



# Gap analyses to assess Canadian readiness for respiratory syncytial virus vaccines: Report from an expert retreat

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## Abstract

Respiratory syncytial virus (RSV) can cause severe disease in infants and older adults. Various vaccine candidates are in development and may become authorized for use in Canada within the next 2–5 years. The Public Health Agency of Canada sought to enhance preparedness for RSV vaccine and passive immunization candidates by organizing an expert retreat to identify knowledge gaps in surveillance and research and development in the context of provincial and territorial RSV public health priorities.

We determined that RSV candidate vaccines in development directly address four out of five identified public health priorities, and identified remaining data gaps around vaccine efficacy and effectiveness. We determined that limited or sufficient surveillance data is available to support decision-making for four out of five RSV public health priorities and identified data gaps for several key populations: (i) for RSV cases under 17 years of age, gaps remain for denominator data to calculate incidence and data on medically attended outpatient visits; (ii) for RSV cases in Indigenous and remote communities, gaps remain for data on incidence, prevalence, specific risk factors, feasibility and acceptability; and (iii) for RSV cases in older adults, gaps remain for data on incidence. This process demonstrated the feasibility of, and stakeholder support for, gap analyses in surveillance data to support decisions about prospective vaccines and immune products.

**Suggested citation:** Killikelly A, Shane A, Yeung MW, Tunis M, Bancej C, House A, Vaudry W, Moore D, Quach C. Gap analyses to assess Canadian readiness for respiratory syncytial virus vaccines: Report from an expert retreat. *Can Commun Dis Rep* 2020;46(4):62–8. <https://doi.org/10.14745/ccdr.v46i04a02>

**Keywords:** vaccine, National Advisory Committee on Immunization, NACI, immunization, RSV, respiratory syncytial virus

## Introduction

The National Advisory Committee on Immunization (NACI) is Canada’s National Immunization Technical Advisory Group (NITAG) and is mandated to provide the Public Health Agency of Canada (PHAC) with technical guidance for vaccine use. When issuing guidance, NACI considers a broad range of evidence, including the vaccine characteristics, the burden of illness and the ethics, equity, feasibility and acceptability of immunization programs. These factors have all been identified as important drivers for Canadian provincial and territorial (P/T) decision-makers (1).

As many respiratory syncytial virus (RSV) vaccines are in clinical development, NACI needs data on RSV epidemiology and

the cost of new vaccine candidates to provide comprehensive guidance addressing these decision drivers. Due to the public health need and the expedited timelines for new vaccine recommendations, PHAC seized the opportunity to enhance RSV vaccine preparedness by hosting an expert gap-analysis retreat to understand the current and future needs of vaccine decision-makers. The NACI secretariat, alongside a technical advisory committee (i) coordinated the identification of P/T RSV public health priorities; (ii) selected participants based on their expertise in the fields of RSV surveillance, research, economics, pediatric health and immunization as well as representation from key groups inside and outside of government (see **Appendix 1**); and (iii) selected industry manufacturers to present on the progress

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of late-stage (Phase 2 or higher) human clinical trials. Industry representatives were invited to present data via teleconference and retreat participants participated in confidential discussions.

## Background

RSV infection represents a large burden of disease in Canada and worldwide. The age distribution of RSV disease burden is bimodal, where the greatest impact is felt in the first two years of life and in older adults. Published Canadian data are lacking, but data from other high-income countries show the following bimodal trend for RSV hospitalization rates per 1,000 people: 26.3 (0–5 months), 11.3 (6–11 months), 1.4 (12–59 months), 1.0 (older than or 65 years) (2,3).

Vaccines targeting maternal, infant or older adult populations have different impacts on RSV prevention in diverse populations. To perform a gap analysis, we first needed to identify and understand the public health priorities (PHPs) for RSV prevention. While vaccine procurement happens federally, vaccine program decision-making and implementation falls within the P/T mandate. To ensure our analysis was relevant and useful for Canadian immunization programs, we focused our attention on alignment with P/T public health priorities. In consultation with members of the F/P/T Canadian Immunization Committee and Council of Chief Medical Officers of Health, we developed the following list of the P/T public health priorities for RSV vaccine programs:

1. PHP-1: Preventing hospitalization and death in infants
2. PHP-2: Preventing hospitalization and death in high-risk infants
3. PHP-3: Preventing infection in children living in remote settings
4. PHP-4: Preventing hospitalization and death in children
5. PHP-5: Preventing hospitalization and death in older adults

These PHPs for RSV guided the subsequent gap analysis and could enable the creation of vaccine guidance that would suit the needs of P/Ts.

The objective of the paper is to summarize expert discussion around two topics to inform Canadian RSV vaccine readiness: (i) research and development of vaccine candidates; and (ii) existing public health surveillance. For each of these topics, the alignment with respect to the PHPs was discussed and a gap analysis was performed. The intent of this process is to provide expert rationale for further research and surveillance system development to enhance Canadian RSV vaccine readiness.

## Key findings: Research and development of RSV vaccine candidates Alignment of vaccine candidates with public health priorities

To align RSV vaccine candidates in development with P/T public health priorities, we considered the different outcomes of the PHPs: prevention of infection versus prevention of hospitalization or death.

Different vaccine candidate development strategies boost protective immunological responses to either prevent infection, severe disease and/or transmission (4). For vaccine guidance, distinction between these three outcomes is essential. Data that demonstrate that a vaccine prevents severe disease or death may form the evidence base of a recommendation targeting individuals (i.e. clinicians, vaccine recipients). Data that demonstrate that a vaccine prevents infection and/or transmission may form the evidence base of a recommendation targeting the population. These two types of recommendations could potentially have very different funding prioritizations and mechanisms within P/T vaccine programs, impacting who has access to these vaccines and when.

There is a strong foundation of evidence that vaccines that induce protective antibody levels (including subunit vaccines, particle-based vaccines and monoclonal antibodies) prevent severe disease in infants. Vaccines that boost cellular responses (including live attenuated and vectored vaccines) may reduce severe disease as well as viral transmission due to boosting mechanisms related to CD8-mediated viral clearance and shedding.

A population-level vaccine recommendation is supported by an evidence base demonstrating that protective humoral and cellular responses prevent infection and/or transmission on a population-wide level. Otherwise, it may be reasonable to consider indirect evidence from other vaccines that have had an unexpected effect on population-wide transmission. For example, rotavirus vaccine is indicated for the prevention of acute gastroenteritis in children younger than two years old; however, since the vaccine's introduction, rotavirus infection rates in nonvaccinated children and adults have also decreased substantially (5).

We determined that vaccine candidates in development directly address four out of five P/T public health priorities (see **Table 1**).

**Preventing hospitalization and death in infants (PHP-1), high-risk infants (PHP-2) and children (PHP-4):** Janssen, GSK and Novavax are developing vaccine candidates that target infant populations either directly or through maternal immunization. AstraZeneca/Sanofi Pasteur is developing a monoclonal antibody to address the burden of RSV disease in



Table 1: Vaccine candidate gap analysis

PHP	Provincial/territorial PHPs for RSV	RSV vaccine candidate in development to address priority
1	Preventing hospitalization and death in infants	Janssen Junior, GSK Maternal and Pediatric, Novavax Maternal, AstraZeneca/Sanofi Pasteur <sup>a</sup>
2	Preventing hospitalization and death in high-risk infants	Janssen Juniors, GSK Maternal and Pediatric, Novavax Maternal, AstraZeneca/Sanofi Pasteur <sup>a</sup>
3	Preventing infection in children living in remote settings	AstraZeneca/Sanofi Pasteur <sup>b</sup>
4	Preventing hospitalization and death in children	AstraZeneca/Sanofi Pasteur <sup>a</sup>
5	Preventing hospitalization and death in older adults	Janssen Senior, GSK Older Adult, Novavax Older Adult, Bavarian Nordic <sup>c</sup>

Abbreviations: PHP, public health priority; RSV, respiratory syncytial virus  
<sup>a</sup> RSV candidate directly addresses public health priority  
<sup>b</sup> RSV candidate partially addresses public health priority

infants at high risk of severe disease. These vaccine candidates are in Phases 2 and 3 of clinical development for healthy infants; infants at higher risk due to comorbid conditions; and healthy children. These three PHPs are being directly targeted by several vaccine candidates in late-stage clinical development.

**Preventing infection in children living in remote settings (PHP-3):** Both vaccines and immune products targeting RSV could address this PHP. Palivizumab is the only product currently available. It is only given to infants at high risk of severe RSV disease or, in the case of a specific pilot project in Nunavik, to all term infants living in remote northern communities (6). The duration of palivizumab activity is approximately one month. The next generation monoclonal antibody products, including the product in development from AstraZeneca/Sanofi Pasteur, may have longer periods of effectiveness (possibility more than five months). This could increase the ease of use of these products in regions with decreased access to health care systems, including remote communities.

Palivizumab and other monoclonal antibodies are expected to prevent severe RSV disease, but it is not known if these products would also reduce RSV infection. Additional research is needed on feasibility and acceptability for RSV vaccine programs in Canadian remote communities. Work is underway in Nunavik, northern Quebec, to assess the impact of expanding access to palivizumab for all infants younger than three months old (6). Although the results of this study will inform decision-making around the use of multidose palivizumab in northern and remote communities, these data may not be directly applicable to new monoclonal antibodies given the potential increase in the duration of protection. Additional studies may be needed to directly address this PHP.

**Preventing hospitalization and death in older adults (PHP-5):** Janssen, GSK, Novavax and Bavarian Nordic are developing vaccines that target healthy older adults as well as older adults with comorbid conditions, including chronic obstructive pulmonary disease. This PHP is being directly targeted by several vaccine candidates in late-stage clinical development. In addition, this population may be protected by immunization of younger cohorts via herd immunity, similar to rotavirus or pneumococcal disease (5).

### Gaps identified for vaccine candidate research and development

Alongside the gap analysis of P/T priorities and vaccine candidates, vaccine manufacturers with late-stage clinical trial programs for a vaccine or immune product targeting RSV were invited to present their data on vaccine effectiveness, safety, immunogenicity and other relevant topics (4). Based on those confidential discussions, we identified areas where additional research or analysis is needed to fully understand the potential efficacy and effectiveness of vaccine candidates in development:

- I. **Co-administration of RSV vaccines.** The antigens presented by multiple candidate vaccines may be the same antigens that are targeted by anti-RSV monoclonal antibodies, including palivizumab. The safety and efficacy of co-administration of vaccines and monoclonal antibodies targeting RSV has not been evaluated.
- II. **Consistent case definition.** Case definitions for RSV outcomes vary across clinical trials. This may limit the head-to-head comparisons of data necessary for guidance synthesis. A consistent and specific RSV-associated illness definition is necessary to be able to compare the efficacy and effectiveness of different RSV candidate vaccines.
- III. **Protection against RSV/A and RSV/B strains.** RSV/A and RSV/B strains are typed on their G surface proteins. Vaccines may have differential efficacy against these strains. Antigenic sites targeted by some of the RSV vaccine candidates are on the F surface protein, specifically antigenic sites  $\sigma$  and V, which differ between strains A and B (7). Additional research is necessary to confirm the protective efficacy of these vaccines against both RSV strains.
- IV. **Impact of pre-existing immunity to adenovirus on vaccine efficacy.** Several RSV candidates use vectors from human or chimpanzee adenoviruses to deliver RSV antigens. These vectors may elicit their own immune responses that could dampen or augment immune response to RSV antigens, change the efficacy of the vaccine and/or change the safety profile of the vaccine in previously immune people. Evidence is necessary to determine the prevalence and effect of adenovirus immunity.
- V. **Impact of potential escape mutants.** Palivizumab targets conserved site II on the RSV F protein. Nirsevimab, a novel monoclonal antibody being developed by AstraZeneca/Sanofi Pasteur and currently in Phase 3 clinical trials, targets site  $\sigma$  on RSV F protein (4). Under conditions of selection pressure, viral variants could change the presentation of



these antigenic sites (by changing the protein sequence and/or glycosylation patterns) to the degree where these monoclonal antibodies are no longer effective. Surveillance systems would need to be in place to detect these escape mutations in breakthrough infections of monoclonal therapy recipients.

## Key findings: Public health surveillance

### Alignment of surveillance with public health priorities

The surveillance data that inform most PHPs are available from two sources in Canada: an active sentinel hospital surveillance system, the Immunization Monitoring Program ACTive (IMPACT) (8), and a hospital administrative database, the Canadian Institute for Health Information's (CIHI) hospital morbidity database (9). **Table 2** shows the extent to which existing national surveillance systems and/or data sources provide evidence for the P/T-defined RSV public health priorities.

**Table 2: Extent of available surveillance data to assess effectiveness of RSV vaccine for public health priorities by target groups**

Public health priority	Public health parameters Measures used by NACI to assess burden of illness				
	Incidence	Study setting <sup>a</sup>	Virus strain	High risk populations <sup>b</sup>	
Infant hospitalization	IMPACT <sup>c</sup> CIHI <sup>c</sup>	IMPACT <sup>c</sup> CIHI <sup>c</sup>	IMPACT <sup>d</sup>	IMPACT <sup>d</sup>	CIHI <sup>c</sup>
Infant death	IMPACT <sup>c</sup> CIHI <sup>c</sup>	IMPACT <sup>c</sup> CIHI <sup>c</sup>	IMPACT <sup>d</sup>	IMPACT <sup>d</sup>	CIHI <sup>c</sup>
Infection in remote communities (child)	IMPACT <sup>c</sup> CIHI <sup>c</sup>	IMPACT <sup>c</sup> CIHI <sup>c</sup>	IMPACT <sup>e</sup>	IMPACT <sup>c</sup> CIHI <sup>c</sup>	
Child hospitalization	IMPACT <sup>c</sup> CIHI <sup>c</sup>	IMPACT <sup>c</sup> CIHI <sup>c</sup>	IMPACT <sup>d</sup>	IMPACT <sup>d</sup>	CIHI <sup>c</sup>
Child death	IMPACT <sup>c</sup> CIHI <sup>c</sup>	IMPACT <sup>c</sup> CIHI <sup>c</sup>	IMPACT <sup>d</sup>	IMPACT <sup>d</sup>	CIHI <sup>c</sup>
Senior hospitalization	CIHI <sup>c</sup>	CIHI <sup>c</sup>	ND <sup>e</sup>	CIHI <sup>c</sup>	
Senior death	CIHI <sup>c</sup>	CIHI <sup>c</sup>	ND <sup>e</sup>	CIHI <sup>c</sup>	

Abbreviations: CIHI, Canadian Institute for Health Information; IMPACT, Immunization Monitoring Program ACTive; NACI, National Advisory Committee on Immunization; ND, no data; RSV, respiratory syncytial virus

<sup>a</sup> Study settings may include: community, primary care, hospital, nosocomial

<sup>b</sup> High risk populations for RSV include: infants, children and seniors with underlying medical conditions

<sup>c</sup> Limited data - yellow

<sup>d</sup> Sufficient data - grey

<sup>e</sup> No data - pink

Three PHAC surveillance experts jointly conducted a subjective assessment of each system/data source to determine whether adequate, limited or no data exist to support decision-making. IMPACT was able to provide sufficient data on viral strain characteristics and high-risk pediatric populations (infants and

children hospitalized with RSV in IMPACT centres). IMPACT was able to provide limited data on incidence and infection in remote communities, and no data on RSV-associated illness not requiring hospitalization. Incidence rates are difficult to calculate for some sites because the site catchment areas do not align with population statistics (see **Table 3** for more information). As there are no IMPACT sites in the three territories or northern areas of affected provinces, RSV cases in these communities would only be captured if patients were transferred to an IMPACT hospital. Study setting is limited to hospital wards and intensive care units.

**Table 3: Overview of surveillance gaps in assessing data available for priority populations**

Priority population	Data gap	Proposed gap-filling strategy
Cases under 17 years of age	Denominator data	Hospital-level linkage of IMPACT centres with CIHI
	Non-medically attended RSV infection data	None proposed
Cases in Indigenous and remote communities	Incidence, prevalence or specific risk factor data	Surveillance pilot projects may clarify the burden of disease, acceptability and feasibility of vaccine programs in these at-risk communities
Cases in older adults	Incidence, prevalence, strain or specific risk factor data	Retrospective cohort study of laboratory-confirmed RSV by CIRN-SOS Prospective surveillance through a sentinel surveillance network

Abbreviations: CIHI, Canadian Institute for Health Information; CIRN-SOS, Canadian Immunization Research Network Severe Outcome Surveillance; IMPACT, Immunization Monitoring Program ACTive; RSV, respiratory syncytial virus

CIHI was able to provide limited data on hospitalizations for all public health parameters, across all ages, but not viral strain characteristics. The extent of data availability through CIHI was assessed as limited because viral testing is not always performed at acute care hospitals (9), and modelling is required to estimate hospitalizations due to RSV. Data for high-risk populations are also available from CIHI, but these data are limited by the way in which comorbid conditions are captured in administrative databases. The most responsible diagnosis and 24 other diagnosis fields can be scanned for comorbid conditions, but the use of these fields is variable based on clinical need.

### Data gaps within three priority populations

The retreat participants identified three priority populations for which more data are needed (see **Table 3**).

#### I. Under 17 years of age:

a. Lack of denominator data: The IMPACT system aims to provide data on RSV hospitalizations of children aged 16 years and younger. However, it is a sentinel (and not population-based) surveillance system, and catchment areas for some sites are not aligned with available population data. Therefore, current data from this system are limited to the number of health events (e.g. number of



admissions, number of deaths, number of intensive care unit admissions). Interpretation of these indicators without the context of the population from which these are derived is difficult and would be improved with calculation of population-based indicators. Hospital-level linkage of IMPACT centres with hospital administrative data from CIHI may be explored to conduct trend validation, compare patient numbers captured by different data sources, examine representativeness/comprehensiveness of this sentinel networks and ascertain denominator data.

b. Lack of non-medically attended RSV infection data: No data are available for non-medically attended RSV infection, as would be needed for RSV transmission models and cost-effectiveness studies. No gap-filling strategy was proposed at the retreat.

II. Indigenous and remote communities: Indigenous and remote communities face additional barriers to accessing healthcare and are underrepresented in current national surveillance systems. Estimates of the incidence, prevalence or specific risk factors are not systematically available for Indigenous communities. The IMPACT system captures patients from Indigenous and remote communities who are hospitalized or transferred to an IMPACT site, but these data do not reflect the true burden of illness in this community. Surveillance pilot projects are under way in Quebec in some remote Indigenous communities to determine the burden of disease, acceptability and feasibility of vaccination programs (6). The changing landscape of vaccine products available to prevent RSV represents opportunities for longer-lasting and more durable products. Further study is needed to ascertain the needs of Indigenous and remote communities.

III. Older adults: National data on RSV infection in older adults are limited to hospital administrative data from CIHI. Evidence suggests that crude RSV counts and rates derived from hospital administrative data in Canada underestimate the burden of illness in older adults because of incompleteness of viral testing in this age group (10). Despite limitations of missing data, administrative data remain an important source of data. Primary data collection of epidemiology and burden of disease inputs is warranted for evaluations in older adults. Two strategies to address this need were proposed during the discussions:

a. A retrospective cohort study of laboratory-confirmed RSV in patients 65 years and older hospitalized for influenza-like illnesses (ILI) is underway via the Canadian Immunization Research Network Severe Outcome Surveillance network (11). The ability of this dataset to fill existing data gaps for older adults could be explored.

b. The feasibility of establishing prospective surveillance for RSV in older adults through a sentinel surveillance network could be explored.

Additional surveillance systems limitations were discussed:

- Understanding the long-term sequelae of RSV helps in the development of vaccination programs and economic

models. Current data about the role of RSV in the development of chronic obstructive pulmonary disorder or asthma are inconclusive. Additional studies are needed to further understand this link and the long-term burden of RSV illness.

- Some respiratory and RSV surveillance systems use case definitions of ILI to define their target populations. The drivers for this are often either opportunistic (RSV systems leverage existing influenza surveillance infrastructure and ILI definitions to identify suspect cases) or practical (individuals selected for specimen collection are based on existing clinical testing algorithms or standards of care). The inclusion of fever in the ILI case definition may result in missed RSV cases; however, there is no standard syndromic case definition for RSV infection. To address this issue, PHAC participated in an international World Health Organization-led collaboration to develop an RSV surveillance case definition and will endeavour to apply this case definition in PHAC-led surveillance initiatives or analyses (12). Current surveillance systems are based on passive surveillance of those seeking medical attention for RSV. To estimate the burden of non-medically attended RSV, an active surveillance strategy would be needed. Although the feasibility of this approach is complex, these data are essential to build accurate RSV transmission and economic models.

## Strengths and limitations

Although the above analyses provide expert rationale for future study and pursuit of gap-filling strategies, there are some caveats to our approach. First, the analyses are limited by the expertise of the participants in the room. The number of participants was incomplete due to the feasibility of hosting an in-person, roundtable discussion. As a result, these analyses do not represent an exhaustive analysis of the field as a whole.

Second, the PHPs identified in collaboration with P/Ts are representative of a spectrum of regional needs. Although consensus was achieved in advance of the retreat, we recognize that these priorities do not represent each community, province or territory and these analyses should be considered a rough estimate rather than a precise fit.

Finally, the field of RSV vaccine and monoclonal antibody development is moving at such a fast pace that this report will be out-of-date before it goes to press. However, even as vaccine candidate development is proceeding, the discussion of alignment with PHPs and identification of data gaps will guide the field towards more efficacious and effective vaccines.

## Conclusion

This retreat demonstrated the feasibility and stakeholder appetite for discussing prospective vaccines. This retreat has provided valuable insight into what public health parameters are



important to consider for RSV prevention, what surveillance is currently underway and what questions remain to be addressed.

## Authors' statement

AK — Writing—original draft, project administration, conceptualization

AS — Writing—reviewing & editing, conceptualization, supervision

MWY — Writing—reviewing & editing, conceptualization

MT — Writing—reviewing & editing, conceptualization, supervision

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## Conflict of interest

None.

## Acknowledgements

Captured here is a summary of a discussion and analysis from many participants of the RSV Vaccine Readiness Retreat, including N Crowcroft, G De Serres, N Gnidziejko, J Langley, J LeBlanc, M Naus, J Papenburg, E Rafferty and G Poliquin. We gratefully acknowledge their essential contributions to this analysis. The authors would also like to acknowledge the significant contribution of S Sandhu in informing the RSV surveillance landscape and gap analysis during Retreat preparations and execution.

## Funding

The Public Health Agency of Canada hosted the in-person meeting on site and covered the travel costs of participants.

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## Appendix 1: RSV Vaccine Readiness Retreat participant list

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