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CNN-based hierarchical coarse-to-fine segmentation of pelvic CT images for prostate cancer radiotherapy

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Abstract

Accurate segmentation of organs-at-risk is important in prostate cancer radiation therapy planning. However, poor soft tissue contrast in CT makes the segmentation task very challenging. We propose a deep convolutional neural network approach to automatically segment the prostate, bladder, and rectum from pelvic CT. A hierarchical coarse-to-fine segmentation strategy is used where the first step generates a coarse segmentation from which an organ-specific region of interest (ROI) localization map is produced. The second step produces detailed and accurate segmentation of the organs. The ROI localization map is generated using a 3D U-net. The localization map helps adjusting the ROI of each organ that needs to be segmented and hence improves computational efficiency by eliminating irrelevant background information. For the fine segmentation step, we designed a fully convolutional network (FCN) by combining a generative adversarial network (GAN) with a U-net. Specifically, the generator is a 3D U-net that is trained to predict individual pelvic structures, and the discriminator is an FCN which fine-tunes the generator predicted segmentation map by comparing it with the ground truth. The network was trained using 100 CT datasets and tested on 15 datasets to segment the prostate, bladder and rectum. The average Dice similarity (mean \pm SD) of the prostate, bladder and rectum are 0.90 \pm 0.05, 0.96 \pm 0.06 and 0.91 \pm 0.09, respectively, and Hausdorff distances of these three structures are 5.21 \pm 1.17, 4.37 \pm 0.56 and 6.11 \pm 1.47(mm), respectively. The proposed method produces accurate and reproducible segmentation of pelvic structures, which can be potentially valuable for prostate cancer radiotherapy treatment planning.

Keywords

Prostate Cancer; Male Pelvic Organ; CT image; Hierarchical Segmentation; Radiotherapy

1. INTRODUCTION

Prostate cancer is the most prevalent malignancy in men, and the second leading cause of cancer death in the US with approximately 174,650 new cases and 31,620 deaths estimated in 2019.¹ Standard treatment options for prostate cancer include radiotherapy such as external beam radiation therapy (EBRT) and high/low-dose-rate brachytherapy. The efficacy of radiotherapy depends on accurate delivery of therapeutic radiation dose to the target while sparing adjacent healthy tissues. In image-guided radiotherapy planning, accurate segmentation of the prostate and other organs at risk (OAR) is a necessary step. Manual segmentation is still considered as the gold standard in current clinical practice, but it is very

time consuming and the quality of the segmentation varies depending on the expert's knowledge and experience. In addition, poor soft tissue contrast in CT images makes the contouring process challenging and thus yields to large inter-and intra-observer contouring variability.²⁻⁴

Automatic organ segmentation is an active research area for the last few decades. Existing automatic segmentation methods can be broadly categorized into three classes: atlas-based, model-based, and learning-based methods. In atlas-based methods, an atlas dataset is created from a set of CT images and manually segmented labels, which are registered to the image to be segmented followed by atlas contour propagation to the target image to get final segmentation.⁵⁻⁸ The segmentation accuracy of atlas-based method largely depends on the accuracy of image registration used for atlas matching and the selection of optimal atlases. Since a single atlas cannot perfectly fit to every patients, using multiple atlases has become a standard baseline for atlas-based segmentation, where multiple sets of contours transferred from different atlases will be fused into a single set of consensus segmentations by using a label fusion technique.⁹⁻¹⁴ While multi-atlas-based segmentation has been widely adopted with state-of-the-art segmentation quality, it requires a significant amount of time as it involves multiple registrations between the atlas and the target volumes. Model-based segmentation utilizes a priori knowledge of the target such as shape, intensity, and texture to constrain the registration process.¹⁵⁻²¹ It is often used in combination with other method, e.g., multi-atlas auto-segmentation, to further improve the segmentation. Such hybrid approaches that combine the atlas-and model-based segmentations have been applied for the delineation of head and neck structures on CT images, showing promising results.^{16, 22-24} Other model-based segmentation approaches include deformable model-based segmentations that initialize a deformable model into the image to be segmented and then the segmentation proceeds by deforming the initial model using image-specific knowledge.^{25, 26} These model-based methods require fine-tuned parameters for every structure to be segmented, and are sensitive to structures and image quality variations. Learning-based models train a classifier or regressor from a pool of training image. Then the segmentation is generated by predicting the likelihood map.^{27, 28} Even though this class of segmentation is more flexible than the other two classes as it does not require any priori knowledge, this class is limited to the hand-crafted feature extraction.

In the last few years, deep learning-based automatic segmentation demonstrated its potential in accurate and consistent organ delineation. Particularly, convolutional neural network (CNN) became a state-of-the-art in solving challenging problems in image classification and segmentation due to its automatic deep feature extraction.²⁹ Ronneberger et al. proposed the 2D U-net which is a fully convolutional neural network (FCN) with skip connection and capable of extracting contextual features from contacting layers and structural information from expansion layers³⁰. A 3D volumetric segmentation using FCN, called V-net, is proposed to segment prostate in MR data.³¹ Zhu et al. incorporated deep supervision in hidden layers of U-net to segment prostate in MR images.³² Wang et al. developed a prostate segmentation in CT where a dilated convolution, along with deep supervision is combined with FCN.³³ Segmentation of male pelvic structures (bladder, prostate and rectum) is performed using 2D U-net³⁴ and using FCN with boundary sensitive information.³⁵ General adversarial network (GAN) is a learning based network that estimates a generative model

through an adversarial process. GANs have attracted much interest for their success in unsupervised learning^{36, 37} and have been used in detecting prostate cancer.³⁸

In this paper, we propose a hierarchical volumetric segmentation of male pelvic CT where a coarse segmentation is produced using a multiclass 3DU-net to determine organ-specific regions of interest (ROIs) and fine segmentation is performed utilizing GAN with a 3D U-net as generator and FCN as discriminator. GAN contributes by providing learned parameters of accurate segmentation by distinguishing between real and fake segmentations and thus globally improving segmentation accuracy.

2. METHODS

We propose a fully automatic segmentation method to segment pelvic structures such as the prostate, bladder, and rectum from male pelvic CT images. The pelvic CT contains larger background compared to the small organs. Such irrelevant background information burdens on computation efficiency. To improve performance, we design a hierarchical coarse-to-fine segmentation approach as shown in Figure 1.

2.1 Coarse Segmentation

In the coarse segmentation step, a ROI localization map is generated to extract only the ROIs that contain the organs of interest. The original CT image and manual contours are used to train a multiclass 3D U-net.³⁰ Similar to the standard U-net, it has contraction and expansion paths each with four layers. Each layer of contraction path is composed of two $3 \times 3 \times 3$ convolutions each followed by a leaky rectifier linear activation function³⁹, after that a $2 \times 2 \times 2$ max pooling is performed. In each layer, we add batch normalization to speed up learning by reducing the internal covariate shift⁴⁰ and dropout to prevent overfitting⁴¹. After each layer, the number of feature map is doubled. The expansion path has the similar architecture to the contraction path except that in each layer it has an upsampling followed by a $2 \times 2 \times 2$ deconvolution that halves the number of feature map. In the last layer, a $1 \times 1 \times 1$ convolution is used to map the output feature to the desired number of labels. Then the copy layers are used to transfer features extracted from the early contraction path to the expansion path. To save training cost, the ROI localization network is trained to segment organs all together instead of segmenting each organ separately.

We have down-sampled the original CT image to a lower resolution to train the coarse segmentation network. Once this first network is trained, it produces a coarse segmentation of pelvic structures of interest, which can be used to automatically extract ROIs of the prostate, bladder and rectum. Based on the coarse segmentation, the centroid of each organ is calculated and then the ROI of each organ is cropped from the original image where the centroid is the center of the cropped ROI. The cropped ROI are large enough to sufficiently cover each organ and enough background context.

2.2 Fine Segmentation

For the fine segmentation, three CNNs are trained based on the cropped (using the ROIs from the coarse segmentation) CT and manual segmentations separately for the prostate, bladder, and rectum. The CNNs in this step are designed using GAN and 3D U-net as shown

in Figure 2. The GAN network consists of a generator and discriminator networks where the two networks compete with each other to produce an accurate and optimal segmentation map. A 3D U-net is used as a generator, and trained using cropped CT and manual segmentations. After training, the generator produces predicted label of the OAR. On the other hand, an FCN is used as the discriminator and trained using manually contoured labels to determine if the generator predicted labels are real or fake.

In GANs both the generator network G and discriminator network D are trained simultaneously. The objective of G is to learn the distribution p_X from the dataset x and then samples a variable z from the uniform or Gaussian distribution $p_Z(z)$. The purpose of D is to classify whether an image comes from training datasets or from G . To define the cost function of the GAN, let I_{fake} and I_{real} denote labels for fake and real data, respectively. Then the cost function for D and G are defined using least square loss function⁴² as follows:

$$\min_D Loss_D = \frac{1}{2} E_{x \sim p_X(x)} [(D(x) - I_{real})^2] + \frac{1}{2} E_{z \sim p_Z(z)} [(D(G(z)) - I_{fake})^2] \quad (1)$$

$$\min_G Loss_G = \frac{1}{2} E_{z \sim p_Z(z)} [(D(G(z)) - c)^2] \quad (2)$$

where c is constant value that generator wants discriminator to believe for fake labels.

In the proposed method, for fine segmentation we defined the objective function of the generator as the sum of weighted dice loss function and least squared generator loss function defined in equation (2). The final objective function to optimize the network is defined as:

$$Loss = Loss_{dice} + Loss_G \quad (3)$$

We have used a weighted dice loss define as:

$$Loss_{dice} = \sum_i w_i \left(1 - \frac{\sum_x b_i(x) p(x, i)}{\sum_x b_i(x) + p(x, i)} \right) \quad (4)$$

where for each class i , w_i is the class weight and $b_i(x)$ and is the binary map at each pixel x and $p(x, i)$ is the predicted probability.

3. RESULTS

We evaluated the proposed segmentation method using 115 CT datasets obtained from prostate cancer patients who were treated by EBRT. We used 100 datasets for training and remaining 15 datasets for testing. Each patient had CT images of pelvic region and manual contouring of the prostate, bladder, and rectum drawn by a radiation oncologist. The size of the original CT image was $512 \times 512 \times [80-120]$ voxels with a voxel size of $1.17 \times 1.17 \times 3$ mm³. We downsampled the original CT image to $5 \times 5 \times 5$ mm³ voxel resolution with a dimension of $118 \times 118 \times [48-72]$ which were then used to train the coarse segmentation network. The coarse segmentation network produced a rough segmentation of the organs from which we extracted the ROIs of the prostate, bladder, and rectum. The ROIs were

96×96×32, 112×112×64, and 96×96×64 voxels for the prostate, bladder, and rectum, respectively, which were cropped from the original CT for the successive fine segmentations. The same 100 patient data were used to train the fine segmentation networks after ROI cropping from the original CT (not downsampled). In order to quantitatively assess the performance of the proposed method we used Dice similarity coefficient (DSC), Mean Surface Distance (MSD) and Hausdorff Distance (HD). An example segmentation from one of the test cases is shown in Figure 3 and the 3D rendering of the segmentations is shown in Figure 4.

Class-imbalance is a common problem in multiclass segmentation where small structures are prone to being underrepresented. We compared our proposed hierarchical segmentation with segmentation where the network was trained with all the multiclass labels simultaneously to produce segmentation label maps of the prostate, bladder, and rectum altogether. In terms of segmentation accuracy, the proposed method with single-class training always performed better than the multiclass segmentation. In addition, we also compared to the 3DU-net based segmentation which was trained for multiclass segmentation. Table 1 shows comparison results. The proposed method obtains significant improvement over the multiclass U-net +GAN and U-net-based segmentations with a respective Dice (mean ±SD) of 0.90 ± 0.05 , 0.96 ± 0.06 and 0.91 ± 0.09 for the prostate, bladder and rectum, respectively. The MSD for all three structures are less than 1.8 mm, which is within the expected random error given the image resolution of $1.17 \times 1.17 \times 3 \text{ mm}^3$. The proposed method also achieves lower HD than the other two methods.

Table 2 shows quantitative comparison between our method with existing state-of-the-art methods that used different datasets. Our method outperforms both multi-atlas-based methods and machine learning-based method using regression forest-based deformable segmentation.^{27, 28, 43} Kazemifar et al. proposed a deep learning based segmentation of CT male pelvic organs using 2D U-Net with DSC of 0.88 ± 0.10 , 0.95 ± 0.04 and 0.92 ± 0.10 for the prostate, bladder and rectum, respectively. Another CNN-based automatic segmentation of the same pelvic organs by incorporating boundary information in network training is recently proposed where the segmentation accuracy in terms of DSC are 0.89 ± 0.03 , 350.94 ± 0.03 and 0.89 ± 0.04 for the prostate, bladder and rectum, respectively.³⁵ Although these methods are capable of producing satisfactory segmentation results, our method achieves better performance in segmenting pelvic organs from CT images.

4. CONCLUSION

We present an end-to-end, deep-learning-based automatic segmentation of male pelvic OARs using U-net+GAN with an automatic ROI localization of the organs to be segmented. The automatic ROI extraction improves computational efficiency and the segmentation accuracy by allowing the fine segmentation networks to focus only on the region of each organ. The fine segmentation of each organ is performed using U-net+GAN where the generator and discriminator competes with each other to improve segmentation accuracy. To avoid class imbalance problem of multiclass segmentation, we performed single-class training, which significantly improved segmentation accuracy over the multiclass training-based segmentation. We performed experimental validation using clinical data and showed

that the proposed method outperformed other state-of-the-art methods. The proposed method can potentially improve the efficiency of radiation therapy planning of prostate cancer treatment.

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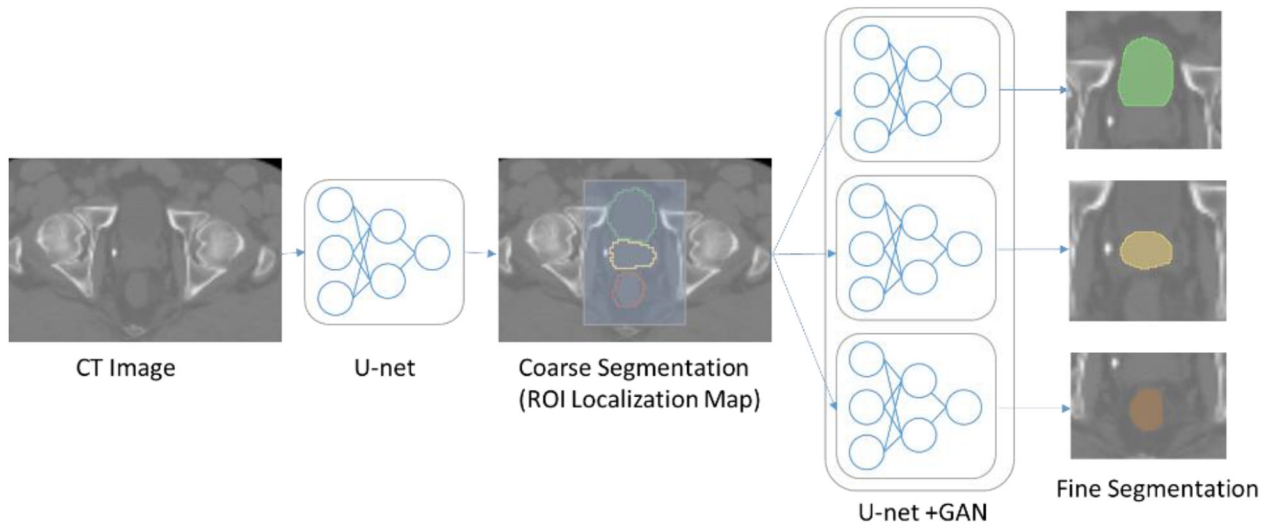


Figure 1. Workflow of the proposed hierarchical segmentation method.

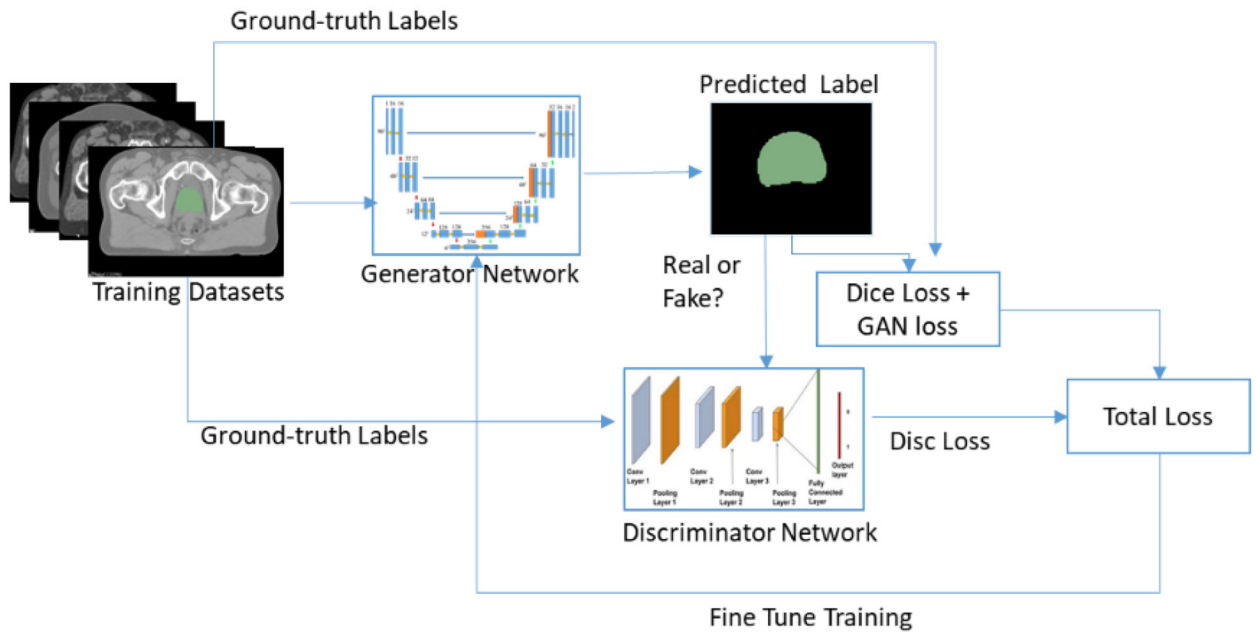


Figure 2.
The U-net + GAN architecture for pelvic structure segmentation.

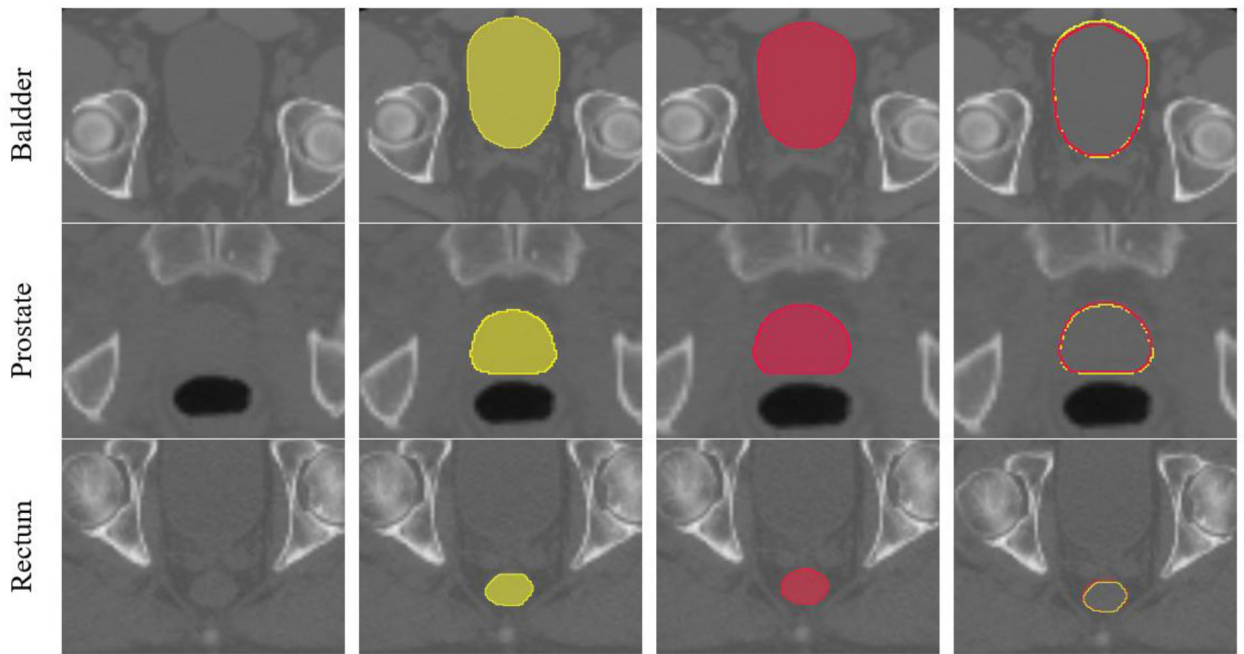


Figure 3.

An example segmentation result. The first column shows the ROI of each organ. The second column shows the ground truth segmentations. The third column is the proposed automatic segmentations and the fourth column shows overlaid contours of the ground truth (yellow) and automatic segmentation (red).

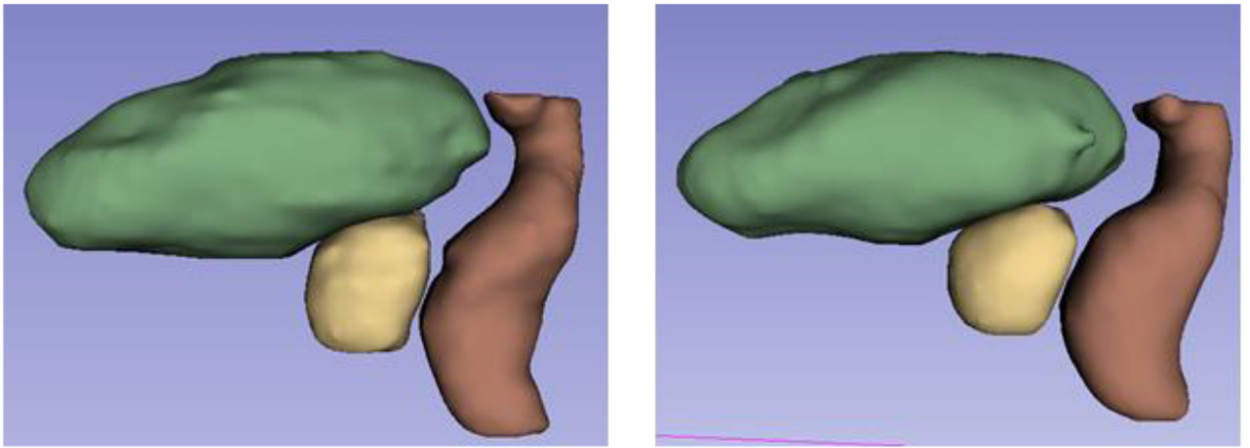


Figure 4.
3D representation of the segmentations (yellow: prostate, green: bladder, brown: rectum).
(Left) Ground truth. (Right) Proposed automatic segmentation.

Table 1.

Quantitative comparison of segmentation results from different methods.

Metrics	Method	OAR		
		Prostate (mean \pm SD)	Bladder (mean \pm SD)	Rectum (mean \pm SD)
DSC	Hierarchical U-net+GAN	0.90 \pm 0.05	0.96 \pm 0.06	0.91 \pm 0.09
	U-net+GAN (Multiclass)	0.86 \pm 0.07	0.92 \pm 0.05	0.87 \pm 0.13
	U-net	0.84 \pm 0.05	0.88 \pm 0.06	0.83 \pm 0.16
MSD (mm)	Hierarchical U-net+GAN	1.56 \pm 0.37	0.95 \pm 0.15	1.78 \pm 1.13
	U-net+GAN (Multiclass)	2.28 \pm 0.78	2.11 \pm 0.45	3.45 \pm 0.95
	U-net	2.89 \pm 1.15	2.34 \pm 0.91	3.91 \pm 0.47
HD (mm)	Hierarchical U-net+GAN	5.21 \pm 1.17	4.37 \pm 0.56	6.11 \pm 1.47
	U-net+GAN (Multiclass)	6.55 \pm 1.53	6.55 \pm 1.53	7.55 \pm 1.53
	U-net	8.20 \pm 1.90	7.20 \pm 1.90	9.20 \pm 1.90

Table 2.

Comparison with previous studies based on DSC

	Method	Prostate	Bladder	Rectum
		DSC (mean \pm SD)	DSC (mean \pm SD)	DSC (mean \pm SD)
Shao et al. ²⁸	Learning-based using regression Forest	0.88 \pm 0.02	–	0.84 \pm 0.05
Gao et al. ²⁷		0.86 \pm 0.41	0.92 \pm 0.47	0.88 \pm 0.48
Acosta et al. ⁴³	Multi-atlas	0.85 \pm 0.40	0.92 \pm 0.20	0.80 \pm 0.70
Kazemifar et al. ³⁴	Deep learning using CNN	0.88 \pm 0.10	0.95 \pm 0.04	0.92 \pm 0.10
Wang et al. ³⁵		0.89 \pm 0.03	0.94 \pm 0.03	0.89 \pm 0.04

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