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# Do Parkinson Disease Subject and Caregiver-Reported Epworth Sleepiness Scale Reponses Correlate?

David R. Shprecher, DO MSci<sup>1</sup>, Charles H. Adler, MD PhD<sup>2</sup>, Nan Zhang, MS<sup>3</sup>, Holly A. Shill, MD<sup>5</sup>, Christine M. Belden, PsyD<sup>1</sup>, Erika Driver-Dunckley, MD<sup>2</sup>, Shyamal H. Mehta, MD PhD<sup>2</sup>, Kathryn J. Davis, BA<sup>4</sup>, Lucia I. Sue, BS<sup>4</sup>, Edward Zamrini, MD<sup>1</sup>, Thomas G. Beach, MD, PhD<sup>4</sup>

<sup>1</sup>Cleo Roberts Center, Banner Sun Health Research Institute, Sun City, AZ, United States

<sup>2</sup>Department of Neurology, Mayo Clinic College of Medicine, Scottsdale, AZ, United States

<sup>3</sup>Department of Health Science Research, Section of Biostatistics, Mayo Clinic College of Medicine, Scottsdale, AZ, United States

<sup>4</sup>Civin Laboratory for Neuropathology, Banner Sun Health Research Institute, Sun City, AZ, United States

<sup>5</sup>Barrow Neurological Institute, Phoenix, AZ, United States

# Abstract

**Objective:** Subjective excessive daytime sleepiness, commonly measured with the Epworth Sleepiness Scale (ESS), is associated with cognitive impairment in Parkinson disease (PD). Significant correlation between subject and informant responses has been reported in neurologically healthy individuals. We sought to assess this correlation in patients with PD.

**Patients and Methods:** 854 individuals in the Arizona Study of Aging and Neurodegenerative Disorders (AZSAND) had subject as well as informant-completed ESS completed within one year of a movement disorder exam and cognitive assessment. Correlations were evaluated using Spearman's rank correlation coefficients.

**Results:** Overall, 397/854(46.5%) were female with mean age of 77.5 (SD 8.3). 572 (67%) were cognitively normal (CogNL), 135 (15.8%) had mild cognitive impairment (MCI) and 147 (17.2%)

<sup>&</sup>lt;sup>\*</sup>Corresponding Author: David Shprecher, DO Msci, Banner Sun Health Research Institute, 10515 W Santa Fe Dr, Sun City, AZ 85351, Tel 623-832-6530/Fax 623-832-6504/ david.shprecher@bannerhealth.com.

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dementia. Spearman R correlations (all with p 0.001) between subject and informant ESS responses were 0.73 overall, 0.67 for the CogNL group, 0.79 for the MCI group, 0.79 for those with dementia. Of 175 with clinically probable PD, 115 (65.7%) were CogNL, 38 had MCI, and 22 (12.6%) dementia. For subjects with PD correlations (all with p<0.001) were 0.65 for PD-CogNL, 0.83 for PD-MCI, and 0.70 for those with PD-dementia.

**Conclusion:** These significant correlations between subject and informant-completed ESS can be useful in guiding clinical trials designed to assess efficacy of potential treatments for excessive daytime sleepiness for the general population and for patients with PD, even those having cognitive impairment.

#### Keywords

Parkinson disease; cognitive impairment; mild cognitive impairment; dementia; excessive daytime sleepiness; Epworth Sleepiness Scale

## 1. Introduction

Subjective excessive daytime sleepiness (EDS), commonly measured with the Epworth Sleepiness Scale (ESS), is more common in Parkinson disease (PD) than in healthy controls. [1] While EDS in newly diagnosed PD subjects is no more common than age-matched healthy controls, [2] it becomes increasingly common with PD progression.[3] EDS is also correlated with dementia,[4] which may eventually develop in up to 80% of PD patients.[5] Though cognitive impairment might be expected to affect insight about EDS, correlation between responses of the PD patient and a caregiver, or other close relative informant, to the ESS has, to our knowledge, never been studied.

#### 2. Patients and Methods

#### 2.1. Study design

Data were derived from the Arizona Study of Aging and Neurodegenerative Disorders (AZSAND), a longitudinal cohort study of adults in Maricopa County, Arizona in the United States with a focus on recruitment of individuals with Parkinson disease, dementia and neurologically healthy controls.[6] The study was approved by the Western IRB and all subjects signed written informed consent. We identified 854 individuals with subject as well as informant-completed ESS and completed within one year of a movement disorder exam as well as neuropsychological assessment. Clinical diagnosis had been reviewed and assigned annually according to standard diagnostic criteria and based upon all available test results by a consensus committee consisting of expert neurologists, psychiatrists and neuropsychologists. [6]

#### 2.2. Statistical methods

Data were analyzed using SAS 9.3 software. Paired t-test was used to compare mean differences in subject-informant responses to the ESS. Subject and informant ESS correlations were estimated using the Spearman rank correlation coefficient.

#### 3. Results

#### 3.1. Subject characteristics

Of the 854 subjects identified, 397(46.5%) were female with mean age of 77.5 (SD 8.3). Cognitive status was 572 (67%) cognitively normal (CogNL), 135 (15.8%) mild cognitive impairment (MCI) and 147 (17.2%) dementia. There were 175 subjects with clinically probable PD, 115 (65.7%) were CogNL, 38 (21.7%) had MCI, and 22 (12.6%) dementia.

#### 3.2. Subject-CR ESS Scores

Mean subject-informant scores (table 1) were significantly different for overall sample, MCI, dementia, and non-PD subjects overall (p<0.01). These differences did not meet statistical significance for any of the PD groups.

#### 3.3. Subject-CR ESS Score Correlations

Spearman R correlations between subject and informant ESS responses were 0.73 overall, 0.67 for the CogNL group, 0.79 for the MCI group, 0.79 for those with dementia (figure 1a). For the subjects that did not have PD the r value was 0.70. For subjects with PD the r value was 0.65 for the CogNL cases, 0.83 PD-MCI and 0.70 for those with PD-dementia (figure 1b). P value was <0.001 for all comparisons.

# 4. Discussion

To our knowledge, this is the first study to examine the correlations between subject and informant-competed ESS in subjects with PD. Our data showed excellent correlations between subject and informant-completed ESS scores, regardless of cognitive impairment or whether the subjects had PD with or without MCI or dementia. As data from previous studies in non-PD populations have shown conflicting results, [7] the presented data should be interpreted with caution. One limitation of this study is that, in AZSAND, subject and informant complete the ESS at home so one may influence the other's responses. However, as the mean differences in ESS score between subject and informant for our overall, as well as non-PD MCI and dementia samples, did meet statistical significance, this may not be a significant factor. Those within the PD-MCI and PD-dementia sample did not, however this may be due to much smaller sample size in these groups. Another limitation is the subjective measure of daytime sleepiness without objective correlation, as ESS has not been shown in PD subjects to correlate with the more objective mean sleep latency test. [8] To address the limitations of this study, additional research is needed using larger sample sizes (with measures taken to prevent informants from influencing subject responses). The significant correlations demonstrated between subject and informant-completed ESS in this study can be useful to guide design of clinical trials to assess efficacy of potential treatments for EDS in the setting of PD, even with associated cognitive impairment.

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# Highlights

- We compared Parkinson disease patient/caregiver Epworth Sleepiness Scale responses
- Responses of Parkinson disease participants correlated significantly
- Correlations were seen in those with Parkinson's related cognitive decline
- These results may be useful in guiding treatment trials in Parkinson disease

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#### Figure 1a.

Correlation overall and by cognitive status

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PD: Parkinson disease; CogNL: normal; MCI: mild cognitive impairment; PDD: Parkinson disease dementia

#### Figure 1b.

Correlation by PD and cognitive status

#### Table 1.

ESS-informant and ESS-participant comparison in the overall cohort and in sub-populations

Population	ESS-Informant	ESS-Participant	Difference (95% CI)	P value*
Overall (N=854); Mean(SD)	7.7 (5.2)	7.1 (4.9)	0.57 (0.34 to 0.81)	<.001
CogNL (N=572); Mean(SD)	6.6 (4.4)	6.3 (4.2)	0.28 (-0.00 to 0.56)	0.052
MCI (N=135); Mean(SD)	8.5 (5.7)	7.7 (5.5)	0.81 (0.26 to 1.37)	0.005
DEM (N=147); Mean(SD)	10.9 (5.9)	9.4 (5.8)	1.48 (0.88 to 2.08)	<.001
Non PD (N=679); Mean(SD)	7.1 (4.9)	6.5 (4.6)	0.66 (0.40 to 0.91)	<.001
PD-CogNL (N=115); Mean(SD)	8.2 (5.0)	8.1 (4.7)	0.03 (-0.68 to 0.75)	0.923
PD-MCI (N=38); Mean(SD)	12.1 (5.6)	11.7 (5.7)	0.37 (-0.64 to 1.37)	0.463
PDD (N=22); Mean(SD)	13.8 (5.0)	12.6 (5.1)	1.14 (-0.41 to 2.69)	0.142

\*. Paired t-test is used

CogNL: normal; MCI: mild cognitive impairment; PD: Parkinson disease; PDD: Parkinson disease dementia