



Discordance between Etravirine Phenotype and Genotype-Based Predicted Phenotype for Subtype C HIV-1 from First-Line Antiretroviral Therapy Failures in South Africa

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ABSTRACT Etravirine (ETR) is a nonnucleoside reverse transcriptase inhibitor (NNRTI) used in treatment-experienced individuals. Genotypic resistance test-interpretation systems can predict ETR resistance; however, genotype-based algorithms are derived primarily from HIV-1 subtype B and may not accurately predict resistance in non-B subtypes. The frequency of ETR resistance among recombinant subtype C HIV-1 and the accuracy of genotypic interpretation systems were investigated. HIV-1_{LA1} containing full-length RT from HIV-1 subtype C-positive individuals experiencing virologic failure (>10,000 copies/ml and >1 NNRTI resistance-associated mutation) were phenotyped for ETR susceptibility. Fold change (FC) was calculated against a composite 50% effective concentration (EC₅₀) from treatment-naïve individuals and three classifications were assigned: (i) <2.9-FC, susceptible; (ii) ≥2.9- to 10-FC, partially resistant; and (iii) >10-FC, fully resistant. The Stanford HIVdb-v8.4 was used for genotype predictions merging the susceptible/potential low-level and low-level/intermediate groups for 3 × 3 comparison. Fifty-four of a hundred samples had reduced ETR susceptibility (≥2.9-FC). The FC correlated with HIVdb-v8.4 (Spearman's rho = 0.62; *P* < 0.0001); however, 44% of samples were partially (1 resistance classification difference) and 4% completely discordant (2 resistance classification differences). Of the 34 samples with an FC of >10, 26 were HIVdb-v8.4 classified as low-intermediate resistant. Mutations L100I, Y181C, or M230L were present in 27/34 (79%) of samples with an FC of >10 but only in 2/46 (4%) of samples with an FC of <2.9. No other mutations were associated with ETR resistance. Viruses containing the mutation K65R were associated with reduced ETR susceptibility, but 65R reversions did not increase ETR susceptibility. Therefore, genotypic interpretation systems were found to misclassify ETR susceptibility in HIV-1 subtype C samples. Modifications to genotypic algorithms are needed to improve the prediction of ETR resistance for the HIV-1 subtype C.

KEYWORDS ETR, HIVdb, NNRTI, etravirine, genotyping, phenotyping, subtype C, third line

Over the last fifteen years, treatment programs in low and middle-income countries (LMIC) have rapidly expanded such that there are now over 21.7 million people accessing care (1). One of the obstacles to successful treatment programs is the development of drug resistance, which has resulted in the need to modify current first-line regimens and develop third-line regimens (2). The World Health Organization (WHO) has recommended the nonnucleoside reverse transcriptase inhibitor (NNRTI) etravirine (ETR) as an option for third-line antiretroviral therapy as it has been shown to

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safely and effectively suppress HIV-1 replication in treatment-experienced individuals in several studies (3–6). This efficacy is a result of ETR being effective against some HIV drug resistance mutations associated with reduced susceptibility to nevirapine (NVP) and efavirenz (EFV) (7–10).

Though ETR has a higher genetic barrier to resistance than first-generation NNRTIs, several NNRTI resistance-associated mutations (RAMs), including L100I, K101H/P, Y181C/I, G190E/S/A, and M230L, reduce viral susceptibility to ETR and may compromise ETR efficacy in treatment-experienced individuals (11, 12). Algorithms based on phenotypic (mainly from subtype B) and clinical outcome data have been developed to interpret genotypic drug resistance data (13). These algorithms are regularly updated to include new antiretrovirals (ARV), such as ETR (14–17). The algorithm on the Stanford HIV Drug Resistance Database (HIVdb) uses a weighted scoring system to determine ETR susceptibility, based predominantly on phenotypic data from subtype B samples (11, 18).

The Stanford drug resistance algorithm has been used to predict ETR susceptibility of HIV-1 subtype C from first-line antiretroviral therapy (ART) failures and these studies have shown ETR cross-resistance from previous NNRTI regimens (19–21). A recent report from South Africa on subtype C HIV-1 resistance after NNRTI-based first-line and protease inhibitor (PI)-based second-line treatment showed that 74% and 77% of 140 applicants for third-line ART had genotype evidence of resistance to EFV and NVP, respectively, while 37% (52/140) were estimated to have ETR resistance using the Stanford drug resistance algorithm (21). These studies have focused on the prevalence of first-line NNRTI drug resistance mutations as a means to predict the efficacy of incorporating ETR into future regimens (20). However, because drug resistance algorithms are based predominately on data from subtype B isolates, these estimates may not accurately reflect ETR resistance in non-subtype B HIV-1 (22, 23). For example, genotype and phenotype testing from forty-four HIV-1 subtype C samples collected as part of the AIDS Clinical Trial Group (ACTG) study A5230 found that, although genotype-based algorithms and phenotypic fold change (FC) were largely concordant for EFV and NVP, the Stanford drug resistance algorithm overestimated cross-resistance to ETR in 28% of subtype C samples (23).

Modeling has shown that the inclusion of genotypic resistance testing prior to third-line regimen initiation may be more cost-effective than switching individuals from second- to third-line based on virologic failure alone (24, 25). However, the accuracy of genotypic drug resistance algorithms in predicting ETR resistance in subtype C HIV-1 is uncertain. The current study investigated genotype-phenotype correlations for ETR in subtype C samples having failed an NNRTI-based first-line regimen.

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RESULTS

Cross-resistance to etravirine of plasma-derived virus from individuals experiencing virologic failure on first-line ART. Wild-type plasma-derived recombinant viruses from twelve treatment-naïve individuals showed mean ETR 50% effective concentration (EC_{50}) of 0.99 ± 0.28 nM. This EC_{50} was similar to previously reported EC_{50} values for ETR of 1.2 nM (0.4 to 1.6 nM) in subtype B/C chimeric viruses, using a similar TZM-bl assay, and 1.4 nM (0.3 to 1.6 nM) in xLAI virus, and was thus used as the wild-type, ETR-susceptible control value to calculate fold change (FC) for other viruses (27, 28).

Fifty-four of the hundred (54%) recombinant plasma-derived viruses containing full-length RT from individuals accessing a failing first-line ART exceeded the clinical cutoff of 2.9 FC for ETR (Fig. 1A). Of those 54, nine exceeded the upper limit of the assay ($EC_{50} > 272$ nM, 272 FC). The median EC_{50} and FC for the remaining viruses in the resistant category were 15 nM (Q1 to Q3: 6.0, 46.0) and 15-FC (Q1 to Q3: 6.0, 46.0), respectively.

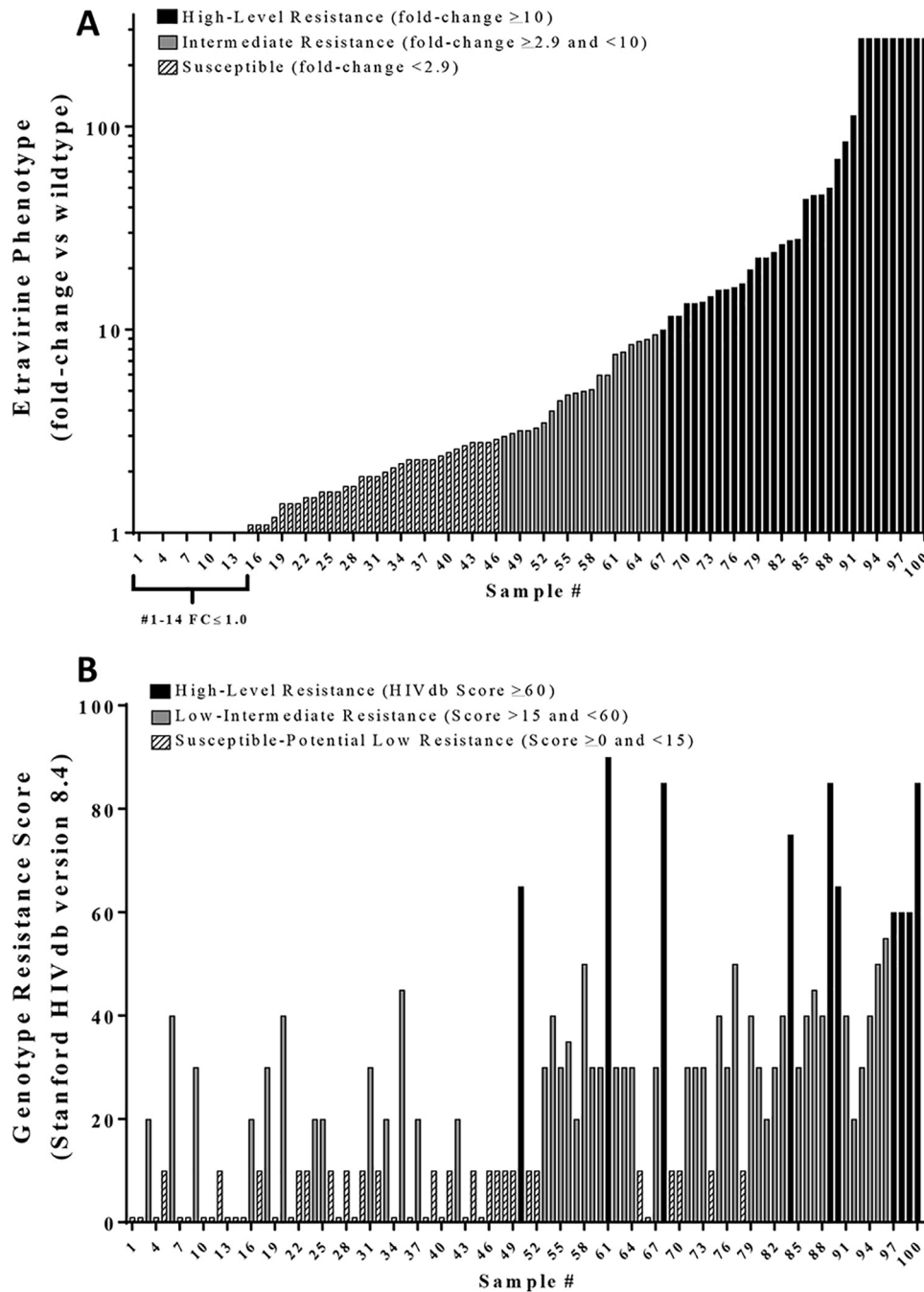


FIG 1 ETR resistance of 100 first-line antiretroviral treatment failures. (A) ETR fold change (FC) values were determined by dividing the EC_{50} generated for each plasma-derived virus by a composite EC_{50} from 12 treatment-naive plasma-derived viruses collected from the same geographical region. The bar color and pattern indicate the ETR phenotypic clinical cutoffs of < 2.9 FC as susceptible (diagonal stripes), ≥ 2.9 FC (gray) as intermediate resistance, and > 10 FC as high-level resistance (black). The EC_{50} values of samples 91 through 100 exceeded the highest concentration of ETR that could be tested in TZM-bl cells without cytotoxicity and are reported as > 272 nM. (B) The GRT-IS scores were determined using the HIVdb resistance interpretation algorithm version 8.4 (13). The five HIVdb classifications were collapsed into three by merging susceptible and/potential low-level into “susceptible” and low-level and/intermediate into a “low-intermediate” for comparison.

Drug susceptibility scores were calculated using the HIVdb v8.4 for all 100 plasma-derived recombinant test viruses. Fifty-six of the hundred samples had drug susceptibility scores that exceeded the low-level resistance score cutoff of 15 and, of the 56 that exceeded the cutoff, 10 samples had resistance scores of 60 or greater (Fig. 1B).

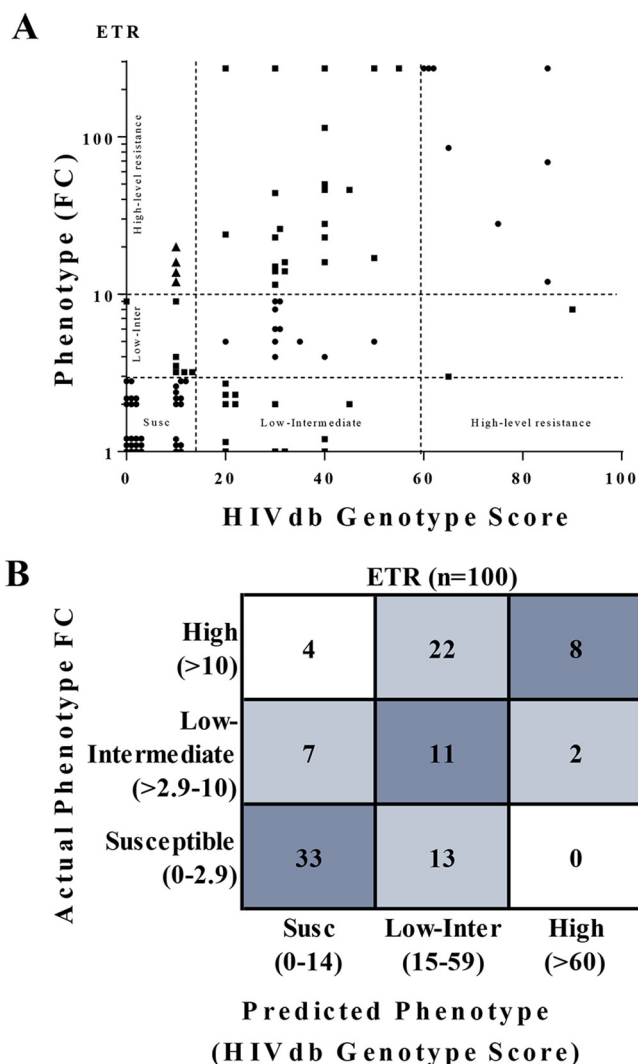


FIG 2 Comparison of ETR phenotype to genotype-based predicted phenotype. (A) ETR phenotype (fold change in EC_{50}) does not strongly correlate with HIVdb score ($r = 0.47$) for HIV-1 subtype C isolates. Results show 52% of genotype scores were concordant (●, classifications matching), 44% were partially discordant (■, HIVdb predicted 1 classification different), and 4% were completely discordant (▲, HIVdb predicted 2 classifications different) relative to the phenotype clinical cutoffs. (B) Error matrixes of actual fold phenotypic resistance versus predicted resistance for ETR. More samples (26/100) with high phenotypic ETR resistance ($FC > 10$) were misclassified as having low or intermediate resistance. GTR-IS scores were determined using the HIVdb v8.4.

Comparative analysis of ETR phenotype and genotype. The phenotype FC and drug susceptibility classifications disagreed in several samples (Fig. 1A and B), therefore the Spearman's rho correlation coefficient between the FC and the HIVdb v8.4 score was tested. Drug susceptibility classifications correlated ($\rho = 0.62$; $P < 0.0001$) with the phenotype FC; however, 48 of the 100 drug susceptibility scores were discrepant with the phenotype FC classification (Fig. 2A). There were 11 samples with drug susceptibility classification of "susceptible/potential low-level" that had reduced ETR phenotypic susceptibility (FC of ≥ 2.9 ; Fig. 2A). Twenty-six samples with a drug susceptibility classification of "susceptible/potential low-level" and "low-intermediate" resistance had an ETR resistance of >10 -FC (summarized in the error matrices shown in Fig. 2B).

To assess if the discordance was specific to ETR, we tested the correlation of phenotypic FC and HIVdb v8.4 classification on the same 100 recombinant viruses to the NNRTI rilpivirine (RPV) and found fewer (38 of 100) samples with discordance between drug susceptibility and phenotype FC (Fig. S1A and S1B in the supplemental

TABLE 1 Association of HIV-1 drug resistance mutations with ETR resistance^f

Mutation	No. ETR resistant (%) ^a	No. ETR susceptible (%) ^b	Odds ^c	P value	q value ^d
NNRTI-associated resistance mutations ^e					
V90I	5 (9)	0 (0)	Inf	0.024	0.567
A98G	10 (19)	4 (7)	3.068	0.087	0.775
L100I	12 (23)	1 (2)	16.286	0.001	0.049
K101H	0 (0)	3 (5)	0.000	0.244	0.952
K101E	5 (9)	5 (8)	1.082	1.000	1.000
K103N	31 (58)	24 (41)	1.909	0.130	0.812
K103S	3 (6)	3 (5)	1.078	1.000	1.000
V106M	18 (34)	26 (44)	0.615	0.248	0.952
V108I	8 (15)	5 (8)	1.843	0.382	1.000
E138A	4 (8)	5 (8)	0.848	1.000	1.000
E138K	2 (4)	1 (2)	2.192	0.608	1.000
V179D	11 (21)	2 (3)	7.163	0.007	0.233
Y181C	16 (30)	0 (0)	Inf	<0.001	0.001
Y188L	5 (9)	1 (2)	5.816	0.104	0.775
G190A	9 (17)	17 (29)	0.597	0.273	0.970
H221Y	6 (11)	2 (3)	3.500	0.152	0.901
P225H	7 (13)	6 (10)	1.344	0.769	1.000
M230L	10 (19)	1 (2)	12.955	0.003	0.174
NRTI-associated resistance mutations					
M41L	7 (13)	10 (17)	0.746	0.610	1.000
K65R	27 (51)	8 (14)	6.620	<0.001	0.006
D67N	6 (11)	11 (19)	0.557	0.306	0.957
K70R	7 (13)	6 (10)	1.344	0.769	1.000
Y115F	7 (13)	7 (12)	1.130	1.000	1.000
M184V	41 (77)	41 (69)	1.500	0.397	1.000
M184I	5 (9)	0 (0)	Inf	0.021	0.605

^aResistance defined as ≥2.9-fold change; n = 54.

^bSusceptibility defined as <2.9-fold change; n = 58.

^cInf, infinite odds.

^dq value, false-discovery rate (FDR)-adjusted P value; a q value of <0.2 was considered significant.

^eNNRTI mutations K101P, V106I, E138G, V179F, V179L, V179T, Y181I, Y181V, G190E, G190S and F227G were not found in this data set.

^fBoldface type indicates resistance mutations that were significantly associated with ETR resistance.

materials). This difference was likely the result of the disproportionate number of samples that had an underestimated ETR resistance score with HIVdb v8.4 (n = 33; Fig. 2B) compared to the underestimation of the RPV resistance score with HIVdb v8.4 (n = 13; Fig. S1B). To assess if the discordances observed for ETR were specific to the HIVdb v8.4, the data set was reanalyzed with the Tibotec resistance algorithm for ETR and a significant correlation (ρ = 0.92; P < 0.0001) between the HIVdb v8.4 and Tibotec ETR scores (Fig. S2) (29) was observed. Interestingly, Tibotec scoring also did not accurately predict the ETR phenotype resistance in 42% of the subtype C isolates, which was only slightly improved from 48% HIVdb discrepancies (Fig. S3A and B). Thus, both ETR drug resistance algorithms tended to underestimate ETR resistance.

HIV-1 drug resistance mutations associated with ETR cross-resistance. NNRTI and NRTI drug resistance mutations from the 100 donor-derived recombinant viruses were analyzed to determine if specific mutations were associated with resistance to ETR. After adjustment for multiple comparisons, L100I (q < 0.049), Y181C (q < 0.001), and M230L (q < 0.174) were the only NNRTI mutations significantly associated with ETR resistance (Table 1). The NRTI mutation K65R was found to be more prevalent in samples with ETR resistance.

HIV-1 subtype C donor-derived viruses with V90I (P < 0.024) and V179D (P < 0.007) also showed a trend toward increased ETR resistance, but when adjusted for multiple comparisons (q < 0.567 and q < 0.233, respectively), these were no longer significant. No other NNRTI mutations in this sample set, including A98G, K101E/H/P, V106I,

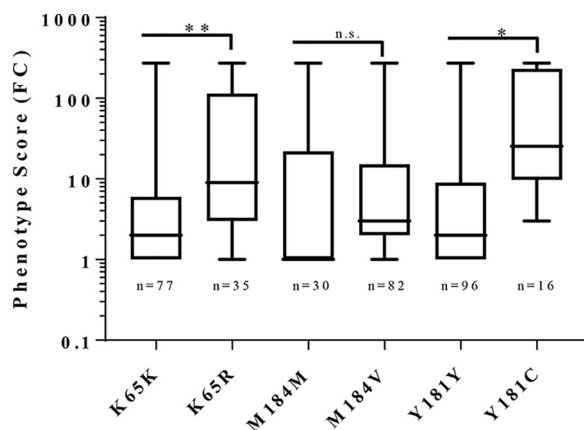


FIG 3 K65R is associated with higher ETR phenotypic resistance than samples with wild-type 65K. Fold change in ETR phenotype resistance was evaluated based on the presence or absence of K65R, M184V, or Y181C in this data set with differences evaluated by Fisher's exact test: *, $P < 0.05$; **, $P < 0.01$; n.s., no significant difference.

E138A/G/K/Q, V179F/T, Y181V or G190S/A were associated with ETR resistance (Table 1). Interestingly, three out of nine isolates with the RNase H domain mutations Q480H and Q483L ($q < 0.18$ and $q < 0.18$, respectively) had phenotypic FC values that exceeded the upper limit of the assay (>272 FC category; data not shown).

Interestingly, L100I, Y181C, and M230L were the only NNRTI RAMs associated with ETR resistance; however, these mutations are scored 15 (Y181C) and 30 (L100I and M230L) points lower in the HIVdb v8.4 predicted phenotype for ETR versus RPV. We recalculated the predicted phenotype using the HIVdb v8.4 scores for ETR with the additional HIVdb v8.4 points that would be allocated for RPV. The recalculation in samples containing these mutations reduced the HIVdb underestimation of ETR predicted phenotype (33/100 underestimated before and 18/100 after recalculation) and improved the overall concordance between ETR predicted and actual resistance (52/100 concordant before and 60/100 after recalculation).

The K65R mutation is associated with ETR resistance but does not contribute directly to the resistance phenotype. Fifty-one percent of samples with an FC of ≥ 2.9 had the K65R NRTI resistance mutation, whereas only 14% of samples that were susceptible to ETR had HIV-1 with K65R (Table 1). The mean phenotypic FC of K65R-containing samples was significantly higher (73.83 ± 18.86 , $n = 35$) compared to the mean FC of samples without K65R (10.95 ± 3.78 , $n = 77$; Fig. 3). In contrast, the NRTI mutation M184V was not associated with ETR resistance in these samples and there was no association between the K65R mutation and RPV or dapivirine (DPV) resistance (Fig. S4B), where these two NNRTIs share structural similarity to ETR (30, 31). To determine if the association of the K65R mutation with reduced ETR susceptibility was unique to our data set, phenotypic data collected from an independent study through the Stanford RT Phenotype Query was accessed (32). Using data from Melikian et al. 2012 (33) (the largest data set comparing codon 65 sequence with Phenosense score), a significant association of K65R with ETR resistance (Fig. S4A) was found. As above, the M184V mutation was not associated with ETR resistance in data from the Stanford RT Phenotype Query.

To further investigate the impact of K65R and susceptibility to ETR, site-directed mutagenesis was used to make 65R reversion in 2 of the 35 K65R-containing recombinant virus clones. No change in virus susceptibility to ETR was observed in these K65R reverted recombinant virus clones (Table S1). Because 77% (27/35) of the HIV-1 genotypes with K65R had a reduced phenotypic susceptibility to ETR, we explored the phenotypic effects of K65R combined with individual NNRTI resistance mutations on ETR susceptibility. We found that the association between K65R and ETR phenotypic resistance was limited to viruses that had three or greater NNRTI-associated resistance

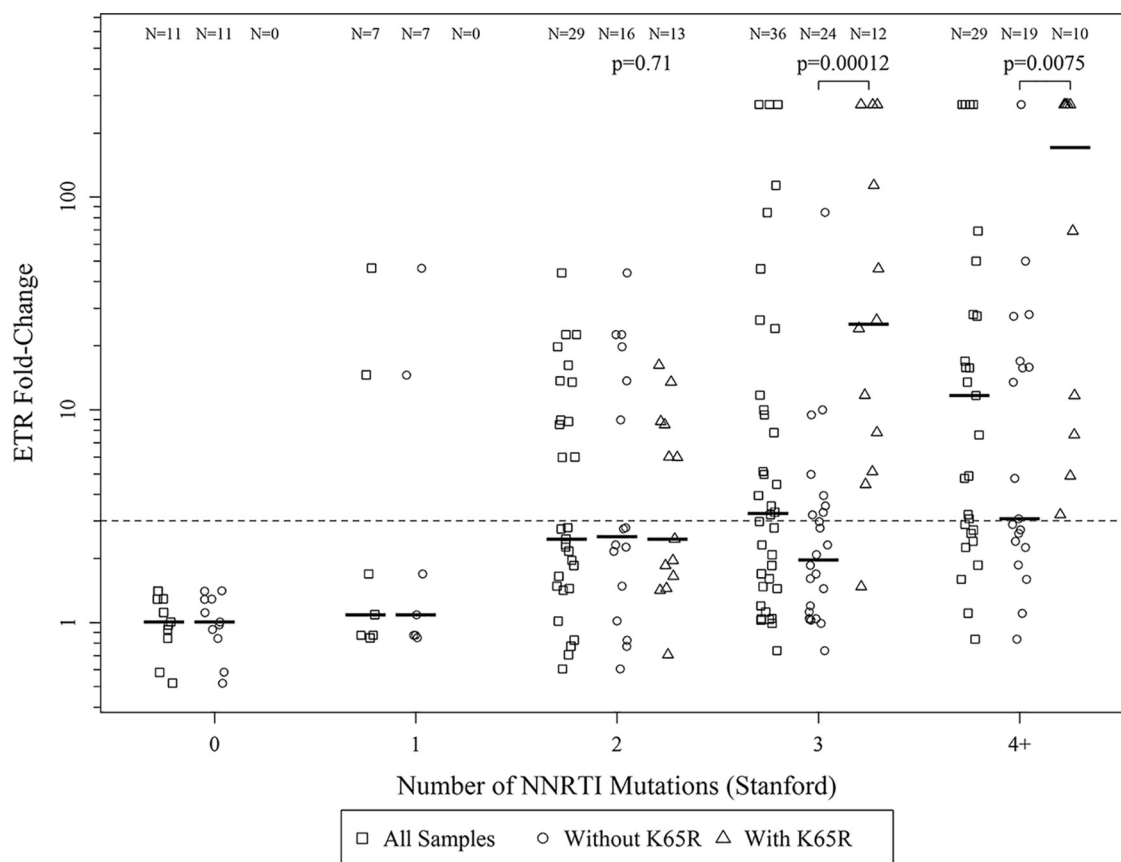


FIG 4 The correlation between K65R and greater ETR phenotypic resistance is limited to viral genomes containing 3 or more ETR NNRTI resistance-associated mutations. Fold change resistance was evaluated based on the contribution of the number of NNRTI-associated mutations A98G, L100I/V, K101E/H/P, K103H/N/S/T, V106A/I/M, V108I, E138A/G/K/Q/R, V179D/E/F/L, Y181C/F/G/I/V/S, Y188C/F/H/L, G190A/C/E/Q/S/T/V, H221Y, P225H, F227C/L, M230I/L, K238N/T, Y318F, or N348I (i.e., those with resistance scores ≥ 10 for one or more NNRTI as reported by the Stanford HIV Drug Resistance Database v8.4) per sample with and without K65R. Samples were grouped based on having zero, one, two, three, or four or more NNRTI-associated mutations. Samples with zero NNRTI-associated mutations include the 12 treatment-naïve individuals (i.e., control samples derived from the ARV treatment-naïve individuals from South Africa) that were used as wild-type comparators in this study. Each group displays individual FC values for all samples in the category (boxes), samples without K65R (circles), and samples containing K65R (triangles). The dotted line indicates the ETR phenotypic resistance clinical cutoff of 2.9-FC. ETR FC between samples with and without K65R were compared with the Mann-Whitney U-Test.

mutations (Fig. 4). Moreover, K65R was found to be associated with reduced susceptibility to ETR in genotypes that contained the NNRTI mutations V179D/F/T, Y181C/I/V, and/or M230L, whereas, no association was found with A98G, L100I, K101E/H/P, V106I, E138A/G/K/Q, or G190S/A (Table S2).

DISCUSSION

ETR is a potentially active drug for treatment of individuals who have experienced failure of first-line NVP- or EFV-based ART, and has been suggested by the WHO for use in third-line treatment in LMIC (6). However, there is considerable overlap in the resistance mutations associated with ETR, NVP, and EFV, increasing the likelihood that NNRTI treatment-experienced individuals will not respond to ETR-containing ART regimens. Genotypic HIV drug resistance testing is faster, less complex to perform, and more affordable than phenotypic assays, making it a more accessible diagnostic tool for treatment optimization in clinical practice. In LMIC, the use of genotypic HIV drug resistance testing and the accompanying algorithms to triage individuals to the most optimal third-line treatments has shown to be successful (34). It is therefore imperative that the genotypic algorithms accurately predict the level of drug resistance across all subtypes. Evidence suggests that current algorithms fail to accurately predict HIV-1

resistance to ETR in individuals with subtype C infections and with previous NVP and EFV exposure (22, 23). To add to this body of evidence, we investigated the correlation between genotypic and phenotypic susceptibility to ETR using recombinant viruses derived from persons living with HIV-1 subtype C who experienced virologic failure on an EFV- or NVP-based first-line treatment.

Over half (54%) of recombinant viruses were found to be phenotypically resistant to ETR (Fig. 1A). This finding supports previous studies showing that 42% and 49% of HIV-1 subtype C isolates with at least one NNRTI mutation or from individuals failing first-line NNRTI, respectively, were phenotypically resistant to ETR (23, 35). Furthermore, we found that, although results from the HIVdb v8.4 correlated with ETR phenotype ($r = 0.47$), there was disagreement between phenotypic and genotypic prediction to ETR in 48% of samples. More specifically, the HIVdb v8.4 algorithm under- and over-estimated ETR resistance in 33% and 13% of subtype C samples, respectively (Fig. 2A and B). This underestimation of ETR resistance with genotypic interpretation algorithms is of concern because of the potential impact on clinical response to ETR-containing ART regimens. We found that stratifying our data according to alternate cutoffs used in the field did not alter the overall interpretation of our study; therefore, it is unlikely that the phenotyping cutoffs used for this analysis had an impact on the observed discordance (data not shown). We also compared our phenotyping data to another drug resistance algorithm, Tibotec (36), and we found that this algorithm also failed to accurately predict resistance in 42% of our HIV-1 subtype C samples (Fig. S2).

Several factors could explain the discrepancy between genotypic interpretation algorithms and phenotypic assessment of resistance. One possible explanation is that resistant variants could be present at frequencies that could not be detected by population-based sequencing but could be contributing to the phenotypic outcome. However, Agneskog and colleagues showed that even when detecting minority variants using a 0.5% cutoff with next generation sequencing (NGS), genotypic interpretation algorithms such as Monogram, Tibotec, and HIVdb all underestimated the level of phenotypic resistance in ETR (22).

Another explanation for the predicted phenotype discrepancy is that there are NNRTI mutations or combinations of mutations that contribute to the resistance phenotype that are not incorporated into the genotypic interpretation algorithms. For example, there have been a number of studies suggesting a role for RNase H domain mutations in antiretroviral resistance and such mutations may not be included in genotypic interpretation algorithms (37). Interestingly, 33% (3/9) of the samples that had >272 FC in ETR phenotype resistance had two RNase H mutations at Q480H and H483L ($q < 0.18$); however, we did not attempt genotypic reversions with these recombinant viruses because their phenotypes exceeded the maximum threshold for our phenotyping assay.

A third scenario is that the contributions of specific NNRTI mutations and combinations of mutations are not accurately accounted for in genotypic scoring. For example, we found that the current scoring for L100I, Y181C, and M230L may underestimate ETR phenotypic resistance while current scoring for A98G, K101H, V179D, Y188L, G190A, H221Y, and P225H may overestimate ETR phenotypic resistance. These findings are supported by a previous study on HIV-1 subtype C samples that concluded that EFV/NVP resistance mutations K103N, V106M, and G190A had no effect on ETR susceptibility and that the mutations L100I ($n = 12/100$) and M230L ($n = 10/100$) increased ETR resistance (35). Mutations at E138 have recently been shown to reduce ETR susceptibility; however, the mutation E138A was not associated with resistance in our data set (Table 1) and E138K/Q/R mutations were too few to reach significance (35, 38).

Interestingly, the NRTI mutation K65R was present in the majority of ETR-resistant viruses, and K65R-containing viruses had significantly higher ETR resistance than viruses without K65R (Fig. 3). However, K65R reversions in two recombinant viruses had no effect on ETR susceptibility and, consequently, we were unable to link a mechanism of K65R contribution to phenotypic resistance in these two samples. Site-directed muta-

tions in wild-type virus may have elucidated the role of K65R, but we were unable to produce viable recombinant virions that contained K65R. Without an observable change in phenotype, the findings on K65R in these data remain unclear; however, because the association was limited to genomes of ≥ 3 NNRTI RAM, we hypothesize that the correlation between K65R and ETR phenotypic resistance is a consequence of prolonged ART failure and the accumulation of NNRTI mutations that conferred ETR resistance.

In summary, this study confirms that phenotypic cross-resistance to ETR is common in individuals that have experienced virologic failure on an NNRTI-based first-line regimen in South Africa. Both the HIVdb and Tibotec genotypic interpretation algorithms were found to misclassify the ETR susceptibility in HIV-1 subtype C samples. Modifications to current genotypic algorithms for ETR are needed to improve prediction of phenotypic resistance for HIV-1 subtype C.

MATERIALS AND METHODS

Clinical samples. The donor-derived sample set used in this analysis has been described previously (30, 31). Briefly, plasma samples from 100 persons living with HIV-1 subtype C and experiencing virologic failure ($>10,000$ HIV-1 RNA copies/ml after 6 months of nevirapine (NVP)- and/or efavirenz (EFV)-containing ART) with at least one major NNRTI resistance mutation in HIV-1 reverse transcriptase (RT) (as defined by HIVdb v8.4) were used in this study (18, 39). These samples contained a median of three NNRTI-associated drug resistance mutations and the majority (94/100) of these samples also contained at least one NRTI resistance mutation. Control samples from 12 HIV-1 subtype C-infected treatment-naïve individuals having no NRTI or NNRTI resistance mutations (as defined by HIVdb v8.4) were obtained from the same geographical location. All donor samples were anonymized, and testing was approved by the South African Medical Association Research Ethics Committee (SAMAREC) and the Institutional Review Board of the University of Pittsburgh.

Generation of full-length donor-derived HIV-1_{LAI}. The 100 recombinant HIV-1_{LAI}-containing bulk-cloned, donor-derived, full-length RT have been previously reported (30, 31). Briefly, viral RNA was extracted using m2000sp (Abbott Molecular) and full-length HIV-1 RT was amplified using SuperScript III one-step RT-PCR System with Platinum *Taq* DNA polymerase (Invitrogen). The full-length RT amplicon was then bulk cloned into xxLAI_{thol} (40) using the In-Fusion HD cloning system (Clontech), followed by purification of plasmid DNA using the PureYield plasmid midiprep system (Promega). Lipofectamine2000 (Life Technologies) transfection into 293T cells was performed to generate infectious viral clones as reported (30, 31).

Clonal isolation and reversion to wild type at codon 65 in donor-derived HIV-1_{LAI}. Out of the 35 K65R-containing HIV-1_{LAI} recombinants, two were selected to make 65R reversions based on the following criteria: (i) ≤ 2 NNRTI mutations, including V179D/F/T, Y181C/I/V, or M230L; (ii) moderate resistance phenotype (greater than 10, but less than 270); and (iii) limited (≤ 2) mixed viral populations identified by Sanger sequencing. QuikChange XL site-directed mutagenesis kit (Agilent Technologies) was used to make the point mutations according to the manufacturer's instructions. QuikChange primers were designed using Agilent's primer design website (<https://www.agilent.com/store/primerDesignProgram.jsp>) and then ordered from Integrated DNA Technologies, Inc. Single plasmid clones were isolated by picking single colonies from limiting dilutions of bacteria plated on agar plates. The HIV RT region from these colony-isolated xxLAI_{thol} recombinants was amplified and sequenced to ensure that the plasmids were single clones and that they contained the 65R reversion.

HIV-1 phenotyping. A relative light unit (RLU)-normalized input was used to infect untreated or ETR-treated TZM-bl cells in a luciferase-based single cycle drug susceptibility assay as previously reported (30, 31, 41). Briefly, TZM-bl cells were plated at 10,000 cells per well overnight. The cells were treated with serial dilutions of ETR, and infected with a standardized input of infectious HIV-1 wild-type or resistant virus. After a 48-h incubation at 37°C, the cells were lysed, and luminescence was measured in RLU (Britelite Plus; Perkin-Elmer). Endpoints were measured as RLU generated by luciferase expression and, therefore, viruses used for the ETR drug susceptibility were normalized to 300 RLU for optimal signal within the dynamic range for the Thermo Scientific Luminoskan Ascent instrument (42). The 50% effective concentration (EC_{50}) in cloned wild-type virus remained constant regardless of the virus input tested. GraphPad Prism 6 software (GraphPad Software, Inc.) four parameter, nonlinear regression for curve fitting was used to generate EC_{50} values. All ETR EC_{50} s were evaluated as fold change values (FC) determined by dividing the EC_{50} generated for each recombinant plasma-derived virus by the mean EC_{50} from duplicate determinations of the twelve treatment-naïve plasma-derived subtype C viruses collected from the same geographical region. The lower cutoff of <2.9 -FC was used to define virus susceptibility based on the cutoff established in the PhenoSenseGT HIV assay and the upper cutoff >10 -FC as fully resistant was used to be consistent with phenotyping assay resistance cutoffs established in the field (35, 43, 44). However, it is important to note that, although the lower clinical cutoffs from PhenoSenseGT HIV assay were used, the cutoff values are not linked to clinical correlates or outcomes by the phenotyping assay used in this study. ETR was obtained through the AIDS Research and Reference Reagent Program, Division of AIDS, NIAID, NIH: etravirine (cat. number 11609) from Janssen Pharmaceutical Companies.

HIV-1 population-based genotype. An in-house genotype assay was used to sequence HIV-1 from donor plasma at Lancet Laboratories, SA, as previously described (45). Full-length HIV-1 RT from

plasma-derived recombinant xxLAI_{xhoI} viral stocks were also sequenced using six bidirectional primers spanning the entire length of RT. Drug resistance mutations and virus subtypes were identified using the HIVdb resistance interpretation algorithm v8.4 (HIVdb v8.4) and REGA HIV-1 subtyping tool, respectively (13, 46). Phylogenetic analysis (Stanford Calibrated Population Resistance Tool v6.0) (47) was used to ensure that plasma-derived cloned virus sequences clustered with the parental virus in the starting plasma sample and the sequences had a median hamming distance of 99% (686/691). An ambiguity index was calculated as the percentage of ambiguous base calls (R, Y, K, M, S, W, B, D, H, V, or N) in each sequence over the number of bases in the sequence (48). The median ambiguity index for plasma-derived virus (0.0072) was not significantly different from the median ambiguity index for recombinant virus (0.0087) ($P > 0.05$).

HIVdb v8.4 genotypic interpretation algorithm for ETR drug resistance. The drug susceptibility scores were determined using the HIVdb v8.4 by uploading HIV-1 population genotyping FASTA files into the HIVdb website (<https://hivdb.stanford.edu/hivdb/by-sequences/>) (13). HIVdb v8.4 computes a final weight factor for each drug resistance mutation or for pairs of drug resistance mutations as follows: K101E+Y181C, K101E+Y188L, K101E+G190A, K101E+G190S, and A98G+Y181C each have a factor of five; A98G, L100V, K101H, E138A/G/K/Q/R, V179D/E/L, Y188L, G190A/C/S/T/V, H221Y, V179T+Y181C, and Y181C+G190A/C/S/T/V each have a score of 10; K101E, V179F, Y181F/G/S, M230I, and V179F+Y181C each have a score of 15; L100I, Y181C, F227C, and M230L have a score of 30; G190E/Q has a score of 45; and K101P and Y181I/V both have a score of 60 for ETR. Weighted scores are tallied and the HIV-1 phenotype relative to ETR is predicted to be: susceptible at <10; potential low-level resistance at 10 to 14; low-level resistance at 15 to 29; intermediate resistance at 30 to 59; and high-level resistance at >60. For comparison between genotype-predicted resistance and phenotypic actual resistance, the HIVdb v8.4 weighted scores groups were collapsed to create three categories as follows: susceptible (HIVdb score 0 to 14), low-intermediate resistance (HIVdb score 15 to 59), and high resistance (HIVdb score >60).

Statistical analysis. Statistical analysis was conducted as previously reported (30, 31). Fisher's exact test (FET) was used to assess differences in the prevalence of amino acids at all RT codons between samples with ≥ 2.9 -FC ETR resistance and samples with lower resistance, including those from 12 treatment-naïve individuals (FC of <2.9). The individual effect of NNRTI resistance mutations (as defined by HIVdb v8.4) on ETR resistance was assessed using FET to evaluate significance (39). A mutation was included in the analysis if it was present at an estimated frequency of >25% within a sample, as determined by population sequencing, and if there were at least three observations of the mutation in the entire data set. Correction for multiple comparisons was performed by controlling for the false discovery rate (FDR) using the method of Benjamini and Hochberg (49). An FDR-adjusted P value (or " q value") of <0.2 was considered significant. Statistical analyses were performed in R (v3.1.2) with the glmnet library.

Data availability. All full-length RT sequences that were derived from the 112 subtype C HIV-1 isolates have been deposited in GenBank under the accession numbers [MT109380](#) to [MT109491](#).

SUPPLEMENTAL MATERIAL

Supplemental material is available online only.

SUPPLEMENTAL FILE 1, PDF file, 0.2 MB.

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