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## Weight Change in Older Adults and Risk of Frailty

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## **Abstract**

**OBJECTIVE:** A 5% change in weight is a significant predictor for frailty and obesity. We ascertained how self-reported weight change over the lifespan impacts rates of frailty in older adults.

**METHODS:** We identified 4,984 subjects 60 years with body composition measures from the National Health and Nutrition Examination Survey. An adapted version of Fried's frailty criteria was used as the primary outcome. Self-reported weight was assessed at time current,1 and 10 years earlier and at age 25. Weight changes between each time point were categorized as 5%, 5% or neutral. Logistic regression assessed the impact of weight change on the outcome of frailty.

**RESULTS:** Among 4,984 participants, 56.5% were female, mean age was 71.1 years, and mean BMI was 28.2kg/m<sup>2</sup>. A weight loss of 5% had a higher association with frailty compared to current weight, age 25 (OR 2.94 [1.72,5.02]), 10 years ago (OR 1.68 [1.05,2.69]), and 1 year ago (OR 1.55 [1.02,2.36]). Weight gain in the last year was associated with increased rate of frailty (1.59 [1.09,2.32]).

**CONCLUSION:** There is an association between frailty and weight loss over time while only weight gain in the last year has an association with frailty.

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## **Keywords**

Obesity; frailty; sarcopenia; pre-frailty

## INTRODUCTION

Frailty is the result of physical, psychological and social factors that contribute to a decline in the body's physiological reserve and its reduced ability to maintain homeostasis among life's stressors (1, 2). While a standardized pragmatic definition of frailty is still debated, Fried's landmark study operationalized frailty as a phenotype defined by a set of variables: unintentional weight loss of 10 lbs, self-reported exhaustion, slow gait speed, low energy expenditure and weak grip strength (frail 3, pre-frail 1 or 2, robust =0) (3). Frailty is strongly associated with functional losses, disability, increased healthcare utilization and higher cost of healthcare (1, 4-8).

Weight status is commonly assessed in healthcare settings; however, it may be underutilized as a metric to indicate current and future adverse health outcomes (9, 10). Changes in body composition occur with each decade of life and include a peaking of fat mass in the 7<sup>th</sup> decade followed by subsequent decline in both skeletal muscle and fat (11, 12) making the most commonly used metric, body mass index (BMI), a highly insensitive measure of weight status in older adults(13). Some older adults are at risk for what is known as sarcopenic obesity or a disproportionate loss of lean mass to gain of fat mass which is shown to be associated with higher rates of morbidity and mortality outside of both sarcopenia or obesity alone (14, 15). Long-term changes of loss or gain in body weight are associated with the highest mortality rates among persons in the general population while mortality is the lowest among those with modest weight changes(16). Weight cycling, or gaining/losing a similar amount of weight repeatedly, is known to be associated with higher disability and mortality rates(17). This harmful cycle emphasizes the importance of obtaining a weight history in clinical practice as even lower percent changes may be significant in those with frailty (18-22).

Gaining an understanding of longitudinal weight measures over a lifespan could be helpful for predicting future risk of disease and functional status. Such measures are easily captured using most outpatient electronic medical records. As both frailty and obesity are associated with similar adverse outcomes (4-8, 23-25), weight change trajectories may help clinicians assess the risk of development of frailty and other adverse health outcomes in older adulthood. The purpose of this study was to evaluate the relationship between self-reported weight change over a lifespan and frailty in a representative sample of US older adults.

## **METHODS**

#### Study Design and Participants

Participants included in the analysis were identified from 1999–2004 National Health and Nutrition Survey (NHANES) data. NHANES is a multistage probability survey conducted by the National Center for Health Statistics designed to assess the health and nutritional

status of adults and children in the United States. The survey oversamples Non-Hispanic blacks, Mexican Americans, persons greater than 60 years of age. Results are therefore generalizable to the United States population. All manuals, procedures and data files are publicly available at <a href="http://www.cdc.gov/nchs/nhanes.html">http://www.cdc.gov/nchs/nhanes.html</a>.

NHANES screened 38 077 individuals, interviewed 31 125, and then examined 29 402 in a mobile examination unit, with exams conducted by trained medical personnel. For this secondary analysis of data, we included participants aged 60 years older with body composition measures and frailty variables for a final analytical cohort of N=4984. The local Institutional Review Board at Dartmouth College exempted this study from review due to the de-identified nature of all NHANES data in the database.

#### **Baseline Characteristics**

Self-reported sociodemographic characteristics including age, race, sex, physical activity levels, smoking status and co-morbid conditions were obtained from questionnaires completed by participants or their primary caregivers. Age was stratified into three categories as performed in our previous analyses: 60–69, 70–79, 80+ years (13, 26, 27). Race was reported as non-Hispanic White, non-Hispanic Black, and Hispanic American. Co-morbid conditions were self-reported using the question, "Has a doctor or other health professional ever told you that you have [medical condition]?" Smoking status was classified as current smoker, former smoker or never smoker. Physical activity was categorized as sitting, walking, performing light loads or heavy work using the question, "Please tell me which of these four sentences best describes your usual daily activities?"

#### Study Variables

Frailty: We defined frailty according to the phenotypic model (3), using participant self-reported and objectively measured data. This phenotypic definition consists of five criteria derived from the Cardiovascular Health Study (3, 28) as follows: unintentional weight loss of 10 pounds or more in a year; self-reported exhaustion; weakness defined by grip strength; slow walking speed; and low physical activity. We adapted the criteria to define each variable, respectively, using data available in NHANES: low body mass index (BMI)<18.5kg/m²; difficulty walking between rooms; difficulty lifting or carrying 10 pounds, gait speed <0.8 m/s, and self-reported reduced physical activity compared to others. Frailty was defined as meeting three or more of the five following criteria and pre-frailty was defined as meeting 1 or 2 criteria. Individuals not meeting any criteria were classified as robust.

Anthropometric Measures: Weight was assessed using a self-reported questionnaire. Participants were asked to report their current weight, weight one year ago, weight 10 years ago, and weight at age 25. Additional questions included "During the past 12 months, have you tried to lose weight?" and "During the past 12 months have you done anything to keep from gaining weight?" Objective weight was measured on the right side of the body to the nearest tenth of a centimeter on an electronic digital scale (calibrated in kilograms), and height was measured using a stadiometer.

#### **Statistical Analysis**

All data were downloaded in September 2015 into a single dataset. Weight history data was combined in November 2017 following NHANES standard operating procedures, accounting for weighting, strata, primary sampling unit, and cluster. Descriptive statistics are presented as means ± standard errors, and counts (weighted percentages). Comparisons between groups were conducted using t-tests and chi-square tests of independence. We calculated self-reported percent weight change as the quotient of the difference between baseline year and year in question (1 year prior, 10 years prior or at age 25 years old). Meaningful weight loss/gain is categorized as  $\pm$  a change of 5% or more (29). We created three categories: 5% weight loss; 5% weight gain; or no change in weight (-5 to +5% weight change). The latter category is represented as the referent in our models. A 5% change in weight loss or gain was used since it has been used as a significant predictor for both frailty and obesity in past studies (30, 31). Slope for each individual change was calculated as the participant's age at each of the three time points (quotient of Weight Time<sub>1</sub>.- Weight Time<sub>2</sub> and Age) and is represented as the change in weight per year. Multiple models were constructed to evaluate the effect of weight change (primary predictor - gain/loss of 5%) on the risk of frailty (primary outcome). Gait speed was not assessed in NHANES 2003-2004 therefore imputation by mean was used conditional on covariates to account for missing values using R (v 3.3.2) and the package mice for 3,645 participants(www.r-project.org). The package creates plausible data values from a distribution specifically designed for each data point; five imputed data sets are generated using predictive mean matching. The correction variables used were age, sex, education, race, diabetes, arthritis, congestive heart failure, cancer, and lean mass percent. The five data sets were averaged, resulting in a final imputed data set used for analysis. Analyses were run on the full imputed data set as well as a subset excluding the imputed variables to test the quality. The data presented in our results is based on full imputed data alone; data excluding imputed variables is not shown and presented elsewhere (26).

We constructed three incremental logistic regression models adjusting for co-variates: age, gender (Model 1); Model 1 co-variates plus race, education, smoking (Model 2); Model 2 co-variates plus diabetes, arthritis, coronary artery disease, and cancer (Model 3). Data are not shown for Model 1 and Model 2 but results did not change as we adjusted for additional variables. All logistic regression models assessed the impact of weight change (gain, loss, no change) for two different frailty outcomes (Frailty vs. Pre-Frailty/Robust, and Pre-Frailty vs. Robust). Visual representation of change in weight over time was plotted per individual with a LOESS local regression line (span = 0.7). All analyses were conducted using STATA v.14 (College Station, Texas) and R v3.5 (www.r-project.org). P values were considered statistically significant if they were less than the criterion level of 0.05.

## **RESULTS**

Table 1 presents the baseline characteristics of participants. Of 4,984 participants, 56.5% were female, mean age was 71.1 years and BMI was 28.2 kg/m<sup>2</sup>. Prevalence of pre-frailty and frailty was 40.1% and 9.1%, respectively. Robust participants were more likely to be non-Hispanic white and have a higher education level (p=<0.001). Frail patients were more

likely to have comorbidities such as arthritis, diabetes and coronary artery disease (p=<0.001) but not more likely to have cancer (p=0.48). Nearly all frail patients met the criterion of weakness (96.9%) and the most common criterion identified for pre-frailty was slowness of gait (59.1%).

Table 2 outlines participants' self-reported weights and weight changes over time. Weight increased in all groups over time, with individuals with frailty losing weight, as compared to the pre-frail or robust groups within the past year or 10 years. Weight gain of 5% in the past year was associated with higher rates of frailty among those classified in other categories. When comparing each participant's weight at age 25 years old to his/her current weight, fewer individuals with frailty gained clinically significant weight and most had a greater than 5% weight loss. Changes in weight and rates of combination of frailty, pre-frailty and robust percentages can be found in Supplementary Table 1.

Multivariable logistic regression models evaluating the relationship between weight change during a specific time interval, and risk of frailty or pre-frailty are presented in Table 3. Weight loss of 5% was strongly associated with risk of frailty compared to pre-frailty/ robust and the relationship strengthens with longer time intervals. A 5% weight gain only was associated with frailty if the gain occurred within the last year. Similar associations were seen with pre-frailty when comparing pre-frailty to robust status, albeit weaker than the association seen with frailty.

Figure 1 represents the change in weight as a function of age plotted with a LOESS smoothed line by frailty status. Graphically, a period of increased rate of weight gain is noted prior to a steep decline in weight for individuals who were frail at time of study inclusion; conversely, this was not observed in those with pre-frail or robust status. Additionally, frail individuals had a higher peak weight (87.3 kg), occurring earlier in life (58.4 years), relative to both pre-frail (peak weight 79.9 kg at age 59.9 years) and robust (79.0 kg peak weight at 63.0 years) individuals. This suggests that the earlier one reaches their peak weight in life, the higher risk of incident frailty.

## **DISCUSSION**

Our findings demonstrate the importance of dynamic weight changes over a lifetime in the future development of frailty. While frailty is classically defined by weight loss (3), here we show that significant changes in weight gain or loss over a lifetime were associated with frailty progression making this a more complicated relationship than was previously thought. We created two separate analyses looking at frailty versus pre-frail and robust participants and pre-frail vs robust participants alone. Pre-frailty has individually been associated with an increase in overall mortality and cardiovascular mortality (26). Here we demonstrate that significant weight loss or weight gain is strongly associated with frailty and has a weaker, but statistically significant, association with pre-frailty supporting the significance of each step along the frailty spectrum.

While weight loss' association with frailty is well accepted, the relationship of weight gain with frailty is less understood. A 22 year follow-up study demonstrated obesity was

associated with higher rates of pre-frailty and frailty compared to robust individuals, suggesting obesity could be a contributing factor to progression along the frailty spectrum (32, 33). Unsurprisingly, we showed that older adults with a 5% weight loss compared to 1 year ago, 10 years ago, and age 25 have significantly increased odds of developing frailty suggesting that weight loss is a strong indicator of potential frailty development. Yet, a 5% weight gain only increased odds of frailty when this occurred within the last year, but not compared to 10 years ago or age 25. This seems to suggest that a sudden increase in weight may not be marker of health, but a weight trajectory trending more toward the concept of sarcopenic obesity (34).

Prior work has shown that when evaluating weight gain and loss, more lean mass is lost than is gained over repeated fluctuations suggesting weight cycling could accelerate sarcopenia in older adults and contribute to saecopenic obesity (35). Weight cycling leads to greater central body fat and increased mortality (22). Some theorize that the trajectory of pathological aging is to move from robust status to sarcopenic obesity to frailty then to disability and mortality (36). Our previous data suggest that late adulthood weight changes over a 10 year period are predictive of sarcopenia development and that there is a healthy, natural propensity to gain weight over a life course (37). Our current findings demonstrate a similar trend as robust participants were more likely than those pre-frail or frail to have gained 5% weight over the course of the prior 10 years.

A natural, healthy trend toward weight gain could explain why the classification of overweight status in older adults, in part, has been noted as somewhat "protective" (38). Overweight status could be beneficial for reducing disability and functional loss with reduced osteoporosis and injuries from falls (39). An ability to gain or maintain weight demonstrates a reduced vulnerability to stressors from comorbid health conditions (40).

This study is not without limitations. First, the analysis relied on self-reported weights which may be impacted by recall bias. In clinical practice, weight 10 years prior and at age 25 would most likely be ascertained by recall, and therefore, use of self-report is ecologically valid. Second, the sample was comprised of community dwelling adults. Individuals living in facilities were not included, which limits the ability to generalize these findings to the older adult population as a whole. To operationalize Fried's frailty criteria in NHANES, it was necessary to modify some of the original definitions such as endurance. The prevalence of each component, however, is comparable to those observed in other studies (3, 41, 42). As walking speed was missing for 3,645 patients, multiple imputations needed to be performed to maximize the number of participants with appropriate data. Multivariate imputation by chained equations, a robust method that generates multiple predictions for each missing value, taking the uncertainty of the imputations into account and yielding accurate standard errors (43), was used to handle missing data. As with all cross-sectional studies, we are not able to make causal inferences. Lastly, the true relationship between weight change and incident to frailty may not necessarily be linear.

## CONCLUSIONS

The results demonstrate an association between frailty and weight change over time. Weight loss over a lifespan is strongly associated with frailty while weight gain in the last year also is association with higher rates of frailty and can therefore be a marker of declining health. A natural trend toward weight gain and overweight status in a lifetime could actually be a sign of metabolic health and longevity. These findings demonstrate the clinical value in weight trends obtained at most clinical visits and highlight potential trends that may warrant closer evaluation for syndromes like frailty that require additional intervention to help curb poorer health outcomes.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### **ABBREVIATIONS**

**BMI** 

body mass index

#### **NHANES**

## National Health and Nutrition Examination Survey

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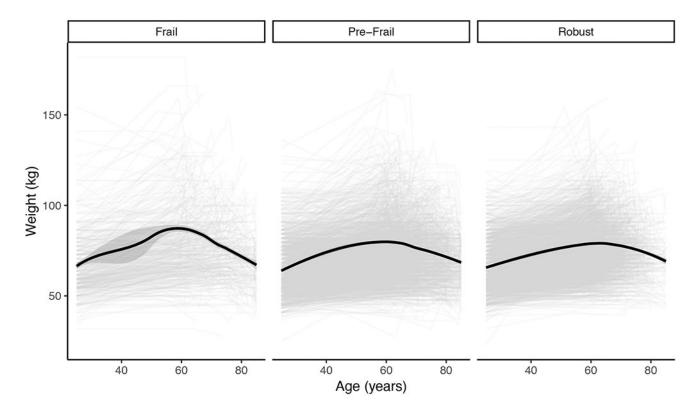
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**Figure 1: Change in Weight by Frailty Status**This figure represents a LOESS smoothed line demonstrating the change in weight as a function of age by frailty status.

Table 1:

## Baseline Characteristics of Participants

	Robust	Pre-frail	Frail	p-value
	N= 2,246 (50.8)	N= 2,195 (40.1)	N=541 (9.1)	
Age, years	68.7±0.22	73.3±0.23	74.9±0.5	< 0.001
Female sex	949 (47.2)	1244 (65.6)	336 (68.1)	< 0.001
Body Mass Index	27.8±0.12	28.3±0.18	30.7±0.49	< 0.001
Fat Mass %	35.9±0.13	38.3±0.20	40.0±0.46	< 0.001
Lean Mass %	61.7±0.13	59.3±0.20	57.8±0.47	< 0.001
Education				
>12 years	986 (50.4)	585 (32.3)	105 (23.4)	< 0.001
Race				
Hispanic	533 (5.9)	522 (8.1)	146 (10.8)	
Non-Hispanic White	1387 (86.2)	1203 (77.4)	256 (70.5)	< 0.001
Non-Hispanic Black	281 (5.4)	403 (10.3)	127 (15.8)	
Other	45 (2.4)	67 (4.2)	12 (3.0)	
Smoking Status				0.02
Former	1004 (44.2)	1052 (49.1)	271 (50.3)	
Never	948 (43.9)	889 (39.6)	198 (35.4)	
Current	288 (11.9)	254 (11.4)	69 (14.3)	
Comorbidities				
Diabetes	356 (13.2)	499 (21.0)	205 (34.5)	< 0.001
CAD	297 (14.3)	421 (20.6)	152 (30.9)	< 0.001
Cancer	418 (22.1)	395 (20.8)	103 (22.9)	0.48
Arthritis	786 (38.3)	1228 (59.8)	363 (73.9)	< 0.001
# of Frailty Variables				
Unintentional Weight Loss in past year		39 (2.2)	20 (3.7)	< 0.001
Exhaustion		150 (6.0)	436 (79.0)	< 0.001
Weakness		929 (44.9)	526 (96.9)	< 0.001
Slow Gait		1,419 (59.1)	446 (81.6)	< 0.001
Low Physical Activity		367 (19.1)	368 (79.7)	< 0.001

Values represented are means  $\pm$  standard errors or counts (weighted percentages). Frailty variables from NHANES were adapted as follows: weight loss (body mass index <18.5kg/m²); exhaustion – difficulty walking between rooms; weakness – difficulty lifting or carrying 10lbs; slow walking speed – gait speed <0.8m/s; low physical activity – reduced physical activity compared to others your age.

 Table 2:

 Weight Change and Rates of Classification Along Frailty Spectrum

	Robust	Pre-Frail	Frail	p-value
Self-Reported Weight				
Current Weight, kg	78.6±0.36	75.6±0.55	79.5±1.53	< 0.001
1 year ago, kg	78.9±0.40	76.4±0.60	81.0±1.6	0.002
10 years ago, kg	75.7±0.36	73.5±0.55	78.7±1.40	< 0.001
At age 25 years, kg	65.6±0.29	62.8±0.36	65.9±0.99	< 0.001
Tried to lose weight, %	556 (30.1)	508 (27.3)	100 (20.9)	< 0.001
Tried not to gain weight, %	839 (42.0)	660 (33.6)	121 (26.4)	< 0.001
Self-Reported Weight Change,%				
1 year to current weight	-0.6±0.16	-1.2±0.20	-2.2±0.6	0.002
10 years to current weight	3.2±0.2	1.6±0.46	-1.2±1.2	< 0.001
Age 25 to current weight	15.4±0.29	15.0±0.57	14.2±1.5	0.59
1 year to 10 years ago	3.6±0.19	2.7±0.44	0.76±1.0	0.006
1 year to 25 years old	15.7±0.3	15.8±0.56	16.0±1.4	0.97
10 years to 25 years old	12.5±.32	13.2±0.46	14.7±0.9	0.052
Weight : 1 year to Current Weight				
5% weight loss	351 (15.6)	429 (20.1)	153 (27.1)	
No weight (-5 to +5%)	1628 (74.5)	1425 (67.1)	270 (56.9)	< 0.001
5% weight gain	236 (10.0)	265 (12.7)	83 (16.0)	
Weight : 10 years to Current Weight				
5% weight loss	410 (15.6)	602 (26.1)	202 (36.7)	
No weight (-5 to +5%)	900 (42.4)	700 (33.7)	122 (25.8)	< 0.001
5% weight gain	897 (42.0)	761 (40.1)	171 (37.4)	
Weight : Age 25 to Current Weight				
5% weight loss	159 (6.1)	249 (11.6)	105 (18.9)	
No weight $(-5 \text{ to } +5\%)$	317 (14.2)	272 (13.0)	49 (8.2)	< 0.001
5% weight gain	1684 (79.7)	1480 (75.3)	319 (72.9)	
Weight : 10 years ago to One year ago				
5% weight loss	280 (10.5)	421 (18.4)	143 (25.2)	
No weight $(-5 \text{ to } +5\%)$	1069 (48.9)	895 (42.9)	189 (38.9)	< 0.001
5% weight gain	851 (40.5)	743 (38.7)	153 (35.8)	
Weight : Age 25 year to One year ago				
5% weight loss	145 (5.5)	219 (10.4)	77 (14.7)	
No weight (-5 to +5%)	1175 (54.3)	1036 (50.8)	233 (47.5)	< 0.001
5% weight gain	821 (40.2)	728 (38.8)	149 (37.8)	
Weight : Age 25 years to 10 years ago				
5% weight loss	101 (4.3)	132 (6.6)	44 (7.8)	
No weight $(-5 \text{ to } +5\%)$	441 (18.6)	433 (21.8)	92 (17.3)	0.03

	Robust	Pre-Frail	Frail	p-value
5% weight gain	1619 (77.1)	1422 (71.6)	332 (74.9)	

All values represented are mean  $\pm$  standard error or counts (weighted percentage).

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Table 3:

Association of Weight Change and Frailty Status

				Time Period	eriod		
		One year ago to Current Weight	10 years ago toAge 25 years to10 years ago toAge 25 years toAge 25 years toCurrent WeightCurrent Weight1 year ago1 year ago	Age 25 years to Current Weight	10 years ago to 1 year ago	Age 25 years to 1 year ago	Age 25 years to 1 year ago
Frailty vs. Pre-Frailty/Robust	5% loss	1.55 [1.02,2.36]	1.55  [1.02, 2.36]  1.68  [1.05, 2.69]  2.94  [1.72, 5.02]  1.40  [1.00, 1.96]  2.03  [1.41, 2.92]  1.34  [0.75, 2.41]	2.94 [1.72,5.02]	1.40 [1.00, 1.96]	2.03 [1.41,2.92]	1.34 [0.75,2.41]
	No weight (-5 to +5%)	Ref	Ref	Ref	Ref	Ref	Ref
	5% gain	1.59 [1.09,2.32]	1.26 [0.89,1.78] 1.35 [0.90,2.02] 1.08 [0.78, 1.48] 1.16 [0.85,1.59] 1.02 [0.71,1.45]	1.35 [0.90,2.02]	1.08 [0.78, 1.48]	1.16 [0.85,1.59]	1.02 [0.71,1.45]
Pre-Frailty vs. Robust	5% loss	1.35 [1.05,1.74]	$1.35  [1.05, 1.74]  \left   1.58  [1.19, 2.09]  \right   1.64  [1.17, 2.31]  \left   1.43  [1.05, 1.95]  \right   1.91  [1.36, 2.67]  \right   1.13  [0.77, 1.66]$	1.64 [1.17,2.31]	1.43 [1.05,1.95]	1.91 [1.36,2.67]	1.13 [0.77,1.66]
	No weight (-5 to +5%)	Ref	Ref	Ref	Ref	Ref	Ref
	5% gain	1.27 [1.03,1.57]	1.27  [1.03,1.57]  1.26  [1.03,1.54]  0.96  [0.73,1.25]  1.22  [0.99,1.50]  1.21  [0.98,1.50]  0.69  [0.54,0.88]	0.96 [0.73,1.25]	1.22 [0.99,1.50]	1.21 [0.98,1.50]	0.69 [0.54,0.88]

Data are represented as odds ratio [95% Confidence interval]. Model 3 represented adjusted for Age, gender, race, education, smoking status, diabetes, arthritis, cad, cancer. Multivariable logistic regression models (referent category: no change in weight) are represented as odds ratios (95% confidence intervals). The primary predictor was weight change (gain 5%, loss of 5%, or no change in weight) during the time period. Separate multivariable models were created for the outcomes of Frailty vs. Pre-Frailty/Robust, and Pre-Frailty vs. Robust (each yes/no). Models presented were adjusted for: age, sex, race, education status, smoking status, self-reported diabetes, arthritis, coronary artery disease, cancer.