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## Prefrontal Cortex Brain Damage and Glycemic Control in Patients with Type 2 Diabetes

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### Abstract

**Background:** This study examined brain tissue integrity in sites that controls cognition (prefrontal cortices; PFC) and its relationships to glycemic outcomes in adults with type 2 diabetes mellitus (T2DM).

**Methods:** We examined 28 T2DM patients (median age 57.1 years; median BMI 30.6 kg/m<sup>2</sup>; 11 males) and 47 healthy controls (median age 55.0 years; median BMI 25.8 kg/m<sup>2</sup>; 29 males) for cognition (Montreal Cognitive Assessment [MoCA]), glycemic control (hemoglobin A1c [HbA1c]), and PFC tissue status via brain magnetic resonance imaging (MRI). High-resolution T1-weighted images were collected using a 3.0-Tesla MRI scanner, and PFC tissue changes (tissue density) were examined with voxel-based morphometry procedures.

**Results:** Reduced PFC density values were observed in T2DM patients compared to controls (left, 0.41±0.02 mm<sup>3</sup>/voxel vs. 0.44±0.02 mm<sup>3</sup>/voxel, p<0.001; right, 0.41±0.03 mm<sup>3</sup>/voxel vs. 0.45±0.02 mm<sup>3</sup>/voxel, p<0.001). PFC density values were positively correlated with cognition; left PFC region (r= 0.53, p=0.005) and right PFC region (r= 0.56, p=0.003), with age and sex as covariates. Significant negative correlations were found between PFC densities and HbA1c values; left PFC region (r= -0.39, p=0.049) and right PFC region (r=-0.48, p=0.01), with age and sex as covariates.

**Conclusions:** T2DM patients showed PFC brain tissue damage, which is associated with cognitive deficits and poor glycemic control. Further research is needed to identify causal

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relationships between HbA1c, cognition, and brain changes in T2DM and to evaluate the impact of interventions to prevent brain tissue injury or neuroregeneration in this high-risk patient population, to eventually preserve or enhance cognition and improve glucose outcomes.

## Keywords

HbA1c; cognition; prefrontal cortex; brain injury; magnetic resonance imaging

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## Introduction

Type 2 diabetes mellitus (T2DM) is a chronic and progressive metabolic disorder, characterized by insulin resistance and hyperglycemia. Over 30 million (9.4%) adults in the US are estimated to have T2DM, and 1.5 million individuals are newly-diagnosed each year.<sup>1, 2</sup> The greatest incidence of T2DM in adults occurs between the ages 45–64 (an estimated 13 million people).<sup>2</sup> Because chronic hyperglycemia is the primary cause of T2DM complications that lead to high morbidity and mortality, T2DM treatments are aimed at preventing hyperglycemia.<sup>3–5</sup> A core component of achieving an excellent glycemic control is patients' self-care, which includes following prescribed medical regimens and performing various glucose management activities, such as exercise, diet, medication adherence, and self-monitoring of blood glucose.<sup>6–8</sup>

T2DM self-care tasks are complex and challenging, and require multi-domain cognitive skills and processes, including memory, attention, planning, and calculation (executive decision-making functions). However, cognitive dysfunction is common in T2DM.<sup>9</sup> Both cross-sectional and longitudinal/prospective studies have shown T2DM persons perform less well than non-T2DM controls in several cognitive domains, primarily information-processing speed, memory, attention, verbal fluency and learning, and executive function (includes planning, attention, and problem solving).<sup>10–14</sup>

Cognition requires intact brain structure and function.<sup>15</sup> The prefrontal cortices, located in the anterior frontal lobe, play a major role in high-level cognitive activities, such as executive functions (planning, organizational skills, reasoning, problem solving, decision-making, and abstract thinking).<sup>14, 16, 17</sup> Reports on damage to prefrontal cortices in T2DM are few, yet existing studies indicate gray matter changes in prefrontal cortices.<sup>18, 19</sup> Whether or not the damage in prefrontal cortices is linked to cognitive function or glucose outcomes in T2DM is unclear, although there are some reports on global brain changes (total brain volume, white matter volume, gray matter volume) and its potential impact on cognition and glycemic control.<sup>19, 20, 21</sup> Therefore, the specific aims of this study are to: 1) evaluate prefrontal cortices density (the proportion of prefrontal gray matter relative to other tissue types) in T2DM compared with age- and sex-matched non-diabetic healthy controls; and 2) examine the relationships between prefrontal cortices and cognition and glucose control (hemoglobin A1c [HbA1c]) in T2DM patients.

## Methods

### Study design and subjects

This study used a cross-sectional correlational research design and studied 28 T2DM patients recruited from the University of California Los Angeles (UCLA) Gonda Diabetes Center and 47 healthy controls recruited from the UCLA campus and Los Angeles community via UCLA Institutional Review Board-approved study flyers and postings. All T2DM subjects were on glucose lowering medications, except two patients. Among 26 patients on medications, 17 patients were on oral medication alone and nine patients were on both oral medications and insulin. No patients were on insulin only. Twelve T2DM patients were taking medications for high blood pressure. Demographic, clinical, and cognitive data are summarized in Table 1. Subjects with a history of stroke, heart failure, diagnosed brain abnormality (i.e., tumors, aneurysm, epilepsy, multiple sclerosis, neuropathy), metallic implants, or body weight more than 129 kg (magnetic resonance imaging [MRI] scanner limitation) were excluded from the study. Control subjects were healthy, did not have diabetes (type 1 or type 2), and were not taking any medications that might alter neural functioning or hemodynamics. None of the study participants had contraindications to the MRI scanner (<http://www.mrisafety.com>).<sup>22</sup> All subjects were fully informed about the study procedure and provided written informed consent prior to the study, and the protocol was approved by the UCLA Institutional Review Board. The study was conducted in accordance with the ethical guidelines of the Declaration of Helsinki.

### Demographic and clinical data

Demographic and clinical questionnaire was used for age, sex, duration of T2DM, and smoking status. Blood pressure and weight were measured before MRI data collection. Physical activity/exercise level was obtained by two questions based on American Diabetes Association recommendations,<sup>23</sup> which asked about days per week engaged in exercise and whether the physical activity was at least 150 minutes per week (30 minutes daily 5 times per week). Diet was assessed by asking frequency of fruit and vegetable consumption per week.<sup>24</sup>

### Cognitive assessment

The Montreal Cognitive Assessment (MoCA) test was used for cognitive evaluation in all subjects. This 30-item test is a screening instrument for mild cognitive impairment (MCI), early Alzheimer's disease (AD), and dementia, and assesses multiple cognitive domains: attention and concentration, executive functions, memory, language, visuo-constructional skills, conceptual thinking, calculations, and orientation. Concurrent validity of the MoCA established with the Mini-Mental State Exam was reported to be high ( $r = 0.87$ ) with sensitivity for identifying both AD and MCI patients to be 100% and 90%, respectively.<sup>25</sup> The MoCA has high test-retest reliability ( $r = 0.92$ ) and internal consistency (Cronbach alpha = 0.83).<sup>25</sup> Time to administer the MoCA is approximately 10 minutes. The total possible score is 30 points, and a score of 26 or above is considered normal.<sup>25</sup> The MoCA test has been used in a variety of settings and patient populations, including patients with T2DM.<sup>25</sup>

### Glycemic measure

Assessment of glycemic control in T2DM patients was based on HbA1c level, which reflects average blood glucose level over the previous three months (indicated by percentage of glycated hemoglobin).<sup>26</sup> Value below 5.7 percent is considered normal, prediabetes 5.7–6.4 percent, and diabetes 6.5 percent or above.<sup>26</sup> Higher HbA1c levels indicate poorer blood glucose control, and higher risk of diabetes complications. HbA1c values were measured using point-of-care instrument A1C Now®,<sup>26, 27</sup> which requires a fingerstick sample of fresh blood (using a portable lancet system and approximately 5µL of blood) to be placed into the device. The HbA1c results are available in digital readout and printout in 5 minutes. This device has demonstrated accuracy (on average 99% accuracy compared to certified reference lab) for the assessment of whole blood HbA1c and is certified by the National Glycohemoglobin Standardization Program.<sup>27</sup>

### Magnetic Resonance Imaging (MRI)

Brain MRI in T2DM and control subjects were performed using a 3.0-Tesla MRI scanner (Siemens, Magnetom Prisma, Erlangen, Germany). Subjects lay supine with foam pads placed on both sides of the head to minimize head motion during data acquisition. Two high-resolution T1-weighted images were collected using the magnetization-prepared rapid acquisition gradient-echo pulse sequence [repetition-time (TR) = 2200 ms; echo-time (TE) = 2.34/2.41 ms; inversion time = 900 ms; flip angle (FA) = 9°; matrix size = 320 × 320; field-of-view (FOV) = 230 × 230 mm<sup>2</sup>; slice thickness = 0.9 mm]. Proton-density (PD) and T2-weighted images were collected in the axial plane using a dual-echo turbo spin-echo sequence (TR = 10,000 ms; TE1, 2 = 12, 123/124 ms; FA = 130°; matrix size = 256 × 256; FOV = 230 × 230 mm<sup>2</sup>; slice thickness = 3.5 mm). T1-, T2-, and PD-weighted images of T2DM and control subjects were visually-assessed for any major pathological changes, such as presence of cystic lesions, infarcts or tumors. High-resolution T1-weighted images were examined for any head motion related or other imaging artifacts.

### MRI data processing and Voxel-Based Morphometry (VBM) analyses

The statistical parametric mapping package (SPM12, <http://www.fil.ion.ucl.ac.uk/spm/>), MRICroN,<sup>28</sup> and MATLAB-based (The MathWorks Inc., Natick, MA, USA) custom software were used for data processing and analyses. Data processing steps were followed as described in previous publications.<sup>29</sup> Two high-resolution T1-weighted images were reoriented to remove any potential variation from head motion and averaged to increase signal-to-noise ratio. The averaged T1-weighted images were partitioned into gray matter, white matter, and cerebrospinal fluid tissue types. The Diffeomorphic Anatomical Registration Through Exponentiated Lie algebra algorithm (DARTEL) toolbox<sup>30</sup> was used to generate the flow fields which are nonlinear deformations applied for warping all the gray matter images to match each other and template images which were implemented for normalization of gray matter maps to Montreal Neurological Institute (MNI) space (voxel size: 1×1×1 mm<sup>3</sup>). The unmodulated normalized maps were smoothed using a Gaussian filter (kernel, 10 mm).

The average T1-weighted images from each T2DM and control subjects were normalized to MNI space. The normalized images obtained from each subject were averaged to create background images for structural identification.

### Statistical Analyses

The Statistical Package for the Social Sciences (SPSS, v24.0, Armonk, NY, USA) was used for assessment and comparison between groups of demographic, clinical, and cognitive variables. The Shapiro-Wilk test of normality was performed on T2DM and control subjects for all continuous data, including age, body mass index (BMI), systolic and diastolic blood pressure, T2DM duration, MoCA total score, and HbA1c. Demographic and clinical variables were evaluated by independent samples t-tests for normally distributed data, Mann-Whitney U test for non-normally distributed data, and categorical variables (e.g., sex) were compared using the Chi-square test. A P-value of <0.05 was considered statistically significant.

The smoothed whole-brain gray matter density maps were compared voxel-by-voxel between T2DM and control subjects using analysis of covariance (ANCOVA, SPM12; covariates, age and sex, family-wise error corrected,  $p < 0.05$ ). Brain regions that showed significant differences in gray matter density between groups were overlaid onto background images for identification of their locations. Region of interest (ROI) analyses were performed on bilateral prefrontal cortices to determine brain region specific gray matter density values and compared between groups (ANCOVA, SPSS; covariates, age and sex). Partial correlation analyses were performed in SPM12 (covariates, age and sex; uncorrected  $p < 0.005$ ) to evaluate the correlations between prefrontal cortices and cognition, and HbA1c levels. Relationships between gray matter density values of prefrontal cortices, cognition, and HbA1c levels were also examined using partial correlation procedures in SPSS software.

## Results

### Demographics, clinical variables, and cognition

The Shapiro-Wilk test of normality showed that age, BMI, systolic and diastolic blood pressure, MoCA total score of control group, and HbA1c of T2DM were not normally distributed, and therefore, median values are reported for these variables (Table 1). No significant differences in age and sex emerged between T2DM and control subjects as shown in Table 1. However, BMI values were significantly higher in T2DM subjects over controls. Global MoCA scores were significantly lower in T2DM patients compared to controls, with significant differences in language scores [2.0 (1.0–3.0) vs. 3.0 (2.0–3.0);  $P < 0.001$ ]. Median HbA1c in the T2DM subjects was 7.1% and ranged 5.4–10.8%. None of the T2DM subjects smoked currently. Approximately 79% of T2DM subjects exercised at least 3 days per week and 25% were engaged in at least 30 minutes of daily physical activity 5 or more times per week. Approximately 54% of T2DM subjects reported eating daily five or more serving of fruits and vegetables at least 5 days per week.

### Prefrontal gray matter density

T2DM patients showed reduced prefrontal cortices gray matter density as compared to control subjects (left  $0.41 \pm 0.02 \text{ mm}^3/\text{voxel}$  vs.  $0.44 \pm 0.02 \text{ mm}^3/\text{voxel}$ ,  $p < 0.001$ ; right  $0.41 \pm 0.03 \text{ mm}^3/\text{voxel}$  vs.  $0.45 \pm 0.02 \text{ mm}^3/\text{voxel}$ ,  $p < 0.001$ ; Figure 1). None of the brain regions showed increased gray matter density in T2DM patients as compared to controls.

### Prefrontal cortex density and cognition (MoCA)

The MoCA total scores had significant positive relationships to both left and right prefrontal cortex density values (left,  $r = 0.58$ ,  $p = 0.001$ ; right,  $r = 0.58$ ,  $p = 0.001$ ), indicating higher overall cognitive functioning in subjects with higher prefrontal cortices density (less damage). When controlled for age and sex (partial correlation), highly significant positive relationships remained for MoCA and left prefrontal regions ( $r = 0.53$ ,  $p = 0.005$ ) and right prefrontal regions ( $r = 0.56$ ,  $p = 0.003$ ) (Table 2, Figure 2).

### Prefrontal cortex density and glucose control (HbA1c)

Significant negative relationships emerged for HbA1c and left prefrontal regions ( $r = -0.39$ ,  $p = 0.049$ ) and right prefrontal regions ( $r = -0.48$ ,  $p = 0.01$ ) when controlled for age and sex (partial correlations), as shown in Table 2 and Figure 3.

## Discussion

The results of the present study demonstrated that T2DM patients had prefrontal cortex damage (reduced gray matter density) and that the damage was associated with lower overall cognition and poorer glucose control. The finding on brain tissue density change is consistent with previous studies that showed reduced regional gray matter in brain sites such as prefrontal cortex, medial temporal, anterior cingulate, and medial frontal lobes, and hippocampal region in T2DM patients as compared with non-T2DM control subjects.<sup>18,20, 31</sup>

Etiology of the brain damage specifically occurring in prefrontal cortices in T2DM is not fully understood. However, diabetic vasculopathy (arterial stiffness, atherosclerosis, and endothelial dysfunction) and oxidative stress are believed to be important potential underlying mechanisms of T2DM-induced brain injury by causing neuro-inflammation, neurodegeneration, and neuronal apoptosis. Particularly, endothelial dysfunction occurring from decreased nitric oxide (NO), driven by insulin inefficiency or deficiency, is believed to be the root cause of blood vessel damage in T2DM and low NO availability can also induce oxidative stress.<sup>32, 33</sup> The brain is vulnerable to oxidative stress because of its highly aerobic nature and low antioxidant defense mechanisms.<sup>32</sup> Oxidative stress damages the blood brain barrier (BBB) and brain microvasculature, resulting in the loss of pericytes (contractile cells that wrap around endothelial cells that line blood vessels), and BBB disruption with leak of toxic substances into the brain tissue further damages nervous structures.<sup>34, 35</sup> It is known that BBB damage is associated with brain injury in non-T2DM subjects. Oxidative stress may arise from several mechanisms related to chronic hyperglycemia, including glucose autooxidation with production of reactive oxygen species during accelerated respiration (mitochondrial oxidative metabolism of glucose) and increased formation of advanced

glycation end products (AGEs) which result in disturbance of cell behavior/regulations.<sup>36, 37</sup> Moreover, cerebral vascular reactivity is abnormal in T2DM<sup>38</sup> and hypertension, which is present in many T2DM patients, is linked to abnormal BBB and cerebrovascular autoregulation.<sup>39</sup> Cerebral vascular reactivity is key for maintaining an optimal blood flow environment for neuronal survival<sup>39</sup>, and alteration in vascular regulations in T2DM may contribute to regional gray matter changes and eventual death of brain cells (manifested as decreased gray matter density).<sup>36, 38</sup>

Many T2DM patients have coexisting conditions that are associated with diminished NO and vascular damages, such as hypertension and hypercholesterolemia.<sup>33</sup> These conditions, along with poor glycemic control, longer duration of T2DM, and presence of diabetic retinopathy, are associated with brain changes.<sup>40</sup> Since the detrimental effects of these risk factors on blood vessels add to neurodegeneration in brain tissues and structures in T2DM patients,<sup>41</sup> potential interventions for neuroprotection and repair/restoration of damaged brain tissues (neurogenesis) in prefrontal cortices should target these risk factors as well as underlying mechanisms.

Impaired cognition in T2DM patients in our study is consistent with previous studies that showed poor cognitive performance in T2DM patients.<sup>9–14</sup> Our findings show that there is a positive correlation between prefrontal cortex density and cognitive function, which are also consistent with previous research that showed that brain volume loss is associated with poor cognitive performance in their T2DM samples.<sup>31</sup> Intact cognitive function is critical for optimal self-care in T2DM patients.<sup>42, 43</sup> Particularly, executive functions governed by prefrontal cortices are essential for day-to-day self-care planning and decision-making for T2DM management (e.g., identifying/differentiating groups of food/behaviors to limit or increase for glucose control). The positive associations between prefrontal cortex density and impaired cognition found in this study suggest that brain tissue injury in prefrontal cortices may contribute to poor glucose control in T2DM patients.

Significant negative relationships found in the present study between glycemic control and prefrontal cortex density in T2DM subjects are consistent with existing studies that showed associations between hyperglycemia and overall structural brain damage.<sup>44–46</sup> One previous study also showed significantly lower gray matter volume primarily in the frontal and temporal (hippocampi location) lobes in association with poor glucose control, and intensive treatment to decrease HbA1c decelerated volume loss in these areas.<sup>46</sup> These data suggest that prefrontal cortices may be a particularly vulnerable brain region to hyperglycemia and maintaining optimal glucose control may help to protect and preserve the integrity of this brain site. Protecting prefrontal cortices may be important for long-term T2DM health outcomes because this brain site governs key cognitive functions essential for self-care abilities of T2DM patients to maintain optimal glucose control.

Relationships found in our study between prefrontal cortex density, cognitive function and HbA1c among T2DM patients have important clinical implications: protecting prefrontal cortices from injury and repairing existing damage to the brain region may have a significant potential impact on preserving cognitive functions and improving glycemic control in T2DM patients. In a previous report, disease duration of greater than one year was associated with

the greatest differences in brain tissue volume whereas those with T2DM diagnosed less than one year had tissue volume similar to nondiabetic subjects.<sup>40</sup> This initial period may be a “window opportunity” for brain protection and to make the greatest clinical impact on T2DM patient care and outcomes. However, it may take time to fully understand the causes and mechanisms of brain injury in T2DM, develop new therapies to treat brain injury (e.g., promote neurogenesis and neuroprotection), and establish their effects on, or related to, HbA1c. Alternatives ways to preserve brain tissue integrity in T2DM may need to be considered as well as continuing with current evidence-based recommendations such as exercise, nutrition (e.g., thiamine supplement), excellent control of blood glucose and CVD risk factors (e.g., hyperglycemia, hypertension).<sup>40, 46–49</sup>

The present study has some limitations. The small sample size limited our ability to consider important variables in our analyses (such as duration of T2DM, mood, medications, and comorbidities). However, studies of this nature inherently have relatively small numbers of subjects and the magnitude of the differences we observed may compensate for some of the statistical limitations. Another limitation of the study pertains to characteristics of our sample (younger age, relatively well-managed blood pressure), which may not reflect the demographics of the majority of T2DM patients, limiting the generalizability of this study’s findings. Since this study was cross-sectional with correlational design, the findings cannot be interpreted as establishing causal relationships.

In summary, the present study showed prefrontal cortices damage in T2DM patients and its association with impaired cognitive functions and poor glycemic control. Protecting brain tissue integrity in this major cognition regulatory brain region may have an important clinical impact on preserving/enhancing cognition and improving glycemic outcomes. Further research is needed to identify the causal relationships between HbA1c levels, cognition status, and brain changes in T2DM and to examine interventions to enhance brain neurogenesis and its impact on cognition and HbA1c.

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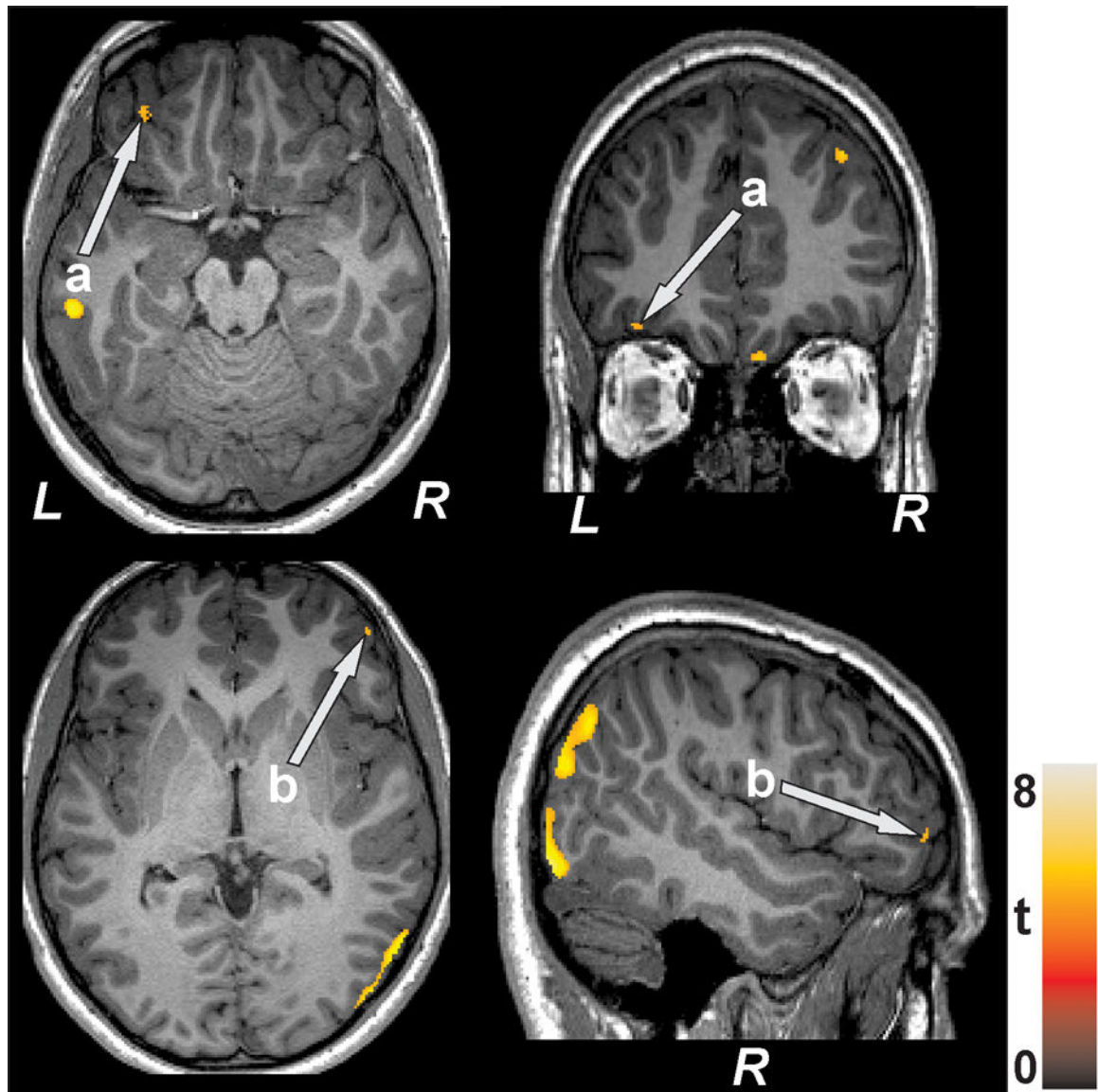


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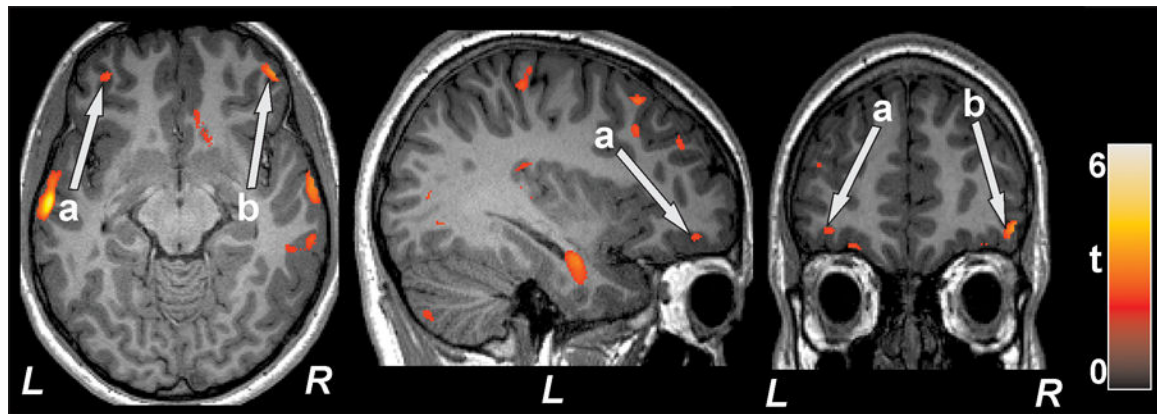
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**Highlights**

- T2DM patients have prefrontal cortices changes over non-T2DM healthy individuals.
- Prefrontal cortices tissue changes are associated with cognitive deficits in T2DM patients.
- Prefrontal cortices damage is associated with poor glycemic control in T2DM.

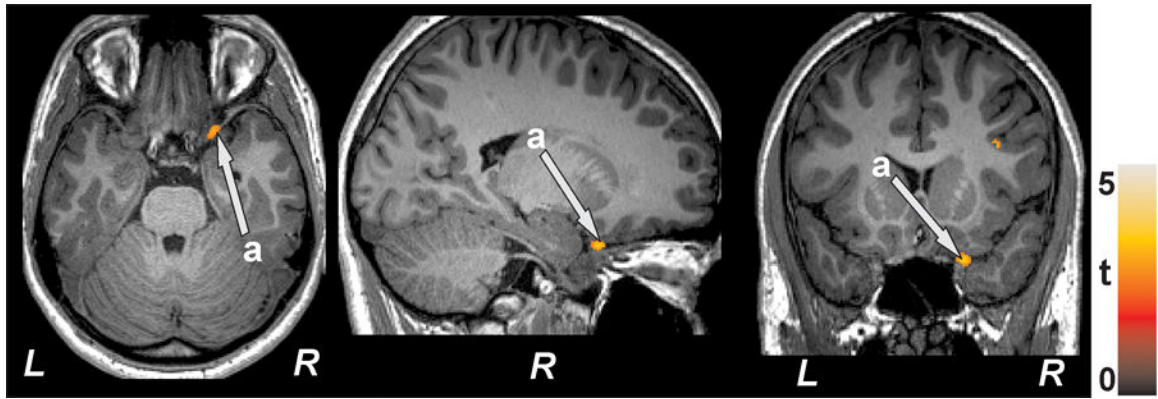


**Figure 1.** Prefrontal cortices [a, left (axial view, coronal view); b, right (axial view, sagittal view)] with reduced gray matter density in T2DM patients over controls are illustrated. All images are in neurological convention (L = left; R = right). Color bar indicates t-statistic values.



**Figure 2.**

Left prefrontal cortex (a; axial, sagittal) and right prefrontal cortex (b; axial, coronal) showed significant positive correlation with MoCA scores in T2DM subjects. All images are in neurological convention (L = left; R = right). Color bar indicates t-statistic values.



**Figure 3.** Negative correlations emerged between prefrontal cortex density and HbA1c values in T2DM subjects. The significant cluster appeared in right prefrontal cortex (a; axial, sagittal, coronal). All images are in neurological convention (L = left; R = right). Color bar indicates t-statistic values.

**Table 1.**

Demographics and biophysical variables of T2DM and control subjects

Variables	T2DM n = 28 Median(IQR)	Controls n = 47 Median(IQR)	P values
Age (years)	57.1(51.2–61.4)	55.0(52.3–60.3)	0.67
Sex [male] (%)	11 (39%)	29 (62%)	0.06
BMI (kg/m <sup>2</sup> )	30.6(24.9–33.7)	25.8(24.1–27.7)	0.004*
Systolic Blood Pressure (mmHg)	123.5(113.3–132.8)	119.0(110.0–129.0)	0.26
Diastolic Blood Pressure (mmHg)	72.0(68.3–81.5)	77.0(70.0–85.0)	0.22
Duration of T2DM (years, mean ± SD)	10.2±7.4	-	-
Smoking	None	-	-
Exercise (≥ 3 days/week)	22 (78.6%)	-	-
Physical activity ≥ 30 min per day (≥ 5 days/week)	7 (25%)	-	-
Fruits and Vegetables ≥ 5 or more servings per day (≥ 5 days/week)	15 (53.6%)	-	-
HbA1c (%)	7.1(6.3–8.3)	-	-
MoCA (total scores)	25.0 (23.3–27)	27.0 (26.0–29.0)	0.006*
Prefrontal cortices gray matter density, Left (mm <sup>3</sup> /voxel, mean ± SD) <sup>‡</sup>	0.41±0.02	0.44±0.02	<0.001*
Prefrontal cortices gray matter density, Right (mm <sup>3</sup> /voxel, mean ± SD) <sup>‡</sup>	0.41±0.03	0.45±0.02	<0.001*

IQR = interquartile range; BMI = body mass index; SD = standard deviation; HbA1c = hemoglobin A1c; MoCA= Montreal Cognitive Assessment

<sup>‡</sup>Prefrontal cortices gray matter density corrected for age and sex

\* indicates statistically significant differences between groups (p &lt; 0.05)

**Table 2.**

Correlation values between prefrontal cortex volume and cognition and HbA1c (n=28)

	<b>Left Prefrontal Cortex Correlation coefficient (p-value)</b>	<b>Right Prefrontal Cortex Correlation coefficient (p-value)</b>
MoCA (Pearson's correlation)	r=0.58 (p=0.001)	r=0.58 (p=0.001)
HbA1c (Pearson's correlation)	r=-0.25 (p=0.20)	r=-0.28 (p=0.15)
MoCA (Partial correlation; covariates: age and sex)	r=0.53 (p=0.005)	r=0.56 (p=0.003)
HbA1c (Partial correlation; covariates: age and sex)	r=-0.39 (p=0.049)	r=-0.48 (p=0.01)

MoCA= Montreal Cognitive Assessment; HbA1c = hemoglobin A1c

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