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Influence of past climate change on phylogeography and demographic history of narwhals, Monodon monoceros

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The Arctic is warming at an unprecedented rate, with unknown consequences for endemic fauna. However, Earth has experienced severe climatic oscillations in the past, and understanding how species responded to them might provide insight into their resilience to near-future climatic predictions. Little is known about the responses of Arctic marine mammals to past climatic shifts, but narwhals (Monodon monoceros) are considered one of the endemic Arctic species most vulnerable to environmental change. Here, we analyse 121 complete mitochondrial genomes from narwhals sampled across their range and use them in combination with species distribution models to elucidate the influence of past and ongoing climatic shifts on their population structure and demographic history. We find low levels of genetic diversity and limited geographic structuring of genetic clades. We show that narwhals experienced a long-term low effective population size, which increased after the Last Glacial Maximum, when the amount of suitable habitat expanded. Similar post-glacial habitat release has been a key driver of population size expansion of other polar marine predators. Our analyses indicate that habitat availability has been critical to the success of narwhals, raising concerns for their fate in an increasingly warming Arctic.

1. Introduction

Ongoing global warming is impacting ecosystems across our planet at an unprecedented rate [[1](#page-7-0)]. The Arctic is warming faster than any other region on Earth, and Arctic summer sea ice is likely to disappear before mid-century [\[2](#page-7-0)]. There is evidence of rapid biophysical changes in Arctic ecosystems, which is impacting the range, abundance, phenology, growth and condition of native fauna [[3](#page-7-0),[4](#page-7-0)]. Arctic endemics are at risk from climate warming due to habitat fragmentation and loss, and increasing anthropogenic activities, as previously impenetrable areas become available for human exploitation [\[5\]](#page-7-0). Climatic perturbations are further affecting the access of Arctic marine mammals to mating, nursing and foraging areas, which is key to population viability [[6](#page-7-0)]. Arctic food webs are dependent on sea ice associated algae and other sympagic organisms, and on the ocean's vertical

structure. Biophysical changes in sea ice dynamics or ocean circulation can therefore cascade up through the trophic layers, with major impacts on top predators [\[7,8](#page-7-0)].

Investigations of the impact of past climatic shifts on populations might provide insight into faunal resilience to nearfuture predictions of global warming. Earth has experienced severe and rapid climatic oscillations in the past, including the interstadial events of the last glacial period 110 to 11.65 thousand years ago (ka), and the Pleistocene/Holocene transition (11.65 ka) [\[9](#page-7-0)]. During the Last Glacial Maximum (LGM; 26.5 to 19 ka), sea ice coverage extended considerably towards lower latitudes and then rapidly contracted in the early Holocene [[10](#page-7-0)].

Studies reconstructing the impact of past climatic perturbations on Arctic mammalian faunas have mainly focused on terrestrial populations; investigations of the responses of Arctic marine mammals to past long-term environmental changes are largely lacking. During the warm interstadials of the last glacial period and after the LGM, decreases in suitable habitat contributed to the decline of Holarctic terrestrial mammals, which led to the extirpation and in some cases the extinction of taxa [[11](#page-7-0)–[14\]](#page-7-0). By contrast, several populations of cold-adapted marine predators in the southern hemisphere, both in the sub Antarctic and the Antarctic, including southern elephant seals (Mirounga leonina) and various penguin species, increased after the LGM. These expansions reflect access to new island breeding sites in areas previously covered by ice and/or access to productive foraging grounds proximate to breeding locations [[15](#page-7-0)–[17](#page-7-0)].

Three cetacean species are endemic to the Arctic: narwhals (Monodon monoceros), belugas (Delphinapterus leucas, also called white whales) and bowhead whales (Balaena mysticetus). Each of these whale species is uniquely adapted to the extreme conditions of an Arctic existence, and their evolution and ecology are intrinsically tied to the past and present sea ice dynamics of the region. Mitochondrial control region sequences retrieved from Holocene subfossil remains have shown that North Atlantic bowhead whale populations increased after the LGM [\[18](#page-7-0)], suggesting the species responded differently than its terrestrial counterparts to past climatic shifts. However, it is not known whether the pattern observed in bowheads is mirrored in narwhals and belugas.

Eleven marine mammal species are found in the Arctic; in addition to the three cetacean species, these include six pinniped species and polar bears. Narwhals are considered one of the most sensitive of these Arctic marine mammals to sea ice loss and associated trophic cascades, and also to increases in human activities as sea ice disappears [\[5,19\]](#page-7-0). Narwhals are distributed across the Atlantic region of the High Arctic, from eastern Arctic Canada to Franz Josef Land in Russia [[20\]](#page-7-0) [\(figure 1](#page-2-0)a; electronic supplementary material, figure S1). Most narwhal populations are seasonally migratory and follow traditional routes of up to 1700 km along the sea ice edge in autumn and spring. They winter in deep and densely ice-covered offshore habitats, where they forage heavily, and summers are spent in ice-free coastal areas and fjords [\[22](#page-7-0)–[24](#page-7-0)]. Satellite-tracking studies since the early 1990s indicate that different groups of individuals (termed stocks) show fidelity to different summering grounds, such as fjords, bays or sounds [[23,24](#page-7-0)]. Narwhals in the Barents Sea differ; they rarely come into coastal shelf areas, but rather remain in deep, ice-covered areas year-round [[21,25\]](#page-7-0).

Over recent decades, environmental impacts in the form of shifts in sea ice extent have altered migratory routes, with

possible impacts on the diet of narwhals in Arctic Canada [[26\]](#page-7-0). Understanding recent trends, in conjunction with longterm evolutionary responses, are necessary to reliably assess the resilience of narwhals to an increasingly warming Arctic. Little is currently known about the genetic structure and evolutionary trajectory of the species. Previous population genetic work, based on short (287 bp) mitochondrial control region sequences and 12 microsatellites, reported low levels of genetic structuring and diversity across the narwhal range [\[27](#page-7-0)–[29\]](#page-7-0). In addition, low levels of diversity have been reported in the nuclear genome of the species [\[30](#page-8-0)].

Here, we present complete mitochondrial genomes (mitogenomes) from 121 narwhals sampled across their range ([figure 1](#page-2-0)a); our study focuses on mitogenomes, as they are a useful marker for inferring phylogeographic and evolutionary processes in species with low genetic diversity (e.g. [[31,32\]](#page-8-0)). Our analysis elucidates population structure and diversity patterns across the species. We compare diversity levels in narwhals with those of other cetacean species in the Arctic and elsewhere, to evaluate their relative diversity. To address the resilience of narwhal populations to near-future projections, we use species distribution models to reconstruct their demographic history and assess the impact of past and ongoing climatic shifts.

2. Material and methods

(a) Samples

We collected tissue samples from 121 narwhals sampled across the species range ([figure 1](#page-2-0)a; map produced in QGis v. 3.4.2 [\[33](#page-8-0)]). Samples were collected from 11 of the 12 narwhal stocks recognized by the North Atlantic Marine Mammal Commission (NAMMCO) [\[20\]](#page-7-0); at the time of this study, no material was available from the North-East Greenland stock. Stocks correspond to summer aggregations and constitute management units based on distribution, telemetry, ecological and, in some cases, genetic data [[20](#page-7-0)]. Three stocks included several sample localities [\(figure 1](#page-2-0)a). Samples were collected during summer between 1982 and 2012, except from one sample which is from 1905 and came from subsistence hunts or from animals biopsied during satellite-tagging studies (electronic supplementary material, table S1). Samples were shipped to Denmark under CITES exemption number DK003.

(b) DNA extraction, amplification and sequencing

In short, we extracted DNA from tissue samples using the Qiagen Blood and Tissue Kit, with minor modifications, and fragmented the DNA to approximately 350–550 bp. Libraries were prepared and sequenced according to two different protocols. For 84 samples, libraries were built using the Illumina NeoPrep and sequenced on an Illumina HiSeq 2500 with the 80 bp SE technology. For 37 samples, libraries were built using the BEST protocol (i.e. blunt-end singletube library building for modern and ancient DNA) [\[34](#page-8-0)] and sequenced on an Illumina HiSeq Xten with the 150 bp PE technology. Full details on DNA extraction, library preparation and sequencing are included in the electronic supplementary material.

(c) Bioinformatics

After demultiplexing, sequencing reads were processed using PALEOMIX v. 1.2.13.1 [\[35\]](#page-8-0) (see electronic supplementary material, text for details). Briefly, the PALEOMIX pipeline (i) trimmed read ends for residual adapters and low-quality stretches, (ii) mapped reads to the published narwhal mitogenome reference (GenBank accession number: NC_005279) [[36\]](#page-8-0) using bwa v. 0.7.15 [[37](#page-8-0)],

Figure 1. (a) Map of sampled narwhal stocks. Three stocks comprise several sample localities: Eastern Baffin Island, Eclipse Sound and Somerset Island [[20\]](#page-7-0). The summer and winter distributions have been re-drawn from the latest NAMMCO report [\[20\]](#page-7-0). Note that a recent study indicated that narwhals between North-East Greenland and Svalbard are present year-round [[21](#page-7-0)] (b) Median-joining haplotype network of the 64 haplotypes found within the 121 narwhal mitogenome sequences. Each circle represents a haplotype and is coloured in proportion of individuals from each stock, where the haplotype is found. Circle size is proportional to haplotype frequency. Black circles indicate intermediate haplotypes not found in our samples. Black dashes indicate mutation steps between haplotypes; of note, distances between haplotypes are not to scale. Narwhal illustration by Uko Gorter.

requiring a minimum mapping quality of 30, (iii) removed duplicates and (iv) re-aligned indels. We built consensus sequences using the FastaAlternateReferenceMaker function in GATK v. 3.8.1 [[38\]](#page-8-0). We aligned sequences using the ClustaIW algorithm in MEGA X [[39\]](#page-8-0). Our QC procedures are described in electronic supplementary material, text.

(d) Haplotype network

We used PopArt v. 1. 7 [\[40\]](#page-8-0) to generate a mitogenome haplotype median-joining network.

(e) Diversity statistics––narwhals

We calculated two measures of genetic diversity, haplotype diversity (h) and nucleotide diversity (π) for each of the ten stocks in DnaSP v. 6.12.03 [\[41\]](#page-8-0); Smith Sound $(n = 1)$ was omitted due to small sample size. We tested differences in haplotype diversity and nucleotide diversity among the 10 stocks using genetic_diversity_diffs v. 1.0.3 [\[42\]](#page-8-0).

(f) Diversity statistics––cetaceans

To evaluate the relative level of mitochondrial diversity in narwhals, we compared the range-wide level of nucleotide diversity (π) with available population-scale mitogenome data of cetaceans in the Arctic and elsewhere, from the published literature. Studies were found for eight species, using the keywords 'mitogenome' and 'bowhead whale', 'dolphin' or 'whale'. Data were obtained from GenBank or from the authors of the studies. These included range-wide data, as for narwhals, and local data for species where range-wide data were unavailable (see electronic supplementary material text for details).

We estimated π in DnaSP using range-wide data for belugas (Skovrind et al. 2019, unpublished data) and local-scale data for bowhead whales, as data are only available from Spitsbergen for the latter [\[43\]](#page-8-0). For belugas, which have a circumpolar distribution and for which range-wide data were available, we also estimated π for the Atlantic Arctic, to enable direct comparison with the distribution of narwhals. In addition, we estimated π for several Delphinidae species using range-wide data for killer whales (Orcinus orca), short-finned pilot whales (Globicephala macrorhynchus), local-scale data for bottlenose dolphins (Tursiops truncatus), spinner dolphins (Stenella longirostris) and spotted dolphins (Stenella attenuata), as well as for the physeterid sperm whales (Physeter macrocephalus) using range-wide data [[31,32,44](#page-8-0)–[46\]](#page-8-0).

(g) Fixation statistics

To address levels of genetic differentiation among summer localities, we estimated Φ_{ST} and F_{ST} using Arlequin [[47](#page-8-0)]. We chose the Tamura & Nei [\[48\]](#page-8-0) model of substitution, which was selected with PartitionFinder v. 2.1.1 [\[49\]](#page-8-0).

(h) Phylogenetic analysis

To estimate divergence times among narwhal clades, we used a two-phase approach following the procedure outlined previously [\[31,32,44](#page-8-0)]. First, we built an odontocete fossil-calibrated phylogenetic tree with one sequence from each of 14 species of toothed whales, and four narwhal sequences from the most divergent groups identified in the haplotype network. The objective was to determine a root calibration (time to the most recent common ancestor, TMRCA) for use in the narwhal phylogeny. The odontocete phylogenetic tree construction is described in electronic supplementary material, text. The two phylogenetic analyses were run in Beast v. 2.5.1 [\[50\]](#page-8-0).

The phylogenetic analysis of narwhals included the 64 unique mitogenome haplotypes identified. We combined the data into six subsets that were (i) first, (ii) second and (iii) third codon positions of all protein coding regions, (iv) tRNAs, (v) rRNAs and (vi) control region. Optimal substitution models for each of the six subsets were identified in PartitionFinder (electronic supplementary material, table S3b). We also ran the analysis on the 43 unique haplotypes of the third codon positions of the protein coding regions only, as the first and second positions may be impacted by purifying selection, leading to an overestimation of substitution rates at recent timescales [\[51\]](#page-8-0). We used a constant size coalescent tree model because the sequences were from a single species, and applied a strict clock, because we expect little heterogeneity in clock rate within narwhals. We applied a calibration to the root age of the tree (TMRCA), which is the mean and 95% highest posterior density (HPD) of the oldest divergence within narwhals, as estimated in the odontocete phylogeny. For further details on the analysis, see electronic supplementary material, text. Clades which lack support (posterior values < 0.9) were collapsed.

(i) Bayesian skyline analysis

To reconstruct the demographic history of narwhals, we ran a coalescent Bayesian skyline analysis in BEAST [[50](#page-8-0)], using both the fully partitioned mitogenome sequences and only the third codon positions of the protein coding regions of all 121 sequences (see electronic supplementary material, text for the latter result). Our sampling across the range of the species (11 of 12 recognized stocks were included in our analysis) has been shown to be the most reliable for inferring demographic changes [[52](#page-8-0)]. We calibrated the age of the root using the mean and 95% HPD estimate from the phylogenetic tree of phase 2 (details on the analysis are provided in the electronic supplementary material). We used a generation time of 30 years to scale the population size estimates [[53](#page-8-0)].

(j) Species distribution models

We used AquaMaps to predict suitable habitat for narwhals for three climatic periods: the LGM, representing a glacial period, the present, representing an interglacial period, and year 2100; [[54](#page-8-0)–[56\]](#page-8-0) (see details in electronic supplementary material, text). Narwhal summer, winter and year-round species envelopes were generated using distribution maps published in the Global Review of Monodontids report [\(figure 1](#page-2-0)a; electronic supplementary material, figure S1) [\[20\]](#page-7-0). Ideally, raw sighting data points should have been used, but these data do not exist for all regions.

The final envelopes included three parameters that are known to drive the distribution of the species: sea ice concentration, depth and sea surface temperature [\[57,58\]](#page-8-0). Models including more environmental parameters were also run and are detailed in the electronic supplementary material, text. Using the environmental envelopes, we computed predictions of habitat suitability in the Atlantic Arctic. Predictions were plotted using QGIS, considering a probability threshold of 0.6, which represents habitats of high suitability [\[54\]](#page-8-0). We restricted the predictions to the Atlantic as narwhals are not distributed in the Pacific, and we calculated and compared the size and mean latitude of suitable habitat between the three periods. We note that densities are not considered, and hence predictions do not reflect fine habitat preferences. We used mean annual average values for the parameters for the three periods, despite the availability of higher-resolution data for the present. This was done to enable direct comparison of suitable habitat estimates across time bins.

3. Results

Mean read coverage of the complete mitogenome sequences from 121 narwhals spanning the geographic range of the species ([figure 1](#page-2-0)a) was 439x (26x–9400x). QC statistics are presented in the electronic supplementary material.

(a) Haplotype network

The 121 sequences included 164 variable sites identifying 64 unique haplotypes. The most divergent haplotypes were separated by 55 mutations. We identified several major clades in the haplotype network, although these were not geographically structured ([figure 1](#page-2-0)b). Each clade had a star-like topology, indicating population expansion. Svalbard $(n=5)$ was the only location where all individuals shared haplotypes that were closely related, separated by eight mutations at most.

(b) Diversity statistics

Mean haplotype diversity was 0.95 (s.d. = 0.02) and mean nucleotide diversity was 0.001 (s.d. = 0.0001). Nucleotide diversity was the highest in East Greenland and the lowest in Svalbard (electronic supplementary material, figure S2a). We present the values per summer locality in electronic supplementary material, figures S2a,b and the test for significant differences in diversity between stocks in electronic supplementary material, table S4 and text.

The level of mitogenome nucleotide diversity across narwhals (0.001) was among the lowest recorded among cetaceans for which population-level mitogenome data are publicly available ([figure 2\)](#page-4-0). The value estimated in narwhals was lower than in belugas and bowhead whales, and in any other species of Delphinidae studied at their range-wide or local scale. For belugas, we also estimated π for the Atlantic Arctic ($n = 113$) to reflect the geographic range of narwhals and obtained the same value of 0.003 as in the circumpolar beluga data. The value estimated in narwhals was similar to the range-wide estimate of another toothed whale, the sperm whale, which has previously been reported to have extremely low levels of mitogenomic diversity [[32](#page-8-0)].

Figure 2. Mean nucleotide diversity (π) from published, population-level cetacean mitogenome studies from the Arctic and elsewhere. Dark dots indicate rangewide data and grey dots local data. The number of samples comprising each dataset is shown. Cetacean illustrations by Uko Gorter.

(c) Fixation statistics

Based on Φ_{ST} , we found that Svalbard and Melville Bay stocks were significantly differentiated from all other stocks; F_{ST} values mainly differentiated Melville Bay from the rest (electronic supplementary material, table S5). Narwhals from East Greenland were significantly differentiated from six of the ten other stocks based on Φ _{ST}. For Melville Bay, closely related individuals could have been sampled, as 6 out of 10 samples were collected on the same day. The six samples include closely related sequences, separated by seven mutations at most across the mitogenome. This could skew the results by increasing differentiation among stocks, if individuals within a group are more related than by chance. The Svalbard and Admiralty Inlet stocks included only five samples each, and results from these stocks should therefore be interpreted with caution.

(d) Phylogenetic analysis

The time-calibrated phylogeny of 14 toothed whale species and four narwhal sequences estimated the divergence of narwhals and their closest relative, belugas, at 4.98 million years ago (Ma; 95% HPD: 6.84–3.10; electronic supplementary material, figure S3). This estimate was within the confidence interval of previous estimates [\[59,60](#page-8-0)]. Within narwhals, lineages started to diverge from each other around 130 ka (95% HPD: 216–53 ka).

In our time-calibrated phylogeny of 64 narwhal haplotypes, we found support for several clades (posterior values > 0.9, [figure 3](#page-5-0)a; electronic supplementary material, figure S4). The TMRCA of the narwhal mitochondrial tree was estimated at 105 ka (95% HPD: 175–53 ka). Clades in the narwhal tree were not geographically structured, except for the five Svalbard samples, which grouped in a clade with sequences from four other stocks (East Greenland, Northern Hudson Bay, Admiralty

Inlet and Eastern Baffin Island). This clade, situated towards the top of the tree, diverged 22 ka (95% HPD: 43–8 ka).

When the phylogeny was run with third codon positions of the protein coding regions, we estimated a divergence time of 110 ka (95% HPD: 175–54 ka) for the first node, similar to the analysis using all partitions discussed above (electronic supplementary material, figure S5). Due to a lack of support (posterior values < 0.9), several clades identified in the analysis with all the mitogenome partitions, collapsed. The effect of purifying selection on more recent nodes could therefore not be tested directly. However, all divergence times occurred during the last 110 thousand years, leading to similar conclusions. Convergence results for all analyses run in Beast are presented in electronic supplementary material, tables S6 to S8.

(e) Bayesian skyline analysis

The Bayesian skyline analysis indicated that narwhals have had a low female effective population size (Nef) for at least the past 150 kyr. Nef increased approximately threefold to a median current size of approximately 9500 (95% CI: 2559–47 554) approximately 9 ka [\(figure 3](#page-5-0)b).

(f) Species distribution models

Modelling of suitable habitat indicated an 8° northward shift and an 21% increase in the size of available habitat between the LGM and the present (figure $4a, b, d$). This trend was consistent for all runs, regardless of whether they were based on narwhal winter distribution [\(figure 4](#page-6-0)a,b,d), summer distribution or year-round distribution (electronic supplementary material, figures S7 and S8). Our models including sea ice concentration, depth and sea surface temperature in the winter were the most

Figure 3. (a) Time-calibrated phylogeny of 64 haplotypes found among the 121 narwhal mitogenome sequences. The 95% HPD of divergence time estimates are represented by horizontal grey bars. Nodes that lack support (posterior values < 0.9) are collapsed. Pie chart colours and relative size indicate which stocks are represented in each clade and relative number of individuals, respectively. (b) Narwhal demographic history based on 121 mitogenomes (green line; lighter green indicates 95% CI) and a nuclear genome (brown line; lighter brown indicates bootstrap values, adapted from Westbury et al. [[30](#page-8-0)]). (c) δ^{18} O levels from Zachos et al. as a proxy for global temperatures [\[61\]](#page-8-0). The timing of the onset of the last glacial period (110 ka) and the LGM (26.5 to 19 ka) is indicated in grey shading across the three panels.

conservative and are presented in [figure 4](#page-6-0)a–c. We present the results for the winter in the main text as it is the critical season for narwhals, when most of the annual feeding occurs [\[22](#page-7-0)–[24\]](#page-7-0). When we considered the other periods (electronic supplementary material, figures S7 and S8) or include salinity in the models (electronic supplementary material, figures S9–11), the sizes of the habitat increase between the LGM and present were more drastic. The estimate for year 2100 shows a 1.6° northwards shift and decline in suitable habitat size of 25% relative to the present [\(figure 4](#page-6-0)b–d).

4. Discussion

Narwhal populations are particularly vulnerable to rapid climate change due to their relatively restricted range within the Atlantic Arctic, fidelity to migratory routes, low genetic diversity, a specialized diet and sensitivity to human activities [[5,19](#page-7-0)]. Here, we present the first study of their population structure and demographic history based on complete mitochondrial genomes. Our results indicate that climatic shifts since the onset of the last glacial period have impacted narwhals in major ways, shaping their population structure, diversity and demographic history.

(a) Phylogeography

Our analyses show a lack of marked geographic structuring in the haplotype network and phylogeny, and we find low levels of genetic structuring among narwhal stocks (figures [1](#page-2-0)b and 3a; electronic supplementary material, table S5). This absence of a strong geographic pattern may reflect past environmental perturbations that have driven fluctuations in the extent of Arctic sea ice cover since the onset of the Pleistocene. Our phylogenetic analysis indicates that all clades diverged during the last glacial period (figure 3a). The timing is consistent with the demographic trajectory of a nuclear genome sequenced from a narwhal from West Greenland, which indicated changes in coalescent rates, and therefore potentially alterations in gene flow, around 100 ka (figure 3b) [\[30\]](#page-8-0). We suggest the genetic pattern observed in narwhals reflects secondary contact of allopatric lineages that diverged during the last glacial period. Our species distribution models suggest narwhals extended their range northwards as sea ice retreated after the LGM [\(figure 4](#page-6-0)), which may have facilitated the admixture of divergent lineages, as isolated populations came into secondary contact.

Alternatively, the lack of any significant geographic structuring in narwhals could be explained by contemporary behavioural processes. Narwhals show fidelity to summering grounds and migratory routes in autumn and spring, but several stocks use the same offshore, densely ice-covered areas during winter, for example in Baffin Bay, which could lead to mixing [\[23](#page-7-0)]. However, the estimates of the timing of mating in narwhals spans from late winter [[62\]](#page-8-0) to late spring, when narwhals are already separated on their migration routes towards different summering grounds [\[63\]](#page-8-0). Another factor that could shape the genetic pattern in narwhals is dispersal. Although narwhals from a specific stock follow similar migratory routes, three males and one female out of 135 tagged animals in Canada and Greenland showed different migratory patterns [\[23,24\]](#page-7-0), which could eventually lead to gene flow among summering grounds. Long-range natal dispersal, where juveniles disperse after weaning, could also explain the absence of geographic structuring, although no behavioural data exist to test this hypothesis.

Behavioural processes such as site fidelity or fidelity to migratory routes can also drive genetic differentiation [[64\]](#page-8-0). However, for narwhals, these processes are either not strong enough, or have not been in place for a long enough duration, to significantly alter the genetic pattern that has been shaped by longer term climatic processes across their range. The exception is the distinctness of individuals from Svalbard and from East Greenland (electronic supplementary material, table S5). Satellite tracking has not shown any movement of individuals between west and east of Greenland, which supports the fidelity of narwhals from each region to their respective summering grounds and migratory routes [\[20,23,24](#page-7-0)]. Mitogenomes are maternally inherited, and the genetic differentiation

Figure 4. Extent of suitable habitat for narwhals in winter estimated using Aquamaps with a probability of greater than 0.6 (a) during the LGM (approx. 20 ka, orange), (b) at present (cyan) and (c) for year 2100 (purple). (d) Change in size (million km²) and mean latitude (SD is indicated) of suitable habitat between the LGM, present and year 2100.

of Svalbard and East Greenland from animals in other areas could reflect maternally transmitted site fidelity. Furthermore, narwhals are acoustically recorded year-round in the Fram Strait between Svalbard and North-East Greenland, which suggests a more residential behaviour of the Svalbard stock and which may explain its genetic distinctness [[21](#page-7-0)]. Narwhals from Melville Bay are also significantly differentiated from all other stocks, but we cannot exclude this may reflect the sampling of related individuals.

(b) Demographic history

Our analysis of the demographic trajectory of narwhals supports past environmental perturbations as a major driver of population-scale change. Narwhal female effective population size was low (approx. 3000) and relatively stable from approximately 150 ka until after the LGM, when it increased threefold approximately 9 ka ([figure 3](#page-5-0)b). Although Bayesian skyline models are based on coalescence rates, and an increase in Nef could therefore reflect changes in population structure, a population expansion is supported by the star-like topology of most groups in our haplotype network ([figure 1](#page-2-0)b). The timing of the expansion is coincident with temperature shifts associated with the onset of the Holocene (as shown by δ^{18} O levels; [figure 3](#page-5-0)*c*), and an increase and northwards shift in the amount of available suitable habitat between the LGM and the present (figure 4). The limited size of available habitat may therefore have been a constraining factor on narwhal population size during the last glacial period. Environmentally driven, long-term low population size is indicated by the extremely low genome-wide diversity reported in the narwhal nuclear genome [[30\]](#page-8-0), and the low level of genetic diversity observed across our 121 mitogenomes, which is among the lowest observed among the surveyed cetacean species [\(figure 2](#page-4-0)).

The post-glacial northwards range shift in narwhals (figure 4) follows the same pattern as has been reported in many terrestrial mammals in the Arctic and also in mammals from northern hemisphere temperate regions [[14](#page-7-0)]. However, our combined findings of a post-glacial increase in both suitable habitat and population size contrasts with the trajectory of many cold-adapted terrestrial mammals during this time; many declined or went extinct during rapid transitions to warmer periods, such as warm interstadials or the Pleistocene/ Holocene transition, probably due to habitat loss [\[11](#page-7-0)–[13\]](#page-7-0).

The impact of past climatic perturbations on Arctic marine mammals has also been reported in bowhead whales. Similar to narwhals, population sizes expanded after the LGM [\[18](#page-7-0)]. Both narwhals and bowheads probably benefited from deglaciation, as the amount of available habitat increased

[[18,](#page-7-0)[30\]](#page-8-0). The pattern observed in the two species mirrors that reported in several polar marine predators in the Southern Ocean (e.g. southern elephant seals and several penguin species), population sizes of which are also limited by cold conditions. For those species, access to novel, ice-free breeding sites and proximate productive foraging grounds after sea ice retreat likely explains their population size increases [[15](#page-7-0),[16\]](#page-7-0).

Holocene population expansion has also been inferred from around 8 ka for several baleen whale species both in the North Atlantic and the Southern Ocean [\[65](#page-8-0)]. These expansions are correlated with the simultaneous increase in North Atlantic baleen whale prey species, such as capelin (Mallotus villosus) and herring (Clupea harengus), 8–6 ka. Capelin is also a potential prey of narwhals, which has a specialized diet composed of few prey species [[26,](#page-7-0)[66\]](#page-8-0). Therefore, together with an increase of available habitat, an increase in prey may have led to the increase in abundance of both North Atlantic baleen whales and narwhals. The mobility of marine predators, few barriers to movements, and possibly low costs of movement in the aquatic environment [[67\]](#page-8-0), may have facilitated habitat tracking by the Arctic whales, in contrast with terrestrial mammals.

5. Conclusion

In summary, we find that past climate change has been a major driver of mitogenomic patterns of structuring and diversity in narwhals. Site fidelity may either not be strong enough, or the time frame of separation of stocks relative to these long-term forces may be too short, to have any strong effect. Environmental shifts further impacted the demographic trajectory of narwhals through a combination of habitat and perhaps also prey resource availability. Our results highlight the value of combining genetic data with ecological models to contextualize evolutionary processes. We find that narwhal populations responded positively to post-LGM warming, similar to several other marine predators from both polar regions [\[15](#page-7-0),[16](#page-7-0),[18,](#page-7-0)[30\]](#page-8-0). However, at present, many polar marine predators are being negatively affected by global warming, which is decreasing the availability of habitat and prey [\[6,16](#page-7-0)[,68,69](#page-8-0)]. Although the range and effective population size of narwhals increased post-LGM, their future in a rapidly changing Arctic is uncertain. Although associated with a large degree of uncertainty, our habitat suitability estimates for year 2100 indicate a 25% decline and 1.6° northwards shift in habitat availability, suggesting narwhal habitat is likely to decrease in size as sea temperatures rise and sea ice continues to decline [\[2\]](#page-7-0). Narwhal distribution will be further affected in the near future, as the

species also faces increased human encroachment [5], changes in prey availability [\[68](#page-8-0)], new competitors and increased predation rate by killer whales [\[70](#page-8-0)].

Data accessibility. Mitochondrial genome sequences are accessible on GenBank at accession numbers MT251225–MT251289. The fasta alignment used for the analyses is available from the Dryad Digital Repository:<https://doi.org/10.5061/dryad.4qrfj6q72> [[71\]](#page-8-0).

Authors' contributions. E.D.L. and E.W. conceived the project. C.L., K.M.K., E.G.,M.P.H.-J., L.P. and S.H.F. provided samples.ML generated the data with the help of M.S. and J.H. M.L., M.S. and J.A.S.C. analysed the data with input from S.G. C.G. and K.K. generated the habitat models. M.L. and E.D.L. wrote the manuscript, with input from all co-authors.

Competing interests. We declare we have no competing interests.

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