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## Molecular therapy for genetic and degenerative vestibular disorders

Zahra N. Sayyid\*, Grace S. Kim\*, Alan G. Cheng

Department of Otolaryngology-Head and Neck Surgery, Stanford University School of Medicine, Stanford, California, USA

### Abstract

**Purpose of review**—The primary purpose of this review is to summarize current literature in the field of vestibular regeneration with a focus on recent developments in molecular and gene therapies.

**Recent findings**—Since the discovery of limited vestibular hair cell regeneration in mammals in the 1990s, many elegant studies have improved our knowledge of mechanisms of development and regeneration of the vestibular system. A better understanding of the developmental pathways of the vestibular organs has fueled various biological strategies to enhance regeneration, including novel techniques in deriving vestibular hair cells from embryonic and induced pluripotent stem cells. In addition, the identification of specific genetic mutations responsible for vestibular disorders has opened various opportunities for gene replacement therapy.

**Summary**—Vestibular dysfunction is a significant clinical problem with limited therapeutic options, warranting research on biological strategies to repair/regenerate the vestibular organs to restore function. The use of gene therapy appears promising in animal models of vestibular dysfunction.

### Keywords

gene therapy; genetic disorders; regeneration; vestibular; vestibulopathy

## INTRODUCTION

Vestibular dysfunction affects approximately 30% of those aged 60 years and older and as high as 50% of those over 85 [1,2]. More than 90 million Americans suffer from vestibular dysfunction, and the prevalence is expected to rise with the aging population [3]. This sensory disorder impairs activities of daily living and contributes to anxiety, depression, and an overall diminished quality of life [4]. One study of over 4000 patients at 618 centers in 13 countries showed that only half of people with vestibular disorders were employed; 70% of those employed had reduced workloads and 63% had lost working days because of their

Correspondence to Alan G. Cheng, MD, Department of Otolaryngology-Head and Neck Surgery, 801 Welch Road, Stanford, CA 94305, USA. Tel: +1 (650) 725-6500; fax: +1 (650) 721-2163; aglcheng@stanford.edu.

\*Zahra N. Sayyid and Grace S. Kim contributed equally to this article.

Conflicts of interest

There are no conflicts of interest.

symptoms [5]. Moreover, vestibular dysfunction ranks among the most common reasons for emergency room visits, significantly contributing to the disability burden in the elderly population [5]. Finally, vestibular dysfunction is a strong predictor of falls, which is the leading cause of accidental death in patients [6].

Possible causes of vestibular dysfunction include ototoxins (e.g., aminoglycosides), viral infections, genetic diseases, Meniere's disease, and benign paroxysmal positional vertigo, with many others being idiopathic and likely linked to aging [7] (Fig. 1). Despite a compensatory process weeks after the onset of vestibular dysfunction, presumably mediated by the central nervous system, disabling symptoms may persist particularly when vestibular insults are bilateral [8,9]. Although vestibular rehabilitation can help alleviate symptoms, there are currently no biological treatments for vestibular dysfunction including hypofunction [10]. Several groups are currently exploring the potential utility of vestibular implants, including a clinical trial that is currently under way ([ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT02725463) Identifier: [NCT02725463](https://clinicaltrials.gov/ct2/show/study/NCT02725463)).

In recent years, numerous studies have advanced our understanding of vestibular disorders, particularly those caused by genetic mutations or ototoxin-induced degeneration. Biological strategies consisting of molecular and gene therapies show promising results albeit with associated risks and limitations. Here, we will review these studies and evaluate their potentials as possible therapies.

## MOLECULAR THERAPY TO REGENERATE VESTIBULAR ORGANS

The most common underlying abnormality in patients suffering from vestibular dysfunction is hair cell loss [11,12]. Although symptoms of vestibular dysfunction can be partly relieved by central compensation/rehabilitation, vestibular hypofunction is presumed irreversible. Among the five pairs of vestibular organs, the gravity-detecting utricle is the most extensively studied, where a limited degree of hair cell regeneration has been observed in mammals [13–18]. However, the current prevailing notion is that function is not restored. This starkly contrasts nonmammalian sensory organs such as the avian auditory and vestibular organs, which are capable of repairing and restoring function after damage through hair cell regeneration via mitotic and nonmitotic mechanisms [19,20]. Based on these findings, regeneration efforts in mammals have focused on promoting cell cycle reentry and hair cell differentiation.

The basic helix-loop-helix transcription factor *Atoh1* is one of the earliest markers for differentiating hair cells and is necessary and sufficient for hair cell specification [21,22]. In the immature cochlea of multiple mammalian species, *Atoh1* gene transfer produces extranumerary hair cells, some of which display mechano-sensitive hair bundles and integrate neurally when generated early during development [23–27]. However, the efficacy of *Atoh1* in mice is significantly diminished after the onset of hearing, and maturation of these ectopic hair cells appears stunted [24,25,28]. Two studies in the adult guinea pig cochlea have shown that *Atoh1* overexpression can promote hair cell formation and partial recovery of hearing after noise damage [26,29]. Unfortunately, other groups found less convincing evidence for either hair cell regeneration or functional restoration [25,26,28,29].

Although an age-related decline in its responsiveness is well accepted, whether Atoh1 alone can induce hair cell regeneration in the mature mammalian cochlea is still debatable.

Similar to the cochlea, the postnatal mouse utricle also displays a decrease in responsiveness to Atoh1 overexpression with age [30]. In mice younger than 3 weeks old, forced expression of Atoh1 using a transgenic approach induced extranumerary hair cell formation in the central striolar region of the utricle as well as the surrounding nonsensory transitional epithelium. However, Atoh1-induced ectopic hair cell formation was not detected at later ages, in contrast to a previous study where gene transfer of Atoh1-induced hair cell regeneration in the adult utricle [31].

Many Atoh1 targets identified both inside and outside the inner ear are associated with numerous signaling pathways including Notch, Wnt, and Shh [32,33]. Both Notch and Wnt signaling play critical roles in hair cell formation during development, and their roles during vestibular regeneration are beginning to be revealed in recent years. In the neonatal utricle, damage activated the Wnt target gene *Lgr5* in striolar supporting cells, which regenerated hair cells both mitotically and nonmitotically. Furthermore, constitutive activation of the Wnt pathway through stabilization of  $\beta$ -catenin increased mitosis and hair cell regeneration [18]. Similarly, small molecule Wnt activators stimulated supporting cell proliferation, which was further increased when combined with inhibitors of Notch signaling ( $\gamma$ -secretase inhibitors) [34]. Although Notch inhibition has been shown to induce ectopic hair cells in both the neonatal and mature utricle [17,35], its interaction with Wnt signaling to promote amplified proliferation and hair cell formation has only recently been identified in studies of the neonatal mouse utricle and cochlea [34,36,37]. Although these data suggest that combination therapies to stimulate vestibular hair cell regeneration may be promising, whether they are effective in the mature organ is currently unclear and warrants further examination. Moreover, understanding the genetic landscape of the regenerating utricles from nonmammalian and mammalian species is an area of active investigation and should aid in the discovery of novel candidate genes that promote mammalian hair cell survival and regeneration.

Another major advance in vestibular hair cell regeneration is the *in vitro* generation of “mini-ears”: inner ear organoids derived from embryonic stem cells, fetal auditory stem cells, and induced pluripotent stem cells [38–40,41]. These organoids serve as “inner ears-in-a-dish” that can facilitate the study of inner ear biology, such as potential drug discovery for hair cell regeneration. Hashino and colleagues reported the use of a self-organizing three-dimensional culture system for mouse and human embryonic stem cells [39,41,42]. They modulated multiple signaling pathways (TGF, BMP, FGF, and Wnt) to generate multiple organoids over the course of several months *in vitro*. The inner ear organoids initially resembled developing otic vesicles and subsequently contained cells reminiscent of vestibular hair cells. These newly generated hair cell-like cells had morphological, molecular, and functional properties resembling native vestibular hair cells in the postnatal mice. Importantly, this novel protocol of hair cell induction from stem cells was more efficient than previous methods [38,43], and as such, is capable of accelerating future studies.

Recently, a combination of small molecules was found to stimulate proliferation and organoid formation from supporting cells in neonatal mouse cochleae and, to a limited extent, mature mouse and primate inner ear tissues [44■■■]. These clonally expanded organoids generated a much higher yield of hair cells than previous reports using other culture techniques [45,46]. Based on these results, the first in-human study using a combination of small molecules (FX-322) designed to stimulate inner ear regeneration is under way ([ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT03300687) Identifier: [NCT03300687](https://clinicaltrials.gov/ct2/show/study/NCT03300687)). This clinical trial lays the groundwork for future trials in patients suffering from hearing loss by showing first and foremost whether FX-322 is well tolerated at an effective dose to restore hearing in humans.

## GENE THERAPY

More than 300 genetic loci have been implicated in hearing loss, about 70 of which have their causative gene identified [47]. Of these, gene therapy can potentially replace missing genes or silence erroneous genes in target cells to restore function. Various delivery methods, viral and nonviral vectors, and target genes have been explored in animal models with potential future clinical applications [48]. Although many genes associated with hearing loss have been identified, only a few are known to cause vestibular dysfunction.

Early gene therapy work in the inner ear has focused on protection, repair, and regeneration of hair cells and the auditory nerves. In recent years, studies on gene therapy involving the inner ear have mainly focused on mouse models of Usher syndrome, which is the leading cause of blindness, deafness, and vestibular dysfunction and is associated with several defined genetic mutations. Using an adeno-associated virus (AAV) to deliver gene products to vestibular (and cochlear) hair cells in an Usher2d mouse model, Chien and colleagues successfully restored morphology to distorted stereociliary bundles as a result of *Whirlin* mutations and increased hair cell survival in both the cochlea and utricle [49,50■■■]. Remarkably, the improvement in balance function of treated animals lasted months. Emptoz and colleagues took a similar approach in the Usher1g mouse model and also found that replenished gene and protein expression led to improvement in hair cell function and overall vestibular function [51■■■]. However, a shortcoming noted with using certain AAVs was limited transfection rates among cochlear hair cells, thus limiting use of this approach as a means to rescue auditory function. Each viral vector has its own characteristic time of onset, duration of gene expression, and cellular tropism, which provides a range of options in terms of use; however, clinical use is limited by toxicity and immunoreactivity.

To overcome the obstacle of limited transfection of cochlear hair cells, Pan and colleagues employed a synthetic adeno-associated viral vector, Anc80L65, for gene transfer in an Usher1c mouse model. They found significantly higher transfection rates of hair cells, resulting in rescue of both vestibular and cochlear hair cells and also balance and auditory functions [52■].

It is important to note that these studies discussed above mainly relied on gene inoculation prior to maturation of the auditory and vestibular systems in the neonatal mice, an age equivalent to the first trimester in humans. In adult mice, AAV introduced via canalostomy transduced primarily inner hair cells and a few outer hair cells, with hearing function and

sensory cells preserved [53]. On the other hand, two other groups found that viral vehicles achieved high transduction efficiency in most sensory cell types in the auditory and vestibular organs [54■,55■]. These vehicles may be valuable in testing the efficacy of viral-mediated gene therapy in the mature vestibular system.

Nonviral gene delivery methods have also been explored, which can avoid the potential side-effects of viral vectors including immunoreactivity. Using antisense oligonucleotides to correct defective pre-mRNA splicing in another Usher1c mouse model, Lentz and colleagues rescued vestibular and cochlear hair cells leading to improved vestibular behavioral function [56]. This group subsequently showed that this approach in neonatal mice led to improved vestibular physiology; however, its effectiveness was minimal when administered to juvenile mice, suggesting that the therapeutic window may be rather limited [57■].

Emerging evidence suggests that another promising method is the CRISPR/Cas-based genome-editing technique, which aims to restore wild-type sequences in the mutated genome. With local treatment with a lipid-mediated delivery of Cas9-single guide RNA ribonucleotide protein complexes, hair cell survival and hearing markedly improved in an autosomal dominant single point mutation hearing loss mouse model [58■].

Although these studies provide strong evidence that biological therapies to treat genetic causes of human deafness and balance disorders are highly feasible, most have found significantly reduced efficacy of gene therapy in both the auditory and vestibular systems of adult mice. Finally, many forms of genetic hearing and vestibular loss affect inner ear nonsensory cell types; thus, another major challenge for the future is to effectively deliver gene products to multiple cell types and to uncover the disease-specific therapeutic window for gene delivery (i.e., before cellular degeneration).

## CONCLUSION

The potential therapeutic application of molecular and gene therapies to restore hearing loss and vestibular dysfunction is considerable. In addition to discoveries of potential new therapies to induce new hair cells, there have also been major advances in scientific tools, which will facilitate future studies and our march toward a biological treatment for inner ear diseases.

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A.G.C serves on the scientific advisory board of Decibel.

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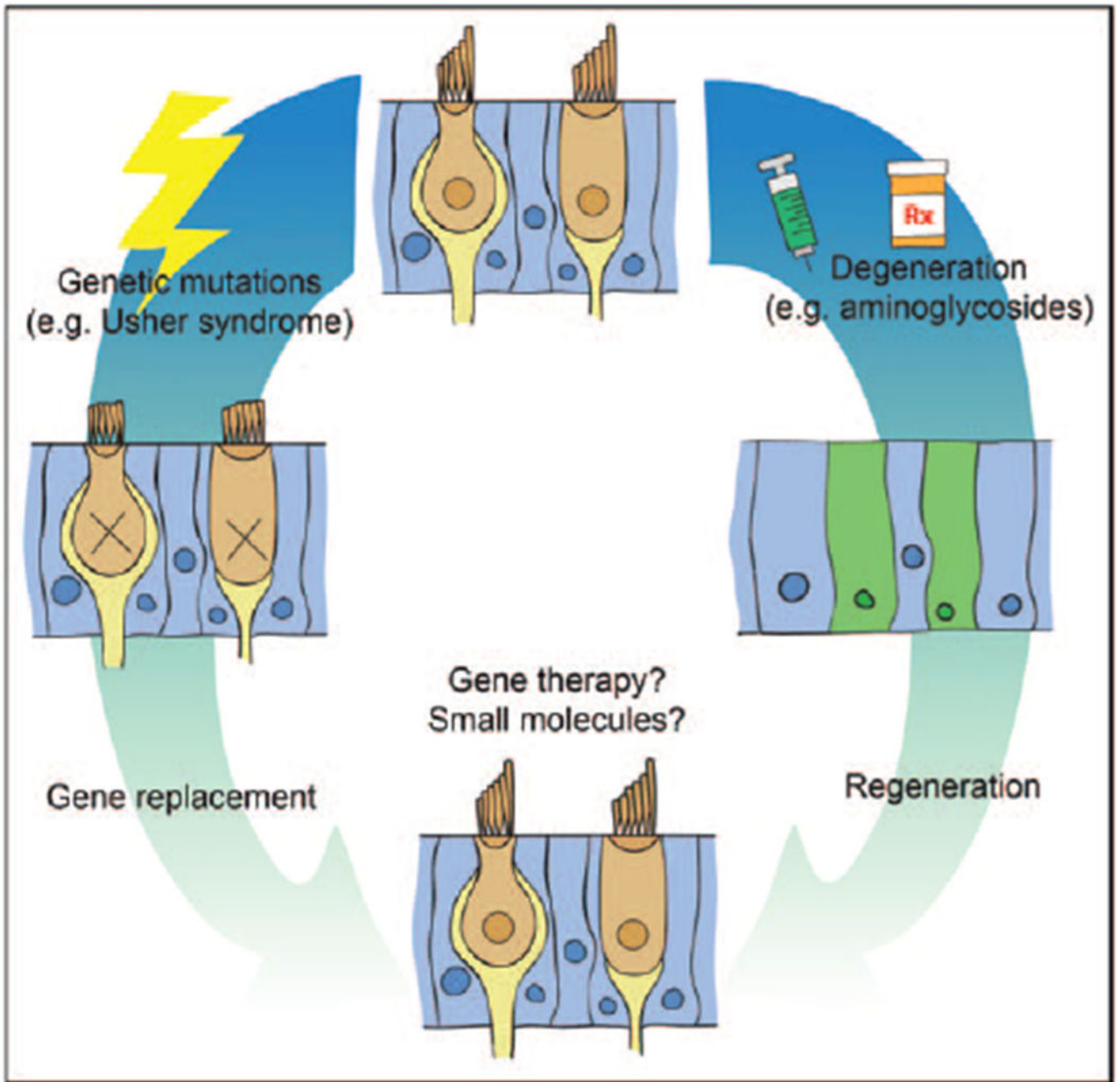
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**KEY POINTS**

- The immature mouse utricle can mitotically and nonmitotically regenerate hair cells.
- The immature mouse utricle is more responsive to several manipulations than the mature organ, including *Atoh1* and *Wnt* activation.
- Inner ear organoids contain mechano-sensitive hair cells resembling native vestibular hair cells.
- Gene replacement therapy can successfully repair auditory and vestibular hair cells and preserve organ function in genetic mouse models.



**FIGURE 1.** Molecular therapy for vestibular dysfunction. Schematic of possible strategies for molecular therapies for vestibular dysfunction.