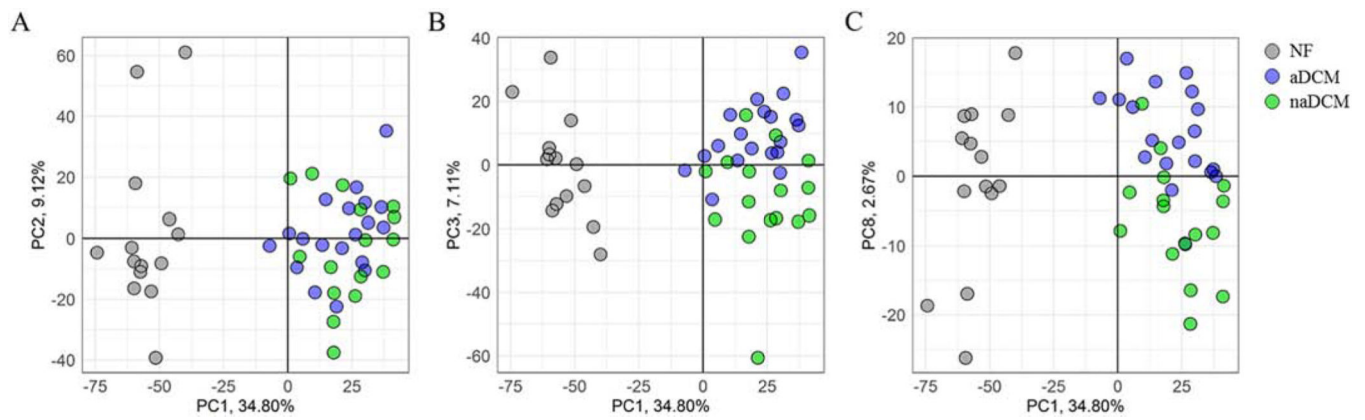


Figure 2. Principal component analysis of 3,956 DEGs in aDCM and naDCM compared to NF [COLOR, 2 column]

A) Principal components 1 and 2 show delineation of NF and DCM on component 1. B) Principal components 1 and 3 show delineation of aDCM and naDCM on component 3. C) Principal components 1 and 8 show delineation of aDCM and naDCM on component 8. NF samples are grey, aDCM are blue and naDCM are green. Each axis is labeled by the principal component and the proportion of variance explained by that component.

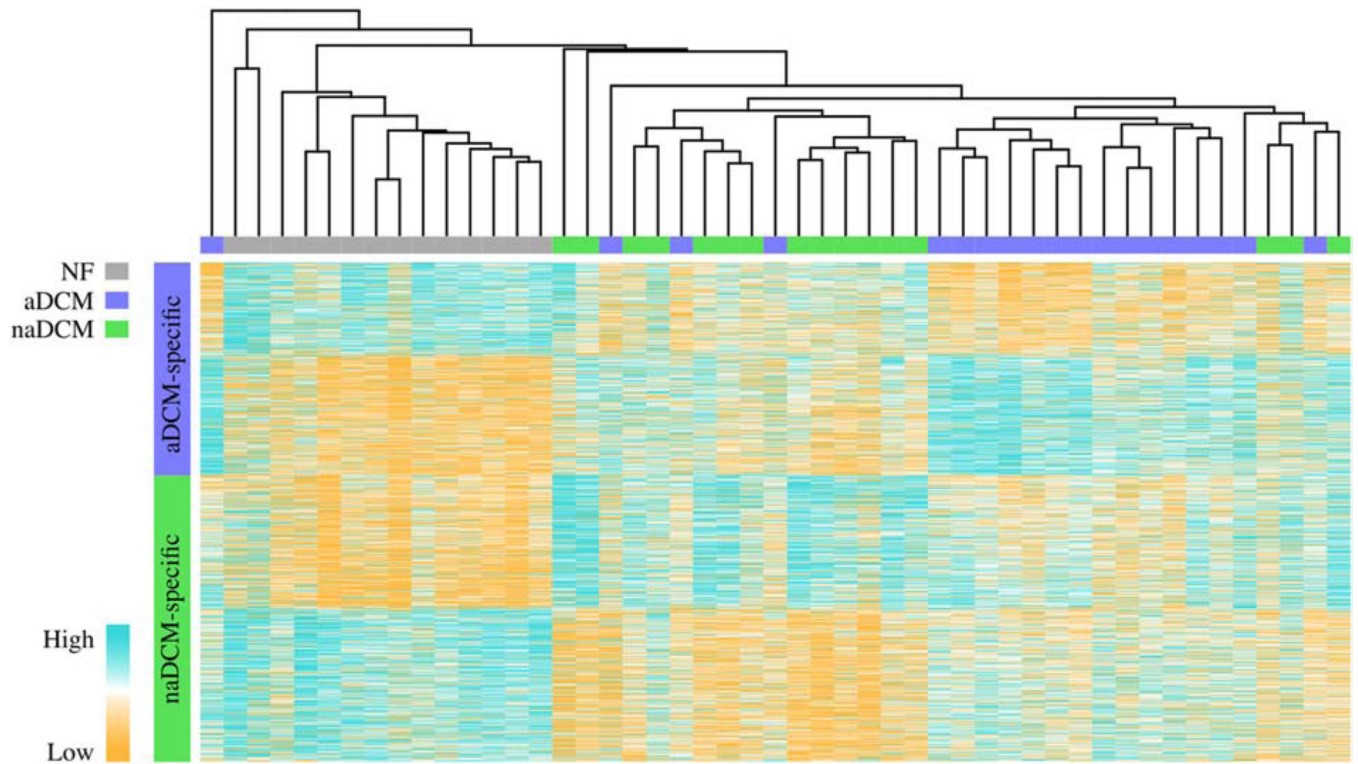


Figure 3. Unsupervised hierarchical clustering of aDCM- and naDCM-specific DEGs compared to NF [COLOR, 2 column]

Unsupervised hierarchical clustering by 590 aDCM-specific and 797 naDCM-specific genes demonstrates sub-phenotype clustering. NF samples are grey, aDCM are blue and naDCM are green. Orange indicates relatively high expression while blue indicates relatively low expression.

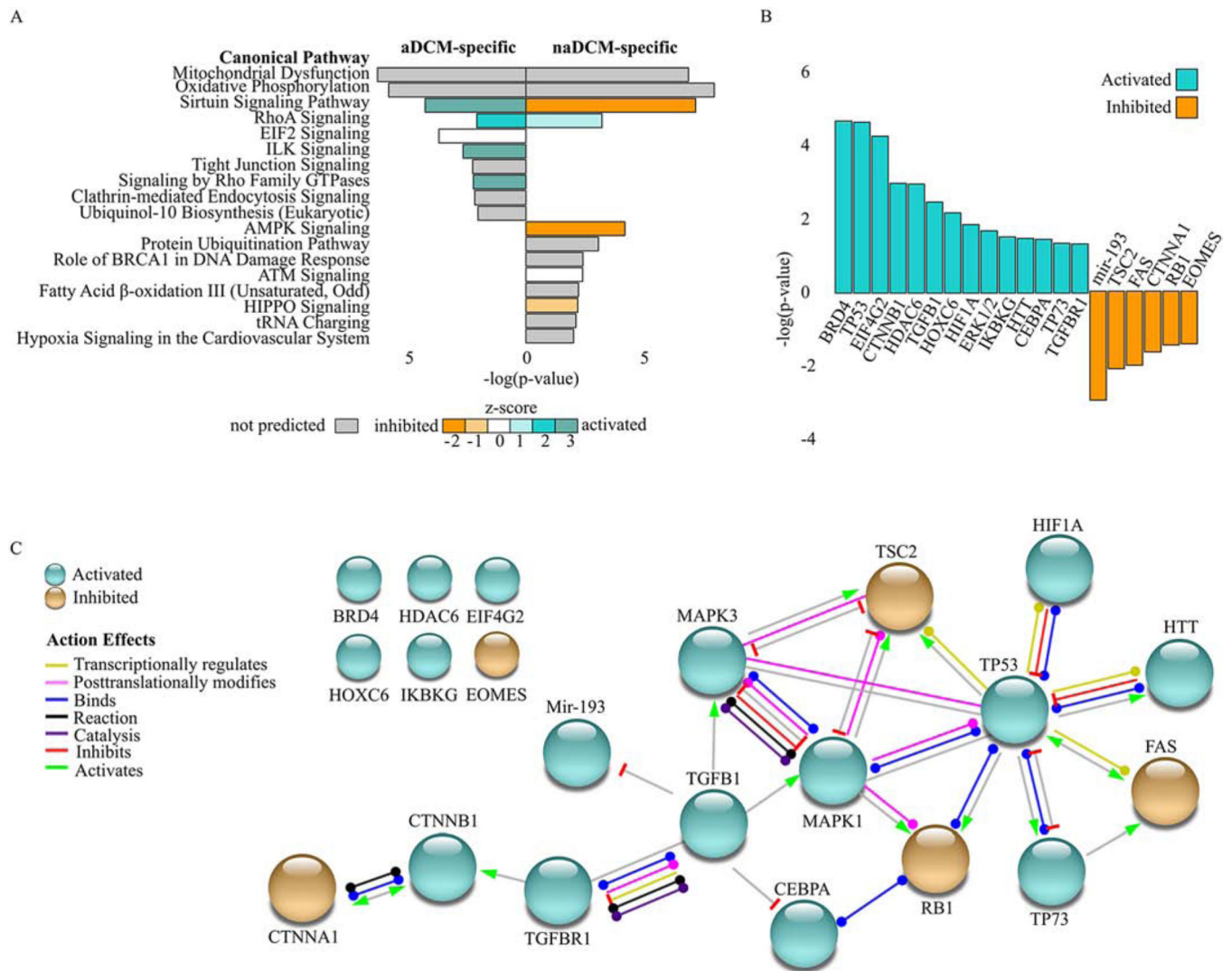


Figure 4. Pathway analysis in sub-phenotype-specific DEGs [COLOR, 2 column]
 A) Enriched IPA pathways ($p < 0.05$) with aDCM-specific (left) and naDCM-specific (right) analyses. Bars are filled according to z-score: teal indicates higher (activated), orange indicates lower (inhibited). Pathways without a z-score are grey, pathways with a z-score of zero are white. B) Enriched upstream regulators in aDCM. C) Network of enriched upstream regulators adapted from STRING. Nodes (circles) represent regulators and edges (lines) represent biological actions or effects that connect each node.

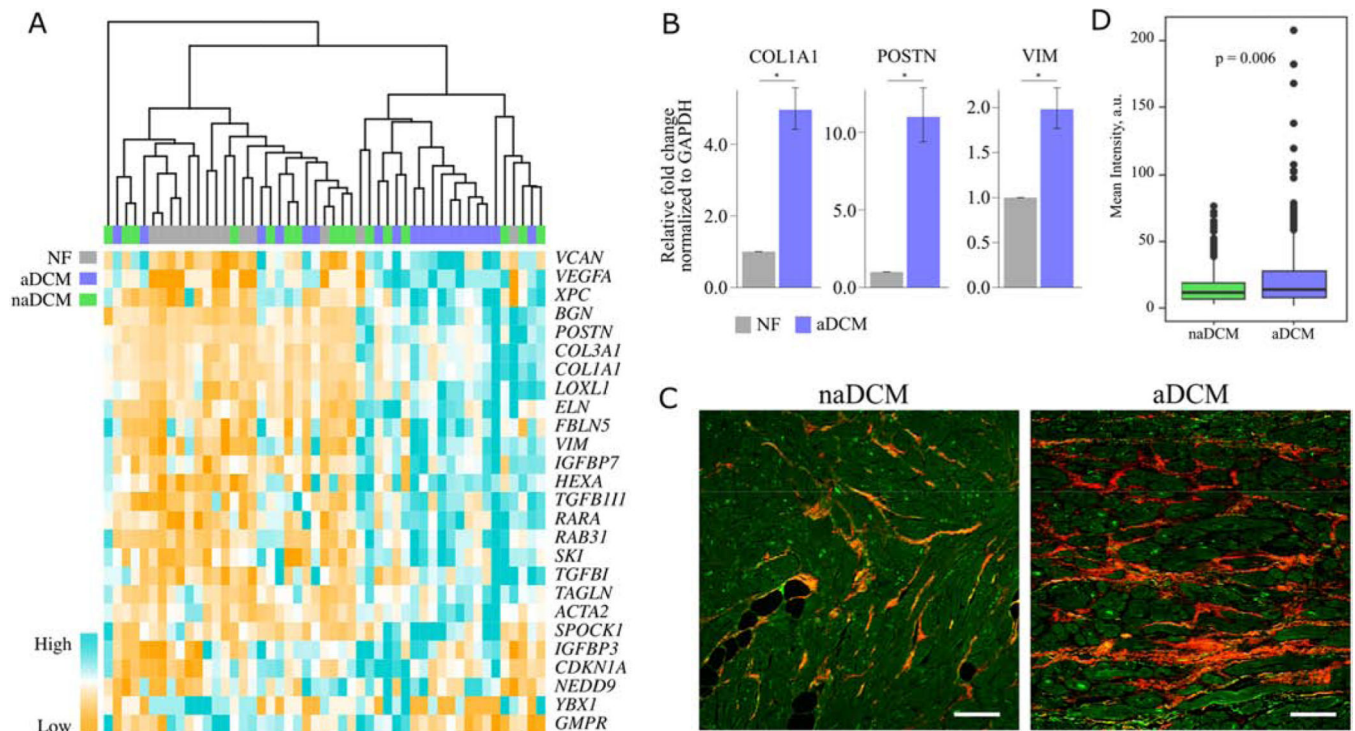


Figure 5. Increased expression of TGFβ1 targets and collagen accumulation in aDCM [COLOR, 2 column]

A) Unsupervised hierarchical clustering of TGFβ1 targets from IPA clusters most aDCM together. B) qRT-PCR validation of TGFβ1 targets *COL1A1*, *POSTN*, and *VIM* in mRNA from NF (n=4) and aDCM (n=3) LV. C) Representative images from second generation harmonic imaging of collagen in LV tissue from naDCM (n=10) and aDCM (n=13) demonstrating increased collagen signal. Scale bar represents 100 μm, and the collagen signal is quantified in D. *p < 0.05

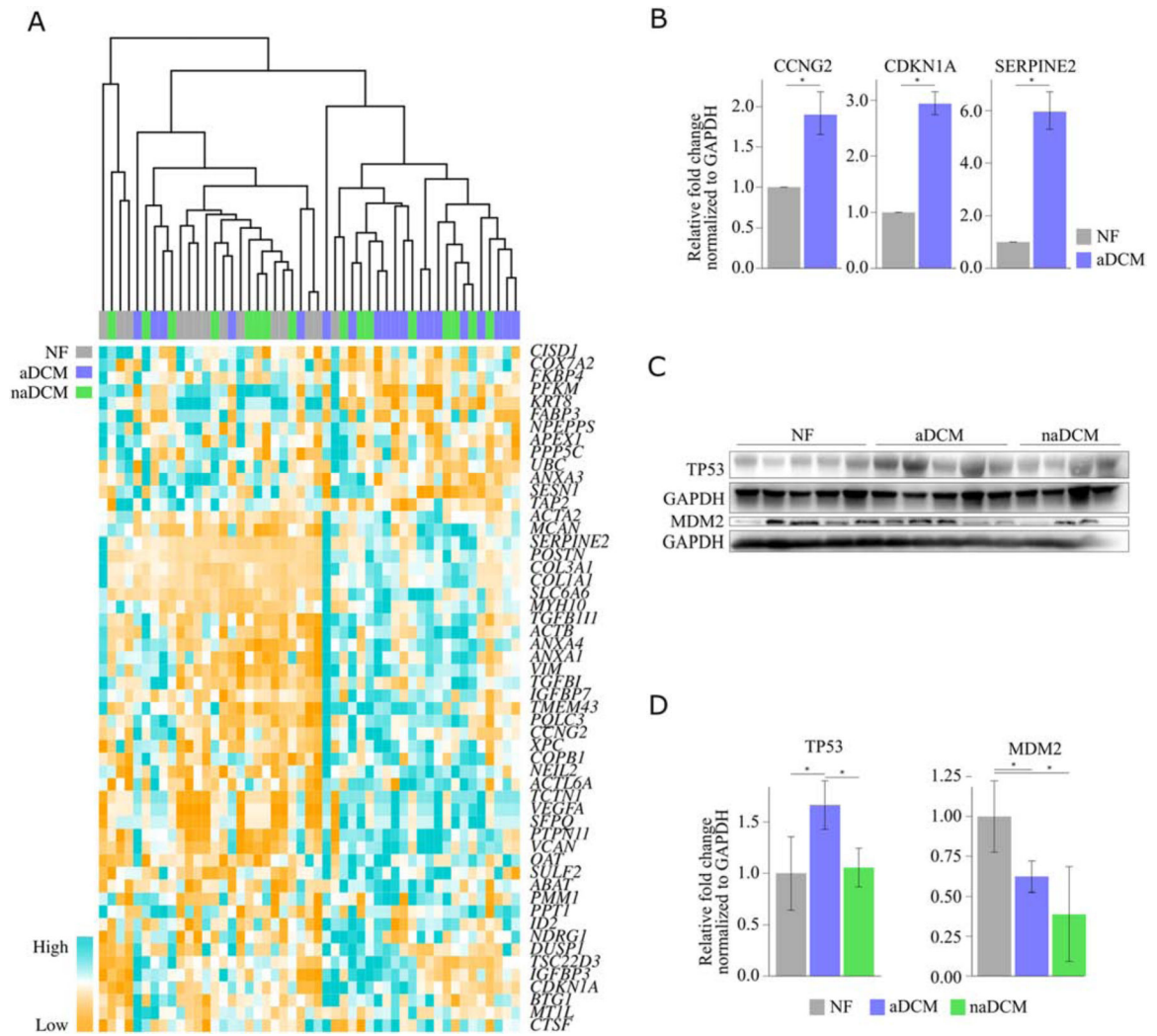


Figure 6. TP53 signaling is activated in aDCM [COLOR, 2 column]

A) Unsupervised hierarchical clustering of TP53 targets from IPA clusters most aDCM together B) qRT-PCR validation of TP53 targets CCNG2, CDKN1A, SERPEINE2, and XPC in mRNA from NF (n=4) and aDCM (n=3–5) LV. C) Western blot of TP53 and MDM2 in NF, aDCM, and naDCM LV protein extracts. Blots normalized to GAPDH and quantified in D. *p < 0.05

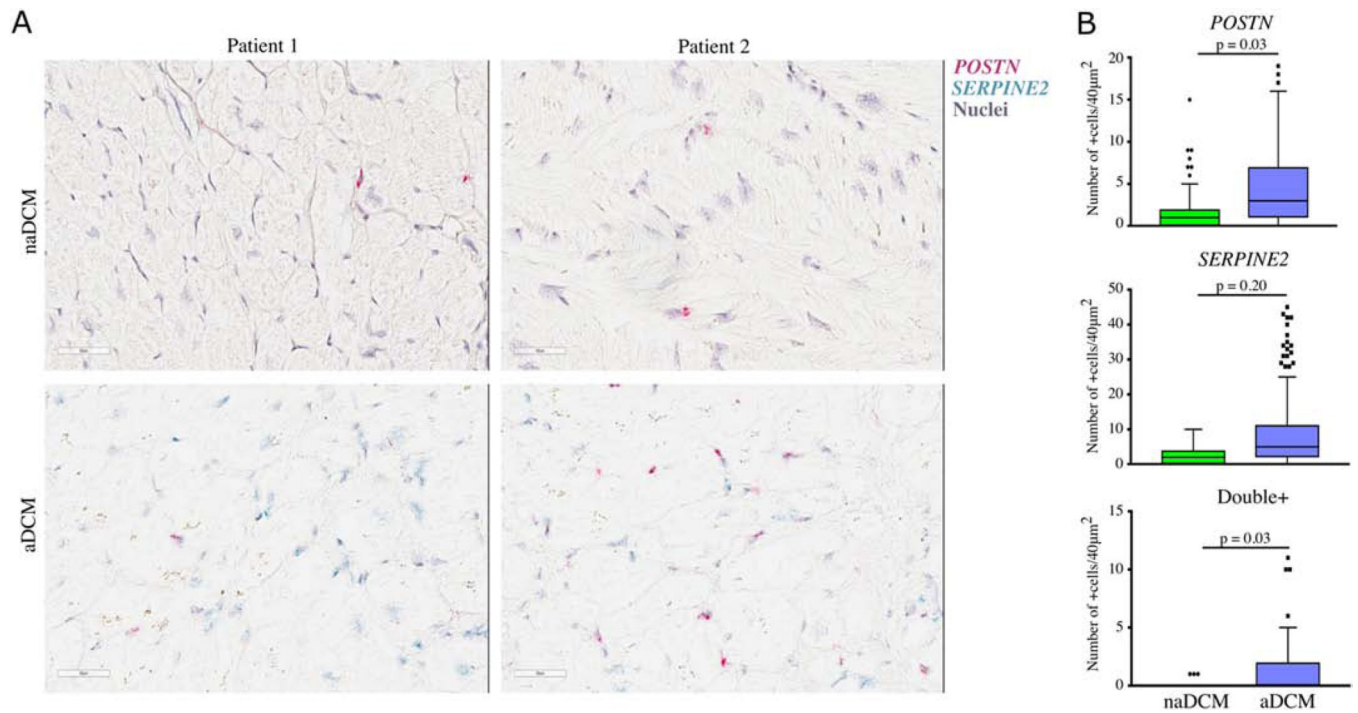


Figure 7. Localization of TGFβ1 and TP53 targets in LV tissue [COLOR, 2 column]. mRNA for *POSTN* (red) and *SERPINE2* (blue) was A) detected and B) quantified in naDCM (n=4) and aDCM (n=4) LV heart tissue. Double+ cells contained both *POSTN* and *SERPINE2* mRNA. Scale bar represents 50µm.

Table 1.

Clinical Characteristics of aDCM and naDCM cohorts

Characteristics	naDCM (n=16)	aDCM (n=19)	p-value
Male sex, n (%)	12 (75)	16 (84)	0.68
Age at transplant	47 ± 14	51 ± 12	0.29
Race			
Caucasian, n (%)	13 (81)	16 (84)	1.00
Black/African American, n (%)	2 (13)	1 (5)	0.58
Unknown, n (%)	1 (6)	2 (11)	1.00
Ethnicity			
Not Hispanic or Latino, n (%)	13 (81)	11 (59)	0.17
Hispanic or Latino, n (%)	1 (6)	4 (21)	0.35
Unknown, n (%)	2 (13)	4 (21)	0.67
NYHA *	3.2 ± 0.7	3.3 ± 0.6	0.79
LVEF (%) *	19 ± 9	17 ± 6	0.45
Medications			
Antiarrhythmic therapy, n (%)	13 (81)	17 (89)	0.64
Amiodarone, n (%)	2 (13)	9 (64)	0.04
Beta Blockers, n (%)	10 (63)	8 (42)	0.22
ACE inhibitor, n (%)	8 (67)	9 (64)	1.00
Device Therapy			
ICD, n (%)	11 (69)	19 (100)	0.01
LVAD/BiVAD, n (%)	8 (50)	8 (42)	0.74
Comorbidities			
History of smoking, n (%)	8 (50)	8 (47) *	1.00
Diabetes, n (%)	1 (6)	5 (26)	0.19
*BMI ≥ 25, n (%)	6 (40)	10 (67)	0.5
LVIDs (cm) *	5.4 ± 1.3	5.8 ± 1.4	0.76
LVIDd (cm) *	6.1 ± 1.5	6.5 ± 1.4	0.74
QRS duration (ms)	144 ± 37	137 ± 49	0.68
QT interval (corrected)	505 ± 76	498 ± 57	0.90
Arrhythmia †			
Ventricular tachycardia, n (%)	0 (0)	19 (100)	< 0.00001
Ventricular fibrillation, n (%)	0 (0)	4 (21)	0.11

Characteristics	naDCM (n=16)	aDCM (n=19)	p-value
Atrial fibrillation, n (%)	4 (25)	8 (42)	0.48

* unknown for some patients.

† within 1 year of explant (aDCM) or never in medical record (naDCM).

Plus-minus values are means \pm one SD. BMI=body mass index; ICD=implantable cardioverter defibrillator; LVAD/BiVAD=left/biventricular assist device; LVEF=left ventricular ejection fraction; NYHA=New York Heart Association

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