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# Adenosine deaminase-1 enhances germinal center formation and functional antibody responses to HIV-1 Envelope DNA and protein vaccines

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# Abstract

Adenosine deaminase-1 (ADA-1) plays both enzymatic and non-enzymatic roles in regulating immune cell function. Mutations in the ADA1 gene account for 15% of heritable severe-combined immunodeficiencies. We determined previously that ADA1 expression defines and is instrumental for the germinal center follicular helper T cell (T<sub>FH</sub>) phenotype using *in vitro* human assays. Herein, we tested whether ADA-1 can be used as an adjuvant to improve vaccine efficacy in vivo. In vitro, ADA-1 induced myeloid dendritic cell (mDC) maturation as measured by increased frequencies of CD40-, CD83-, CD86-, and HLA-DR-positive mDCs. ADA-1 treatment also

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Author Contributions

ENG and MHO contributed equally to this manuscript. ENG designed experiments, performed vaccinations, ELISA assays, analyzed data, and wrote the manuscript. MHO and VT designed experiments performed mouse flow cytometric experiments, and analysis, MC performed in vitro mDC experiments and analysis. DM and WF performed serum neutralization assays and analysis. NH provided clade C gp160 DNA and gp140 proteins for immunization. NLH, MAK, and EKH provided scientific insight and guidance. All authors reviewed and edited the manuscript.

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Competing interests

M. Kutzler receives grant funding, participates in industry collaborations, has received speaking honoraria, and honorarium for reviewing federal research grants at the NIH and DOD. Dr. Kutzler is currently funded through a sponsored research agreement with Pfizer, Inc. and has received royalties from patent licensure with Inovio Pharmaceuticals for molecular adjuvants and DNA vaccine antigens that are not being reported on in this manuscript. Thus, these financial relationships do not relate to the content of this manuscript and in no way pose a potential conflict of interest.

promoted the secretion of the  $T_{FH}$ -polarizing cytokine IL-6 from mDCs. In the context of an HIV-1 envelope (env) DNA vaccine, co-immunization with plasmid-encoded ADA-1 (pADA) enhanced humoral immunity. Animals co-immunized with env DNA and pADA had significantly increased frequencies of  $T_{FH}$  cells in their draining lymph nodes and increased HIV-binding IgG in serum. Next, mice were co-immunized with subtype C env gp160 DNA and pADA along with simultaneous immunization with matched gp140 trimeric protein. Mice that received env gp160 DNA, pADA, and gp140 glycoprotein had significantly more heterologous HIV-specific binding IgG in their serum. Furthermore, only mice that received the combination ADA-1 adjuvanted vaccine had detectable neutralizing antibody responses. These studies support the use of ADA-1 as a vaccine adjuvant to qualitatively enhance germinal center responses and represent a novel application of an existing therapeutic agent that can be quickly translated for clinical use.

#### One Sentence Summary:

Adenosine deaminase-1 is a novel adjuvant that improves the quality of antibody response by augmenting germinal center function and its use in the context of vaccination represents a novel use of a clinically-approved therapeutic.

#### Introduction

Adenosine deaminase-1 (ADA-1) regulates intra- and extracellular adenosine levels by deaminating adenosine to produce inosine. ADA-1 functions both enzymatically and extraenzymatically to regulate immune function. It is estimated that approximately 15% of heritable severe-combined immunodeficiencies (SCID) have their etiology in *ADA1* loss-of-function mutations[1]. CD4<sup>+</sup> T cells express CD26, an ADA-1 receptor, and it is proposed that ADA-1 may bridge the CD26 receptor on these cells and other ADA-1 receptors expressed on antigen-presenting cells, promoting the formation of an immunological synapse. We previously reported that *ADA1* is critical to the T<sub>FH</sub> program, and that addition of exogenous ADA-1 enhanced the ability of less efficient pre-T<sub>FH</sub> to provide help to B cells[2].

Induction of broadly neutralizing antibodies (bnAbs) against HIV-1 is critical to the generation of a vaccine[3,4]. The development of nAbs occurs in some HIV patients however, these responses develop slowly, allowing for the evolution of viral escape mutants. No vaccine so far has been able to elicit broad nAbs in humans or animal models[5][6]. nAb development correlates with germinal center (GC) function[7]. Therefore, there is a critical need to develop immunogens which display neutralizing epitopes as well as vaccine modalities that can enhance GC function in the context of immunization with HIV-1 env vaccine antigens. The ability to encode molecular adjuvants targeting specific immune pathways makes DNA a useful platform for such vaccine modalities.

We hypothesized that the co-delivery of plasmid-encoded ADA-1 (pADA) as a molecular adjuvant would improve the quality of humoral immune responses to HIV-1 env DNA immunogens in a GC  $T_{FH}$ -dependent manner. *In vitro*, we observed that treatment of human mDCs with ADA-1 induced maturation and resulted in a cytokine/chemokine secretion profile which likely supports  $T_{FH}$  differentiation. *In vivo*, in the context of a consensus clade

B HIV env DNA immunogen, we observed significant increases in the levels of HIV-binding IgG in the serum of mice co-immunized with pADA. These responses correlated with increased frequencies of GC  $T_{FH}$  in the draining lymph nodes (DLNs) of vaccinated animals. We also evaluated the ability of pADA to enhance GC activities in the context of a DNA-protein co-immunization regimen. Again, addition of molecular ADA-1 to the DNA arm of this regimen resulted in superior HIV-binding antibody production and the production of heterologous tier-1 nAbs in vaccinated mice. These data indicate that pADA co-immunization enhances antigen-specific IgG in the serum of vaccinated animals in a GC  $T_{FH}$ -dependent manner. Thus, ADA-1 is a promising adjuvant that targets vaccine-induced GC responses.

#### Results

#### ADA-1 induces the maturation of human mDCs

Whether ADA-1-matured mDCs influence the differentiation of CD4<sup>+</sup> T cells towards a T<sub>FH</sub> phenotype has yet to be determined. To test this, monocytes from healthy PBMCs were treated with GM-CSF/IL-4 48h followed by maturation with either ADA-1 or LPS/IFN $\gamma$  as a positive control for 24hrs. We assessed the frequency of surface costimulatory and HLA marker-expressing cells in ADA-1-treated mDCs compared to unstimulated cells after 24 hours. The gating strategy used for mDCs and the determination of their surface marker frequencies is shown in Supplemental Fig. 1A. We found that LPS/IFNy and ADA-1 significantly up-regulated the percentage of CD40, CD83, CD86, and HLA-DR-positive human mDCs compared to unstimulated control. (Fig. 1A-D). The increase in ADA-1mediated CD83 expression however, was noted to be significantly less than that induced by LPS/IFN $\gamma$  but still significantly higher than immature unstimulated cells (Fig. 1B). Interestingly, neither LPS/IFN $\gamma$ , nor ADA-1 treatments increased the frequency of mDCs positive for ligand of inducible co-stimulator (ICOSL) (Fig.1E). Both LPS/IFNy and ADA-1 induced the expression of CD40 (Fig. 1A), which is known to be important for human  $T_{FH}$ helper function via CD40L. These data confirm that ADA-1 induces the maturation of human mDCs in our *in vitro* culture system and suggest the possibility that ADA-1 may mediate its effect on T<sub>FH</sub> function at least in part, by altering the phenotype and maturation status of antigen-presenting cells.

# ADA-1 alters the cytokine and chemokine profile of human mDCs in vitro into a pro-T<sub>FH</sub> phenotype

We also evaluated the ADA-1 induced cytokine profile of mDCs in this system. LPS/IFN $\gamma$  significantly induced the production of high levels of cytokines and chemokines consistent with a pro-inflammatory phenotype compared to unstimulated cells, namely IL-6, IL-12p70, IL-1 $\beta$ , and CXCL13 (Fig. 1F, G, J, K). LPS plus IFN $\gamma$  also induced minimal secretion of the anti-inflammatory cytokine IL-10 from mDCs (Fig. 1I). While ADA-1 administration significantly increased the secretion of the pro-inflammatory molecules IL-6, IL-1 $\beta$ , and CXCL13 (Fig.1F, K, L) and the secretion of the anti-inflammatory molecules IL-4 and IL-10 (Fig.1H, I) from mDCs only in the case of IL-4 and IL-10 was this increase significantly more than that produced by LPS/IFN $\gamma$  stimulation. IL-6 is a key regulator of the master T<sub>FH</sub> cell transcription factor Bcl6[8–11]. IL-1 $\beta$  and CXCL13 have also been implicated in T<sub>FH</sub>

development/function [12–14]. Interestingly, ADA-1-matured mDCs were not able to secrete IL-12p70 at significant levels compared to those treated with LPS/IFN $\gamma$  (Fig. 1G). This observation suggests reduced inflammatory responses mediated by ADA-1 as compared to LPS/IFN $\gamma$ . Thus, ADA-1-stimulated mDCs produce high levels of the key pro-T<sub>FH</sub> cytokine IL-6, high amounts of IL-1ß and CXCL13, which may play a role in T<sub>FH</sub> cell differentiation, function, and proliferation[13,14], alongside robust levels of the regulatory cytokines IL-4 and IL-10. Overall, our results demonstrate that ADA-1 can induce the maturation of dendritic cells and elicits an mDC cytokine/chemokine secretion phenotype that is distinct from T<sub>H</sub>1 and T<sub>H</sub>2 cytokine profiles, and which may support T<sub>FH</sub> cell differentiation.

#### pADA enhances T<sub>FH</sub> Cell frequency in vivo

To determine the effect that pADA co-immunization has on  $T_{FH}$  cells *in vivo*, mice were vaccinated three times, separated by two weeks, with either empty plasmid (pVAX), DNA-encoded HIV-1 gp160 consensus envelop antigen from clade B viruses (pEnvB), or co-immunized with pEnvB and plasmid-encoded ADA-1 (+pADA) (Fig. 2A), and the frequency of germinal center B (GC B) and  $T_{FH}$  cells in the spleens and DLNs of vaccinated animals was quantified at day 7 post-3<sup>rd</sup> immunization by flow cytometry (Supp. Fig 1B). We observed statistically significant increases in the frequency of GC  $T_{FH}$  cells in the DLNs of pADA co-immunized animals as compared to animals receiving either empty plasmid vector or pEnvB alone (Fig. 2C, D). This increased frequency of  $T_{FH}$  appears limited to the local DLNs (popliteal and inguinal), as such enhancements were not observed in the spleens of pADA co-immunized animals (Fig. 2B). These results indicate that molecular ADA-1 can enhance  $T_{FH}$  cell frequency *in vivo* and importantly, demonstrate that the adjuvant effect of pADA is local and not systemic.

#### pADA enhances HIV-specific IgG in vaccinated animals

We hypothesized that co-delivery of plasmid-encoded ADA-1 (pADA) in the context of our anti-HIV DNA vaccine would enhance HIV-specific IgG in vaccinated animals. Serum ELISA from vaccinated animals (Fig. 2A) revealed statistically significant increases in HIV-1 envB-binding IgG in pADA co-immunized animals compared to those receiving either empty plasmid or antigen alone (Fig. 3A). No differences in serum IgA (Fig. 3B) or IgM (not shown) were detected at this time point. These data indicate that pADA co-immunization enhances antigen-specific serum antibody responses. Further analysis of the IgG subclasses revealed that pADA co-immunization resulted in a statistically significant increase in HIV-specific IgG2b (Fig. 3D), which may be suggestive of T<sub>FH</sub>-type immunity. This was not true for IgG1 (Fig. 3C), IgG2c (Fig. 3E), or IgG3 (Fig. 3F). Importantly, IgG2 antibodies have been associated with long-term non-progression in HIV-infected human patients[15,16].

As increased  $T_{FH}$  frequency has been associated with autoimmunity [17–19], we quantified the levels of anti-nuclear antibody (ANA) present in the serum of vaccinated animals after three immunizations via ELISA. We detected no increase in the levels of ANA in the serum of mice co-immunized with pADA compared to mice receiving either empty plasmid vector or pEnvB alone (Fig. 3G). These data indicate that ADA-1 supports  $T_{FH}$  expansion in an

antigen-dependent manner which will be critical to translating the use of ADA-1 as a vaccine adjuvant to the clinic.

#### pADA enhances serum IgG in a DNA-protein co-immunization regimen

DNA-prime protein-boost vaccination regimens and other heterologous prime-boost regimens have demonstrated increased humoral immunity[20][21–23]. Recently we demonstrated that simultaneous delivery of DNA immunogens and protein immunogens together is superior to protein-only immunization with respect to the kinetics, magnitude, and breadth of antibody responses[24]. Such vaccination regimens can capitalize on the ability of the DNA platform to engender robust cell-mediated responses and the ability of the protein-adjuvant platform to induce robust humoral responses, while potentiating a shortened immunization regimen in the clinic. We again hypothesized that addition of pADA to the DNA arm of this regimen would enhance anti-HIV IgG in a GC T<sub>FH</sub>-dependent manner. The env immunogens used in this study were cloned from the plasma of an HIV subtype C-infected subject in the CAPRISA cohort who developed nAbs early in infection which continued to broaden subsequently over 4.5 years of study[25]. A single env sequence from 54 weeks post infection was motif optimized and used in this vaccine study as a DNA plasmid expressing gp160 native trimer (pCAP257-54wk\_D), and as a modified truncated, non-cleaved trimeric glycoprotein gp140. We vaccinated mice with either clade C pCAP257-54wk\_D env DNA alone (pEnvC), pEnvC DNA and gp140 CAP257-54wk\_D protein (pEnvC+ rEnvC), pEnvC DNA and pADA without protein (pEnvC+pADA), or pEnvC DNA and pADA with simultaneous rEnvC protein immunization (pEnvC +rEnvC +pADA). All DNA immunogens and adjuvants were delivered to the left *tibialis anterior* followed by in vivo electroporation. Protein immunogens were delivered in aluminum phosphate adjuvant (AdjuPhos) in the right quadriceps femoris (Fig. 4A). While proteinadjuvant GC responses typically develop in 9-14 days, the kinetics of the GC response to DNA immunogens has not been well characterized, thus we vaccinated animals three times, separated by two weeks, and sacrificed them at days 3, 6, and 12 post-3rd immunization and quantified the frequencies of GC T<sub>FH</sub> and GC B in the DLNs and env-binding IgG in the serum. Interestingly, with this DNA antigen, in the absence of simultaneous protein immunization, co-immunization with pADA did not significantly enhance serum IgG responses compared to DNA antigen-only immunized animals. No differences were detected in serum IgG among any of the groups at days 3 and 6 post-3<sup>rd</sup> immunization (Fig. 4B, C). However, animals that received pADA with pEnvC and simultaneous protein immunization, had significantly more envC-binding IgG in serum than animals that received either DNA alone, DNA and protein, or DNA with pADA (Fig. 4D). These data confirm that pADA coimmunization can enhance antigen-specific serum antibody responses in the context of a DNA-protein co-immunization.

#### pADA induces nAbs during DNA-protein co-immunization

Only animals that received DNA and protein in the presence of pADA displayed increased envC-binding IgG at day 12 post-3<sup>rd</sup> immunization (Fig. 4D). This led us to hypothesize that administration of pADA supports enhanced GC function and thus alters the quality of humoral responses in this vaccination model. Therefore, we evaluated the quality of pADA-induced humoral responses in our mouse vaccination model using a TZM-bl neutralization

assay using IgG purified from the serum of immunized mice. Remarkably, despite the similar frequencies of GC cells in animals which received simultaneous protein immunization, we were only able to detect neutralization of heterologous, tier-1 subtype C HIV-MW965 pseudovirus using IgG from mice that received DNA and protein along with pADA co-immunization (Fig. 4E). Three of the five immunized mice developed nAbs with potent activity. These data indicate that pADA enhances the function of GCs, independent of increases in frequencies of GC cell populations.

# Immunization with pADA enhances $T_{FH}$ function in a DNA-protein co-immunization regimen

Analysis of HIV-specific IgG in the serum of animals receiving pEnvC and pADA with simultaneous rEnvC glycoprotein immunization indicated that pADA enhanced GC activities and antibody function. We collected the popliteal and inguinal lymph nodes from the left and right leg of each animal and quantified GC T<sub>FH</sub> and GC B cell frequencies by flow cytometry. Surprisingly, despite the observed increase in env-binding IgG in the serum of animals which received pEnvC, pADA, and simultaneous protein compared to those receiving pEnvC and simultaneous protein without pADA (Fig. 4D), all groups that received protein simultaneously displayed increases in the frequencies of GC T<sub>FH</sub> and GC B cells in the right DLNs irrespective of pADA co-immunization (Fig.5A-F). This data suggests that pADA enhances GC T<sub>FH</sub> function, but not necessarily GC T<sub>FH</sub> frequency, in this model. At all time points, there was no difference in the frequencies of GC  $T_{FH}$  or GC B cells in the left DLNs (Supp. Fig. 2). As expected, at the later time points, GC B frequencies strongly correlated with T<sub>FH</sub> frequencies in the DLNs (Fig. 6A-F). These responses appeared to be specific to the DLNs, as we were only able to detect differences in the spleen at day 12 post-3rd immunization, when animals that received pADA co-immunization and simultaneous protein immunization exhibited increased GC B cell frequency compared to animals receiving pEnvC alone or pEnvC with pADA, but not those receiving pEnvC and protein (Supp. Fig. 3). The discrepancy between T<sub>FH</sub> frequencies induced by DNA and pADA alone in this experiment compared to our DNA only experiments (Fig. 2) may be due to differences in immunogenicity or kinetics of GC formation between the two env plasmids. It is also possible that the robust GC formation observed upon immunization with protein and alum adjuvant make it difficult to distinguish pADA-mediated changes in immune responses.

#### pADA promotes T cell responses in a DNA-protein co-immunization regimen

Next we investigated the effect of pADA co-immunization on cell mediated immunity. We detected increased frequencies of IFN $\gamma$  spot-forming units (SFUs) in groups that were co-immunized with pADA and protein simultaneously at days 3 and 6 post 3<sup>rd</sup> immunization (Fig. 7A–B). At day 12 post-3<sup>rd</sup> immunization, groups which received simultaneous protein co-immunization with or without pADA had statistically significant increases in IFN $\gamma$ -secreting cells in the spleen compared to groups which received pEnvC alone or pEnvC with pADA (Fig. 7C). However, at day 12 post 3<sup>rd</sup> immunization, animals which received pEnvC, pADA, and rEnvC had significantly more IFN $\gamma$  SFUs compared to animals which received wither pEnvC alone, pEnvC with pADA, or pEnvC with protein. These data suggest that co-immunization with pADA in the context of a DNA-protein regimen promotes effector T cell

function. Overall, our findings support the notion that pADA enhances  $T_{FH}$  function which in turn, improves the quality of both humoral and cell mediated immune responses to vaccine antigens.

### Discussion

Our previous work demonstrates that ADA1 is significantly upregulated in GC T<sub>FH</sub> cells[2]. We observed increased frequencies of mDCs positive for surface costimulatory and antigenpresenting molecules following ADA-1 treatment in our *in vitro* culture system. This result is concordant with reports that stimulation with ADA-1 up-regulated the expression of surface markers of maturation on mDCs [26]. ADA-1 altered the cytokine and chemokine profile of mDCs to one that likely promotes the T<sub>FH</sub> program, including robust IL-6 production. While IL-6 is required to support the expression of the GC master regulator Bcl6 via STAT1[9,11], [27], the role of IL-12 in T<sub>FH</sub> differentiation is controversial. IL-12 can support the expression of Bcl6 via STAT4, however it also supports T-bet function, a master regulator which inhibits Bcl6[28],[29]. These responses have also been demonstrated to remain intact in STAT4-deficient animals, suggesting that IL-6 signaling through STAT1/ STAT3 can compensate for IL-12 signaling. The balance between Bcl6 and T-bet may be regulated by expression of IL-2, where high expression of IL-2 in the context of IL-12 signaling promotes T-bet, and low expression of IL-2 in the context of IL-12 signaling promotes Bcl6 function[30,31]. CXCL13, a key T<sub>FH</sub> chemokine and ligand for the characteristic T<sub>FH</sub> marker CXCR5, was increased in the supernatant of ADA-1-treated mDCs. CXCL13 can promote CXCR5 expression in an autocrine fashion [32,33]. The ADA-1-mediated increase in IL-4 and IL-10 suggests a balance in the established mDC response. Significant increases in IL-4 observed upon ADA-1 treatment indicates a regulatory response which may dampen inflammation. Further experiments on the ability of ADA-1-stimulated mDCs to directly induce naïve CD4+ T cells to differentiate into T<sub>FH</sub> cells, in vitro as well as in vivo must be performed.

These data indicate that ADA-1 supports GC function by at least two distinct, but not mutually exclusive mechanisms. Firstly, expression and release of extracellular ADA-1 in the GC supports the GC T<sub>FH</sub>:B cell interaction. This is supported by our previous experiments which demonstrate that exogenous ADA-1 treatment improves the  $T_{\rm FH}$ phenotype and enhances the capacity of less-efficient CD4<sup>+</sup> T cells to provide help to antibody secreting cells resulting in increased IgG secretion[2]. Secondly, ADA-1 promotes the maturation of APCs and the secretion of potentially T<sub>FH</sub>-polarizing cytokines from antigen presenting cells in vitro. In support of these mechanisms, we observed that coimmunization with pADA in the context of an HIV-1 env DNA vaccine increases DLN T<sub>FH</sub> cell frequencies and increases env-binding antibody in the serum of vaccinated mice. We were unable to detect increases in mouse anti-nuclear antibody (ANA) in the serum of animals that received pADA co-immunization, suggesting that increasing the frequencies of antigen-specific T<sub>FH</sub> cells is not detrimental. However, a complete characterization of the molecular mechanism of ADA-1 function in vivo is required in order to definitively demonstrate that ADA-1 administration in the context of an antigen-specific immune response does not promote autoimmunity.

Addition of pADA to the envelope DNA arm, and simultaneous co-delivery of protein-inadjuvant, significantly increased HIV-specific IgG in the serum of vaccinated animals compared to those which received envelope DNA alone, DNA and protein, or DNA and pADA without protein. Interestingly in this experiment, while DNA, pADA, and protein together significantly enhanced serum IgG, we detected significant increases in T<sub>FH</sub> frequencies in the DLNs of the protein-vaccinated limb of all animals which received protein, irrespective of co-immunization with pADA. These data verify that protein and aluminum adjuvant formulations increase TFH cell frequency; however, the enhanced frequency of T<sub>FH</sub> cells induced by protein-in-alum without pADA did not result in significant enhancement of serum IgG in our experiments, suggesting that pADA enhanced the function of  $T_{FH}$  cells as measured by antigen-binding antibody. This might be due to increased survivability of antigen-specific T<sub>FH</sub> cells and not necessarily increased proliferation of these cells which would explain the similar frequencies of  $T_{\text{FH}}$  between groups that received protein with- and without pADA. It is also possible that ADA-1 may directly interact with B cells as ADA-1 deficiency is known to cause B cell defects, and this interaction may increase plasma cell fate-choice resulting in increased plasma cells and serum antibody without altering GC B cell frequency. However, we have previously reported that treatment of memory B cells with exogenous ADA-1 in the absence of  $T_{FH}$  cells, does not enhance IgG production[2]. Recent elegant experiments indeed suggest that antigen availability is the primary driver for the plasma cell fate choice in the GC[34] and as DNA vaccine-transformed cells would likely be expressing both ADA-1 and env immunogens in the local DLN GCs, this could directly impact plasma cell differentiation. Interestingly, our initial experiments with DNA antigens and molecular ADA indicated that ADA enhanced frequencies of GC TFH however, in our DNA and protein experiments, addition of pADA did not enhance  $T_{FH}$  frequencies. We hypothesize that the addition of aluminum adjuvant enhances GC cell frequency so much so, that and ADA-1 adjuvant effect on cell numbers could not be observed, however its effect on GC function as measured by antibody function, is readily observed. It is however possible that the ADA effect is highly antigen specific and thus future experiments to address this are necessary and ongoing.

The development of HIV neutralizing antibodies exclusively in the sera of mice immunized with the triple combination of pCAP257 (gp160), pADA, and CAP257 gp140 glycoprotein, but not in those mice receiving pCAP257 (gp160) and matched glycoprotein gp140 without pADA, further supports the notion that pADA improves GC  $T_{FH}$  function *in vivo*. These data suggest that pADA enhances the functional output of the GC, namely the development of neutralizing antibodies. Further experiments to characterize the molecular mechanism by which pADA mediates its effects *in vivo* on antigen-presenting, T, and B cells are necessary to confirm this important finding. These results not only strongly support the use of pADA as a vaccine adjuvant to enhance humoral responses and T cell function, but also have implications for therapeutic interventions for SCID and autoimmune patients. Finally, as PEGylated ADA-1 is approved for the treatment of SCID patients and has an established safety and tolerability profile in the clinic[35,36], the use of ADA-1 to adjuvant vaccine-induced responses represents a novel application of an extant therapy with the potential to be fast-tracked for clinical application.

### **Materials and Methods**

#### DNA plasmid preparation.

DNA vaccine constructs expressing complete HIV-1 consensus EnvB and EnvC gp160 proteins including gp120/gp41 fusion sites, were generated by Inovio Pharmaceuticals (Plymouth Meeting, PA) and have been previously reported [37–39]. Murine adenosine deaminase-1 (Gene Bank accession number NM001272052) was cloned into the pVAX vector (Invitrogen) and amplified by Genescript (Piscataway, NJ). Plasmid constructs expressing subtype C Env gp160 from subject CAP257 were kindly provided by Penny Moore and collaborators working with the CAPRISA cohort, as described[25]. The CAP257 54 week env gene sequence (CAP257 54wk\_D) was motif-optimized using the Robins-Krasnitz methodology and cloned as gp160 into pEMC\*. Plasmid DNA was purified using Endo-free reagents (Qiagen, Valencia, CA). Expression of full length gp160 *in vitro* was verified by immunofluorescence surface staining of transfected cells using the human mAb b12 and by complementation of env-negative HIV genomes to produce HIV pseudovirus expressing the Env-CAP257–54wk D.

#### Protein production.

The gp140 DNA was derived from the gp160 envelope 54wk\_D sequence by site-directed mutagenesis (QuickChange Multi Site-Directed Mutagenesis Kit, Stratagene, La Jolla, CA) to insert the previously described mutations[40] in the primary and secondary protease cleavage sites respectively: REKR was mutated to RSKS and KAKRR was mutated to KAISS. A large-scale endotoxin-free plasmid preparation (Qiagen, Valencia, CA) was used for stable expression in 293F cells for protein production[41]

#### Plasmid immunizations.

6- to 12-week-old male and female C57BL/6 (Charles River) mice were immunized thrice, 2 weeks apart, in the left tibialis anterior muscle with either 50  $\mu$ g of pVAX, 20  $\mu$ g of HIV-1 antigenic plasmid (pEnvB), or 20  $\mu$ g of EnvB with 20  $\mu$ g of ADA-1 (pADA) (*n*= 4–6 animals per group). The injection was followed by *in vivo* electroporation using the constant current CELLECTRA device (Inovio Pharmaceuticals, Plymouth Meeting, PA). In DNA-protein co-immunization experiments animals received 10ug of gp140 DNA (CAO25754wk\_D) injected intramuscularly followed by in vivo electroporation.

#### Protein immunizations.

6- to 12-week-old female C57BL/6 mice were immunized thrice separated by two weeks in the right *quadriceps femoris* with 30 μl containing 10 μg of purified gp140 (CAP257–54wk\_D). Protein immunogen was formulated in aluminum phosphate (Adju-phos) adjuvant (Invivogen, San Diego, CA Animal work was performed according to protocols approved by our Institutional Animal Care and Use Committee.

#### Mouse sacrifice, sample collection, and tissue harvest.

At time points designated in the immunization schedule, the animals were sacrificed and blood, spleens, popliteal, and inguinal lymph nodes were collected. Lymph nodes and

spleens were processed to single cell suspensions. The resulting single cell suspensions from DLNs and spleens were washed and resuspended in R10 medium. All cells were counted, and cell viability was determined using a Countess Automated Cell Counter (Invitrogen, Life Technologies). Blood was collected in minicollect serum gel tubes (Grenier-Bio) and centrifuged at 13,000 RPM for 30 min at 4°C to separate serum.

#### Flow cytometry.

Single cell suspension of lymphocytes harvested from the DLNs, and spleens were suspended in cold RPMI 1640 containing 10% FBS and stained with the live/dead Fixable Aqua Dead Cell Stain Kit (Invitrogen) (dilution: 1/100; Cat. Number: L34957) to exclude dead cells. All cytometric analyses were performed using an LSR II flow cytometer (BD), and data were analyzed using FlowJo software (Treestar). All B-cell analyses were performed after gating out dead, IgD<sup>+</sup>, and CD3<sup>+</sup>F4/80<sup>+</sup>Gr1<sup>+</sup> cells in the dump channel. T<sub>FH</sub> cells were stained with the following fluorochrome-conjugated anti-mouse antibodies: CD3 (17A2) (dilution: 1/100; Cat. Number: 100216), CD4 (GK1.5) (dilution: 1/200; Cat. Number: 100414), CD25 (PC61) (dilution: 1/100; Cat. Number: 102010), PD-1 (RMP1–30) (dilution: 1/100; Cat. Number: 109110), IgD (11–26c.2a) (dilution: 1/100; Cat. Number: 405742), B220 (RA3–6B2) (dilution: 1/100; Cat. Number: 103211), CD95 (SA367H8) (dilution: 1/100; Cat. Number: 152612), CD44 (IM7) (dilution: 1/100; Cat. Number: 103047), GL7 (GL7) (dilution 1/200; Cat. Number: 144610) were all from BioLegend. CXCR5 (2G8) (dilution: 1/20; Cat. Number: 560615) and CD62L (MEL-14) (dilution1/100; Cat. Number: 564108), were from BD Biosciences.

#### ELISA assays.

ELISA was used to determine HIV-1 EnvB-specific IgG and IgA in mouse serum. Mouse blood samples were collected by cardiac puncture. Enzyme immunoassay/ radioimmunoassay plates (Costar) were coated with HIV-1 EnvB (MNgp41), or EnvC (96Z) protein (NIH AIDS reagent repository) diluted in PBS at a concentration of 0.5 µg/ml in a final volume of 100 µl per well and incubated overnight at 4°C. Sera diluted in PBS/1% BSA containing protease inhibitor (Pierce) were added in triplicate incubated overnight at 4°C. Bound antibodies were detected with HRP-labeled goat anti-mouse IgG or IgA (KPL/ Seracare, Gaithersburg, MD) and developed using TMB Ultra substrate (Thermo Fisher, Waltham, MA) according to the manufacturer's instructions. The amount of total IgG (or subtypes) or IgA in sera was calculated by interpolating the optical densities on calibration curves created with known quantities of mouse immunoglobulin.

#### Detection of anti-nuclear antibody.

Mouse anti-nuclear antibody (ANA) was detected in the serum from vaccinated animals using a commercially available ELISA kit from Express Biotech (Frederick, MD), according to the manufacturer's protocol. ANA in serum was also detected using a modified ANA/ Hep-2 immunofluorescence assay (IFA) (Hemagen, Columbia, MD). Images were collected on a Leica DM500B microscope at 10x and 40x magnifications. Fluorescence intensity was graded blindly on a 0–4 scale according to the manufacturer's protocol.

#### Neutralization assays.

The TZM-bl assay was performed as previously described[42] using purified mouse IgG (purified with Protein A). Recovery of IgG was determined by PAGE and Coomassie staining. All values were calculated with respect to virus only wells [(value for virus only minus cells only) minus (value for serum minus cells only)] divided by (value for virus minus cells only). The human monoclonal antibody VRC01, which is an IgG1, was used as a positive control. Viruses were grown as described as pseudoviruses and strains tested included HIV-SF162, HIV-MW965, and HIV-CAP257–54wk\_D.

#### Human samples.

Blood samples from healthy donors were obtained from the Martin Memorial Health System (Stuart, Florida) after signed informed consent from all participants. All procedures were performed according to the Institutional Review Boards of the Martin Memorial Health System and Drexel University College of Medicine.

#### Generation of human monocyte-derived dendritic cells (mDCs).

Human PBMCs from healthy donors were obtained immediately after blood withdrawal using the Ficoll-Paque (GE Healthcare) gradient method and stored in liquid nitrogen until usage. The cells were thawed in RPMI 1640 (Corning) supplemented with 10% fetal bovine serum (Access Biologicals) and 1% penicillin/streptomycin (Gibco). CD14<sup>+</sup> CD16<sup>+</sup> monocytes were then enriched from total PBMCs by negative selection using the EasySep<sup>TM</sup> human monocyte enrichment kit without CD16 depletion (STEMCELL Technologies) according to the manufacturer's protocol and counted. Cells were then resuspended in serum-free CellGenix® GMP dendritic cell medium (CellGenix) with 100ng/ml of recombinant human GM-CSF (BioLegend) and 20ng/ml of recombinant human IL-4 (Gemini Bio-Products) at a density of  $2x \times 10^6$  per ml in 24-well plates. After 48h of incubation, cells were stimulated with 0.5µg/ml of LPS (Invivogen) plus 40ng/ml of IFN $\gamma$  (Gemini Bio-Products) or 2.5uM of ADA-1 (Sigma Aldrich) in 1ml medium and compared to the unstimulated control. Dendritic cells were harvested after 24h of stimulation and analyzed by flow cytometry.

#### Flow cytometric analysis of human mDCs.

Harvested mDCs were incubated with TruStain  $Fc\gamma R$  block (BioLegend) and fluorochromeconjugated antibodies for 15–20 minutes on ice in the dark. The following BioLegend fluorochrome-conjugated anti-human antibodies were used: CD3 (clone HIT3a), CD19 (clone HIB19), CD14 (clone M5E2), CD11c (clone 3.9), HLA-DR (clone L243), CD86 (clone IT2.2), CD83 (clone HB15e), CD40 (clone 5C3) and ICOS L (clone 2D3). Dead cells were identified using both LIVE/DEAD fixable Aqua Dead Cell Stain Kit for flow cytometry (Vivid) (Life Technologies) and Annexin V (BD Biosciences). mDC samples were washed then resuspended in PBS plus 2% FBS then acquired on a BD LSR II and analyzed with FlowJo software (Treestar). The gating strategy excluded doublet cells and mDCs were gated on live (Vivid<sup>-</sup> Annexin V<sup>-</sup>) CD3<sup>-</sup> CD19<sup>-</sup> CD11c<sup>+</sup> cells.

#### Analysis of cytokine level production by stimulated human mDCs using ELISA.

Cell culture supernatants were collected 24h post-stimulation and were analyzed for the levels of IL-6 and IL-12p70, using the Biolegend human IL-6 and human IL-12p70 ELISA kits respectively, according to the manufacturer's protocol. Absorbance was read at 450nm using a Synergy HTX multi-mode (BioTek) spectrophotometer within 15 minutes of stopping the reaction. Standard curves were generated and sample concentrations were calculated in pg/ml.

#### Luminex mDC cytokine analysis.

The Bio-Plex Pro Human Chemokine Assay (40-Plex) (Bio-Rad) was used to determine the level of a 40 cytokine/chemokine panel produced by mDCs, 24h after stimulation. The following human chemokine/cytokine premixed panel was used according to the manufacturer's protocol: 6Ckine (CCL21), MIG (CXCL9), GCP-2 (CXCL6), IL-6, I-309 (CCL1), IFNγ, SDF-1α+β (CXCL12), I-TAC (CXCL11), MCP-3 (CCL7), IL-16, MCP-4 (CCL13), MDC (CCL22), Eotaxin-2 (CCL24), GM-CSF, MIF, TNF-α, MPIF-1 (CCL23), IL-2, IL-1β, Eotaxin-1 (CCL11), TECK (CCL25), IL-4, MCP-1 (CCL2), IL-8, MIP-1α (CCL3), IL-10, MCP-2 (CCL8), Gro-α (CXCL1), MIP-3α (CCL20), SCYB16 (CXCL16), Eotaxin-3 (CCL26), MIP-10 (CCL15), TARC (CCL17), CTACK (CCL27), ENA-78 (CXCL5), BCA-1 (CXCL13), MIP-3β (CCL19), Fractalkine (CXC3CL1), Gro-β (CXCL2). Data was acquired on a Bio-Plex 200 System using beads regions defined in the protocol and analyzed with the Bio-Plex Manager 6.1 software (Bio-Rad). Standard curves were generated and sample concentrations were calculated in pg/ml.

#### Statistical analysis.

All statistical analysis was performed using GraphPad Prism software version 6. Flow cytometry data were analyzed using Flowjo versions 7 or 10 (Treestar). All data are representative of at least two independent experiments except where noted. Data are presented as the mean  $\pm$  standard error of the mean or standard deviation as noted. Where appropriate, the statistical difference between immunization groups or treatment conditions was assessed using an ordinary one-way analysis of variance (ANOVA) (Tukey's multiple comparison test). Correlations were assessed using a Pearson correlation. \*p<0.05, \*\*p<0.001, \*\*\*p<0.001, \*\*\*p<0.001.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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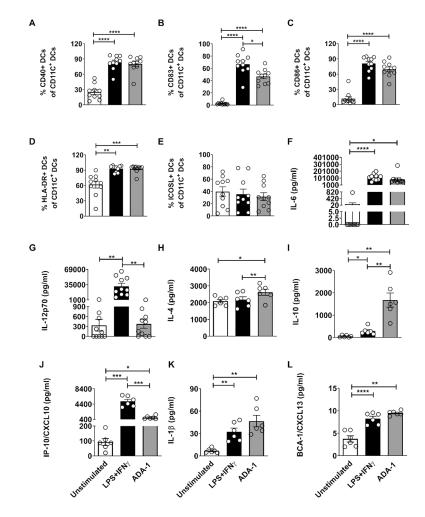
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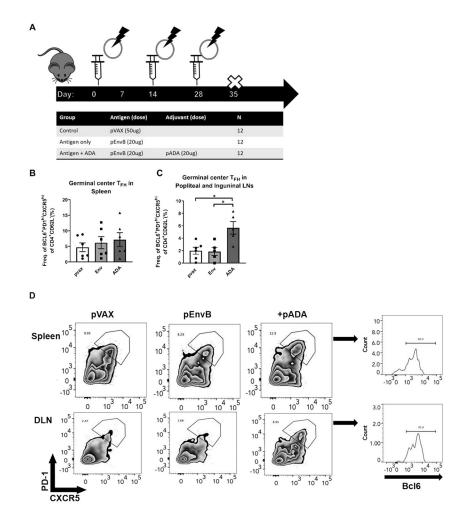
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# Highlights

- We demonstrate using human mDCs, that ADA-1 can enhance antigen presentation and promote a T<sub>FH</sub> polarizing cytokine phenotype.
- We have determined that ADA-1 selectively enhanced GC-T<sub>FH</sub> frequency in vivo.
- We have shown that ADA-1 improves the quality of anti-HIV antibody responses, generating both binding and HIV neutralizing antibodies in a mouse model of vaccination.

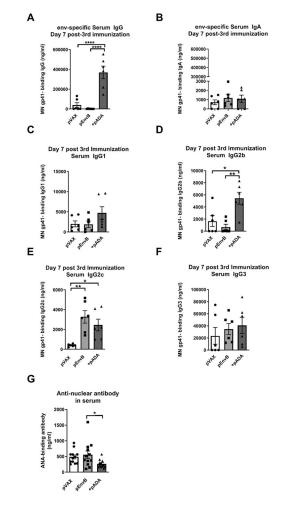


**Figure. 1. ADA-1** treatment promotes DC maturation and the secretion of pro-T<sub>FH</sub> cytokines. Myeloid dendritic cells (mDCs) differentiated *in vitro* from monocytes of healthy human PBMCs, were treated with  $0.5\mu$ g/ml LPS plus 40ng/ml IFN- $\gamma$  or  $2.5\mu$ M ADA-1 for 24h, and compared to unstimulated cells as controls. mDCs were harvested and stained for surface HLA and costimulatory molecule expression and analyzed by flow cytometry. Values are shown as the frequencies of (**A**) CD40, (**B**) CD83, (**C**) CD86, (**D**) HLA-DR and (**E**) ICOS L expression in the live, CD3- CD19- CD11c+ mDC population. Results are expressed as mean  $\pm$  SEM of 2 independent experiments (n=10). Culture supernatants were collected 24h post-stimulation and analyzed for the levels of pro-T<sub>FH</sub> cytokine/chemokine production. Levels of (**F**) IL-6 and (**G**) IL-12p70 were assessed by ELISA whereas levels of (**H**) IL-4, (**I**) IL-10, (**J**) IL-1 $\beta$  and (**K**) BCA-1/CXCL13 were assessed by the Luminex assay. Results are expressed as mean  $\pm$  SEM of 2 independent experiments (n=10) for (F) and (G), and as mean  $\pm$  SEM of 2 independent experiments (n=6) for (**H-K**). Each individual circle represents one individual subject. \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, and \*\*\*\*P<0.0001 by one-way ANOVA



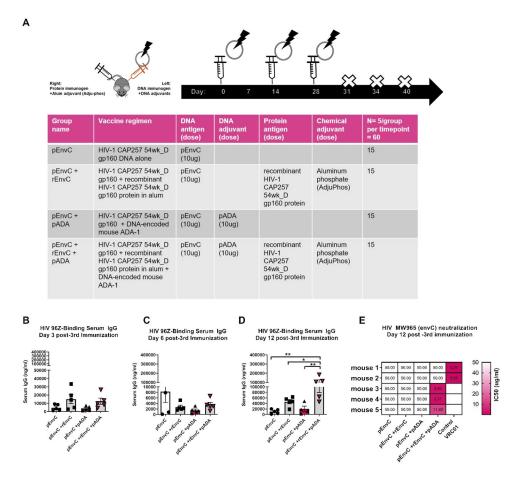
#### Figure 2. Co-immunization with pADA enhances GC formation.

(A) female C57BL/6 mice were immunized thrice, separated by 2 weeks with either empty plasmid (pVAX), HIV envelope B consensus gp160-encoding plasmid (pEnvB), or coimmunized with pEnvB and pADA (+pADA). All immunizations was delivered via intramuscular injection, followed by electroporation using the CELLECTRA device (Inovio). (B) Frequency of T<sub>FH</sub> in spleens and (C) Popliteal and inguinal lymph nodes at day 7 post-3<sup>rd</sup> immunization. (D) Representative flow plots for the data presented in B and C. Each point represents an individual animal, bars represent the mean and SD. Each point represents the average of duplicate samples from an individual animal, bars represent the mean and error bars represent the SEM. \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, \*\*\*\*p<0.0001 by one-way ANOVA. Data are representative of four independent experiments with n=3–6/ group



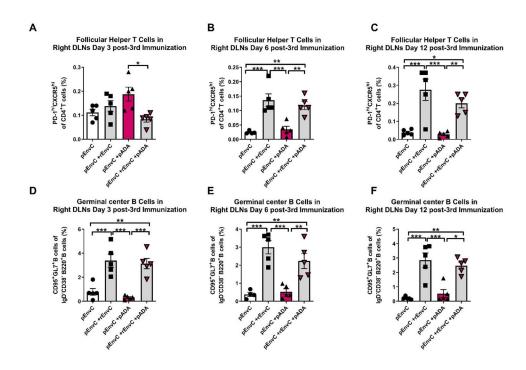
#### Figure 3. Co-immunization with pADA enhances serum IgG.

Mice were immunized as in figure 2. Total HIV-specific serum IgG (**A**), IgA (**B**), IgG1 (**C**), IgG2b (**D**), IgG2c (**E**), and IgG3 (**F**) was quantitated in serum via ELISA at day 7 post 3<sup>rd</sup> immunization. (**G**) Mice were immunized as in figure 2, and anti-nuclear antibody was quantified in serum at day 7 post-3<sup>rd</sup> immunization via ELISA. Each point represents the average of duplicate samples from an individual animal, bars represent the mean and error bars represent the SEM Each point represents the average of duplicate samples from an individual animal, bars represent the mean and set set. \*p<0.05, \*\*p<0.01, \*\*\*\*p<0.0001 by one-way ANOVA. Data are representative of two independent experiments with n=6/group



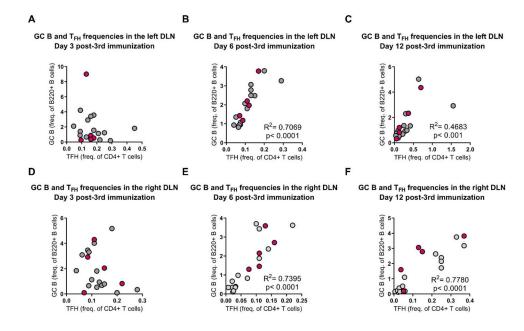
# Figure 4. Co-immunization with pADA enhances serum IgG and promotes neutralizing antibody formation in a DNA-protein co-immunization regimen.

(A)Mice were immunized three times with envelope C DNA alone (pCapC), pCapC with simultaneous administration of matched recombinant envelope in alum adjuvant (+rCapC), DNA plus molecular ADA (+pADA), or DNA plus molecular ADA and simultaneous protein in alum (+pADA +rCapC). All DNA was delivered intramuscularly with *in vivo* electroporation in the left tibialis. All protein was delivered intramuscularly in the right quadriceps. env-binding IgG was quantified in the serum of vaccinated animals by ELISA at days 3 (B), 6 (C), and 12 (D) post-3<sup>rd</sup> immunization. Each point represents the average of duplicate samples from an individual animal, bars represent the mean and SEM. (E) IC50 values for purified mouse IgG were determined using the single-cycle TZM-bl neutralization assay against MW965 clade C HIV-1 viruses. 50ug/ml of mouse sera were added to test wells and values greater than 50ug/ml are represented as 50ug/ml. Each rectangle represents a single mouse within a group. 2ug/ml of VRC01 was used as a positive control for neutralization. Data are representative of one experiment with n=5/group. \*p<0.05, \*\*p<0.01 by one-way ANOVA. Data are representative of one experiment with n=5/group.

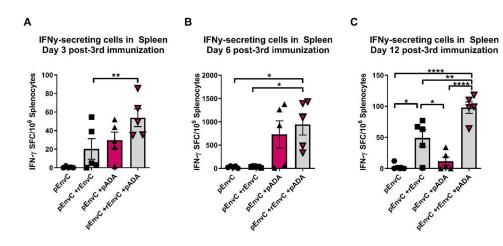


## Figure 5. pADA supports protein-mediated GC formation.

Mice were immunized as in fig. 5.  $T_FH$  (A-C) and GC B (D-F) cell frequencies were determined in the right DLNs at days 3 (A,D), 6 (B,E), and 12 (C,F) post-3<sup>rd</sup> immunization. Each point represents an individual animal, bars represent the mean and SD. \*p<0.05, \*\*p<0.01, \*\*\*p<0.001 by one-way ANOVA. Data are representative of one experiment with n=5/group.



**Figure 6. GC B and T<sub>FH</sub> frequencies strongly correlate during DNA-protein co-immunization.** Mice were immunized as in figure 5. T<sub>F</sub>H and GC B frequencies were correlated in the left (top) and right (bottom) DLNs at days 3 (**A,D**), 6 (**B,E**), and 12 (**C,F**) post-3<sup>rd</sup> immunization. Each point represents an individual animal. Animals receiving DNA, protein and pADA are depicted in magenta. R<sup>2</sup> and p values determined by Pearson correlation. Data are representative of one experiment with n=5/group



**Figure 7.** Molecular ADA enhances IFNy secretion during DNA-protein co-immunization. Mice were immunized as in Fig. 5. Spleenocytes were assayed for HIV-specific IFNy production at days 3(A), 6 (B), and 12 (C) post-3<sup>rd</sup> immunization. Each point represents the average of duplicate assays for an individual animal for days 3 and 6, and error bars represent the SEM. Each point represents a single assay for an individual animal for day 12 and error bars represent the SD. \*p<0.05, \*\*p<0.01, \*\*\*\*p<0.001 by one-way ANOVA. Data are representative of one experiment with n=5/group.