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Management Of Heart Failure With Preserved Ejection Fraction: Current Challenges And Future Directions

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Abstract

Heart failure (HF) with preserved ejection fraction (HFpEF) is the most common form of HF in patients older than 65 years. Among elderly women living in the community, HFpEF comprises nearly 90% of incident HF cases. The health and economic impact of HFpEF is at least as great as that of HF with reduced ejection fraction (HFrEF), with similar severity of acute hospitalization rates and substantial mortality. Despite the importance of HFpEF, our understanding of its pathophysiology is incomplete, and optimal treatment remains largely undefined. Unlike the management of HFrEF, there is a paucity of large evidence-based trials demonstrating morbidity and mortality benefit for the treatment of HFpEF. The agents tested in trials to date, which were based upon an incomplete understanding of the pathophysiology of HFpEF, have not been positive. There is an urgent need to understand HFpEF pathophysiology as well as focus on developing novel therapeutic targets.

Keywords

Heart failure; Preserved ejection fraction; Management

Introduction

Heart failure (HF) with preserved ejection fraction (HFpEF) is the most common form of HF in patients older than 65 years.¹ Among elderly women living in the community, HFpEF comprises nearly 90% of incident HF cases.² The health and economic impact of HFpEF is at least as great as that of HF with reduced ejection fraction (HFrEF), with similar severity of acute hospitalization rates, and substantial mortality.^{3;4} Despite the importance of HFpEF, our understanding of its pathophysiology is incomplete and optimal treatment remains largely undefined. There is an urgent need to focus on drug and device development for HFpEF as well as to understand HFpEF pathophysiology. Here, we provide an overview of emerging treatments that are being tested in clinical trials in humans and novel therapeutic targets that may arise from advances in understanding pathophysiology of HFpEF.

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Epidemiology of HFpEF

HFpEF is the predominant form of HF in older adults, increasing in prevalence as the population ages. In contrast to HFrEF, the prevalence of HFpEF is increasing and its prognosis is worsening.³ By 2020, the relative prevalence of HFpEF and HFrEF are predicted to be 69% and 31% turning HFpEF into the most common HF phenotype (Table 1).⁵ In westernized countries, HFpEF patients are older, predominantly female,¹ and with a high prevalence of hypertension (HTN), obesity, diabetes, and atrial fibrillation (AF).^{1;6–10} The combined mortality and readmission rates 60–90 days post-discharge are comparable for HFrEF (36.1%) and HFpEF (35.3%).⁸ Non-cardiovascular hospital readmissions and mortality are more frequent in HFpEF than in HFrEF and the number of co-morbidities correlate with increased all-cause hospitalization and mortality.¹¹

Brief introduction to pathophysiology of HFpEF

One of the most commonly cited mechanisms of HFpEF is left ventricular (LV) diastolic dysfunction consisting of abnormal LV active relaxation as well as increased LV passive stiffness.¹² Myocardial stiffness is not just related to cardiomyocyte stiffness but also to extracellular matrix changes, which in turn are determined by the amount of collagen and the extent of collagen cross-linking.¹³ In HFpEF patients, excessive collagen type I deposition may result from an imbalance between exaggerated synthesis and depressed degradation and decreased matrix degradation because of down regulation of matrix metalloproteinases (MMPs) and up regulation of tissue inhibitors of matrix metalloproteinases (TIMPs).^{14;15} In addition, increases cardiac myocyte stiffness in HFpEF appears mediated in part by hypophosphorylation of titin, related to cyclic guanosine monophosphate (GMP) deficiency from increased nitroso-oxidative stress.¹³

Significant LV hypertrophy was previously thought to be a uniform characteristic of HFpEF. However, some HFpEF patients have concentric remodeling without hypertrophy or even normal LV geometry.^{16–18} In addition, the magnitude of increase in fibrosis in HFpEF patients appears modest.¹⁹ Data from multiple sources indicate that even in well-characterized, symptomatic HFpEF, many patients do not have echo-Doppler indexes of diastolic dysfunction, at least at rest, that differ greatly from that expected based on age and comorbidities.^{20;21} Our recent understanding of HFpEF indicates that abnormalities of intrinsic diastolic function are important, other factors contribute significantly as well.

Several studies from both animals and humans showed that additional mechanisms play important roles, such as LV systolic dysfunction,^{17;22;23} impaired systolic reserve in both LV and right ventricle (RV),²⁴ autonomic dysfunction,²⁵ cardiac aging,^{26;27} neuroendocrine dysfunction,^{28;29} left atrial (LA) dysfunction, impaired resting pulmonary arterial (PA) and RV function, abnormal PA vasodilatory reserve as well as abnormal RV-PA coupling,^{24;30–32} impaired heart rate (HR) recovery and chronotropic incompetence (CI),^{25;25;33} abnormal ventricular vascular coupling,^{16;34} reduced vasodilator reserves^{25;33} and altered pulmonary function and gas exchange including low diffusion capacity.^{35;36}

Recently the concept of HFpEF has evolved from a 'cardio-centric' model to a syndrome that may involve multiple cardiovascular and non-cardiovascular mechanisms. Findings to

date indicate important contributions from skeletal muscle dysfunction,^{37–39} pulmonary and renal dysfunction^{1,2,40} and multiple comorbidities¹¹ including obesity, HTN, diabetes, AF and anemia. Paulus and Tschope proposed that comorbidities and especially obesity induce a systemic inflammatory state, which induces oxidative stress in the coronary microvascular endothelium (Figure 1). This reduces myocardial nitric oxide (NO) bioavailability and leads to reduced protein kinase G (PKG) activity in cardiomyocytes, which therefore become stiff and hypertrophied.¹³ This is further exacerbated by cardiac aging which is associated with a systemic proinflammatory state, increasing cytokines levels,^{41–43} that may lead to functional declines in multiple organs.⁴⁴ The impact of multiple comorbidities typical of older HFpEF patients further promotes phenotypic heterogeneity and multi-factorial pathophysiology. Although this complexity presents challenges, it also presents opportunities for advancing our understanding and provides potentially novel therapeutic targets. In this review, we mainly focus on current and potential novel treatments for HFpEF in relation to evolving key concepts.

Management

Current guidelines—Current guidelines for the management of HFpEF recommend management of volume status with appropriate diuretic dosing, control of blood pressure (BP), management of comorbidities, and dietary education.⁴⁵ The 2013 American College of Cardiology Foundation/American Heart Association (ACCF/AHA) HF guidelines indicate that systolic and diastolic HTN should be controlled in accordance with published clinical practice guidelines to prevent morbidity and diuretics should be used to relieve symptoms due to volume overload (Class I with level of evidence B).⁴⁵ ACCF/AHA HF guidelines support the use of beta-blockers, angiotensin converting enzyme inhibitor (ACEI), and angiotensin receptor blockers (ARBs) for HTN (IIa recommendation, level of evidence C) and recommend ARBs be considered to decrease hospitalizations (IIb recommendation).⁴⁵ Beta-blockers are recommended for HFpEF patients with a history of myocardial infarction (MI), HTN, or AF. The European Society of Cardiology (ESC) guidelines have similar recommendations.⁴⁶

Pharmacological interventions

Traditional pharmacotherapies: What is the evidence?

Targeting the renin–angiotensin–aldosterone system (RAAS)

ACE inhibitors and ARBs: Targeting the RAAS pathways has long been considered a logical intervention for HFpEF, based on animal models as well as human hypertensives without HF and its link to LV hypertrophy, interstitial fibrosis and fluid imbalance.^{47–50} Angiotensin II promotes LV hypertrophy and fibrosis, both of which are contributors to HFpEF, as well as vasoconstriction and vascular remodeling.⁵¹ By blocking the formation of angiotensin II, ACE inhibitors are a potential target for HFpEF treatment. Similarly ARBs exert their effect further downstream and block the association of angiotensin II with its receptor.

Table 2 summarizes the important randomized trials. Of the three large randomized trials of ACEI/ARB performed to date in HFpEF, only the CHARM-Preserved study found nominal

benefit for candesartan in reducing HF hospitalizations over three years of follow-up.^{52–54} However, most importantly, none of the trials showed benefit for their pre-planned primary endpoints.^{52–54} Kitzman et al showed no improvement in exercise capacity or quality of life with enalapril.⁵⁵ In a small study of 74 elderly patients with HFpEF, quinapril failed to demonstrate improvement in exercise tolerance, quality of life, or hospitalizations.⁵⁶

Aldosterone antagonists: Aldosterone can promote interstitial collagen deposition and fibrosis, leading to ventricular stiffness and its inhibition might be expected to reduce the ventricular-vascular stiffening and diastolic dysfunction. In Aldo-DHF, patients who received spironolactone 25 mg daily showed improved some measures of diastolic dysfunction but there was no improvement in exercise capacity, patient symptoms, or quality of life.⁵⁷ The RAAM-PEF trial of 6 months treatment of eplerenone vs. placebo, showed reductions in circulating markers of collagen turnover and modest improvements in diastolic function.⁵⁸ In addition to this, in the OPTIMIZE-HF registry, aldosterone antagonists had no effect on all-cause mortality or hospitalization.⁵⁹ In the large TOPCAT trial, spironolactone failed to show statistically significant benefit for the clinical composite primary end -point. A modest decline in hospitalizations was observed.⁶⁰ However, a post hoc regional analysis indicated that the cohort from the Americas most closely matched characteristics observed in other randomized trials and also appeared most responsive to spironolactone.⁶¹

Targeting the β -adrenergic stimulation pathways

Beta-blockers: Slowing the HR should result in an increase in the diastolic filling period in an abnormally stiff LV, thus potentially allowing greater filling of LV. Although a small early study of propranolol suggested a reduction in total mortality when compared to no propranolol, the patient group was atypical in that all were selected to have prior MI and were mostly men, and the EF was as low as 40%.⁶² In addition, there was no significant difference in cardiac deaths between these groups. Both carvedilol (the J-DHF study) and nebivolol (ELANDD study) had neutral effects on their primary outcomes in HFpEF patients.^{63;64} In the OPTIMIZE-HF registry, discharge use of beta-blockers appeared to exert no effect on 1 year mortality or hospitalization rates of HFpEF patients.⁶⁵

Calcium channel blockers: In a small, early study of 20 men, verapamil showed improvement in baseline HF score, treadmill exercise capacity and LV peak filling rate from baseline compared to placebo;⁶⁶ however this study is difficult to extrapolate to a general HFpEF population given its small sample size and entirely male population. **Digoxin:** In the Digitalis Interaction Group, a subgroup of 988 patients with EF > 45% was randomized to placebo or to digoxin. There were no significant reductions in the amount of hospitalizations or mortality secondary to HF, although trends towards decreased hospitalization and improved exercise tolerance were noted.⁶⁷ Of note, the majority of HFpEF patients in the DIG trial had HF related to an ischemic etiology (56%), and as such, these results may not be applicable to typical HFpEF patients encountered in the community. **Nitrates:** In NEAT-HFpEF, isosorbide mononitrate did not improve 6 minute walk distance (MWD), quality-of-life scores, or NT-pro B-type natriuretic peptide (BNP) levels compared to placebo.⁶⁸ Even though, this result may appear to speak against the importance of NO deficiency in HFpEF, there are number of caveats. Organic nitrates tonically release NO, so there is not targeted

delivery at the time of greatest need. In addition, organic nitrates can have pharmacological tolerance and are associated with development of endothelial dysfunction. Two recently reported randomized studies showed that intravenous or inhaled sodium nitrite improved CO reserve, LV stroke work and biventricular filling pressures and PA pressures at rest and during exercise in HFpEF.^{69;70} Beneficial effects are thought to be related to enhanced production of NO by nitrites in the setting of tissue hypoxia and acidosis induced by exercise. These trials led to the launch of 2 clinical trials sponsored by the NHLBI ([NCT02742129](#) and [NCT02713126](#))

Why Did These Traditional Therapies Not Show Benefit in HFpEF?—To date, trials have largely targeted solely targets previously thought to be specific to and universally present in HFpEF, such as LVH, diastolic dysfunction, and other features. However more recent data have challenged these assumptions. In a recent PARAMOUNT trial of well characterized HFpEF patients, only 8% of patients had LVH at baseline and 50% had significant or severe diastolic function at rest.⁷¹ With treatment, even though there was a positive signal on BNP, there was no difference in LV mass. Similarly Maurer and colleagues found no significantly increased LV mass in older HFpEF patients compared to controls with HTN but not HF.^{40;72} This indicates LV hypertrophy may not be a universal aspect of HFpEF as previously assumed. Studies of patients with all the clinical hallmarks of HF and an EF>50% showed that many patients appear to have modest diastolic dysfunction under resting conditions.^{71;73} Most HFpEF trials measured diastolic or other cardiovascular measures at rest and not during exercise. This might help explain why agents that had a proven ability to ameliorate LV hypertrophy, fibrosis, and other cardiac abnormalities typically found in HFpEF have not led to positive outcomes.

Chronotropic incompetence, abnormally low HR at peak exercise, is perhaps the most consistently reported cardiac abnormality during exercise in HFpEF.^{25;74;75} In addition, there is a high prevalence of CI in HFpEF, and limitations in chronotropic reserve might be a key factor to reduce CO and exercise capacity.^{75;76} In some studies, CI is the only mechanism accounting for reduced CO during exercise in HFpEF and the primary or sole cardiac contributor to exercise intolerance.⁷⁷ β -Blockers may result in pharmacologically induced CI and obscure identification of an underlying intrinsic abnormality in neural balance.⁷⁸ In addition, unfavorable effect of beta-blockers on chronic obstructive pulmonary disease and diabetes could complicate the overall effect of these drugs in HFpEF patients with such conditions.^{79–81}

The neutral outcomes were often attributed to patient recruitment with inclusion of many HFrEF or non-cardiac patients or nonadherence to diagnostic guidelines.¹³ For example, in TOPCAT trial, neutral outcome in the overall population has been attributed to aberrant patient enrollment in Russia/Republic of Georgia rather than to inefficacy of spironolactone.⁶¹ Recently evidence indicates that HFpEF is a systemic disorder, in addition to the heart, and also involves important contributions from peripheral abnormalities of vascular and skeletal muscle function that have not been addressed in trials to date. Perhaps most prominently, HFpEF is strongly influenced by aging, a progressive process affecting all organ systems, including the heart and arterial system, those most implicated in HFpEF. Aging and the associated comorbidities may initiate and/or aggravate chronic systemic

inflammation that may affect myocardial remodeling and dysfunction in HFpEF through a signaling cascade, which begins with coronary microvascular endothelial dysfunction (Figure 1).^{13;82} Finally, multiple comorbidities, including non-cardiovascular co-morbidities, may play a much greater role in the development of symptoms and treatment response than previously recognized.

Novel pharmacotherapies (Summarized in Table 2)

Sildenafil is an inhibitor of phosphodiesterase-5 that increases cGMP levels by blocking catabolism, thus augmenting PKG activity in multiple organs relevant to HF. Increased availability of cGMP could provide benefits for both vascular and myocardial remodeling, including attenuating hypertrophy, fibrosis, and impaired cardiac relaxation.⁸³ In the RELAX trial, sildenafil did not improve 6 MWD or quality of life, and was associated with modest worsening of renal function and increases in neurohormone levels.⁸⁴ In a small trial of patients with HFpEF and PA systolic pressure (PASP) >40 mmHg sildenafil group demonstrated improvements in PASP and vasomotility, RV function and dimension, LV relaxation and distensibility.⁸⁵ Another small, single-center trial examined the use of sildenafil in 52 patients with pulmonary hypertension due to HFpEF. There was no significant difference in mean PA pressure at 12 weeks, as well as other hemodynamic parameters included pulmonary arterial wedge pressure, CO and peak oxygen consumption (VO₂).⁸⁶ **Neprilysin inhibitors:** Neprilysin is a zinc-dependent metalloprotease that degrades biologically active natriuretic peptides and does not affect the biologically inactive NTproBNP.⁷¹ LCZ696 is a new combination drug of the angiotensin II type-1 receptor blocker valsartan and the neprilysin inhibitor prodrug AHU377. This dual combination exerts a powerful vasodilatory and natriuresis effect by blocking angiotensin II activity on the one hand, although augmenting plasma levels of natriuretic peptides, such as BNP, on the other. In PARAMOUNT study, the LCZ696 group had significantly lower NT-pro BNP levels and at 36 weeks, decreased LA size and showed a trend toward improved functional class.⁷¹ The promising findings of this phase-2 study led to an ongoing large, multi-center trial, PARAGON, which is comparing LCZ696 to valsartan in patients with HFpEF with the primary composite outcome of cardiovascular death or first hospitalization for HF. The estimated completion date for this trial is May 2019 ([ClinicalTrials.gov NCT01920711](https://clinicaltrials.gov/ct2/show/study/NCT01920711)).

Ivabradine is a selective sinus node If sodium channel inhibitor that reduces HR without affecting contractility or lusitropy. The theory that patients with HFpEF may have detrimental effects from high HR rate during exercise due to reduced time for diastolic filling has led to interest in ivabradine for HFpEF. In a diabetic mouse model of HFpEF, ivabradine reduced aortic stiffness and fibrosis and improved LV contractility and diastolic function.⁸⁷ In a seven-day study, ivabradine increased peak VO₂ and reduced exercise E/e' ratio in 61 patients with HFpEF.⁸⁸ However in contrast, a short term, placebo-controlled, randomized, crossover study found that 2 weeks of HR reduction with ivabradine in patients with HFpEF almost uniformly exacerbated already abnormal exercise physiology.⁸⁹ To study the impact of restoring normal HR response during exercise in patients with HFpEF and CI, an ongoing trial RateAdaptive Atrial Pacing in Diastolic HF ([NCT02145351](https://clinicaltrials.gov/ct2/show/study/NCT02145351)) is underway. **Riociguat** is a soluble guanylate cyclase stimulator that targets the NO-soluble guanylate cyclase–cyclic guanosine monophosphate signaling pathway. As shown in Table 1, the DILATE-1 study failed to show any impact on the primary end -point.⁹⁰ There is an

ongoing trial examining the use of another oral guanylate cyclase stimulator, vericiguat, in patients with HFpEF (BAY1021189). **Statins:** By blocking the activity of several guanosine triphosphate binding proteins and inhibiting some of the inflammatory processes described above, statins can suppress LV hypertrophy and decrease collagen synthesis in experimental models.^{91;92} Even though observational data in HFpEF patients suggest a mortality benefit with use of HMG-Co-A reductase inhibitors, despite neutral outcomes in HFReEF patients, definitive trials have not been performed and might be difficult given existing wide-spread use of statins for multiple indications in many HFpEF patients.^{93;94} In a recent prospective study of HFpEF patients, statin use was associated with a higher rate of 1-year survival compared with those who were not treated.⁹⁵ A recent meta-analysis suggested a potential mortality benefit with statin.⁹⁶ **Ranolazine** blocks inward sodium current, promotes Ca²⁺ extrusion through the Na⁺/Ca²⁺ exchanger and thereby improve diastolic tension and relaxation. The RALI-DHF study showed improvement in some measures of hemodynamics but no improvement in relaxation parameters.^{97;98} **Alagebrium (ALT-711):** A small open-label study found that administration of ALT-711 that breaks glucose crosslinks was associated with slightly reduced LV mass and improved diastolic filling, however, there were no changes in the primary outcomes.⁹⁹ **Anakinra:** IL-1 (alpha) and IL-1 (beta) are potent proinflammatory cytokines implicated in adverse ventricular-vascular remodeling.¹⁰⁰ IL-1 blockade with anakinra for 14 days significantly reduced the systemic inflammatory response and improved aerobic exercise capacity in patients with HFpEF and elevated plasma CRP levels. **Sitaxsentan:** In a moderate sized trial of 6-months treatment with sitaxsentan, a selective endothelin type A receptor antagonist appeared to provide a modest increase in treadmill exercise time.¹⁰¹ Empagliflozin, an inhibitor of sodium-glucose cotransporters type 2 (SGLT2), was shown to reduce HF admissions in patients with type 2 diabetes and high cardiovascular risk, with a consistent benefit in patients with and without baseline HF. HF admission was a secondary outcome of the trial, and it is unknown what proportion of HF events were HFpEF vs HFReEF.¹⁰² The ongoing CANDLE trial in patients with type 2 diabetes and chronic HF (Both HFpEF and HFReEF) is evaluating the clinical safety and efficacy on HF outcomes of another SGLT2 inhibitor, canagliflozin, in comparison with glimepiride. Other trials are also in planning, including the HF-PRESERVED trial which will evaluate the effect of dapagliflozin on biomarkers, symptoms, and functional status in HFpEF patients with type 2 diabetes or pre-diabetes.¹⁰³ Long-term therapy with **elamipretide(MTP-131)**, a novel mitochondria-targeting peptide, improves LV systolic function, normalizes plasma biomarkers, and reverses mitochondrial abnormalities in LV myocardium of dogs with advanced HF.¹⁰⁴

Currently a phase I trial is evaluating the safety and tolerability of MTP-131 in mid to moderate HF patients (NCT02388464). A recent prospective human study demonstrated that pulmonary vasodilation, enhanced reductions in PA resistance and greater increase in PA compliance with dobutamine (a beta 2 agonist).³⁰ Based on this finding, a randomized controlled trial (BEAT HFpEF: NCT02885636) is currently using inhaled beta-adrenergic agonists (Albuterol) to treat pulmonary vascular disease in HFpEF. Two classic medications, **nifedipine and isosorbide dinitrate/hydralazine (HISDN)**, are currently being tested for their potential benefit to HFpEF patients (NCT01157481 and NCT01516346). Preclinical data showed HISDN improved diastolic function, exercise

capacity and reduced soluble vascular cell adhesion molecule 1 levels in mice, but there were no reductions in LV hypertrophy, cardiac fibrosis, or pulmonary congestion.¹⁰⁵ Recently, exciting studies have revealed that **microRNAs (miRNA)-34a** might have an important role in cardiac aging via effects on apoptosis, DNA damage, and telomere shortening.¹⁰⁶ The strategy of replacement of miRNAs of interest or of blockade of potentially harmful miRNAs (anti-MIRs) is currently being tested in pre-clinical studies.¹⁰⁶ **Endothelial NO synthase activators** were studied in the DAHL salt-sensitive rat model of HFpEF. Diastolic dysfunction was reduced, as were both cardiac hypertrophy and fibrosis.¹⁰⁷ In mice, aging is associated with increased MMP-9 levels that precede the development of diastolic dysfunction. Based on results in mice, there is strong rationale for evaluating **MMP-9 selective inhibition** in animal models of HFpEF.¹⁰⁸ However, developments of these agents have proven difficult.

Non-pharmacological Strategies

Revascularization—Myocardial ischemia acutely causes both systolic and diastolic dysfunction and may contribute to abnormal cardiovascular reserve with stress.⁷⁵ So it would seem that revascularization would be beneficial in patients with HFpEF who have underlying ischemic heart disease. Few larger retrospective studies showed CAD is common in patients with HFpEF and is associated with increased risk of cardiovascular death, especially sudden death.^{109;110} Complete revascularization lowered mortality and improved the systolic function in this cohort.¹⁰⁹ An autopsy study recently showed epicardial CAD was frequent and extensive in HFpEF.²¹ However, retrospective data suggest that clinically evident, acute coronary ischemia may not be the key trigger for acute decompensation in HFpEF, and that the EF does not decline during an acute episode,¹¹¹ and that revascularizing epicardial coronary stenoses has little effect on preventing the recurrence of acute HFpEF.¹¹² Although prospective data are relatively modest, the ACCF/AHA guideline recommends revascularization in HFpEF (IIa). Given the paucity of effective treatments for HFpEF, prospective trials are urgently needed to determine the optimal evaluation and management of CAD in HFpEF.

Exercise Training (ET)—Exercise intolerance is the primary manifestation of chronic HFpEF, and key determinant of these patients reduced quality of life, and is therefore an important outcome in trials of HFpEF. ET has been shown to improve exercise intolerance in HF.^{113;114} Kitzman and colleagues found increased peak VO_2 , ventilatory anaerobic threshold, 6 MWD, and physical quality-of-life scores with exercise therapy in HFpEF.¹¹⁵ These results were confirmed in a subsequent multicenter, randomized trial of 3 months of combined ET and strength training in HFpEF patients.¹¹⁶ In a second, separate, randomized, attention-controlled, single-blind trial of 4 months upper and lower extremity endurance ET, Kitzman et al found a significant increase in peak VO_2 without altering carotid arterial stiffness or brachial artery flow mediated dilation.¹¹⁷ Furthermore, Kitzman et al showed that strongest determinant of the severely reduced exercise capacity in HFpEF patients was reduced peak arterial-venous oxygen difference (A-VO_2 Diff);¹¹⁸ peak AVO_2 diff was higher after ET and was the primary contributor to improved peak VO_2 .⁷⁷ Recently, Kitzman et al further extended these results by demonstrating that in obese older patients with HFpEF the addition of caloric restriction diet to endurance ET training was additive and produced a

relatively large increase in peak VO_2 . The improvements in Peak VO_2 were associated with in body composition, but not any echo-Doppler measure of resting LV structure or function.¹¹⁴ In a recent pilot study, 4 week of i -intensit inter a trainin si ni icant i pro ed pea o₂ and left ventricular diastolic dysfunction in HFpEF patients.¹¹⁹ Taken together, ET is an effective non-pharmacologic therapy in clinically stable patients with HFpEF to improve exercise tolerance. A randomized multi-center study comparing three months supervised moderate intensity continuous training versus high intensity interval training versus a control group followed by nine months of telemedically monitored home-based training is under way.¹²⁰

How did ET improve exercise intolerance in HFpEF patients? Several studies demonstrated that in HFpEF, pathologic elevations in filling pressures with impaired CO during exercise and were related to limitations in biventricular and pulmonary vascular reserve.^{24;121;122} Studies also demonstrated that inadequate CO relative to metabolic needs also contributes to exercise intolerance in HFpEF patients.¹²³ However beneficial effects of ET certainly points through mechanisms independent of LV systolic or diastolic function. A majority of improvements in peak VO_2 with ET was mediated by extra-cardiac factors such as improved arterial and skeletal muscle function.¹¹⁸ In fact, Kitzman et al. reported that older HFpEF patients have abnormal skeletal muscle oxygen utilization and that this is related to their severely reduced peak VO_2 . They also demonstrated that skeletal muscle oxidative capacity, mitochondrial content, and mitochondrial fusion were abnormal in older patients with HFpEF.^{124;125} Similarly, Bhella et al. reported that elderly HFpEF patients have baseline impaired skeletal muscle oxidative metabolism, which can be favorably shifted by ET to more efficient muscle O_2 utilization. Recently, Dhakal et al. using invasive hemodynamic monitoring during exercise; showed that oxygen extraction was significantly reduced in HFpEF and was a major contributor to reduced peak VO_2 .

Because exercise induced increases in LV filling pressure may also contribute significantly to exercise intolerance in in HFpEF, improvements in LV filling could also contribute to the benefit of ET. However, there are relatively few data in this regard. While, some studies have attributed this to exercise-induced favorable changes in LV function and CO, atrial reverse remodeling and improved LV diastolic function.^{116;119;126} In addition, peak exercise HR reduced in these patients and ET reverses this CI.^{77;127} Fujimoto et al was the only study to measure invasively measured LV filling and diastolic LV function before and after ET in elderly HFpEF patients and found no improvements after a fully year of ET.¹²⁸

Nutritional Strategies—In a recent proof-of-concept study, consumption of sodium-restricted DASH diet for 21 days in 13 hypertensive HFpEF patients resulted in improvement in relaxation and stiffness based measures of diastolic function and ventricular–vascular coupling ratio and decrease in arterial elastance.^{129;130} A recent study with a relatively small patient sample showed that one week of daily dosing with beet root juice (6.1 mmol inorganic nitrate) significantly improved submaximal aerobic endurance and blood pressure in elderly 20 HFpEF patients.¹³¹ Similarly, Zamani, *et al* found that a single dose of inorganic nitrate (NO_3^- -rich beetroot juice: NO_3^- , 12.9 mmol) administered before exercise significantly improves peak VO_2 in subjects with HFpEF by significant reduction in systemic vascular resistance, increase in CO at peak exercise, as well as an increase in the

peak V_{O_2} at which ventilatory threshold occurred. They speculated that that NO_3^- improves exercise capacity in HFpEF by improving the peripheral response to exercise and by providing greater O_2 delivery to exercising muscles.¹³² Recently Kitzman et al showed among obese older patients with clinically stable HFpEF, caloric restriction significantly improved exercise capacity and quality of life, and the effect was additive to ET.¹¹⁴

Miscellaneous: Anemia is highly prevalent in HFpEF and carries a poor prognosis; leading to the hypothesis that epoetin-alfa would improve submaximal exercise capacity and ventricular remodeling. However, in a well-designed randomized trial, after 24 weeks of therapy there was no change in 6-MWD or LV end diastolic volume.¹³³ Injection of a myostatin-blocking antibody in mice with preexisting HF preserved muscle mass.¹³⁴ Thus, myostatin inhibition might be a medically relevant avenue for the treatment of muscle wasting in HF. A number of clinical trials that target myostatin in older patients with sarcopenia associated with other chronic disorders are ongoing.

Managing common comorbidities

Both HFpEF and AF are inextricably linked, both to each other and to adverse cardiovascular outcomes.^{135;136} AF in HFpEF associated with impaired LV systolic, diastolic function and functional reserve, larger LA with poor LA function, more severe neurohumoral activation, and impaired exercise tolerance.^{136–139} The ACCF/AHA guidelines recommends management of AF for symptom control for HFpEF (Class IIa with level of evidence C). ESC guidelines support restoring sinus rhythm by cardioversion along with anticoagulation, although strong evidence is sparse.¹⁴⁰ Catheter ablation of AF had limited long-term success in HFpEF.¹⁴¹ Further study is required to determine whether different rate control strategies or indeed, rhythm control in patients with HFpEF and AF may favorably affect exercise tolerance. HTN is the most prevalent risk factor for HF, and precedes the diagnosis of HF in 75–85% of persons who develop HF. In addition, HTN pathophysiology is closely linked to all key adverse outcomes in HF, including acute exacerbations, chronic symptoms, and mortality.² Since myocardial perfusion depends on diastolic BP, intensive diastolic BP reduction could reduce myocardial perfusion, and promote myocardial ischemia, LV dilation, and subsequent HF. In addition, due to increased ventricular and arterial stiffening beyond that associated with aging and/or HTN, excessive reduction in BP with vasodilation in HFpEF could potentially offset any benefit from antagonism of pathologic neurohormonal activation.^{142;143} However despite controversies regarding potential adverse effects of intensive BP lowering, the recent SPRINT trial demonstrated that intensive systolic BP reduction significantly reduced the rate of development of acute decompensated HF.¹⁴⁴ While it is uncertain what proportion of these HF events were HFpEF vs HFrEF, it is likely that HFpEF was well-represented.¹⁴⁴ In addition it is worth noting that large outcome trials confirmed

ACEIs/ARBs and spironolactone to be safe and well tolerated in HFpEF. Obesity: Approximately 85% of elderly HFpEF patients are overweight or obese, and the HFpEF epidemic has largely paralleled the obesity epidemic. Approximately 85% of elderly HFpEF patients are overweight or obese, and the HFpEF epidemic has largely paralleled the obesity epidemic.¹⁴⁵ Adiposity-induced inflammation has wide-ranging adverse effects, including

endothelial dysfunction, capillary rarefaction, and mitochondrial dysfunction in both the cardiac and systemic vascular beds.¹⁴⁶ Unfortunately, obesity has not only been overlooked as a potentially pivotal factor in HFpEF pathophysiology and treatment, it has been actively avoided.

Device Therapy—The CARDIOMEMS device is a wireless, implanted PA pressure monitor implanted in the distal PA during a right heart catheterization procedure. Patients transmit hemodynamic data daily using a wireless RF transmitter. The CHAMPION trial showed a significant reduction in HF hospitalizations.¹⁴⁷ In HFpEF, CARDIOMEMS device reduced decompensation leading to hospitalization compared with standard HF management strategies.¹⁴⁸

Given that rises in LA pressure and pulmonary venous congestion are shown to herald HF decompensation events in patients with HFpEF, creating a controlled left-to-right interatrial shunt to allow LA decompression could be a rational nonpharmacological strategy for alleviating symptoms in patients with HFpEF. Hemodynamic modelling based on clinical measurements suggested that an appropriately sized iatrogenic atrial septal defect could attenuate exercise-induced increases in LA pressure in patients with HFpEF.¹⁴⁹ Subsequently, an open-label study demonstrated reductions in LA pressure during exercise with improvements in functional capacity and quality of life 6 months after implantation of this device.¹⁵⁰ A prospective, multicenter, randomized, and single blinded trial is underway to confirm this finding ([NCT02600234](#)).

A trial testing potential benefit of Baroreflex activation therapy (BAT) for HFpEF, the HOPE4HF trial (a randomized outcomes trial designed to evaluate the clinical safety and efficacy of BAT in the HFpEF population: [NCT00957073](#)),¹⁵¹ is underway. A micro-ventricular assist device implanted (off pump) via a mini-thoracotomy, in a right subclavicular subcutaneous pocket (like a pacemaker) with drainage of blood from the LA and output it in the subclavian artery appears to interrupt the progressive hemodynamic deterioration in HFpEF.¹⁵² However its role in HFpEF is yet to be defined. In a recent cardiovascular simulation model of HFpEF, mechanical circulatory support significantly increased CO, provided a mild increase in BP, and markedly reduced pulmonary and LA pressures.¹⁵³

Conclusions and Perspectives

HFpEF is the most common form of HF in patients older than 65 years. The ideal treatment modality for HFpEF should be one that is able to relieve symptom but also provide mortality and morbidity benefit. To date, there are no approved therapies available for reducing mortality or hospitalizations for these patients. The failure to develop successful therapies for the management of HFpEF may be because of the poor understanding of the pathophysiology of HFpEF, inadequate standardization of the HFpEF diagnosis, the lack of strict definition and inadequate differentiation of disease subtypes. In addition, HFpEF is likely a systemic syndrome, with multi-factorial pathophysiology, underlying age-related changes, frequent multiple chronic co-morbidities, multi-organ involvement, and clinical heterogeneity. These concepts have led to the proposal of key phenotypes in HFpEF, with

each phenotype having somewhat distinct pathophysiological and treatment implications.¹⁵⁴ Acknowledging these factors and the resulting key phenotypes in designing HFpEF trials could help achieve more homogenous study populations, and thereby better match underlying pathophysiology with proposed therapeutic mechanisms. New clinical trials that target underlying inflammation, oxidative stress, and aging-related dysfunction may prove to be particularly effective for HFpEF.

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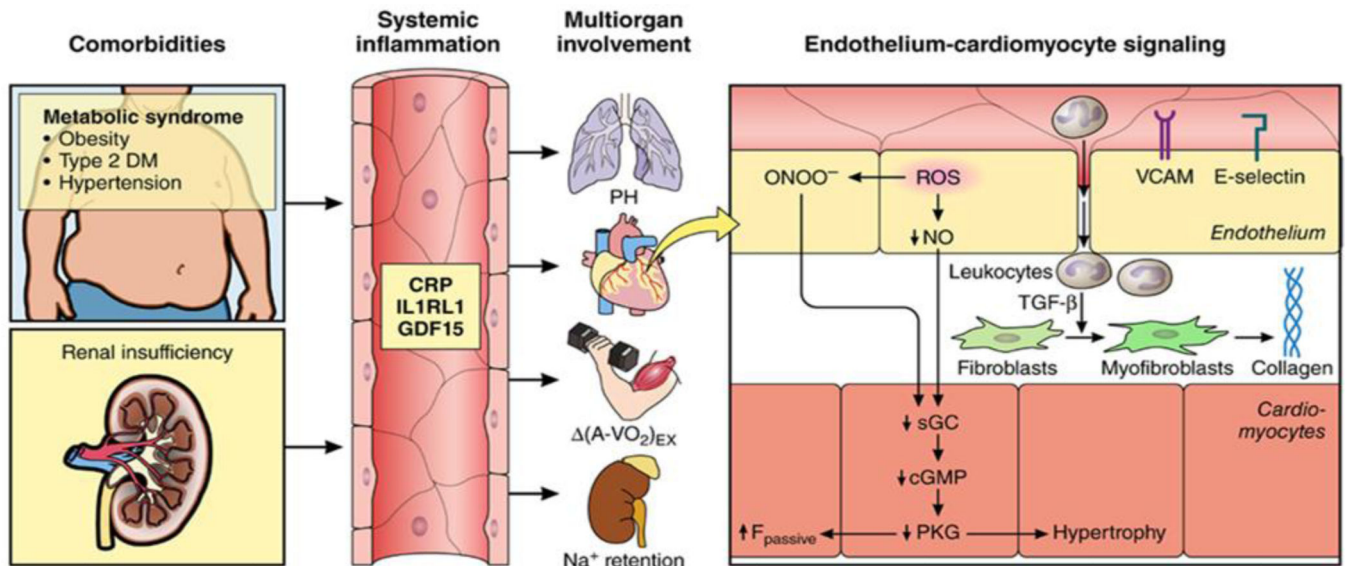


Figure 1. Systemic and myocardial signaling in HFpEF. Comorbidities induce systemic inflammation, evident from elevated plasma levels of inflammatory biomarkers such as soluble interleukin 1 receptor-like 1 (IL1RL1), C-reactive protein (CRP), and growth differentiation factor 15 (GDF15). Chronic inflammation affects the lungs, myocardium, skeletal muscle, and kidneys leading to diverse HFpEF phenotypes with variable involvement of pulmonary hypertension (PH), oedema, deficient skeletal muscle oxygen extraction ($\Delta(A-VO_2)EX$) during exercise (Ex), and renal Na^+ retention. Myocardial remodeling and dysfunction begins with coronary endothelial microvascular inflammation manifest from endothelial expression of adhesion molecules such as vascular cell adhesion molecule (VCAM) and E-Selectin. Expression of adhesion molecules attracts infiltrating leukocytes secreting transforming growth factor β (TGF- β), which converts fibroblasts to myofibroblasts with increased interstitial collagen deposition. Endothelial inflammation also results in the presence of reactive oxygen species (ROS), reduced nitric oxide (NO) bioavailability, and production of peroxynitrite (ONOO $^-$). This reduces soluble guanylate cyclase (sGC) activity, cyclic guanosine monophosphate (cGMP) content, and the favorable effects of protein kinase G (PKG) on cardiomyocyte stiffness and hypertrophy. HFpEF indicates heart failure with preserved ejection fraction. Reproduced from *Circulation* with permission. *Circulation*. 2016; 134:73–90.

Table 1.

Epidemiology of HFpEF

Prevalence	40–71% (1.1–5.5% of the general population) ^{1,3,155}
Incidence	52.3% (2008–2010), decreased from 56.9% from 2004–2007 ¹⁵⁶
All-cause Mortality	In-hospital : 3–6.5% ^{8,157,158} Short-term (30–90 days) : 5–9.5% ^{6,8} Long term (5 years)mortality : 55–74% ^{159–161} Annual mortality rate from 3.5 to 15% ^{52–54}
Overall mortality related to non-cardiovascular causes	30–49% ^{52,54,159,160}
One-year rate of readmission	13.5% ⁶
Combined mortality and readmission rates at 60–90 days	35.3% ⁸
One-year combined death and readmission for HF	31.1% ⁶
Long term HF readmission	Increased from 33–39% ³
5-year health care cost	\$ 32 580 ⁷

HFpEF=heart failure with preserved ejection fraction; HF=heart failure

Table 2.

Summary of important randomized trials

First Author/Trial (Ref.#)	Intervention	HFpEF Patient Type	Primary Endpoint	Trial Result
CHARM-Preserved ⁵²	Candesartan	18 s/NYHA class II–IV HF	CV death or HF admission	Fewer HF admissions
The PEP-CHF ⁵³	Perindopril	70 s/diagnosed HF and treated with diuretics and an Echo-DD	All-cause mortality and HF admission	Fewer HF admissions
I-PRESERVE ⁵⁴	Irbesartan	60 s/hospitalized or HF during the previous 6 months and have current NYHA class II–IV symptoms	Death from any cause or hospitalization for a CV cause	Neutral
Kitzman et al. ⁵⁵	Enalapril	Elderly (70±1 ys), predominant female (80%) with compensated HF	Peak VO ₂ and 6 MWD	Neutral
TOPCAT ⁶⁰	Spironolactone	50 s, Symptomatic HF. Patients had a h/o HF hospitalization within previous 12 months and elevated BNP within 60 days before randomization	CV death or aborted cardiac arrest, HF hospitalization	Neutral
Aldo-DHF ⁵⁷	Spironolactone	50 s/ambulatory patients/NYHA class II–III symptoms, grade I DD and normal or near-normal BNP levels	Peak VO ₂ , change in E/e'	Neutral
RAAM-PEF ⁵⁸	Eplerenone	Elderly, symptomatic NYHA class II/III, increased BNP within 60 days	6MWD	Neutral
J DHF ⁶³	Carvedilol (lowdose)	20 ys/ambulatory patients with NYHA class II–III symptoms, grade I DD, and normal or near-normal BNP levels	Death or HF hospitalization	Neutral
ELANDD ⁶⁴	Nebivolol	40 s/ambulatory patients with NYHA class II–III symptoms, grade I DD, and normal or near-normal BNP levels	6 MWD	Neutral
NEAT-HFPEF ⁶⁸	Isosorbide Mononitrate	50 s/ambulatory HF patients, prior hospitalization for HF within 12 months or increased invasively measured LV filling pressure or elevated BNP or echo-DD	Daily activity level, 6MWD	Neutral
RELAX ^{68;84}	Sildenafil	capacity	Peak VO ₂	Neutral
DILATE –1 ⁹⁰	Riociguat	18 s/stable symptomatic HF, mean PAP 25 mm Hg and PCWP > 15 mm of Hg	Change in mean PAP	Neutral
Little et al ⁹⁹	Alagebrium chloride	> 65 ys, stable ambulatory NYHA class II/III	Peak VO ₂	Neutral
RALI-DHF ⁹⁸	Ranolazine	NYHA class II–III HF, Echo-DD, NT-pro BNP > 220 pg/ml, rest LVEDP 18 mm of Hg	LVEDP, PCWP	Some improvement in hemodynamics
Zile et al ¹⁰¹	Sitaxsentan	NYHA class II–III HF, Echo-DD	Change in treadmill exercise time	Positive
PARAMOUNT ⁷¹	LCZ696(ARNI)	40 s/NYHA class II–III HF, NT-pro BNP > 400 pg/ml and be on a diuretic therapy	Change in NT-proBNP	Positive
Kosmala et al ⁸⁸	Ivabradine	50 s/ambulatory patients with NYHA class II–III symptoms, grade I DD, and normal or near-normal BNP levels	Peak VO ₂ , Peak E/e'	Positive
Kitzman et al ¹¹⁵	Exercise training	60 s/ambulatory HF patients with NYHA class II–III symptoms	Peak VO ₂	Positive
Kitzman et al ¹¹⁴	Caloric restriction and exercise training	60 s/ambulatory HF patients with NYHA class II–III symptoms	Peak VO ₂ and Quality of Life	Positive
CHAMPION ¹⁴⁷	CardioMEMs sensor	18 s, NYHA class III HF, hospitalization for HF in last 12 months,	HF hospitalization	Positive

HFpEF = heart failure with preserved ejection fraction; NYHA= New York heart association; CV=cardiovascular; HF=heart failure; DD=diastolic dysfunction; VO₂= oxygen consumption; MWD=minute walk distance; BNP=B-type natriuretic peptide; E= Mitra early diastolic velocity; e' =mitral annular velocity; ARNI = angiotensin receptor-nepriylsin inhibitor; PAP=pulmonary artery pressure; PCWP=pulmonary capillary wedge pressure. LVEDP=left ventricular end diastolic pressure

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