

# Functionality testing of stem cell grafts to predict infectious complications after allogeneic hematopoietic stem cell transplantation

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## Vox Sanguinis

**Background and Objectives** Allogeneic hematopoietic stem cell transplantation (HSCT) is a routine clinical procedure performed to treat patients with haematological malignancies, primary immune deficiencies or metabolic disorders. Infections during lymphopenia after allogeneic HSCT are associated with high mortality and morbidity. Typical infectious agents are Epstein–Barr virus, cytomegalovirus, herpes simplex virus, varicella-zoster virus and fungi. The study aim was to evaluate whether measurement of the responses of antigen-specific T-cells, recognizing infectious pathogens would correlate to protective functions in the stem cell recipient post-transplant.

**Materials and Methods** Twenty-one grafts were analysed by flow cytometry and cells were stimulated *in vitro* with relevant infectious antigens, followed by evaluation of T-cell proliferation and cytokine production. Results were compared to the recipients' clinical records 1-year post-transplantation.

**Results** We show that an extensive repertoire of transferred antigen-specific T-cells from allogeneic donor grafts against infectious agents, involved in post-transplant infections, are linked to an absence of infectious complications for the recipient up-to 1-year post-transplant. The protective effect was associated with antigen-specific T-cell proliferation and IL-1 $\beta$  secretion.

**Conclusion** Our results suggest that assaying T-cell function before HSCT could determine individual risks for infectious complications and thus aid in clinical decision-making regarding prophylactic and pre-emptive anti-infective therapy.

**Key words:** allogeneic hematopoietic stem cell transplantation, FASCIA, infectious complications, stem cell products, transferred immunity.

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## Introduction

Allogeneic hematopoietic stem cell transplantation (HSCT) is a routine clinical procedure performed on more than 20 000 patients annually, mostly to treat patients with haematological malignancies but also primary immune or

metabolic deficiencies [1]. The source of stem cells can be bone marrow (BM), umbilical cord blood or peripheral blood stem cells (PBSC) [2]. In order to prevent rejection of the new graft and to reduce the number of malignant cells, patients are conditioned before transplantation with either myeloablative, containing high dose cytotoxic drugs with or without whole body irradiation or reduced intensity conditioning (RIC) [3]. Stem cell products used for allogeneic HSCT contain CD34+ hematopoietic cells and a number of additional leucocytes, that is

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granulocytes, monocytes, T-, B- and NK-cells [4]. The quality of the allogeneic stem cell graft at the time of transplantation is of importance for clinical outcome. It was recently shown, that poor graft viability was related to increased incidence of acute GVHD and transplant related mortality [5], indicating that the stem cell graft composition with respect to antigen specific T- and B-cells is of importance for immune reconstitution and clinical outcome [6].

Allogeneic HSCT is associated with a number of serious complications. The transplanted graft can induce graft-versus-host disease (GVHD), a potentially life threatening condition where donor T-cells in the graft attack recipient tissues. Recently, graft manipulations before transplantation have been utilized to prevent GVHD, for example through T- and B-cells depletion from haploidentical stem cell grafts or through depletion of T-cells expressing the T-cell receptor (TCR)  $\alpha\beta$ , leaving the TCR $\gamma\delta$ + T-cells in the graft [7, 8]. There are conflicting data regarding the benefits of TCR $\gamma\delta$ + T-cells in allogeneic HSCT. Recent studies suggest a favourable clinical outcome for patients with elevated levels of TCR $\gamma\delta$ + T-cells after transplantation [2, 9, 10].

Infections during the lymphopenic state after stem cell transplantation is another complication associated with high mortality and morbidity. Some of the most common agents causing post-transplant infections are Epstein-Barr virus (EBV), cytomegalovirus (CMV), herpes simplex virus (HSV), varicella-zoster virus (VZV) as well as fungal infections caused by candida or aspergillus [3, 11]. A considerable effort is dedicated to reducing the risk of CMV and EBV reactivation as well as to prevent fungal infections. Prophylactic antimicrobial drugs are administered and patient and donor pairs are screened to prevent pairing of CMV and EBV sero-negative donors with seropositive recipients in combination with early microbiological diagnostics [12, 13]. Moreover, adoptive transfer of virus-specific T-cell clones can be used as pre-emptive and antiviral treatment [14–17].

Here we show a possible protective function by means of evaluating proliferation and cytokine production of antigen-specific T- and B-cells in 21 stem cell products used for allogeneic HSCT. The donor cell products were stimulated with infectious antigens commonly involved in transplant associated infectious complications, and the results were correlated to the clinical outcome 1-year post-transplantation. Moreover, an extensive repertoire of transferred effector functions of antigen-specific T-cells against HSV, EBV, CMV, VZV, adenovirus and *Candida albicans*, in allogeneic donor stem cell grafts is associated with an absence of infectious complications within 1-year post-transplant. The protective effect was not associated with graft cell-composition or the transfused cell dose.

## Materials and methods

### Study participants and infectious complications

Approximately  $50 \times 10^6$  cells from stem cell products (5 BM and 17 PBSC) from healthy donors used for allogeneic HSCT were obtained from the stem cell laboratory at Karolinska University Hospital. One patient died 5 days post-transplantation and data from the corresponding stem cell donor was excluded from the study, except in the comparisons between donor serology and the cellular responses measured by FASCIA to HSV, EBV, CMV or VZV.

Viral reactivation of CMV was defined as a post-transplant-positive blood sample with  $>10\,000$  CMV copies/ml as assessed by PCR or evidence of CMV induced pathology in biopsy specimens from the affected organ. Viral reactivation of EBV was defined as clinically symptomatic disease coupled to a post-transplant-positive blood sample with  $>1000$  EBV copies/ml as assessed by PCR or evidence of EBV-induced pathology in biopsy specimens from the affected organ. VZV reactivation was defined as post-transplant clinically symptomatic VZV disease with positive VZV PCR in a sample from the affected body site. HSV1 and HSV2 reactivation was defined as post-transplant clinically symptomatic disease with positive HSV1 or HSV2 PCR in a sample from the affected body site. Coronavirus pneumonia was defined as post-transplant clinically symptomatic disease accompanied by a chest CT showing typical infiltrates and coronavirus-positive respiratory specimens as assessed by PCR. Oral candidiasis was defined as post-transplant clinically symptomatic disease accompanied by positive oral fungal cultures. Bacterial septicemia was defined as blood culture-positive post-transplant clinical sepsis. Patient and donor information is displayed in Table 1. The regional ethical board granted ethical approval for the study.

### Antigens and pharmaceutical drugs

All mitogens and antigens were titrated for optimal responses. The following concentrations were used in the FASCIA (flow cytometric assay for specific cell-mediated immune-response in activated whole blood) experiments: 5  $\mu\text{g/ml}$  pokeweed mitogen (PWM), 100  $\text{ng/ml}$  of both staphylococcal enterotoxin A and B (SEA + SEB) (all from Sigma Aldrich, MO, USA), 10  $\mu\text{g/ml}$  tuberculin purified protein derivative (PPD), 4 IU/ml tetanus toxin (TT) (both from Statens Serum Institute, Copenhagen, DK) and 20  $\mu\text{g/ml}$  *Candida albicans* (Greer Laboratories Inc., NC, USA). Influenza vaccine 'Fluarix', varicella zoster virus vaccine (VZV) 'Varilrix' (both from GlaxoSmithKline AB, Middlesex, UK) were diluted 1:100. 40  $\mu\text{g/ml}$  adenovirus

Table 1 Recipient and donor clinical data

Product	Age R (yrs)	Age D (yrs)	Source	MUD/Sib	Engraftment (day)	TNC/kg bw (10 <sup>6</sup> /kg)	CMV serology (D)	CMV serology (R)	EBV serology (D)	EBV serology (R)	EBV serology (R)	Diagnosis	Infectious complications within 1 yr after HSCT	ATG Yes/no	Other clinical information
1	66	25	PB	MUD	25	5.8	Pos	Pos	Neg	Pos <sup>b</sup>	Pos <sup>b</sup>	ALL Ph+	CMV, HSV-2 HSV-1	Y	Relapse
2	47	41	BM	MUD	19	2.7	Pos	Pos	Pos	Pos	Pos	AML		Y	GVHD grade III, Deceased
3	48	46	PB	Sib	11	23.2	Neg	Pos <sup>a</sup>	Pos	Pos	Pos	MDS	CMV, <i>Staph</i> + <i>E-coli</i> sepsis, HSV-1	N	Chronic GVHD grade I
4	28	24	PB	MUD	15	16.7	Pos	Pos	Pos	Pos	Pos	Pre-B ALL		Y	
5	1	29	BM	MUD	20	11.4	Neg	Neg	Pos	Neg <sup>b</sup>	Neg <sup>b</sup>	CGD (X-linked)	EBV, CMV	Y	
6	16	28	BM	MUD	14	3.9	Neg	Pos <sup>a</sup>	Pos	Pos	Pos	Pre-B ALL	CMV, Oral Candidiasis	Y	
7	53	26	PB	MUD	14	8	Neg	Pos <sup>a</sup>	Pos	Pos	Pos	MDS		Y	
8	35	28	PB	MUD	22	12	Neg	Pos <sup>a</sup>	Pos	Pos	Pos	Pre-B ALL	CMV, HSV-1, EBV	Y	GVHD grade II, CMV CTL
9	68	38	PB	MUD	18	11.3	Neg	Pos <sup>a</sup>	Pos	Pos	Pos	MDS	VZV, CMV	Y	Relapse
10	10	7	BM	Sib	17	3.9	Pos	Pos	Neg	Pos <sup>b</sup>	Pos <sup>b</sup>	Pre-B ALL	VZV	N	Chronic GVHD grade II
11	67	33	PB	MUD	32	14.2	Pos	Pos	Pos	Pos	Pos	AML		Y	Pneumonia, Deceased
12	66	29	PB	MUD	16	7.9	Neg	Neg	Pos	Pos	Pos	AML	VZV	Y	
13	9	27	PB	MUD	15	25.4	Pos	Pos	Pos	Pos	Pos	AML Ph+		Y	
15	44	43	PB	MUD	18	4.5	Neg	Pos <sup>a</sup>	Pos	Pos	Pos	AML	CMV	N	
16	49	34	PB	MUD	17	7	Neg	Pos <sup>a</sup>	Pos	Pos	Neg <sup>b</sup>	Myeloma	EBV, CMV, <i>Salmonella</i> + <i>Staph</i> abscess, sepsis.	Y	Relapse
17	46	34	PB	MUD	31	14.3	Pos	Pos	Pos	Pos	Pos	AML		N	Relapse, Deceased
18	48	24	PB	MUD	19	12.3	Pos	Pos	Neg	Neg	Neg	AML	CMV, VZV	Y	Relapse
19	49	46	PB	Sib	18	4.1	Pos	Pos	Pos	Pos	Pos	AML/ myelofibrosis		N	Relapse, Deceased
20	62	26	PB	MUD	16	6.8	Pos	Pos	Pos	Pos	Pos	MDS		Y	
21	68	27	PB	MUD	13	13.2	Neg	Neg	Pos	Pos	Pos	AML	VZV, Oral	Y	GVHD grade II
22	15	19	BM	Sib	19	3.3	Neg	Neg	Neg	Neg	Neg	T-cell lymphoma	Candidiasis, Corona virus pneumonia	N	
Median	48 (1–68)	28 (7–46)	16 PB/5 BM	17 MUD/4 Sib	Median 18 (11–32)	Median 8 (2.7–25.4)	10 P/11 N	17 P/4 N	17 P/4 N	16 P/5 N	16 P/5 N			15/6 Y/N	

D, Donor; R: recipient; PB, peripheral blood stem cells; BM, bone marrow; MUD, matched unrelated donor; Sib, sibling; TNC, Total nucleated cells; ALL, acute lymphocytic leukaemia; AML, acute myeloid leukaemia; MDS, myelodysplastic syndrome; CGD, chronic granulomatous disease; CMV, Cytomegalovirus; HSV, Herpes simplex virus 1 and 2; EBV, Epstein-Barr virus; VZV, Varicella-zoster virus; ATG, antithymocyte immuno-globuline; GVHD, Graft versus host disease.

<sup>a</sup>CMV mismatch.

<sup>b</sup>EBV mismatch.

grade 2 antigen, 50 µg/ml P3H3 cell extract (EBV), 40 µg/ml HSV type 1 antigen and 40 µg/ml CMV grade 2 antigen (Microbix Biosystems Inc., Mississauga, ON, Canada).

### FASCIA-functional proliferation assay

The functional responses to infectious antigens were assessed by the clinically used flow cytometry based FASCIA-method [18]. To remove citrate from the stem cell products cells were washed twice and resuspended in FASCIA culture media containing RPMI 1640 (Gibco) supplemented with 10% human AB-serum, supplemented 100 IU/ml penicillin, 100 IU/ml streptomycin (all from Gibco, Paisley, UK), 2 mM L-glutamine (Invitrogen, MD, USA) and 2.0 µmol/ml Ca<sup>2+</sup> (Calcium-Sandoz, Sandoz, NJ, USA). The stem cell products (5 BM and 17 PB) were stimulated with tetanus toxin, PWM, SEA + SEB, influenza-, VZV-, adenovirus-, CMV-, EBV-, HSV antigen, candida or left unstimulated according to a revised FASCIA-protocol where 600 000 cells were cultured in 1 ml of culture media containing Ca<sup>2+</sup> and incubated for 7 days in 37° C, 5% CO<sub>2</sub> and 95% humidity. The cell supernatants were removed on day 7 and stored at -80° C until cytokine/chemokine analysis was performed. Cells were stained with CD3-FITC/CD4-PE Simultest mix and CD25-APC (BD Biosciences, CA, USA). The background, PWM- and EBV-stimulated FASCIA culture tubes were also stained with CD19-PC7 (Beckman Coulter, Marseille, France). The tubes were incubated 10 min at room temperature (RT) in the dark, followed by erythrocytes lysing with 1 × 10 Test lysing solution (Beckman Coulter) and washing. The cell pellets were resuspended in 450 µl PBS. Blast numbers were acquired during 80 seconds with a Navios flow cytometer (Beckman Coulter, CA, USA). A stimulation index (SI) (the number of stimulated CD3+ or CD19+ blasts divided by the number of blasts in the unstimulated tubes) was calculated to compare the proliferative responses against infectious antigens. A stimulation index greater than 4 was considered a positive cellular response. The cut-off was based on the median antigen-specific T-cell response from 14 healthy blood donors stimulated with influenza vaccine and TT (SI ranged from 0.37 to 31.6, data not shown).

### Lineage markers and T-cell subsets

Lineage markers and T-cell subtypes in the donor stem cell products were analysed by flow cytometry. The cells were stained with the following antibodies: CD34-FITC, TCRαβ-FITC, CD16-PE, CD56-PE, CD25-APC (all from BD Biosciences), CD45-ECD, CD4-PC7, CD19-PC7, CD3-Pacific blue (all from Beckman Coulter), TCRγδ-PE (Miltenyi

Biotec) and CD8-PC5 (Dako). Acquisition was performed on a Navios flow cytometry instrument and analysed using KALUZA software (Beckman Coulter).

### Cytokine detection

Cytokines and chemokines in culture supernatants from unstimulated FASCIA tubes and tubes stimulated with PWM, CMV, EBV and HSV from all patients were measured with a 5-plex Milliplex human cytokine/chemokine kit, containing monoclonal antibodies to detect IFNγ, IL-1b, IL-4, IL-10 and IL-17A according to the manufacturer's instructions (EMD Millipore corporation, MA, USA). Sample analysis was performed on a Magpix instrument using XPONENT software (Luminex, TX, USA). TGFβ-1 was measured with ELISA, according to the manufacturer's instruction (R&D Systems, MN, USA).

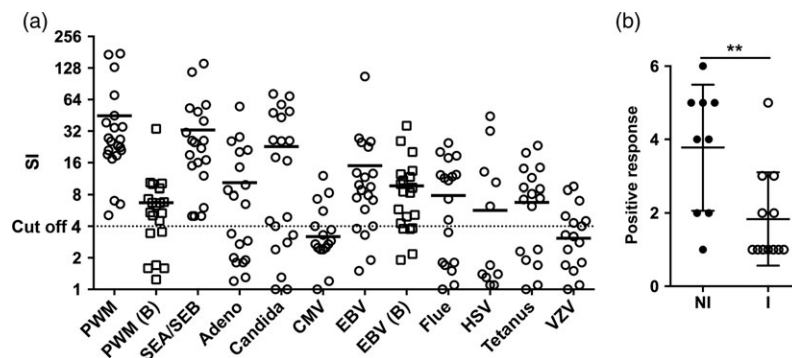
### Statistics

One-way ANOVA and followed by Bonferroni's multiple comparison test were used to determine differences between groups defined by infectious complications as well as comparisons on cell frequency. Fisher's exact test was used for nominal variables, comparing FASCIA responses with reactivation of EBV or CMV. Finally, a composite analysis was performed where a positive response against any of HSV, EBV, CMV, VZV, candida or adenovirus rendered 1 point with a maximum of 6 points. Students' *t*-test was used for statistical calculations. In all statistical analysis a *P*-value <0.05 was considered statistically significant. For the statistical analysis GRAPH PAD PRISM version 6 (GraphPad Software Inc., CA, USA) was used.

## Results

### Allogeneic stem cell donors and patients

A majority, 17 of 21 donors, was matched unrelated donors. The rest consisted of matched siblings. The median age of the recipients was 48 years (range 1–68). All patients, except one with X-linked chronic granulomatous disease (CGD), suffered from haematological malignancies. ATG were administered to 15 of 21 patients as part of their conditioning regime. The stem cell doses of mononuclear cells ranged from 2.7 to 25.4 × 10<sup>8</sup>/kg bodyweight (median 9.6 × 10<sup>8</sup>/kg). It took an average of 18 days (range 11–32 days) for engraftment, defined as an absolute neutrophil count of at least 0.5 × 10<sup>9</sup>/L, to occur. At clinical follow-up 1-year post-transplant, 12 of the 21 patients had experienced either reactivation of one or more latent viruses, that is CMV, HSV, EBV or VZV or



**Fig. 1** Functional analysis of T- and B-cells in stem cell products. Proliferation of T- and B-cells in donor stem cell products was analysed using the FASCIA method. B-cell proliferation was only measured upon stimulation with PWM and EBV, depicted PWM (b) and EBV (b) in 1a. Cells were unstimulated or stimulated with PWM, SEA/SEB, CMV, VZV, HSV, EBV, tetanus toxoid, candida, influenza antigen or adenovirus antigen. Proliferation was expressed as stimulation indices (SI) with an SI > 4 considered positive. A: Proliferation against antigens, horizontal line represents mean SI and the dotted line represents cut-off. B: Stem cell products were divided into two groups, 'infection' (I,  $n = 12$ ) and 'no infection' (NI,  $n = 9$ ) based on patients' clinical outcome. A composite analysis was performed where a positive response against any of HSV, EBV, CMV, VZV, candida or adenovirus rendered 1 point with a maximum of 6 points. \*\* $P$ -value < 0.01.

suffered from candidiasis. Among the seven cases where CMV sero-positive recipients received stem cell products from sero-negative donors, six of the recipients had experienced CMV infection. The two EBV sero-positive recipients receiving sero-negative stem cell products had not experienced EBV reactivation at the 1-year follow-up. Five patients were diagnosed with GVHD (grade I–III), six relapsed and four patients were deceased at the 1-year follow-up (Table 1).

### Cellular responses in stem cell products against infectious antigens measured by FASCIA

Sixteen stem cell products obtained from peripheral blood and five from BM were evaluated for their cellular responses against infectious antigens prior to transplant. Using a modified version of the FASCIA method, cells were left unstimulated or stimulated with PWM, SEA/SEB, CMV-, VZV-, HSV-, EBV-, influenza-, adenovirus-antigens, candida or TT. Positive controls; PWM (SI mean 45) and SEA/SEB (SI mean 33) elicited positive T-cell proliferation in all stem cell products. The mean frequency of cell products with positive antigen-specific T-cells response, was 23% against CMV, 32% against VZV, 23% against HSV, 81% against EBV, 50% against TT, 68% against candida, 59% against influenza and 45% against adenovirus antigen. When B-cell proliferation in the stem cell products were analysed, 71% showed positive response against PWM and 91% of were positive against EBV (Fig. 1a).

Since all stem cell donors for allogeneic HSCT are tested for humoral responses, that is, serum IgG, against

HSV, EBV, CMV or VZV, these could be compared to the proliferative responses against the same antigens by the FASCIA method. There was a large concurrence of serologic positivity and the presence of positive proliferative responses to the same antigen. The greatest concordance was observed for EBV with 14 of 17 (83%) EBV-sero-positive donors showing positive proliferative responses against EBV antigens by the FASCIA method (Table 2).

Next, we investigated whether donor T-cell specificity to infectious agents could be protective for the recipient after stem cell transplantation. We analysed the FASCIA results for candida, adenovirus HSV, EBV, CMV or VZV and compared them to the patients' clinical records 1-year post-transplant. Based on the individual patients' infectious complications to candida, adenovirus HSV, EBV, CMV or VZV (Table 1, defined in the Material and Method section), the corresponding FASCIA results were divided into two groups, where one group represented patients without the aforementioned infectious complications (NI,  $n = 9$ ) and the other group represented patients experiencing infectious complications (I,  $n = 12$ ). When FASCIA data for individual infectious agents were compared between the NI or the I groups, there were no statistically significant differences (not shown). However, a composite analysis, grouping proliferative responses by the FASCIA method against all of the tested antigens, clearly showed a strong correlation between NI status and the presence of proliferative responses in the SCPs against multiple antigens ( $P$ -value 0.007) (Fig. 1b). This indicated a transferred protective function. Furthermore, 1-year post-transplant, nine patients had experienced episodes of CMV-reactivation and three patients of EBV-reactivation

**Table 2** Serology and FASCIA response for donor stem cells products

	HSV serology	HSV FASCIA	EBV serology	EBV FASCIA	CMV serology	CMV FASCIA	VZV serology	VZV FASCIA
1	–	N	–	N	+	N	+	N
2	+	N	+	N	+	P	+	N
3	+	N	+	P	–	N	+	N
4	+	P	+	P	+	N	+	P
5	–	N	+	N	–	N	+	N
6	+	N	+	P	–	N	+	N
7	+	P	+	P	–	N	+	P
8	–	N	+	P	–	N	+	N
9	–	N	+	N	–	N	+	N
10	–	N	–	N	+	P	+	N
11	+	N	+	P	+	N	+	N
12	–	N	+	P	–	N	+	N
13	–	N	+	P	+	N	+	N
14	–	N	–	P	+	N	+	P
15	+	P	+	P	–	N	+	P
16	–	N	+	P	–	N	+	N
17	+	P	+	P	+	P	+	N
18	–	N	–	P	+	N	+	N
19	+	P	+	P	+	P	+	P
20	–	N	+	P	+	P	+	P
21	–	N	+	P	–	N	+	P
22	–	N	–	P	–	N	+	P
% Positive	41	55 <sup>a</sup>	77	82 <sup>a</sup>	50	45 <sup>a</sup>	100	36 <sup>a</sup>

–, negative donor serology, +, positive donor serology, N, negative FASCIA response, P, positive FASCIA response.

<sup>a</sup>Frequency-positive serology corresponding with positive FASCIA response.

(Table 1). The clinical data were compared to the corresponding FASCIA T-cell response after CMV or EBV stimulation. In patients with reactivation of CMV infection, there was no positive FASCIA responses against CMV in any of the nine stem cell products. In patients that did not experience viral reactivation within 1 year post-transplantation, 5 out of 12 (42%) showed a positive response to CMV (*P*-value Fisher's exact test, 0.045). Of the three patients with EBV-reactivation, two had donor products positive for EBV in FASCIA and one was negative, 14 out of 18 (78%) showed a positive response to EBV-stimulation (Table 3).

**Table 3** Viral reactivation 1-year post-transplant

	No reactivation	Reactivation	<i>P</i> -value
CMV			
Pos FASCIA	5	0	
Neg FASCIA	7	9	
% pos FASCIA	42	0	0.045
EBV			
Pos FASCIA	14	2	
Neg FASCIA	4	1	
% pos FASCIA	78	67	ns

ns, not significant.

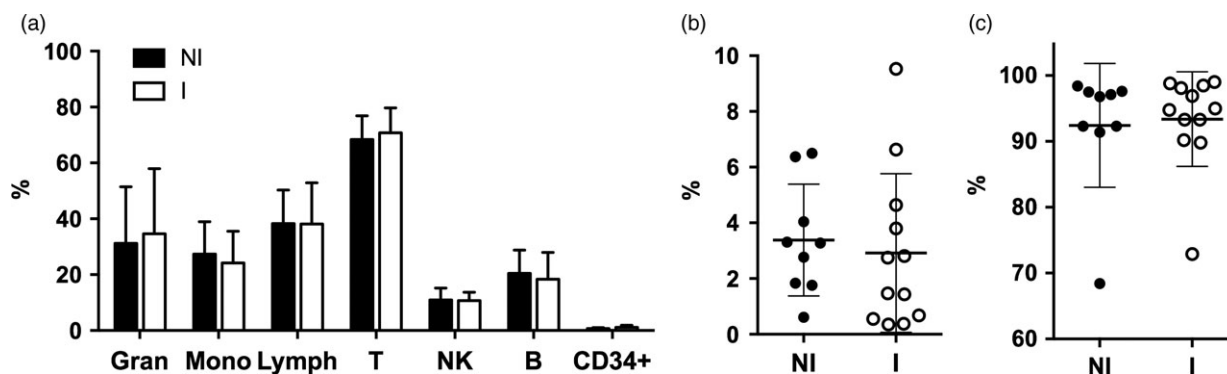
### Leucocyte content in the SCPs

In addition to CD34+ hematopoietic stem cells, the donor stem cell products contain other leucocytes [5]. The cellular content in the stem cell products were assessed by flow cytometry and analysed using forward or side scatter properties or through antibodies against several lineage markers. The two patient groups, infection (I) and no infection (NI), were compared to evaluate whether the leucocyte composition in the stem cell products and T-cell differentiation status were associated with infectious complications 1-year post-transplant. The frequency of granulocytes, monocytes, lymphocytes, T-cells, NK-cells, B-cells or CD34+ stem cells in the products was similar between groups (Fig. 2a). Similarly, when T-cells were further analysed in differentiated lineage subsets, no differences in the frequency of CD3+ TCR $\gamma\delta$ + or CD3+ TCR- $\alpha\beta$ + T-cell were detected between groups (Fig. 2b,c).

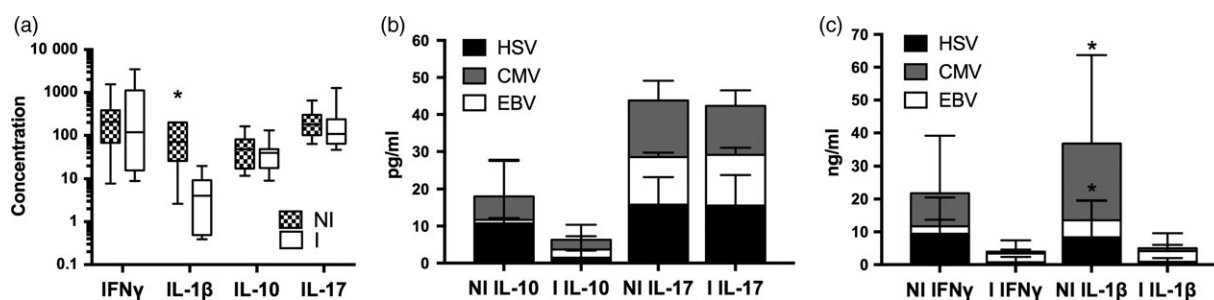
### Cytokine production

We then investigated the cytokine/chemokine effector function, in response to various viral antigens, of cells in the FASCIA cultures. IL-1 $\beta$  is mainly secreted by monocytes and macrophages of the innate immune system,





**Fig. 2** Leucocyte content in the stem cell products. Donor stem cell products were divided into two groups, infection (I,  $n = 12$ ) and no infection (NI,  $n = 9$ ) and the cellular content in the products were assessed by flow cytometry with subsequent analysis on forward or side scatter properties or through antibodies against lineage markers. (a) Frequency (%) of granulocytes, monocytes, lymphocytes and CD34-positive stem cells out of total leucocytes as well as T-cells, NK-cells and B-cells out of total lymphocytes. Frequency (%) of T-cells expressing TCR $\gamma\delta$  (b) or TCR $\alpha\beta$  (c) out of total CD3+ cells. Mean and error bars, representing standard deviation, are depicted in all figures.



**Fig 3** Cytokine secretion in FASCIA cultures stimulated with EBV, CMV, HCV or PWM. Cytokines were analysed at day 7 in FASCIA culture supernatants. Results were divided based on presence of infection (I,  $n = 12$ ) or no infection (NI,  $n = 9$ ) in patients at the 1-year follow-up. (a) Box and whiskers plot showing PWM stimulated concentration of IFN $\gamma$  and IL-1 $\beta$  (in ng/ml) as well as IL-10 and IL-17 (in pg/ml) for stem cell products in the non-infection group (NI, checked boxes) and infection group (I, white boxes). Concentration of IL-10 and IL-17 (b) as well as IFN $\gamma$  and IL-1 $\beta$  (c) in supernatants from cultures stimulated with HSV (black bars), CMV (grey bars) or EBV (white bars) divided based on absence of infectious complications (NI) or infectious complications (I). \* $P$ -value  $< 0.05$ .

while IFN $\gamma$ , IL-4, IL-10, IL-17 and TGF $\beta$  are secreted from different T-cell subsets and represent important effector functions in adoptive immune responses against infectious pathogens [19–21]. FASCIA culture supernatants from unstimulated cells or cells stimulated with PWM, CMV, EBV or HSV from all stem cell products were harvested on day 7 of incubation and analysed using a multiplex kit or ELISA. Again, the results were divided according to the patients' infectious complications yielding two groups; patients with infectious complications (I) and patients without infection (NI). There was no difference between groups in concentration of IL-4 or TGF $\beta$  in supernatants from cultures stimulated with EBV, CMV or HSV. Furthermore, the concentrations were low and there was no difference between background and stimulation with positive control PWM (not shown).

Compared to unstimulated FASCIA cultures PWM stimulated increased secretion of IL-1 $\beta$ , IFN $\gamma$ , IL-10 and IL-17 in all FASCIA products. There were no differences in secretion

of IL-4, IFN $\gamma$ , IL-10 and IL-17 between the NI and the I groups. However, FASCIA supernatants from the NI group, stimulated with PWM (mean 94.2 ng/ml), contained significantly more IL-1 $\beta$  ( $P$ -value 0.012), compared to supernatants from the I group (mean 66.2 ng/ml). There were no differences between groups in secretion of IL-4, IL-10 or IL-17 upon stimulation with EBV, CMV or HSV, nor did the concentration of IL-1 $\beta$  and IFN $\gamma$  differ between groups in cultures stimulated with EBV. Moreover, in CMV and HSV-stimulated cell culture supernatants the concentration of IL-1 $\beta$  was significantly higher ( $P$ -values 0.022 and 0.026, respectively) in the SCP NI group (mean 23.2 ng/ml for CMV and 8.35 ng/ml for HSV), compared to supernatants from the infection group (mean 0.97 ng/ml for CMV and 1.0 pg/ml for HSV). A similar but not significant trend ( $P$ -value 0.052) was seen for CMV-stimulated IFN $\gamma$  production, where the mean of for the non-infection group was 10.1 ng/ml, whereas the mean concentration was 0.74 ng/ml for the infection group (Fig. 3a–c).

## Discussion

Infectious complications due to poor T-cell reconstitution or lymphocytopenia in general are major causes of morbidity and mortality after allogeneic HSCT [12]. Here we evaluated whether antigen-specific T-cells in allogeneic stem cell grafts are able to reduce post-transplant infectious complications up to 1 year after transplantation. Cells in stem cell products obtained from donors for allogeneic HSCT were stimulated with antigens associated with infectious complications post-HSCT, that is, CMV, EBV, HSV and VZV, candida and adenovirus. Antigen-specificity function was evaluated by means of T-cell proliferation with a flow cytometry based assay [18], as well as by cytokine production. T-cells from all stem cell products proliferated against the positive control, indicating that the T-cells in the grafts were functional and viable pretransplant. The frequency of cell products with positive T-cell responses to infectious antigens varied. Only about 25% showed a positive response against CMV and HSV, about 33% against VZV, while more than 80% of the stem cell products were positive against EBV. Taking donor age in consideration, these data reflect the seroprevalence in Sweden since the 1960s [22]. Moreover, the measured FASCIA T-cell responses against CMV, EBV, HSV and VZV were concurrent with donor serology for the same pathogens. Proliferative responses towards EBV-antigens were detected in the vast majority of EBV sero-positive donors (14/17) (Table 2). This is in agreement with previous studies showing that EBV specific T-cells make up 0.5–1–5% of circulating T-cells in EBV sero-positive individuals [23, 24]. A smaller fraction of CMV, HSV and VZV sero-positive donors showed detectable proliferative T-cell responses likely reflecting a greater inter-individual variability in circulating antigen-specific T-cells for these pathogens. Furthermore, among the nine patients with CMV-reactivation at the time of clinical follow-up post-transplant, there were no positive T-cell responses measured by FASCIA against CMV in the corresponding stem cell products (Table 3). These data show an impending role for a pretransplant graft T-cell proliferation assay in providing additional information regarding donor cells' pathogen-specific immunological competence. Six of the seven CMV sero-positive patients receiving stem cells from CMV sero-negative donors had experienced viral reactivation within 1-year post-transplant. This is in line with previous data showing that CMV seropositivity is an independent risk factor for CMV reactivation [25].

One-year post-HSCT the patients' clinical records were assessed for infectious complications and the patients' corresponding donor cells were divided into two groups.

One group consisted of stem cell products transplanted to patients with infectious complications post-transplantation (I) and those transplanted to patients without infectious complications (NI). When T-cell responses to CMV, EBV, HSV and VZV, candida and adenovirus were analysed in composite and compared between these two groups, T-cells from the group that was not associated with infectious complications post-transplant proliferated more and to a wider range compared to T-cells given to patients who experienced infectious complications. There was no difference in the concentration of IL-4, IL-10, IL-17 or TGF $\beta$  between the two patient groups, suggesting that neither regulatory T-cells, nor Th17 cells were involved in the protective effect seen in patients without infection at the 1-year follow-up.

However, IL-1 $\beta$  and IFN $\gamma$  production upon stimulation with CMV and HSV was higher in the group without infectious complications (NI) compared to the group with infectious complications (I), indicating that the NI donor products contained T-cells with a pronounced ability to recognize and execute effector functions against the tested pathogens, compared to T-cells in the other group (I). IL-1 $\beta$  is a 'master' pro-inflammatory cytokine of the IL-1 cytokine family. It is important for autophagy and host defence against pathogens, but has also been shown to be involved in the pathogenesis of auto-inflammatory diseases. Binding of IL-1 $\beta$  to its receptor results in transcription of pro-inflammatory genes and secretion of other IL-1 cytokine family members, such as IL-18 and IL-36 [26]. Cytokines of the IL-1 family induces secretion of IFN $\gamma$  by T helper cells [27, 28]. Moreover, it was recently shown that T-helper cells induce optimal secretion of IFN $\gamma$  through an autocrine IL-1 $\beta$  activity [29]. With respect to our data, this proposes that IL-1 $\beta$  is also a master regulator for activation and proliferation of protective antigen-specific T-cells in an allogeneic transplantation setting. Additionally, allogeneic stimulation per se has been shown to be beneficial in an *in vitro* study demonstrating that stimulation with viral antigens, binding EBV- and CMV- specific T-cells, in combination with allogeneic stimulation increased the number of antigen-specific T-cells and their effector function [30].

Complications after HSCT are multifactorial. Age, malignancy, pre-HSCT treatment, conditioning, GVHD and T-cells depletion strategies all affect T-cell reconstitution and the patient's ability to fight infections [3]. Phenotypic analysis of the donor stem cell products by flow cytometry showed that there was no difference in the frequency of granulocytes, monocytes, lymphocytes, T-, NK-, B-cells, CD34+ cells or T-cells expressing CD3+ TCR $\alpha\beta$ + or CD3+ TCR $\gamma\delta$ + between the two groups with or without infectious complications presented here (Fig. 2).



Nor did the absolute mononuclear cell dose administered during transplantation differ between groups (Table 1). Yet, increased overall survival has been associated with a higher dose of transferred CD8+ T-cells in stem cell products for allogeneic HSCT. The authors did not evaluate T-cell clonal diversity in the stem cell products [31]. In light of the results presented here, perhaps the improved clinical outcome is not due to the T-cell dose per se, but rather an effect of the cell composition in regard to antigen-specificity. It seems that donor grafts with a wider repertoire of antigen-specific T-cells against common post-transplant infectious complications with a more pronounced cytokine production can be protective for the patient within 1-year post-transplantation and possibly longer. Donor-derived CD4+ T-cell clones, specific for tetanus toxin, have been shown to persist in the recipient up to 5 years after bone marrow transplantation [32].

The stem cell products in this study were not manipulated prior to transplantation. However, the vast majority of recipients receiving cells derived from peripheral blood were given antithymocyte immuno-globuline (ATG) (15/16) a risk factor for post-transplant infections [25, 33]. Of the patients receiving ATG, seven belonged to the group without infectious complications and eight to the group

with infectious complications, indicating that ATG administration as such did not determine the frequency of patients suffering from the tested infectious complications.

Our study indicates that monitoring of T-cell functionality; against pathogens involved in transplant related infectious complications are of importance prior to allogeneic HSCT. Routinely assaying T-cell function in allogeneic donor grafts against certain viruses and fungi causing illness after HSCT could assist gauging individual risks for post-transplant infectious complications and aid in decision-making regarding prophylactic and pre-emptive anti-infective therapy.

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