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The abscopal effect 67 years later: from a side story to center stage

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ABSTRACT

For over a century, ionising radiation has been used to treat cancer based on its cytotoxic effects on tumour cells. Technical progress has enabled more precise targeting of the tumour to reduce normal tissue toxicity while delivering higher radiation doses per fraction of treatment.

In 1953, unexpected regression in lesions outside of the irradiated field were noted by an observant physician, RH Mole, who named such phenomenon “abscopal effect” from the Latin *ab* (position away from) and *scopus* (mark or target), in an article published in this journal. Clinical abscopal responses have been reported over the years but because of their very rare occurrence they could not be methodically studied, remaining akin to a curiosity. Nevertheless, their occurrence has ignited interest in studying the systemic effects of radiotherapy. Progress in dissecting the mechanisms that govern the function of the immune system in cancer has enabled to study the implication of immunity in the abscopal effect of radiation. It has become clear that ionising radiation activates canonical pathways of response to viral infections, and can stimulate antitumour immunity. These immune stimulatory effects of radiation have become clinically relevant in the current era of cancer immunotherapy, rendering abscopal responses in patients an attainable aim. Here, we will briefly review the parallel evolutions of two separate fields of medicine, radiation therapy and cancer immunology, and discuss their therapeutic partnership.

INTRODUCTION

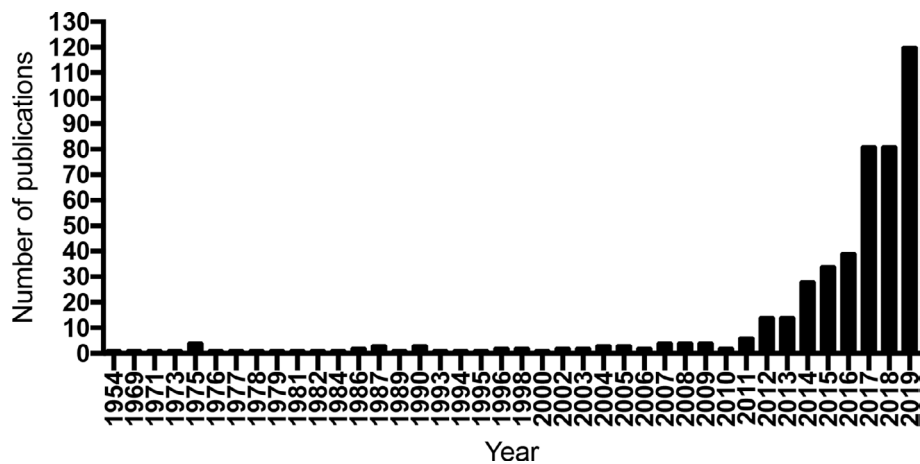
The specialty of radiation oncology was born from the convergence of physics, chemistry, biology and medicine,¹ and has continued to evolve through the progress of each of these disciplines. A cancer cell-centric view of the problem² drove efforts to improving cancer cell kill, which in the case of radiation therapy focused on safely enhancing tumour dose and interference with DNA damage repair.³ During the past two decades, a paradigm shift that recognises the essential role of the immune system in cancer development and progression has become broadly accepted,⁴ reflecting the extraordinary progress in cancer immunology and immunotherapy.⁵ The recognition of the necessity to also target the immune system when treating cancer to achieve long-term tumour regression and possibly cure, has changed the global strategy of oncology.⁶ Whereas cytotoxic agents, including chemotherapy and radiation therapy, remain a mainstay of treatment, there is a growing appreciation for their direct and indirect effects on cancer immunity as key

determinants for clinical success or failure.^{7,8} This insight is especially important to design combinatorial therapies that can recruit into response tumours refractory to immunotherapy. In this new landscape radiation has emerged as a promising modality because of its potential for enhancing responses to immunotherapy,⁹ extending its role from a local treatment to one that can be used to activate effective immune responses and tackle systemic disease, by inducing systemic, abscopal effects. Here, we will review the history and mechanisms of the abscopal effect of radiation and discuss some of the barriers to achieving abscopal responses. Finally, we will propose future experimental questions to optimise the induction of abscopal responses in the clinic.

A brief history of the abscopal effect of radiation

Abscopal responses were first described in 1953 in an article published in this journal by RH Mole,¹⁰ who had

Figure 1. Number of publications per year identified doing a search on Pubmed for the term “Abscopal effect” from 1954 to 2019.



noticed that tumour regression was sometimes observed in non-treated lesions when one specific tumour area was focally irradiated. The definition of abscopal responses is based on the concurrent presence of metastatic sites that will regress after focal radiotherapy to one. This phenomenon has been repeatedly reported over the years in the radiation oncology and radiology literature,^{11–15} but it remained confined to be a curiosity due to its rare occurrence. A systematic review of the literature between 1969 and 2014 identified only 46 reported cases of abscopal responses.¹⁶ It is only with the introduction of immune checkpoint blockade (ICB) therapy in clinical practice that the interest in abscopal effects of radiation has soared, with a continuous increase in the number of publications since 2011, the year when the first immune checkpoint blocking antibody, ipilimumab, was approved for patients treatment (Figure 1). This increase reflects the occurrence of abscopal effects when radiotherapy is used in patients treated with ICB, in cancers otherwise unresponsive to ICB. It also demonstrates the emergence of a new strategy in oncology that of investigating a personalised approach to immunising patients against their individual tumour.^{17,18}

Pitfalls in defining abscopal effects

Unfortunately, over the years the term ‘abscopal’ has been often misused, both in preclinical and clinical setting. For instance, it has been applied to define responses in some preclinical experimental settings that do not satisfy the requirements to measure an abscopal effect. Tumour control that is achieved after vaccination of mice with *ex vivo* irradiated cancer cells is not an abscopal response.¹⁹ In experiments designed to demonstrate abscopal responses, rejection of concurrent established tumours is studied. The importance of a rigorous definition stems from the different requirements for development of an immune response after injection of irradiated cancer cells vs irradiation of a tumour that has developed an immune suppressive tumour microenvironment (TME), as described in the next sessions. Thus, preclinical models of synchronous development of multiple cancers better mimic clinical metastatic disease, permitting to measure abscopal effects. Importantly, the results of these preclinical experiments in poorly immunogenic tumours have translated to the clinic, as discussed later.

A misuse of the concept of abscopal responses can also occur in clinical trials. To correctly assess abscopal responses of focal radiation and immunotherapy, prospective randomised trials must be designed with a control arm of immunotherapy alone vs the experimental arm that combines the same systemic immunotherapy with focal radiation. This design prevents misinterpreting as abscopal a response induced by the systemic effects of the immunotherapy tested. Another approach consists of pilot, single arm prospective studies that combine focal radiation with immunotherapies that have already failed to demonstrate activity when used alone, a strategy we tested in several trials.^{20–22} In the latter situation, radiotherapy can ‘re-position’ immunotherapy agents, by synergising with them to induce an effective immune rejection of cancer that the drug alone could not achieve.

Finally, the term ‘abscopal’ has been employed to define responses in non-treated lesions in mice and patients treated with various types of intratumoral immunotherapy that does not include focal radiotherapy. We agree with the consensus statement from an expert panel on intratumoral immunotherapy that this is not an appropriate use of ‘abscopal’ and the term ‘anesthetic tumour responses’ should be used instead to describe tumour responses in non-injected lesions.²³

From bench to bedside: modelling the immune mechanisms of radiation

The implantation of the tumour in immunodeficient mice has been a conventional experimental system to test the efficacy of cancer therapeutics against human tumours for a long time, which obscured the role of adaptive immunity in the response to the treatment tested. While a systemic effect of focal radiotherapy was already foreseen by Dr Joseph Shohan,²⁴ it is only in 1979 that original experiments by Stone and colleagues reported that the dose of focal radiotherapy needed to cure mice bearing syngeneic tumours was about twice as large in the absence of T cells than in their presence,²⁵ demonstrating the key role of T cells in achieving tumour elimination by focal radiotherapy. In the following years, much progress was made in understanding the function, specificity and mechanisms of activation of T cells. However, the role of the immune system in tumour control and

the potential therapeutic value of adaptive immunity remained controversial until the early 2000s. Among the many discoveries that moved the field forward was the experimental demonstration that the elimination of neoplastic cells by the immune system results in selection of tumours that are able to escape immune control.²⁶ This work formed the basis of the “immunoediting” theory.²⁷ Immunoediting, together with initial evidence that targeting an inhibitory receptor hindering T cell activation leads to tumour rejection,^{28,29} fostered investigations into the mechanisms of tumour immune escape.

In parallel, increased understanding of the biology of dendritic cells (DCs) and their role in activating T cells raised interest for their use as cancer vaccines, although several challenges were identified, including which tumour antigens to load DCs with.³⁰ Taking advantage of the availability of Flt3 ligand (Flt3L), a growth factor capable of expanding DCs in mice,³¹ Chakravarty et al³² hypothesised that radiation, by inducing cancer cell death, could promote uptake of tumour antigens by DCs³³ in mice treated with Flt3L. Using a highly metastatic variant of mouse Lewis lung carcinoma they showed that a tumour curative single dose of 60 Gy focal radiation did not extend the survival of the mice, which died of lung metastases. However, focal radiation combined with Flt3L markedly improved survival, which was T cell-dependent, suggesting that the combined treatment was able to prime antitumour T cells.³²

In the early 2000s, we set out to test the hypothesis that the abscopal effect was mediated by the activation of antitumour T cell responses in a mouse model of breast cancer. To this end, we used 67NR, a non-metastatic tumour, and an experimental system where the tumour was implanted in both flanks of an immunocompetent mouse and only one tumour irradiated, while the other was followed to measure abscopal responses. Radiation given at a dose of 2 or 6 Gy caused growth delay of the treated tumour but had no effect on the untreated one. We reasoned that a tumour could grow in immunocompetent syngeneic mice only if it suppressed immune recognition, as postulated by the immunoediting hypothesis, and radiation alone was insufficient to overcome the barriers hindering antitumour immune responses. Defective DC function had been identified as a mechanism of immune evasion in breast cancer,³⁴ so we treated mice with Flt3L to restore a functional DC compartment. While Flt3L alone had no effect on tumour growth, when used with radiation it promoted abscopal responses, as demonstrated by regression of the unirradiated tumour, that were tumour-specific and mediated by activation of antitumour T cells.³⁵ These results demonstrated a new paradigm, whereby barriers to an immunological intervention that by itself has no demonstrated therapeutic effect could be overcome by focal radiotherapy, and induce systemic tumour responses. It also provided unequivocal evidence that the abscopal effect of radiation is immune-mediated, and can be induced if radiation is combined with strategies that address established, tumour-associated immunological dysfunctions.

This concept was confirmed in the following years in many preclinical studies testing combinations of radiation with immune modulators for their ability to induce local and systemic

antitumour T cell responses.^{36,37} Importantly, some of these combinations were translated into clinical trials. For instance, we conducted a proof of principle clinical trial, which tested the combination of focal radiation with a DC growth factor available for clinical use, granulocyte-macrophage colony-stimulating factor (GM-CSF), in patients with advanced metastatic disease (NCT02474186). GM-CSF alone has no therapeutic effect in solid tumours. However, in this trial of 41 patients, in combination with focal radiotherapy it resulted in a rate of 27% abscopal responses. Interestingly, patients achieving an abscopal response had a protracted survival compared to the ones who did not.²⁰ Similarly, Brody et al³⁸ tested the combination of focal radiation and a Toll-like Receptor 9 (TLR9) agonist injected into the irradiated tumour, in 15 patients with low-grade B-cell lymphoma. One patient achieved a complete clinical response, and three reached a partial response, associated with development of tumour-reactive CD8 T cells.

Inspired by the growing evidence from Allison's lab that CTLA-4 was a critical inhibitory receptor limiting T cell activation in tumours, and that CTLA-4 blockade was effective when combined with a tumour vaccine in inducing the rejection of poorly immunogenic tumours,^{29,39} we tested if an *in situ* vaccination strategy by focal radiation could induce responses to CTLA-4 blockade in resistant tumours. Results obtained in different mouse tumour models showed that focal radiation and CTLA-4 blockade elicited antitumour CD8 T cells capable of controlling distant micrometastatic disease,⁴⁰ and mediate abscopal responses.⁴¹ The clinical relevance of these findings became evident a few years later when abscopal responses were observed in a melanoma patient. This patient initially had responded to ipilimumab (the FDA-approved human anti-CTLA-4 antibody) but eventually progressed in multiple visceral sites. Maintained on ipilimumab therapy despite progression, she received palliative radiation to one metastasis, with measurable responses at multiple abscopal sites.⁴² This case report fostered several retrospective investigations in melanoma patients who had received radiation while on treatment with ipilimumab, as well as prospective clinical studies testing radiation and ICB in melanoma and other cancers.^{21,43-48} Although the results of many of these studies have yet to be reported, current evidence indicates that the combination of radiation with ICB is generally safe. The persistent unpredictability of abscopal responses however, highlights the hurdles and pitfalls that need to be considered in moving the field forward,⁴⁹ as will be discussed below.

Mechanisms of *in situ* vaccination by radiation

Activation of naïve T cells requires their interaction with a DC that cross-presents tumour antigens and provides co-stimulatory signals to the T cell. Conventional DCs Type 1 (cDC1) are very efficient at activating CD8 T cells and have been shown to play a central role in cancer.⁵⁰ Radiation promotes tumour antigen cross-presentation by enhancing the translocation to the cell surface of “eat me signals” like calreticulin, that stimulate the phagocytosis of the cancer cells by DCs, and the release of damage-associated molecular pattern (DAMP) molecules that lead to DC activation and expression of co-stimulatory molecules.⁵¹ Among the various DAMP induced by radiation, a critical

role is played by DNA fragments, which as part of the DNA-damage response (DDR) to radiation, gain access to the cytosol of irradiated cells. In the cytosol, DNA leads to activation of canonical viral defense pathways via cyclic GMP-AMP synthase (cGAS)/stimulator of Interferon genes (STING), culminating in the production of interferon type I (IFN-I) and IFN-stimulated genes (ISG) including cytokines and chemokines that recruit innate and adaptive immune cells to the tumour.⁵² The DNA that gains access to the cytosol of the irradiated cancer cells has been demonstrated to activate the cGAS/STING pathway in the cancer cells themselves, as well as in innate immune cells present in the TME, including DCs.^{19,53,54} The mechanisms responsible for transfer of the IFN-stimulatory cytosolic DNA from cancer cells to DCs in the irradiated tumour remain incompletely characterised: in addition to phagocytosis, exosomes produced by the irradiated cancer cells may contribute to this process.⁵⁵

The IFN-I produced in the TME was demonstrated to be critical for the recruitment of cDC1 to the tumour and for the development of spontaneous and radiation-induced antitumour CD8 T cell responses in experimental models.^{56–58} Once loaded with tumour antigens and activated by DAMPs in the irradiated tumour, cDC1 migrate to the draining lymph node (dLN) where they activate naïve CD8 T cells.⁵⁹ Therefore, the functionality of the dLN is critical for priming of antitumour T cell responses by radiation: consistently, inclusion of the dLNs in the irradiated field and their damage have been shown to hinder this process,⁶⁰ a clinically relevant finding.

Once activated in the dLN tumour-specific CD8 T cells migrate to the tumour, guided by inflammatory cytokines and chemokines that are upregulated by radiation.^{61,62} Their ability to extravasate and infiltrate the tumour is enhanced by radiation-induced adhesion molecules on the vascular endothelium.^{59,63} Recognition and killing of the cancer cells by cytotoxic CD8 T cells (CTLs) is also enhanced by radiation-induced upregulation of major histocompatibility class I antigens (MHC-I), NKG2D ligands and death receptors on the cancer cells.^{64–67} Conversely, radiation-induced upregulation of programmed death ligand-1 (PDL-1) on the cancer and myeloid cells can inhibit CTL-mediated tumour rejection.^{68,69}

Enhanced presentation of some tumour antigens by MHC-I expressed on the cancer cells following radiation has been shown to improve their recognition by CTL.^{65,66} Reits et al⁶⁶ investigated in more details the mechanisms whereby irradiated cancer cells increase their expression of surface MHC-I. They demonstrated by mass spectrometry analysis of the peptides eluted from surface MHC-I of the melanoma MelJuSo cell line that peptides derived from enzymes involved in DNA repair and protein catabolism, which are upregulated in expression following radiation, were uniquely presented by the irradiated cells. We have provided the first evidence of development of CD8 T cells specific for a mutated neoantigen encoded in KPNA2, a gene upregulated in expression by radiation, in a patient with chemotherapy-refractory metastatic non-small cell lung cancer (NSCLC) that was treated with ipilimumab and focal radiotherapy to one metastasis.²¹ These tumour-specific T cell clones appeared in

the peripheral blood shortly after completion of radiation and the first cycle of ipilimumab, and remained elevated while the patient achieved a complete response in all of the non-irradiated lesions. Together with an increase in IFN-I that was detectable in the circulation following radiation, these data support the interpretation that *in situ* vaccination was achieved in this patient, and that the observed abscopal effects were mediated by the neoantigen-specific T cells. This patient was part of a clinical trial of combined ipilimumab and focal radiation in refractory, metastatic NSCLC. In this disease setting, ipilimumab alone has demonstrated lack of significant activity, however in 18% of the 39 patients accrued objective abscopal responses were detected with the addition of focal radiotherapy to a single lesion. Of notice, patients with objective responses had a significant elevation of IFN-I when measured in the blood 3 weeks post-radiation and compared to pre-radiation levels.²¹

Overall, current data support a model whereby radiation, as a direct consequence of DNA damage, elicits cellular responses that mimic a viral infection. The cytosolic DNA stimulates IFN-I and downstream ISGs to recruit and activate DCs. Immunogenic mutations that are expressed in rapidly induced genes involved in DNA repair and stress responses are preferentially presented in the MHC-I pathway, similarly to the rapid synthesis and preferential presentation of viral genome-encoded proteins in infected cells.⁷⁰ The lower antigen levels required at the effector phase as compared to the priming phase of the antitumour immune response, may explain the ability of T cells specific for immunogenic mutations upregulated by radiation to mediate abscopal responses. Moreover, both in mice and cancer patients, CTL-mediated killing of tumour cells has been shown to induce an antigen cascade or spread, *i.e.* the activation of T cells recognising additional tumour antigens different from the antigen recognised by the CTL.^{71,72} Thus, efficient killing at the irradiated tumour site could prime T cells to multiple tumour antigens that are shared with the non-irradiated metastases.

Barriers to the abscopal effect

Despite the progress made in understanding the immunological effects of ionising radiation, and the emerging promise of the combination of immunotherapy and radiation, responses remain unpredictable. Additional studies are needed to understand why in some patients focal radiotherapy with ICB elicits abscopal responses while in others it fails to. **Table 1** lists some of the potential mechanisms to explain these failures, by schematically grouping them as associated with three main sources, host, tumour and treatment. Naturally, multiple causes may converge to generate therapeutic failure, and are listed separately to simply ease their description. Examples of host's characteristics that may preclude the abscopal effect include hematological impairment at the time of combined treatment, with a neutrophil to lymphocytic ratio >4,²⁰ limited tolerance to ICB, hindering adequate blockade,⁴⁵ and the presence of a microbiome that is unfavourable to response to ICB.⁷³

Among the possible causes that are tumour-specific are the downregulation of the molecular machinery required for the IFN-I pathway activation in response to radiation (for instance,

Table 1. Examples of mechanisms associated with failure to achieve an abscopal response to radiation and immunotherapy

Host	Tumour	Treatment
Advanced immune-suppression	Cytosolic DNA sensors and/or IFN-I genes methylation	Inadequate immunotherapy to overcome established cancer immunosuppression
Patient microbiome	Induction of multiple immune suppressive mediators (TGF β , adenosine, PDL-1)	Suboptimal dose and fractionation of radiation
Host toxicity after immunotherapy	Cancer heterogeneity	Suboptimal targeting (need to treat all tumour sites)

through the process of methylation),⁷⁴ the induction of multiple immunosuppressive mediators, including PDL-1, TGF β , adenosine,^{68,69,75} and the reality of antigenic heterogeneity among different metastases.⁷⁶ Evidence is rapidly emerging for the need to individually define and then strategically address multiple immunosuppressive mechanisms that characterise patients' established metastasis, to achieve therapeutic success of radiation and immunotherapy combinations.⁷⁷

With regard to treatment-related barriers, much debate exists on how to optimise the application of classical radiotherapy when combined with immunotherapy and particularly ICB. Our group has compared fractionated (3–5 fractions of 6 to 8 Gy each) *vs* single dose regimens of radiotherapy, and shown the superiority of the former in inducing abscopal responses with ICB in preclinical models.⁴¹ Similarly, a fractionated treatment with 2 doses of 7.5 Gy/fraction was shown to be more effective at inducing tumour control and tumour immunity than a single dose of 15 Gy by Schaefer et al.⁷⁸ Although data from trials comparing prospectively different radiation regimens for their efficacy in inducing abscopal responses with ICB in patients are not available, a recent retrospective review of patients with brain metastasis treated with ICB and either single dose or fractionated stereotactic radiosurgery (SRS) supports the superiority of the hypo-fractionated regimen of 9 Gy in three fractions (total dose 27 Gy) *vs* single dose SRS.⁷⁹ A similar fractionation regimen (8 Gy in 3 fractions, total dose 24 Gy) was tested by Theelen et al⁸⁰ in a prospective trial of metastatic NSCLC patients, comparing radiotherapy plus pembrolizumab to drug alone and demonstrating doubling of objective response rate and median survival by the combinatorial approach. More studies are warranted to establish the optimal fractionated regimen and whether ablative doses (BED >100) are required^{81–83}

Finally, as mentioned above, genomic and immune heterogeneity among metastatic sites is common and has been shown to affect antigenic composition and influence the response to immunotherapy.^{76,84,85} While targeting a single site and monitoring response outside the field is a practical way to assess a successful systemic immune response, in a setting of advanced metastatic disease it is likely to be limited by the fact that different metastases may not share common antigens. An approach of irradiating each metastatic site, as tested in a pilot study by Palma et al⁸⁶ in the setting of oligometastatic disease (up to five sites) has shown to significantly enhance survival when compared to best

supportive care, and offers promise for combination with ICB, and future immunotherapies.

CONCLUSIONS

The original intuitions for a systemic effect of ionising radiation have been confirmed by preclinical work on the viral mimicry of ionising radiation and substantiated a new therapeutic paradigm that applies focal radiotherapy as a partner to immunotherapy. Both modalities have the potential to benefit from the partnership, but much research is still required to refine this approach and enhance its potential to successfully immunise patients against their cancers.

The path so perceptively opened by Mole in his original publication in this journal is now followed by many investigators. Furthermore, growing numbers of patients are surviving their cancer because of the abscopal effects of radiation.

Interest in the abscopal effect has catalysed efforts to understand the immune effects of radiation and has enabled its combination with modern immunotherapy, opening a novel application for one of the oldest cancer treatments.

AUTHOR NOTE

We apologize to the many investigators whose work contributed to the understanding of the immune effects of radiation and to the advancement of radiation and immunotherapy combinations for not citing their work due to space limitation.

COMPETING INTERESTS

The authors declare that they have no competing interests related to this work. However, Dr Demaria has received research support from Lytix Biopharma and Nanobiotix, consultant fees from EMD Serono, Mersana Therapeutics, and Lytix Biopharma. Dr Formenti has received prior honorarium for consulting/speaker from AstraZeneca, Merck, Regeneron, Bayer, Serono, and research funding from Varian, Merck, Bristol Meyer Squibb.

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