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Meta-analysis of 542,934 subjects of European ancestry identifies new genes and mechanisms predisposing to refractive error and myopia

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Competing interests

Data availability

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P.G.H., J.S.R., E.J. and C.J.H. conceived and designed the study. P.T.K., P.J.F., J.S.R. contribute to the collection of data. P.G.H., H.C., A.P.K., R.W., M.S.T., J.Y., K.K.T., P.M.C., V.V., J.A.G and E.J. performed statistical analysis. A.P.K., M.J.S., K.P., K.K.T., AS and J.A.G. performed post-GWAS follow-up analyses. P.G.H., H.C., A.P.K., R.W., J.S.R., E.J., C.J.H. wrote the manuscript with help from O.A.M., P.M.C., R.B.M., V.J.M.V., A.S., R.A.S., N.W., A.W.H., D.A.M., C.C.W.K., S.M., P.T.K., P.J.F. and J.A.G. who helped with the interpretation of the results.

²³andMe is a consumer genomics company.

Summary statistics from the cohorts participating in the meta-analysis can be downloaded from ftp://twinr-ftp.kcl.ac.uk/ Refractive_Error_MetaAnalysis_2020/ and public repositories such as the GWAS Catalogue (https://www.ebi.ac.uk/gwas/downloads/ summary-statistics). These freely downloadable summary statistics are calculated using all cohorts described in this manuscript, except for the 23andMe participants. This is due to a non-negotiable clause in the 23andMe data transfer agreement, intended to protect the privacy of the 23andMe research participants.

To fully recreate our meta-analytic results, all bona fide researchers can obtain the 23andMe summary statistics by emailing 23andMe (dataset-request@23andme.com) and subsequently meta-analyzing them along the freely accessible summary statistics for all the other cohorts.

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Abstract

Refractive errors, in particular myopia, are a leading cause of morbidity and disability world-wide. Genetic investigation can improve understanding of the molecular mechanisms underlying abnormal eye development and impaired vision. We conducted a meta-analysis of genome-wide association studies involving 542,934 European participants and identified 336 novel genetic loci associated with refractive error. Collectively, all associated genetic variants explain 18.4% of heritability and improve the accuracy of myopia prediction (AUC=0.75). Our results suggest that refractive error is genetically heterogeneous, driven by genes participating in the development of every anatomical component of the eye. In addition, our analyses suggest that genetic factors controlling circadian rhythm and pigmentation are also involved in the development of myopia and refractive error. These results may make possible predicting refractive error and the development of personalized myopia prevention strategies in the future.

Reporting summary

Further information on research design is available in the Nature Research Reporting Summary linked to this article.

Introduction

Refractive errors occur when converging light rays from an image do not clearly focus on the retina. They are the seventh most prevalent clinical condition¹ and the second leading cause of disability in the world². The prevalence of refractive error is rapidly increasing, mostly driven by a dramatic rise in the prevalence of one of its forms, myopia (near-sightedness). Although the causes of such a rise over a short time are likely due to environmental and cultural changes from the mid-20th century³, refractive errors are highly heritable⁴. Several studies^{5,6} have previously sought to identify genes controlling molecular mechanisms leading to refractive error and myopia. However, the variance and heritability

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that can be attributed to known genetic factors is modest⁷ and our knowledge of pathogenic mechanisms remains partial. Here, we conduct a meta-analysis combining data from quantitative spherical equivalent and myopia status from large and previously unpublished genome-wide association studies (GWAS) of more than half a million subjects from the UK Biobank, 23andMe and the Genetic Epidemiology Research on Adult Health and Aging (GERA) cohorts, with subsequent replication and meta-analysis with data previously reported from the Consortium for Refractive Error and Myopia (CREAM).

Results

Association Results.

Analyses were restricted to subjects of European ancestry (Extended Data Figure 1) and combined results from quantitative measures of spherical equivalent and categorical myopia status. Spherical equivalent quantifies refractive error; a negative spherical equivalent, below a certain threshold defines myopia. We used results obtained from GWAS of directly measured spherical equivalent in 102,117 population-based UK Biobank participants⁸, and 34,998 subjects participating in the GERA Study⁹ and combined them with results of analyses of self-reported myopia in 106,086 cases and 85,757 controls from the customer base of 23andMe, Inc. (Mountain View, CA), a personal genomics company¹⁰. Additionally, we included results from an analysis on the refractive status inferred using demographic and self-reported information on age at first use of prescription glasses among the UK Biobank participants not contributing to the quantitative GWAS (108,956 likely myopes to 70,941 likely non-myopes, see Online Methods). All analyses were adjusted for age, sex and main principal components. To obtain an overall association with refractive error, we metaanalyzed the results from all studies by using the z-scores from the GWAS of the spherical equivalent and the negative values of z-scores from the case-control studies (23andMe and UK Biobank), since myopia is negatively correlated with spherical equivalent. As expected, the large total sample size of the discovery meta-analysis (N=508,855) led to a nominally large genomic inflation factor (λ =1.94). The LD score regression intercept was (1.17), and the (intercept-1)/(mean(chî2)-1) ratio of 0.097 is fully in line with the expectations of polygenicity¹¹.

We found associations for 438 discrete genomic regions (Figure 1, Supplementary Table 1), defined by markers contiguously associated at conventional level of GWAS significance^{12,13} of $p<5\times10^{-08}$, separated by more than 1 Mbp from other GWAS-associated markers, as recommended elsewhere¹⁴. Among them, 308 loci, including 14 on chromosome X, were not described in previous GWAS studies of refractive error⁷. The observed effect sizes were consistent across all the studies (Supplementary Table 1 and Supplementary Data 1). The association with refractive error was statistically strongest for rs12193446 (p=9.87×10⁻³²⁸), within *LAMA2*, a gene previously associated with refractive error^{5,6}, mutations of which cause muscular dystrophy¹⁵. Consistent with these *LAMA2* properties, polymorphisms located within the genes coding for both major *LAMA2* receptors, *DAG1*¹⁶ (p=1.67×10⁻⁰⁸ for rs111327216) and *ITGA7*¹⁷ (p=8.57×10⁻⁰⁹ for rs17117860) which are also known causes of muscular dystrophy^{18,19}, were significantly associated with refractive error in the discovery meta-analysis.

We compared our discovery meta-analysis findings with GWAS results from 34,079 participants in the CREAM consortium, who were part of a previously reported meta-analysis⁷. To avoid any potential overlap with the UK Biobank participants, only non-UK European CREAM participants were used for replication. Despite the vast power differential, 55 of the SNPs that showed the strongest association in their respective regions in the discovery meta-analysis were significant after Bonferroni correction in the replication sample. A further 142 had a false discovery rate (FDR) < 0.05 and 192 were nominally significant at P < 0.05 (Supplementary Table 2). The effect sizes observed in the discovery and replication samples were strongly correlated (Pearson's r=0.91, Extended Data Figure 2). Meta-analysis of all five cohorts (discovery and replication) expanded the number to 449 associated of regions of variable length and number of SNPs (Extended Data Figure 3), of which 336 regions were novel (Supplementary Table 3).

Most of the 449 refractive error-associated regions contained at least one gene linked to severe ocular manifestations in the Online Mendelian Inheritance In Man (OMIM) resource or other genes with interesting link to eye disease (Supplementary Table 4). Although most loci identified through our meta-analyses were novel, several of them hosted genes that harbor mutations leading to myopia or other refractive error phenotypes (Supplementary Data 2). Several genes significantly associated with refractive error were linked to Mendelian disorders affecting corneal structure, some of which code for transcription factors involved in corneal development²⁰ (Supplementary Table 5). Mutations in these genes cause corneal dystrophies (*SLC4A11*, p= 5.81×10^{-11} for rs41281858, *TCF4*, p= 4.14×10^{-08} , rs41396445; *LCAT*, p=1.26×10⁻¹⁰, rs5923; and *DCN*, p=3.67×10⁻⁰⁹, rs1280632), megalocornea (*LTBP2*, $p=1.91\times10^{-24}$, rs73296215) and keratoconus (*FNDC3B*, $p=1.89\times10^{-14}$, rs199771582, previously described⁷). Eleven refractive error-associated genes were linked to anomalies of the crystalline lens (Supplementary Table 6), including genes linked to autosomal dominant cataracts (PAX6 previously linked to myopia²¹, p=8.31×10⁻¹¹, rs1540320; *PITX3*, p=1.05×10⁻¹⁰, rs7923183; *MAF*, p=5.50×10⁻⁰⁹, rs16951312; *CHMP4B*, p=9.95×10⁻¹¹, rs6087538; *TDRD7*, p=4.79×10⁻⁰⁸, rs13301794) and lens ectopia (*FBN1*, p= 3.30×10^{-24} , rs2017765; *ADAMTSL4*, p= 8.19×10^{-14} , rs12131376). Some of the genes affected several eye components. For example, LTBP2 variants are also associated with congenital glaucoma²², and COL4A3 (rs7569375, $p=1.14\times10^{-08}$) causes Alport syndrome, which manifests with abnormal lens shape (lenticonus) and structural changes in the retina.

Association was also observed within or near 13 genes known to harbor mutations causing microphthalmia (Supplementary Table 7), including *TENM3* (p= 2.48×10^{-11} , rs35446926); *OTX2* (p= 6.15×10^{-11} , rs928109); *VSX2*, (p= 4.60×10^{-10} , rs35797567); *MFRP*, (p= 2.85×10^{-16} , rs10892353) and the previously identified⁶ *TMEM98*, (p= 3.49×10^{-43} , rs62067167). Association was also found for *VSX1* (p= 4.59×10^{-08} for rs6050351), a gene that is closely regulated by VSX2²³ and believed to play important roles in eye development²⁴. Many of the genes nearest associated SNPs have been linked to inherited retinal disease (Supplementary Table 8), including 32 genes linked to cone-rod dystrophies, night blindness and retinitis pigmentosa, and age-related macular degeneration (*HTRA1/ARMS2*). Among genes in novel regions associated with refractive error, *ABCA4* (p= 3.20×10^{-10} for rs11165052), and ARMS2/HTRA1 (p= 5.72×10^{-23} for rs2142308) are

linked to macular disorders and numerous others to retinitis pigmentosa, retinal dystrophy and other retinal diseases, such as *FBN2*, (p= 8.63×10^{-11} , rs6860901), *TRAF3IP1* (p= 5.71×10^{-16} , rs7596847), CWC27 (p= 1.84×10^{-18} , rs1309551). Significant association was found near other genes of interest such as *DRD1* (p= 4.51×10^{-16} , rs13190379), a dopamine receptor. Together, these results are consistent with previous suggestions of light transmission and transduction in refractive error^{7,25}.

Wnt signaling has previously been implicated in experimental myopia²⁶. We found significant association near several *Wnt* protein-coding genes (*WNT7B*, a gene previously associated with axial length²⁷, p= 1.42×10^{-26} for rs73175083; *WNT10A*, previously associated with central corneal thickness²⁸, p= 1.65×10^{-17} for rs121908120 and *WNT3B*, p= 8.52×10^{-16} for rs70600), suggesting that organogenesis through *Wnt* signaling is likely to be involved in refractive error. Significant association were found at genes coding for key canonical (e.g. rs13072632 within the *CTNNB1* gene, p= 7.30×10^{-27} ; *AXIN2*, rs9895291, p= 1.40×10^{-08}) and non-canonical *Wnt* pathway members (*NFATC3*, rs147561310, p= 1.493×10^{-12}) or at genes coding for both (*RHOA*, rs7623687, p= 1.81×10^{-11} or the previously described⁷ *TCF7L2*, rs56299331, p= 9.38×10^{-46} ; Supplementary Table 9).

Similar to previous published analyses²⁵, we found associations for genes involved in sodium, potassium, calcium magnesium and other cation transporters (Supplementary Table 10). The involvement of genes related to glutamatergic synaptic transmission was also notable (Supplementary Table 11). Glutamate is a first synapse transmitter released by photoreceptors towards bipolar cells and is the main excitatory neurotransmitter of the retina, and expression of genes participating in glutamate signaling pathways is significantly altered in myopia models²⁹. These associations support the involvement in refractive error pathogenesis of neurotransmission and neuronal depolarization and hyperpolarization that was also suggested before⁷. Associations with *POU6F2* gene intronic variants (rs2696187, $p=1.11\times10^{-11}$) also suggests involvement of factors related to development of amacrine and ganglion cells³⁰. Other genes at refractive error-associated loci were annotated to infantile epilepsy, microcephaly, severe learning difficulty, or other inborn diseases affecting the central nervous system (CNS) in OMIM (Supplementary Table 12).

Polymorphisms in genes linked to oculocutaneous albinism (OCA) were significantly associated with refractive error (Supplementary Table 13), although typically association was found for SNPs not strongly associated with other pigmentation traits³¹. Strong association with refractive error was found near the *OCA2* gene causing OCA type 2 ($p=1.37\times10^{-15}$, rs79406658), OCA3 (*TYRP1*, $p=1.18\times10^{-11}$, rs62538956), OCA5 (*SLC39A8*, $p=4.03\times10^{-17}$, rs13107325), OCA6 (*C10orf11*, $p=1.73\times10^{-16}$, rs12256171). In addition, significant association was found near genes linked to ocular albinism (OA) on chromosome X (*TBL1X* and *GPR143*³², $p=2.20\times10^{-18}$, rs34437079) and Hermansky-Pudlak Syndrome albinism (*BLOC1S1*, $p=2.4610^{-22}$, for rs80340147; note that this gene forms a conjoint read-through transcript the *BLOC1S1-RDH5* with *RDH5*). Other associated markers were located within genes involved in systemic pigmentation also previously associated with refractive error⁷, such as *RALY*($p=3.14\times10^{-18}$, rs2284388), *TSPAN10* ($p=2.22\times^{-50}$, rs9747347), as well as melanoma (*MCHR2*, $p=2.37\times10^{-15}$ for rs4839756).

Functional properties of the associated markers

Among the significantly associated markers, 367 unique markers were frameshift or missense variants (Supplementary Table 14). Several are non-synonymous, such as the Arg141Leu mutation (rs1048661) within *LOXL1*, a gene that causes pseudoexfoliation syndrome and glaucoma³³ and Ala69Ser (rs10490924) in *ARMS2*, associated with increased susceptibility to age-related macular degeneration³⁴. Other associated variants with predicted deleterious consequences were located in several genes, such as RGR (p= $6.89 \times 10-68$, rs1042454), a gene previously associated with refractive error^{7,10} and also retinitis pigmentosa³⁵, and within the *FBN1* gene, near clusters of mutations that cause Marfan Syndrome and anterior segment dysgenesis³⁶.

Because the functional link between other associated variants and development of refractive error phenotypes is less obvious, we next performed gene-set enrichment analyses to identify properties that are significantly shared by genes identified by the meta-analysis. An enrichment analysis of Gene Ontology processes (Supplementary Table 15) found enrichment for genes participating in RNA Polymerase II transcription regulation $(p=1\times10^{-06})$ and nucleic acid binding transcription factor activity $(p=1.10\times10^{-06})$, suggesting that many of the genetic associations we identified interfere with gene expression. "Eye development" $(p=6.10\times10^{-06})$ and "Circadian regulation of gene expression" $(p=1.10\times10^{-04})$ were also significantly enriched.

A transcription factor binding site (TFBS) enrichment analysis identified significant (FDR < 0.05) over-representation of sites targeted by *GATA4*, *EP300*, *RREB1*, for which association was observed in the meta-analyses (Supplementary Table 16). Binding sites of transcription factors involved in eye morphogenesis and development such as *MAF* (whose mutations cause autosomal cataract), *FOXC1* and *PITX2* (anterior segment dysgenesis) or *CRX* (conerod dystrophy) were also enriched. *CRX* and *PAX4*, binding sites were also significantly enriched; these transcription factors are two of the regulators of circadian rhythm and melatonin synthesis³⁷ alongside *OTX2*, for which SNP significant association was observed in our refractive error meta-analysis (p= 6.15×10^{-11} for rs928109). All of these enriched gene-sets are observed for the first time in a GWAS analysis, although the presence of some of the mechanisms that relate them to refractive error and myopia were hypothesized before³⁸.

Many of the variants associated with refractive error in our analyses were located within or near genes that are expressed in numerous body tissues (Extended Data Figure 4), and in particular from the nervous system, consistent with our evidence of extraocular, central nervous system involvement in refractive error. Within the eye, these genes were particularly strongly expressed in eye tissues such as cornea, ciliary body, trabecular meshwork³⁹ and retina⁴⁰ (Extended Data Figure 5, Supplementary Table 17). A stratified LD score regression applied to specifically expressed genes (LDSC-SEG)⁴¹ revealed the results of the GWAS are most strongly correlated with genes expressed in the retina and basal ganglia in the central nervous system but these correlations are not significant after multiple testing correction (Extended Data Figure 6 and Supplementary Table 18). It is possible that the strength of these correlations was constrained by the fact that in most cases, available expression levels

A Summary data-based Mendelian Randomization (SMR) analysis⁴² integrating GWAS with eQTL data from peripheral blood⁴³ and brain tissues⁴⁴ found concomitant association with refractive error and eQTL transcriptional regulation effects for 159 and 97 genes respectively (Supplementary Tables 19 and 20). A similar analysis integrating GWAS summary data with methylation data from brain tissues found association with both refractive error and changes in methylation for 134 genes (Supplementary Table 21).

Genetic effects shared between refractive error and other conditions

in younger ages.

Examining the GWAS Catalog⁴⁵, some of the genetic variants reported here were previously associated with refractive error, and with other traits, in particular intraocular pressure, intelligence and education; the latter two are known myopia risk factors (Supplementary Table 22). We used LD score regression to assess the correlation of genetic effects between refractive error and other phenotypes from GWAS summary statistics (Supplementary Table 23). refractive error genetic risk was significantly correlated with intelligence, both in childhood⁴⁶ (r_g=-0.27, p=4.76×10⁻⁰⁹) and adulthood (fluid intelligence score r_g=-0.25, p=1.56×10⁻³⁹), educational attainment (defined as the number of years spent in formal education, r_g=-0.24, p=3.36×10⁻⁵⁴), self-reported cataract (r_g=-0.31, p=4.70×10⁻¹⁰) and intraocular pressure (IOP, r_g=-0.14, p=1.04×10⁻¹²).

Higher educational attainment appears to cause myopia as demonstrated by Mendelian randomization (MR) studies⁴⁷. A gene by environment interaction GWAS for spherical equivalent and educational attainment (using age at completion of formal full-time education as a proxy) was conducted in 66,242 UK Biobank participants. Despite the relatively well-powered sample, only one locus yielded evidence of statistically significant interaction (rs536015141 within *TRPM1*, p= 2.35×10^{-09} , Supplementary Table 24), suggesting that the true relationship between refractive error and education is compounded by several factors and may not be linear in nature, as suggested recently⁴⁸. TRPM1 is localized in rod ON bipolar cell dendrites, and rare mutations cause congenital stationary night blindness⁴⁹, often associated with high myopia.

To further explore the nature of the relationship between refractive error and IOP, we built MR models using genetic effects previously reported for IOP⁵⁰. On average, every 1 mmHg increase in IOP predicts a 0.05–0.09 diopters decrease in spherical equivalent (Supplementary Table 25, Extended Data Figure 7). We also built a MR model to assess the relationship between intelligence and spherical equivalent, but statistical evidence in this case points towards genetic pleiotropy rather than causation (Supplementary Table 26). This suggests that both myopia and intelligence are often influenced by the same factors, but without direct causal path linking one to the other. We found no significant genetic correlations between refractive error and the glaucoma endophenotype vertical cup to disc ratio (r_g =–0.01, p=0.45), or hair pigmentation (r_g =–0.03, p=0.35). Therefore, refractive error and pigmentation may have different allelic profiles with limited sharing of genetic risk.

Conditional analysis and risk prediction

We subsequently carried out a conditional analysis⁵¹ on the meta-analysis summary results and found a total of 904 independent SNPs significantly associated with refractive error. 890 of these markers were available in the EPIC-Norfolk Study, an independent cohort that did not participate in the refractive error meta-analysis (Extended Data Figure 8). These markers alone explained 12.1% of the overall spherical equivalent phenotypic variance in a regression model or 18.4% (SE=0.04) of the spherical equivalent heritability. Newly associated markers found in our meta-analysis, but not in the previous large GWAS⁷, explain 4.6% (SE=0.01) of the spherical equivalent phenotypic variance in EPIC-Norfolk Study, which is an improvement of one third compared to heritability explained by previously associated markers⁷.

Predictive models, based on the above-mentioned 890 SNPs, along with age and sex, were predictive of myopia (versus all non-myopia controls) with areas under the receiving operating characteristic curve (AUC) of 0.67, 0.74 and 0.75 (Figure 2), depending on the severity cutoff for myopia (-0.75D, -3.00D and -5.00D respectively). The performance of the predictions appears not to improve for myopia definitions of -3.00D or worse, suggesting that the information extracted from our meta-analysis is more representative of the genetic risk for common myopia seen in the general population, than for more severe forms of myopia, which may have a distinctive genetic architecture.

Further exploration of refractive error genetic architecture

Using information from over half a million population-based participants SNPs identified in these analyses still only explain 18.4% of the spherical equivalent heritability. We next assessed how many common SNPs are likely to explain the entire heritable component of refractive error, and what sample sizes are likely to be needed in the future to identify them, using the likelihood-based approach described elswhere⁵². We estimate that approximately 13,808 (SE=969) polymorphic variants are likely to be behind the full refractive error heritability. Similar to other quantitative phenotypic traits that are previously published⁵², our analyses estimate that 10.3% (SE=1.0%) of the phenotypic variance is likely explained by a batch of approximately 543 (SE=81) common genetic variants of relatively large effect size and a further 20.8% (SE=0.9%) of the entire phenotypic variance explained by the remainder. With increased sample sizes, we project that the proportion of variance explained will continue to improve fast but will start plateauing for sample sizes above one million, after which further increases in sample size will likely yield ever diminishing additional phenotypic variance (Extended Data Figure 9).

Discussion

Our results provide evidence for at least two major sets of mechanisms in the pathogenesis of refractive error. The first affect intraocular pressure, eye structure, ocular development and physiology, and the second are CNS-related, including circadian rhythm control. Contributors to refractive error include all anatomical factors that alter refractive power relative to eye size, light transmittance, photoconductance and higher cerebral functions.

The findings implicate almost every single anatomical components of the eye, which along with the central nervous system participate in the development of refractive error. The healthy cornea contributes to 70% of the optical refractive power of the eyes⁵³ and genes involved in corneal structure, topography and function may directly contribute to refractive error through direct changes in the corneal refraction. Our results show that several genes involved in lens development also contribute to refractive error in the general population. It is unclear if their contribution is mediated through alterations in biomechanical properties that affect eyes' ability to accommodate, changes to the lens refractive index, or alterations in light transmission properties that impair the ability to focus images on the retina.

Many retinal genes are implicated in the development of refractive error, reflecting the role of light in mediating eye growth and the importance of the retina's role in light transduction and processing⁷. Associations with refractive error at genes coding for gated ion channels and glutamate receptors point to the photoreceptor-bipolar cell interface as a potentially key factor in refractive error. Rare mutations in several of our associated genes cause night blindness, implicating the rod system in the pathophysiology of refractive error, but many also affect cone pathways. The *TRPM1* gene, important for rod ON bipolar cell polarity⁵⁴, is also implicated in the gene-education interaction analysis. Associations observed for the *VSX1* and VSX2, its negative regulator, genes implicate the cone bipolar cells⁵⁵.

The association with genes involved in pigmentation, including most of the OCA-causing genes, raises questions about the relationship between melanin, pigmentation and eye growth and development. These associations are unlikely to be influenced by any cryptic population structure in our samples, which our analyses were designed to control. None of the major pigmentation-associated SNPs³¹ was directly associated with refractive error and there was no significant correlation of genetic effects between refractive error and pigmentation.

The mechanisms linking pigmentation with refractive error are unclear. Foveal hypoplasia⁵⁶ and optic disc⁵⁷ dysplasias are common in all forms of albinism⁵⁸. Although melanin synthesis is disrupted in albinism, both melanin and dopamine are synthesized through shared metabolic pathways. Disc and chiasmal lesions in albinism are often attributed to dopamine⁵⁹, but we found limited evidence supporting an association with refractive error for genetic variants involved in dopamine-only pathways contrasts with the abundance of association for genes involved in pigmentation and melanin synthesis. This may suggest that melanin metabolism is connected to refractive error through other mechanisms that are independent from the metabolic pathways it shares with dopamine production. Melanin reaches the highest concentrations in the retinal pigment epithelium at the outmost layer of the retina, and anteriorly, in the iris and variations in pigmentation may affect the intensity of the light reaching the retina. Light exposure is a major protective factor for development of myopia^{60,61} It is possible that pigmentation plays a role in light signal transmission and transduction.

Animal model experiments suggest that in addition to local ocular mechanisms, emmetropization (the process by which the eye develops to minimize refractive error) is

strongly influenced by the CNS⁶². The strong correlation of genetic risks between refractive error and intelligence and association found for genes linked to severe learning disability support an involvement of the CNS in emmetropization and refractive error pathogenesis.

Results from gene-set enrichment analysis demonstrate an interesting evolution with increasing sample sizes. While smaller previous studies were sufficiently powered to discover enrichment of low, cell-level properties, such as cation channel activity and participation in the synaptic space structures²⁵, significantly more powered recent studies have found additional evidence for enrichment and involvement of more integrated physiological functions, such as light signal processing in retinal cells and others⁷. Beyond the identification of a much larger number of genes and explaining significantly higher proportions of heritability, our results, based in a considerably more statistically powered sample, uphold the previous findings and support the involvement of the same molecular and physiological mechanisms that were previously described.

In line with expectations from a higher power of association to discover genes and gene sets individually responsible for even smaller proportions of the refractive error variance⁶³, we find evidence for even higher regulatory mechanisms, that act more holistically over the eye development or integrate eye growth and homeostasis with other processes of extraocular nature. For example, we found evidence that binding sites of transcription factors involved in the control of circadian rhythm are significantly enriched among genes associated with refractive error. Circadian rhythm is important in emmetropization and its disruption leads to myopia in animal knock-out models³⁸, potentially through dopamine-mediated mechanisms, or changes in IOP and diurnal variations.

Most of the loci identified through our meta-analysis are not subject to particularly strong and systematic evolutionary pressures (Extended Data Figure 10). The variability in minor allele frequencies observed across loci associated with refractive error may therefore be the result of genetic drift. However, given the variety of the different visual components whose disruptions can result in refractive error, this variability may also be the result of overall balancing forces which encourage high allelic diversity of genes involved in refractive error, providing additional buffering capacity to absorb environmental pressures⁴⁸ or genetic disruptions on any of the individual components of the visual system.

Our results cast light on potential mechanisms that contribute to refractive error in the general population and have identified the genetic factors that explain a considerable proportion of the heritability and phenotypic variability of refractive error. This allows us to improve significantly our ability to make predictions of myopia risk and generate novel hypotheses on how multiple aspects of visual processing affect emmetropization, which may pave the way to personalized risk management and treatment of refractive error in the population in the future.

Online Methods

Study Participants

The UK Biobank—The UK Biobank is a multisite cohort study of UK residents aged 40 to 69 years who were registered with the National Health Service (NHS) and living up to 25 miles from a study center. Detailed study protocols are available online (http:// www.ukbiobank.ac.uk/resources/ and http://biobank.ctsu.ox.ac.uk/crystal/docs.cgi). It was conducted with the approval of the North-West Research Ethics Committee (ref 06/ MRE08/65), in accordance with the principles of the Declaration of Helsinki, and all participants gave written informed consent.

Two separate groups of UK Biobank participants were included in these analyses. The first included participants whose refractive error was directly measured (non-cycloplegic autorefraction using the Tomey RC 5000 Auto Refkeratometer, Tomey Corp., Nagoya, Japan). Direct measurements of refractive errors were available for 22.7% of the UK Biobank sample. To ensure reliable and accurate refractive error data, previously published QC criteria were applied⁶⁴. The spherical equivalent was calculated as spherical refractive error (UK Biobank codes 5084 and 5085) plus half the cylindrical error (UK Biobank 5086 and 5087) for each eye.

The second UK Biobank group included participants without direct measurement of refractive error. These participants refractive error status was inferred using questionnaire and other indirect data. Available demographic and clinical information were used to obtain an estimate about the individual's likely myopia status. A Support Vector Machine (SVM) model, with age, sex, age of first spectacle wear and year of birth as prediction parameters was used to infer participants' myopia status. Initial training took place in 80% randomly selected UK Biobank participants of European descent for whom direct spherical equivalent and refractive error status were available. Then the performance was assessed in the remaining 20% of UK Biobank participants of European descent for whom direct spherical equivalent and refractive error status were available. Finally, the SVM predictions in the remaining individuals with no direct spherical error measurements available using the model developed for the training data.

All UK Biobank genotypes were obtained as described elsewhere⁶⁵. The UK Biobank team then performed imputation from a combined Haplotype Reference Consortium (HRC) and UK10K reference panel. Phasing on the autosomes was carried out using a modified version of the SHAPEIT2⁶⁶ program modified to allow for very large sample sizes. Only HRCimputed variants were used for the purpose our analyses of the UK Biobank participants. The variant-level quality control exclusion metrics applied to imputed data for GWAS included the following: call rate < 95%, Hardy–Weinberg equilibrium $P < 1 \times 10^{-6}$, posterior call probability < 0.9, imputation quality < 0.4, and MAF < 0.005. The Y chromosome and mitochondrial genetic data were excluded from this analysis. In total, 10,263,360 imputed DNA sequence variants were included in our analysis. Non-European ancestry and participants with relatedness corresponding to third-degree relatives or closer, samples with excess of missing genotype calls or heterozygosity were excluded. In total, genotypes were available for 102,117 participants of European ancestry with spherical equivalent data.

Association models in the first UK Biobank subset used the average of spherical equivalent as the outcome and allele dosages at each genetic locus as predictors. Mixed linear regressions, adjusting for age, sex and the first 10 principal components, implemented in the Bolt-LMM software⁶⁷ were used.

For the second UK Biobank subset, for which no direct spherical equivalent measurement was available, the mixed linear model was built with the predicted myopia status as outcome and using the same covariates as for the previously described linear regression analysis on spherical equivalent. Odds Ratios were obtained from the beta regression coefficient using the equation:

$$\ln\!\left(OR\right) = \frac{\beta}{\mu(1-\mu)}$$

where μ is the fraction of the cases in the sample (μ =0.606). Genotypes with MAF <0.01 and MAC< 400 were removed from analyses in this group.

23andMe—Participating subjects were all volunteers from the 23andMe (Mountain View, CA, USA) personal genomics company customer base. All participants provided informed consent and answered surveys online according to the approved 23andMe human subjects protocol, which was reviewed and approved by Ethical & Independent Review Services, a private institutional review board (http://www.eandireview.com). The participants were identified as myopia cases if they self-reported a diagnosis of myopia or suffering from symptoms of myopia (see Supplementary Notes for more detail).

DNA extraction and genotyping were performed on saliva samples by CLIA-certified and CAP-accredited clinical laboratories of Laboratory Corporation of America. Samples were genotyped on one of four genotyping platforms and batches (Illumina HumanHap550, BeadChip, SNPs, Illumina OmniExpress, plus a variable number of custom SNP assays). Only samples with more than 98.5% genotyping success rate were included. Ethnic categorization was conducted using a support vector machine (SVM) which classified individual haplotypes into one of the 31 reference populations derived from public datasets (the Human Genome Diversity Project, HapMap, and 1000 Genomes), as well as 23andMe customers who have reported having four grandparents from the same country. Genotypes were imputed against the September 2013 release of 1000 Genomes Phase1 reference haplotypes using a Beagle haplotype graph-based phasing algorithm for the autosomal and Minimac2⁶⁸ for X Chromosome loci.

Association test results were computed by linear regression assuming additive allelic effects using imputed allele dosages. Covariates for age, gender, the first ten principal components to account for residual population structure were also included into the model.

The Genetic Epidemiology Research in Adult Health and Aging (GERA) cohort

—GERA is part of the Kaiser Permanente Research Program on Genes, Environment, and Health (RPGEH) and has been described in detail elsewhere⁶⁹. It comprises adult men and women consenting members of Kaiser Permanente Northern California (KPNC), an

integrated health care delivery system, with ongoing longitudinal records from vision examinations. For this analysis, 34,998 adults (25 years and older), who self-reported as non-Hispanic white, and who had at least one assessment of spherical equivalent obtained between 2008 and 2014 were included. All study procedures were approved by the Institutional Review Board of the Kaiser Foundation Research Institute. Participants underwent vision examinations, and most subjects had multiple measures for both eyes. Spherical equivalent was assessed as the sphere + cylinder/2. The spherical equivalent was selected from the first documented assessment, and the mean of both eyes was used. Individuals with histories of cataract surgery (in either eye), refractive surgery, keratitis, or corneal diseases were excluded from further analyses.

DNA samples from GERA individuals were extracted from Oragene kits (DNA Genotek Inc., Ottawa, ON, Canada) at KPNC and genotyped at the Genomics Core Facility of the University of California, San Francisco (UCSF). DNA samples were genotyped using the Affymetrix Axiom arrays (Affymetrix, Santa Clara, CA, USA). SNPs with initial genotyping call rate 97%, allele frequency difference 0.15 between males and females for autosomal markers, and genotype concordance rate >0.75 across duplicate samples were included. In addition, SNPs with genotype call rates <90% were removed, as well as SNPs with a minor allele frequency (MAF) < 1%.

Imputation pre-phasing of genotypes was done using Shape-IT v2.r72719⁶⁶, variants were imputed from the cosmopolitan 1000 Genomes Project reference panel (phase I integrated release; http://1000genomes.org) using IMPUTE2 v2.3.0⁷⁰. Variants with an imputation IMPUTE $r^2 < 0.3$ were excluded, and analyses were restricted to SNPs that had a minor allele count (MAC) 20.

For each SNP locus, linear regressions of each individual's spherical equivalent were performed with the following covariates: age at first documented spherical equivalent assessment, sex, and genetic principal components using PLINK v1.9 (www.cog-genomics.org/plink/1.9/). Data from each SNP were modeled using additive dosages to account for the uncertainty of imputation. The top 10 ancestry PCs were included as covariates, as well as the percentage of Ashkenazi ancestry to adjust for genetic ancestry, as described previously⁶⁹.

The Consortium for Refractive Error And Myopia (CREAM)—All participants selected for this study were of European descent, 25 years of age or older. refractive error was represented by measurements of refraction and spherical equivalent (Spherical equivalent = spherical refractive error $\pm 1/2$ cylinder refractive error) was the outcome variable for CREAM. Participants with conditions that could alter refraction, such as cataract surgery, laser refractive procedures, retinal detachment surgery, keratoconus, or ocular or systemic syndromes were excluded from the analyses. Recruitment and ascertainment strategies varied by study and were previously published elsewhere⁷¹.

The genotyping process has been described elsewhere⁷¹. Samples were genotyped on different platforms, and study-specific QC measures of the genotyped variants were implemented before association analysis. Genotypes were imputed with the appropriate

ancestry-matched reference panel for all cohorts from the 1000 Genomes Project (Phase I version 3, March 2012 release). Quality control criteria used for SNP and sample inclusions These metrics were similar to those described in a previous GWAS analyses and detailed information for each cohort is described elsewhere⁷¹.

To avert sample overlap, cohorts from the United Kingdom (1985BBC, ALSPAC-Mothers, EPIC-Norfolk, ORCADES and Twins UK) were excluded from the GWAS meta-analysis. Association analyses were performed as described elsewhere⁷¹For each individual cohort, a single-marker analysis for the phenotype of SphE (in diopters) was carried out with linear regression with adjustment for age, sex and up to the first five principal components. For all non-family-based cohorts, one of each pair of relatives was removed. In family-based cohorts, mixed model-based tests of association were used to adjust for within-family relatedness.

The European Prospective Investigation into Cancer (EPIC) Study—The EPIC-EPIC is one of the UK arms of a broad pan-European prospective cohort study designed to investigate the etiology of major chronic diseases⁷². This study was conducted following the principles of the Declaration of Helsinki and the Research Governance Framework for Health and Social Care. The study was approved by the Norfolk Local Research Ethics Committee (05/Q0101/191) and East Norfolk & Waveney NHS Research Governance Committee (2005EC07L). All participants gave written, informed consent. Refractive error was measured in both eyes using a Humphrey Auto-Refractor 500 (Humphrey Instruments, San Leandro, California, USA). Spherical equivalent was calculated as spherical refractive error plus half the cylindrical error for each eye.

The EPIC-Norfolk participants were genotyped using the Affymetrix UK Biobank Axiom Array (the same array as used in UK Biobank); 7,117 contributed to the current study. SNP exclusion criteria included: call rate < 95%, abnormal cluster pattern on visual inspection, plate batch effect evident by significant variation in minor allele frequency, and/or Hardy-Weinberg equilibrium $P < 10^{-7}$. Sample exclusion criteria included: DishQC < 0.82 (poor fluorescence signal contrast), sex discordance, sample call rate < 97%, heterozygosity outliers (calculated separately for SNPs with minor allele frequency >1% and <1%), rare allele count outlier, and impossible identity-by-descent values. Individuals with relatedness corresponding to third-degree relatives or closer across all genotyped participants were also removed from further analyses. Following these steps all participants were of European descent. Data were pre-phased using SHAPEIT⁶⁶ version 2 and imputed to the Phase 3 build of the 1000 Genomes project⁷⁴ (October 2014) using IMPUTE⁷⁰ version 2.3.2.

The relationship between allele dosage and mean spherical equivalent was analyzed using linear regression adjusted for age, sex and the first 5 principal components. Analyses were carried out using SNPTEST version 2.5.1.

Statistical analyses

We conducted two meta-analyses. For the initial meta-analysis (discovery), we used summary statistic results from the UK Biobank 1st and 2nd subset, the GERA and 23andMe Studies.

For the final meta-analysis, we used all available information (UK Biobank 1 and 2, the GERA, 23andMe and CREAM Consortium).

For all meta-analyses we applied a Z-score method, weighted by the effective population sample size, as implemented in METAL⁷⁵. No genomic control adjustment was applied during the meta-analysis.

The effective population size was calculated per each locus and as was equal to the total sample size if a linear regression or linear mixed model were used. For case-control studies the effective population was calculated as:

 $N.eff = 2/(\frac{1}{N.cases} + \frac{1}{N.controls})$

as recommended before⁷⁶, where N.eff is the effective sample size, N.cases is the number of cases considered to have myopia and N.controls is the number of subjects considered not to have myopia. Following this method, we calculated that for the full-sample analysis of 542,934 subjects, due to the presence of two case-control cohort, our effective sample sizes was 379,227.

Only SNPs with minor allele frequency of at least 1%, which were available from at least 70% of the maximum number of participants across all studies and that were not missing in more than one strata (cohorts), were considered further.

Conditional analyses were conducted using the conditional and joint analysis on summary data (COJO) as implemented in the GCTA program⁷⁷ to identify independent effects within associated loci as well as the calculation of the phenotypic variance explained⁷⁸ by all polymorphisms associated with the trait after the conditional analyses. The threshold of significance was set at 5×10^{-08} and the collinearity threshold was set at $r^2=0.9$.

Genomic inflation was assessed using the package 'gap' in R (https://cran.r-project.org/) and to distinguish between the effect of polygenicity and those arising from sample stratification or uncontrolled population admixture, the LD score regression intercepts were calculated using the program LD Score (https://github.com/bulik/ldsc).

Bivariate genetic correlations between refractive error and other complex traits whose summary statistics are publicly available were assessed following previously described methodologies⁷⁹, using the program LD Score (https://github.com/bulik/ldsc).

To assess the potential value of the loci associated with refractive error to predict myopia, regression-based models were trained and tested separately in two separate groups. The training set comprises the European UK Biobank participants for whom the spherical equivalent measurements were available. The models were tested in the EPIC-Norfolk cohort, which was not part of any of the analyses through which the genetic associations were identified.

The model in included age, sex, and the major genetic variants associated with refractive error after the conditional analysis. Three different definitions of myopia were used based on

sliding spherical equivalent thresholds: $M_1 -0.75D$, $M_2 -3.00$ D and $M_3 -5.00D$. These three different definitions of myopia were chosen to correspond to the generally accepted definitions of "any myopia", "moderate myopia" and "high myopia". For the latter, we opted for the -5.00D, because definitions based on the more stringent threshold of -6.00D would have not allowed for a sufficient number of cases in the testing set. For the purpose of these analyses, a "control" was any subject who did not have myopia, defined by a mean spherical equivalent -0.5D.

A Receiver Operating Characteristic (ROC) curve was drawn for each case and the Area Under the Curve (AUC) was calculated. R programming language and software environment for statistical computing (https://cran.r-project.org/) was used for both the logistic regression models ('glm') and to evaluate the performance of the model ('ROCR').

Polymorphisms associated at a GWAS level ($P < 5 \times 10^{-08}$) were clustered within an "associated genomic region", defined as a contiguous genomic region where GWASsignificant markers were within 1 million base pairs from each other. Significant polymorphisms were annotated with the gene inside whose transcript-coding region they are located, or alternatively, if located between two genes, with the gene nearest to it. The associated genomic regions were collectively annotated with the gene overlapping, or nearest the most significantly associated variant within that region.

The known relationships between identified genetic loci and other phenotypic traits were derived from two datasets: the Online Mendelian Inheritance In Man (OMIM, https://omim.org), which is a continuously curated catalog of human genes and phenotypic changes their polymorphic forms cause in humans and the GWAS Catalog⁸⁰ which is a curated catalog of previous GWAS association of SNPs or genes with other phenotypic traits.

The R (https://cran.r-project.org) package MendelianRandomization v3.4.4 was used for Mendelian randomization analyses.

Disease-relevant tissues and cell types were identified by analyzing gene expression data together with summary statistics from the meta-analysis of refractive error in all five cohorts, as described elsewhere⁸¹. Expression data was obtained from the following sources: 1) the GTEx release v7 (https://gtexportal.org/home/datasets) 2) Fetal and adult corneal, trabecular meshwork and ciliary body RNA sequencing data previously described ⁸² and 3) data from the subset of subjects with presumed healthy adult retinas (AMD=1) from datasets described elsewhere⁸³.

As the transcription data were heterogeneous and in different units, expression levels for all tissues were rank-transformed. Hierarchical clustering was used to help visualize similarities and differences of patterns of transcript expression across different tissues ('hclust' package in R).

SMR (Summary data–based Mendelian randomization) uses GWAS variants as instrumental variables and gene expression levels or methylation levels as mediating traits, in order to test whether the causal effect of a specific variant on the phenotype-of-interest acts via a specific gene⁸⁴. The SMR tests were performed used three different: the summary statistics of eQTL

associations in the untransformed peripheral blood samples of 5,311 subjects⁸⁵, as well as eQTL effects and *cis*- methylation analysis (*cis*-mQTL), both in brain tissues⁸⁶.

The Gene-Set Enrichment Analysis (GSEA) was implemented in the MAGENTA software⁸⁷. We used the versions from September 2017.

Results of three statistical tests for natural selection were imported from the 1000 Genomes Selection Browser⁸⁸.

Extended Data



Extended Data Fig. 1:

Principal components plots of the subjects in the main participating cohorts. a) UK Biobank (including the 102,117 subjects with direct refraction measurement and the imputed 108,956 likely myopes to 70,941 likely non-myopes, for a total of 179,897 subjects), B) Genetic Epidemiology Research on Adult Health and Aging (GERA, N=34,998), C) 23andMe (106,086 cases and 85,757 controls, or 191,843 subjects in total).



Extended Data Fig. 2:

Correlation of effect sizes between the discovery cohort meta-analysis. Effect sizes are from two analyses, discovery (UK Biobank analysis on spherical equivalent + GERA, spherical equivalent + 23andMe, self-reported myopia cases and controls + UK Biobank inferred myopia cases and controls, for a total of N=508,855 subjects) and the replication from the non-British CREAM Consortium participants (N=34,079), used as replication. The z-scores for the discovery are on the y-axis and those from the CREAM cohort in the x-axis.

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Extended Data Fig. 3:

Distribution of the base-pair length (red) of the 449 regions associated in the meta-analysis of all available cohorts (from Supplementary Table 3), alongside the distribution of number of SNPs (blue) for each region. Numbers in each of the axes in the figure are differentially colored to match the density curve they correspond to: red for the length of the region and blue for the number of SNPs.



Extended Data Fig. 4:

Expression of genes located in the associated loci (from Supplementary Table 3) along the xaxis, across several human body tissues (y-axis). The colors represent the centile ranking of the expression level of the gene in the tissue of interest. The hotter colors represent higher ranking of the gene expression and the colder colors low expression. Both genes and tissues are clustered in accordance with their pattern similarity. The symbol of all the genes could not be visualized and therefore are removed for the sake of clarity. Eye tissues, whether fetal or adult, appear to have similar patterns of gene expressions (clustered together at the bottom of the figure). Genes that are highly expressed in eye tissues fall in three clusters, shown with a black box. These clusters are displayed in more detail in Figure 4A, B and C.

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Extended Data Fig. 5:

Genes from the regions associated with RE (from Supplementary Table 3) that are particularly expressed in eye tissues, compared to non-ocular tissues. These clusters are those highlighted in Supplementary Figure 3, but for the sake of clarity they are shown in transposed orientation compared to the previous figure (here genes in the y-axis and eye tissues in the x-axis), but same color codes as before. The dendrograms represent the degree of similarity observed for both tissues and gene expressions. The clusters are given in the order in which they were clustered together, from left to right: A) genes that are expressed more in other ocular tissues (fetal and adult) but much less in the adult retina. B) genes that are highly expressed in the retina and other ocular tissues, and C) genes that are expressed in the retina, but less in the other ocular tissues tested.

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Extended Data Fig. 6:

Results of the LD score regression analysis applied to specifically expressed genes (LDSC-SEG) on multiple tissue for the meta-analysis results. Each point represents one tissue or cell line (along the x-axis) and the log10 value of the p-value for the enrichment of the meta-analysis results among genes expressed in these tissues. There were 205 tests carried out, one in each tissue and cell line, therefore only tissues with a correlation p-value< 0.00025 (Log_P> 3.6 in this figure), would have been significant after multiple testing. This condition was not fulfilled for any of the available tissues.

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Extended Data Fig. 7:

Mendelian randomization results on causality of IOP over refractive error. Single points in the graph represent coordinates determined by the effect of each specific SNP over IOP (x-axis, mmHg) and spherical equivalent (y-axis, Diopter units). A total of 73 SNPs associated with IOP, but not directly associated with refractive error (i.e. p > 0.05) were selected as instruments. Values of associations with IOP were obtained from a meta-analysis of 139,555 European participants (Reference 50 in the manuscript) and the refractive error associations from 102,117 UK Biobank subjects. The lines represent the regression lines from each

model, as specified in the figure legend. In some cases, these lines may not visible because they overlap (please refer to the values underneath the figure).





Extended Data Fig. 8:

Venn's Diagram of the number of SNPs considered in each of the stages of this study. The different circles represent various stages, inclusion in the meta-analysis (blue), identification of significant loci (green), conditional analysis results identifying independent effects (red) and the total number of SNPs available for inclusion in prediction and heritability estimation in the independent (i.e. not part of the original meta-analysis) EPIC-Norfolk cohort (orange).



Extended Data Fig. 9:

Prediction for the total number of SNPs and phenotypic variance explained as a function of GWAS sample size in future studies, based on the distribution of effects observed in the current meta-analysis. The plot lines show the predicted relationship between the number of loci associated with refractive error (left vertical axis, blue line) and the variance they help explain (red line, right vertical axis), as a function of the sample size (x-axis) used in future GWAS or meta-analyses. These projections are consistent with the observed results, where an effective sample of 379,227 identified 904 independent signals after a conditional analysis, explaining 12–16% of refractive error variability.



Extended Data Fig. 10:

The distribution of various natural selection test scores for SNPs associated with refractive error. The values on the x-axis represent the ranking in terms of natural selection observed and the y-axis the density of that rank. The different tests shown are iHS, XP-EHH (CEU vs YRI), XP-EHH average score, XP-EHH maximum score and Tajima scores (black, green, red, blue and yellow respectively).

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.

All GWAS-associated regions from the main meta-analysis. Each band is a true scale of genomic regions associated with refractive error listed in Supplementary Table 1 (+250kbp on each side to make smaller regions more visible). The different color codes represent the significance (p-value) for the genetic variant within that region that displays the strongest evidence for association.

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False positive rate

Figure 2.

Receiver Operating Characteristic (ROC) curves for myopia predictions, using information from 890 SNP markers identified in the meta-analysis. The three different colors represent three different curves for each of the different definition of myopia: green – all myopia (< -0.75D), magenta – moderate myopia (< -3.00 D) and brown - severe myopia (defined as < -5.00 D).