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Dosimetry, Radiobiology and Synthetic Lethality: Radiopharmaceutical Therapy (RPT) with Alpha-Particle-Emitters

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Introduction

Radiopharmaceutical therapy (RPT) with α-particle emitters (αRPT) has drawn the attention of the RPT field and the pharmaceutical industry because α-particle emitter RPT is impervious to the many cancer resistance mechanisms that diminish the effectiveness of all other cancer therapies. Radiopharmaceutical therapy is a cancer treatment modality that delivers radiation to tumor cells in a targeted manner. The radiation is delivered, not as a beam of photons or protons (or carbon ions) from the outside, but as emissions from a radioactive element (a radionuclide) that is conjugated to agents that bind to tumor cells or to elements of the tumor microenvironment. These radiopharmaceuticals are administered to patients systemically or locoregionally. Almost all of the radionuclides used for radiopharmaceutical therapy emit photons that may be imaged using nuclear medicine imaging modalities, radionuclides used for radiopharmaceutical therapy also emit beta particles and α-particles. The latter are particularly effective in causing largely irreparable DNA double-strand breaks. The ability to deliver α-particles directly to tumor cells using tumor targeting molecules is unique to RPT. As α-particles traverse tissue they deposit DNA damaging (ionizing) energy at a density that is two to three orders of magnitude greater relative to that achieved by photons or beta-particles. This high energy deposition density is also delivered over a very short, 50 to 100 μm, range. These properties give αRPT its high potency against tumors that are resistant to other forms of cancer therapy. The high potency and short range can also lead to toxicity. To anticipate or reduce toxicity while optimizing efficacy, early phase αRPT trials should be designed with an understanding of the dosimetry and radiobiology of the αRPT under investigation. Due to the nature of the DNA damage that it induces, αRPT also has a strong potential to be enhanced by inhibiting elements of DNA DSB repair pathways (Fig. 1) in a synthetic lethality-like strategy that would enhance kill only to those cells subjected to DSB damage. This review draws on material from a MIRD Committee monograph on α -particle emitter dosimetry published in 2015 [1] and also covers recent developments and provides an updated perspective on the subject.

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Radiobiology and Synthetic Lethality

The majority of systemically administered cancer therapy currently used in patients, including targeted or biologic therapy targets tumor cells only in the sense that tumor cells are preferentially sensitive to the therapeutic agent.

William G Kaelin's review of synthetic lethality [2] states that:

Two genes are synthetic lethal if mutation of either alone is compatible with viability but mutation of both leads to death. So, targeting a gene that is synthetic lethal to a cancer relevant mutation should kill only cancer cells and spare normal cells.

The review goes on to say:

Synthetic lethality therefore provides a conceptual framework for the development of cancerspecific cytotoxic agents. This paradigm has not been exploited in the past because there were no robust methods for systematically identifying synthetic lethal genes. This is changing as a result of the increased availability of chemical and genetic tools for perturbing gene function in somatic cells.

In the context of cancer therapy wherein the therapeutic agent interacts with both cancer and normal cells, synthetic lethality and related strategies to induce this are essential to obtaining the needed therapeutic ratio to eradicate cancer without prohibitive normal tissue toxicity. In the context of radiopharmaceutical therapy (RPT), wherein the therapeutic ratio is obtained by specifically targeting and delivering radiation to cancer cells, synthetic lethality is not essential but enhancing. This is particularly the case for RPT using alpha-particle emitters wherein highly potent, short-range radiation induces preponderant DNA double strand breaks (DSBs) that stress already compromised DSB repair machinery in tumor cells that often have deficiencis in their DSB repair machinery. Alpha-particle emitter RPT can utilize a synthetic lethality approach to either enhance therapeutic efficacy or identify patients that are most likely to show the greatest sensitivity to αRPT.

The potential and degree to which synthetic lethality could enhance alpha-particle emitter RPT (αRPT) has been evaluated pre-clinically using MDA-MB-231, a triple-negative (ER−, PR[−], HER2[−]), and EGFR⁺ breast cancer cell line. Knockdown of genes involved in the nonhomologous end-joining (NHEJ) DNA DSB repair pathway (Fig. 1a) by siRNA increased the radiosensitivity of MDA-MB-231 to alpha-particle induced damage by 8-fold. This enhanced sensitivity was measured as an 8-fold increase in RBE over wild type cells (table 1 and Fig. 2 taken from ref. [3]). RBE in these studies was defined as the absorbed dose of conventional external beam radiotherapy radiation required to achieve 37% cell kill divided by the absorbed dose of alpha-particle radiation required to achieve the same biologic endpoint. Accordingly, an increase in RBE requires that the radiosensitivity to conventional (or reference) radiation remain unchanged while the sensitivity $(=1/D₀)$ to alpha-particle radiation increase. An alpha-emitter, which is already 3 to 5 times more potent per unit absorbed dose than conventional radiotherapy, can be 8-fold more deadly to tumor cells that have compromised DSB repair than to normal tissue with no DSB repair defect.

This implies that patients treated with αRPT agents are more likely to have a better response if they harbor an inactivating somatic or germline mutation in a DNA DSB repair pathway. Evidence for this was obtained from a retrospective analysis of 190 patients with bonepredominant metastatic castrate-resistant prostate cancer (mCRPC), 28 of whom received standard of care 223 Ra. Ten of the 28 patients had germline or somatic inactivating mutations in the Homologous Recombination (HR) DNA DSB repair pathway (Fig. 1b) (table 2, from reference [4])

The response to ²²³Ra of these 10 HR deficient (HRD⁺) patients was compared to that of patients with no inactivating HR mutations (HRD−), and was found to be superior. These results are summarized in table 3.

As noted in this table [4], the time to alkaline phosphatase (ALP) progression for HRD^+ patients was 10.4 months; it was 5.8 months for HRD− patients (hazard ratio [HR] 6.4, 95% CI, $1.5-28.9$; $p = 0.005$). Time to clinically indicated next systemic therapy was 9.7 and 7.2 months for HRD⁺ and HRD⁻ patients, respectively (HR 1.5, 95% CI, 0.5–5.3; p = 0.39). Finally, overall survival was also greater in HRD+ versus HRD− patients (median 36.9 vs 19.0 months, HR 3.3, $p = 0.11$).

Much of our understanding of the molecular basis of DNA repair, along with the synthetic lethality concept that is derived from it, did not exist when the first studies investigating the radiobiology of alpha-emitters were published by Barendsen [5]. Barendsen found that 110 keV of energy is deposited in tissue by alpha-particles per micron distance traveled through the tissue. If the alpha-particles originate from radionuclides, this linear energy transfer (LET) ranges from 60 to more than 200 keV/μm; corresponding LET values for other radionuclide emissions such as beta-particles or photons are in the 0.2-0.5 keV/μm range (Fig. 3A). The difference in the energy deposition pattern is illustrated in a biologic context in Figs 3B and 3C.

The biological effects of the higher ionization density arising from alpha-emitters is manifest in terms of a relative biologic effectiveness (RBE), a diminished repair during fractionation and reduced hypoxia-induced radioresistance (Fig. 4). Accordingly, cellular resistance to alpha-particles has not been observed [7-9]

Dosimetry

In radiotherapy absorbed dose may be directly measured. Treatment is delivered by specifying a configuration of external beams that delivers a pre-specified absorbed dose distribution to the tumor while respecting dose constraints to adjacent normal tissues. Since the beams originate outside the body, the patient may be replaced by an appropriate configuration of materials to measure the absorbed dose to the target region. In this case, the measurable quantity is absorbed dose with the unit gray (Gy). In RPT, the energy is deposited within tissue from radiation sources distributed within the tissue. Measurement devices of the type used in radiotherapy will perturb the activity distribution. Rather, the measurable quantity in RPT is the administered activity. In RPT, the pharmacokinetics of the administered RPT are required to estimate the total number of radionuclide disintegrations

in different tissues. This information is coupled with radionuclide properties and information regarding patient anatomy (either from imaging or from a reference representation) to convert the distributions of radionuclide disintegrations to an absorbed distribution. The low amount of activity administered for αRPT and the low photon yield for some of the αemitters have been seen as obstacles to collecting quantitative images for accurate αRPT dosimetry. This problem may be overcome by using validated surrogate imaging agents that mimic the pharmacokinetics and biodistribution of the αRPT. Quantitative planar imaging methods have been developed [11, 12] and quantitative SPECT imaging is becoming increasingly available for direct imaging of αRPT agents [13-16].

Dosimetry for RPT has its roots in the formalism established by the MIRD Committee to address the potential risks associated with the diagnostic use of radionuclides in nuclear medicine imaging. The formalism was updated in MIRD Pamphlet 21 [17] and adapted for α-emitter dosimetry in the MIRD Monograph- Radiobiology and Dosimetry for Radiopharmaceuical Therapy with Alpha-Particle Emitters [1]. This formalism is summarized by the following set of equations:

$$
D_{\alpha}(r_T, T_D) = \widetilde{A}(r_S, T_D) \cdot \frac{\sum_i \Delta_i^{\alpha} \phi(r_T \leftarrow r_S; E_i^{\alpha})}{M(r_T)}
$$
(1)

$$
D_e(r_T, T_D) = \widetilde{A}(r_S, T_D) \cdot \frac{\sum_i \Delta_i^e \phi(r_T \leftarrow r_S; E_i^e)}{M(r_T)} \tag{2}
$$

$$
D_{ph}(r_T, T_D) = \frac{\sum_{r_s} \left(\widetilde{A}(r_S, T_D) \cdot \sum_i \Delta_i^{ph} \phi \left(r_T \leftarrow r_S; E_i^{ph} \right) \right)}{M(r_T)}
$$
(3)

$$
D_{RBE}(r_T,T_D)=RBE_{\alpha}\cdot D_{\alpha}(r_T,T_D)+RBE_{e}\cdot D_{e}(r_T,T_D)+RBE_{ph}\cdot D_{ph}(r_T,T_{D}~_{(4)}
$$
 $)$

with:

Absorbed fractions for α-particles were not generated for the Cristy-Eckerman phantom [18]. Accordingly, for human tissue dimensions, the following assumption has been used in αRPT calculations that pre-date the most recent ICRP phantoms and absorbed fractions [19, 20]:

$$
\phi(r_T \leftarrow r_S; E_i^{\alpha}) = \begin{cases} 0 & r_T \neq r_S \\ 1 & r_T = r_S \end{cases}
$$
\n(5)

Although this assumption is reasonable for most tissues it is inaccurate, even at the macroscopic scale for selected organs. This is illustrated in the figure below for the bone marrow and for α-particle emissions arising from the decay of thorium-227 and its daughters.

The energy of the alpha particles emitted by thorium-227 and its daughters is between 5.5 and 7.5 MeV. As demonstrated by the arrows, the corresponding skeletal average absorbed fraction for decays originating in the trabecular bone surface (taken as a 10 μm-thick endosteal layer, denoted, TBE) irradiating the trabecular active marrow (TAM) ranges from 0.20 to 0.22. The absorbed fractions reported by Watchman et al. [21] were used to obtain figure 5. The most recently published absorbed fractions have explicitly modeled energy deposition for alpha particles and electrons for all tissues listed in the International Commission on Radiological Protection (ICRP) publication 110 voxelized phantom series [19, 20].

The MIRD Committee has recommended that αRPT absorbed doses be reported individually for each emission type, along with the RBE_a . Based upon a review of experimental literature, an RBE value of between 3 and 5 was recommended for cell killing by an expert scientific panel convened by the Department of Energy in 1996 [22]. Since human studies using alpha-particle emitters have yet to be analyzed for deterministic effects, an RBE of 5 was recommended for projecting the possible deterministic biological effects associated with an estimated alpha-particle absorbed dose.

In some cases, the microscale distribution of the αRPT must be considered to arrive at a biologically relevant absorbed dose calculation. An example of this is provided by comparing the kidney absorbed dose calculated macroscopically and then also by accounting for the microscale distribution of an 225 Ac-labeled antibody and it's 45.6 min α -particle emitting daughter, 213 Bi. The process for doing this is illustrated in figure 6.

Clearly, it is not possible to directly obtain the data illustrated in Figure 6 from human studies. However, the methodology illustrated in figure 6 may be adapted to a clinical scenario as illustrated in the macro to micro scheme summarized in figure 7 and references [24, 27].

The absorbed dose values for αRPT should be expressed in Gy and not as effective or equivalent doses in Sv. Although effective dose can be calculated, it does not apply to the therapeutic use of radiopharmaceuticals. A detailed explanation for this is provided in MIRD Pamphlet 21 [17] and in the MIRD Committee's monograph on alpha-particle dosimetry [1].

Briefly, the conversion of absorbed dose to effective dose is a two step process. In the first step, the absorbed dose D , for each organ is converted to equivalent dose H , by multiplying the organ absorbed dose by a radiation weighting factor, W_R , which adjusts for radiation type R , (previously referred to as radiation "quality"). In the second step the difference in tissue sensitivity to induction of cancer and other detrimental effects is taken into account. In this step, the tissue equivalent doses are multiplied by tissue-specific weighting factors W_T . The product H and W_T , summed over all organs gives the effective dose E. The effective dose is, therefore, not defined for individual organs or tissues. It is a weighted sum over all tissues intended to give a single value that represents the risk of stochastic effects (cancer and other detrimental effects) due to radiation exposure. The following equations summarize this two-step process:

$$
H(r_T) = \sum_R w_R D_R(r_T) \tag{6}
$$

$$
E(r_T) = \sum_T w_T H_T(r_T) \tag{7}
$$

In equation 6, $D_R(r_T)$ is the absorbed dose to tissue r_T from radiation type R. The weighted sum of absorbed dose over all radiation types gives the equivalent dose to tissue r_T . In equation 7, the sum is over all tissues. Effective dose does not correspond to any one tissue. It is also not the whole-body absorbed dose.

For radiation protection purposes, the International Commission on Radiological Protection (ICRP 60) has described the effectiveness of radiations of differing qualities by a series of Radiation Weighting Factors (ICRP 92) [28, 29]. The Radiation Weighting Factors currently being used in the ICRP's system of radiation protection purposes for alpha particles is 20 versus a value of 1 for all radiations having low energy transfer (sparsely ionizing), including x-ray and gamma radiations of all energies. The number 20 for alpha particles is a conservative estimate presumed to account for the increased risk of cancer or possible hereditary endpoints. Likewise, the ICRP has specified a series of tissue weighting factors to reflect the radiation sensitivity of each tissue. Using the methodology embodied in equations 14 and 15, and the radiation and tissue weighting factors, the effective dose may be calculated. The appropriateness of doing so for patients is addressed by the ICRP in publication 92 which states:

"Finally, it is important to remember that effective dose is a quantity intended for use in radiological protection and was not developed for use in epidemiological studies or other specific investigations of human exposure. For these other studies, absorbed dose in the organs of interest and specific data relating to the RBE of the radiation type in question are the most relevant quantities to use."

This ICRP statement is made with regard to stochastic long-term effects, therefore a factor of 20 would be inappropriate even regarding long term effects for a therapeutic agent.

The question of the most appropriate weighting factor and unit for reporting alpha-particle absorbed doses that reflect acute (deterministic effect) as opposed to stochastic biological effects is a source of substantial discussion [30].

Some text from a recent MIRD Committee publication suggesting the use of a relative biological effectiveness (RBE) rather than the weighting factor for the therapeutic application of the high-LET radiation is quoted below [30]:

"Unlike the situation for stochastic effects, no well-defined formalism and associated special named quantities have been widely adopted for deterministic effects. Rather, scientific organizations have recommended that the relative biological effectiveness (RBE) of the high-LET radiation for specific deterministic effects be used to weight the absorbed dose.… In this context, the RBE is analogous to the weighting factor wR used to define the equivalent dose except that in this case, the RBE is a measured quantity for a specific deterministic endpoint rather than a value established by a review committee's consensus of RBE values for relevant stochastic end-points."

These passages emphasize that the effective dose concept is innapropriate and potentially meaningless for RPT, in general, and αRPT, in particular. Even, in terms of assessing longterm stochastic effects the tissue weighting factor of 20 used for protection is not appropriate since this factor was derived in a context where no benefit to the patient may be expected as a result of the exposure. In the absence of human data, a recommended RBE value of 5 has been suggested for deterministic effects (tissue reactions) in αRPT dosimetry. Rigorous dosimetry applied to αRPT trials, coupled with the resulting clincal experience, and a priori knowledge from radiotherapy will be essential to assessing RBE for different tissues and also for different agents. Experience with proton beam therapy suggests, RBE is important in avoiding toxicity [31]. On the other hand, since αRPT is targeted at the cellular level and α-particles emitted from the targeted radionuclide have a 50 to 100 μm range, the experience in proton beam therapy may not be directly relevant to αRPT.

Conclusions

Radiopharmaceutical therapy with α-particle emitters has emerged as a promising and unique treatment modality. αRPT is enhanced by synthetatic lethality approaches that utilize DNA DSB repair inhibitors. Alternatively, mutations in these pathways may be used as biomarkers to identify patients whose cancer will be especially responsive to αRPT. The radiobiology of αRPT along with studies that have examined potential resistance mechanisms suggest that αRPT is impervious to resistance mechanism that render other cancer therapeutics ineffective. The dosimetry of αRPT presents greater challenges than RPT with beta-particle-emitters. The challenges relate to collecting the pharmacokinetics and biodistribution data needed for dosimetry and also the scale at which the calculations must be performed to arrive at absorbed dose estimates that are more likely to predict biologic effects. Progress in these areas is being made and moving forward it will be critical to standardize dosimetry methods for αRPT so that they may be implemented in clinical trials, initially to collect dose-response date and subsequently for treatment planning.

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Sgouros Page 10

Figure 1.

DNA double-strand break repair pathways (figures from Qiagen). A. Nonhomologous endjoining (NHEJ). B. Homologous recombination (HR).

Figure 2.

Cell survival curves corresponding to the last three conditions shown on table 1; D_0 is the slope of each curve (in Gy).

Fig. 3.

Biophysical properties of radiation with different linear energy transfers (LET). A. αparticle LET as a function of distance for α emissions from Actinium-225. The plot shows the LET vs distance track for the 5.9 MeV α -particle emitted directly from ²²⁵Ac and also the 8.4 MeV α -particle emitted by bismuth-213, the 45.6 min half-life daughter of ²²⁵Ac; a plot of the 0.2 to 0.4 keV/ μ m LET of electrons is barely visible on the scale of this plot. \underline{B} . Ionization events (circles) within the DNA molecule (red circles) are illustrated for low LET radiation (10 and 0.5 keV electrons) and for high LET radiation (4 MeV α-particle); figure taken from Ref. [6]. Note that the LET of high energy electrons is lower and would have even fewer DNA interactions than depicted in panel B. C. γH2AX staining in the nuclei of MCF7 cells showing the fluorescence associated with localization of the DNA DSB repair machinery for: left-20 min after 8 Gy (137 Cs-irradiator) photon irradiation and right-20 min after incubation with 8 μ Ci/ml ²¹³Bi-labeled antibody. (from Hong Song, unpublished)

Sgouros Page 13

Figure 4.

Illustration of the biological effects associated with α-particles due to their high LET. A. Cell survival curve for T1 cells irradiated with 200 kVp X-rays (curves a and b) and alphaparticles from 210Po (curve c). The figure shows an RBE of approximately 3.5 for 4% cellular survival for these two radiation types. The figure also shows increased survival (curve b) when a 12 h delay is introduced between 2 4.5 Gy fractions compared to the survival associated with a single 9 Gy irradiation. Cell survival remained unchanged when a 12h delay between alphabeam irradiation was introduced (two encircled data points at 2 Gy for curve c). B. The oxygen enhancement ratio (OER), defined as the absorbed dose ratio required to achieve the same level of cell kill for cells irradiated under different oxygen conentrations. The plot shows that the absorbed dose delivered must be increased by a factor of 2.5 to 3 for low LET radiations. In the range of LET values for α-particles the factor needed depends on LET and ranges from approximately 2 to 1 (where OER=1 means no hypoxia-induced radioresistance). Figures adapted from references [10] and [1].

Figure 5 --

Absorbed fraction vs alpha-particle energy. The figure shows the that the self-dose absorbed fractions (TAM←TAM) are less than 1 and the cross dose absorbed fractions (TAM←TBE) are less than 0.5 but greater than zero. The energy of alpha particles (with a yield greater than 20%) and corresponding alpha-emitter is shown by the arrows. (TAM = Trabecular Active Marrow, TBE = Trabecular Bone Endosteum)

Figure 6.

Microscale absorbed dose calculation for a pre-clinical model evaluation of a 225Ac-labeled antibody $[23-26]$. \underline{A} . The dimensions of the sub-structures within the kidney were measured using histopathology and their fractional occupancy within the entire kidney volume was calculated. B. This information was used with alpha camera images and an idealized representation of the kidney substructure to calculate the ratio of whole kidney absorbed dose to that for the relevant critical structure.

Figure 7.

Illustration of the macro to micro methodology. The methodology is founded on the ability to collect pharmacoknetics for an entire organ as well as relevant critical subcompartments of the organ in a preclinical model. This information may be used to establish apportionment factors for whole-organ measurements made in humans by quantitative imaging. The approach may be validated by assessing the variability of the apportionment factors for different pre-clinical models and also by showing within any particular model that the approach can be used to predict toxicity induced by sub-compartmental localization of the αRPT.

Table 1.

Radiosensitivity to α -particles (D₀) and RBE of MDA-MB-231 cells under different exposure and DNA repair pathway inhibition conditions.

 a RBE is reported using 37% cell survival as the biologic endpoint and Cs-137 gamma rays as the reference radiation.

Table 2 –

List of inactivating HR mutations

Table 3 –

PSA and ALP responses^a in HRD(+) and HRD(-) patients

ALP = alkaline phosphatase; HRD = homologous recombination deficiency; PSA = prostate-specific antigen.

 a Response rate is defined as a decrease in PSA of 50% and in ALP of 30% from baseline within 12 wk.