



Published in final edited form as:

*Kidney Int.* 2020 February ; 97(2): 370–382. doi:10.1016/j.kint.2019.08.038.

## Detection and characterization of mosaicism in autosomal dominant polycystic kidney disease.

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### Disclosure

All the authors declared no competing interests.

### Supplementary Material

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LR-NGS Methods.

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Figure S1, Analysis of two false positive “mosaic” PKD1 families.

Figure S2, Details of mosaic positive PKD1 families.

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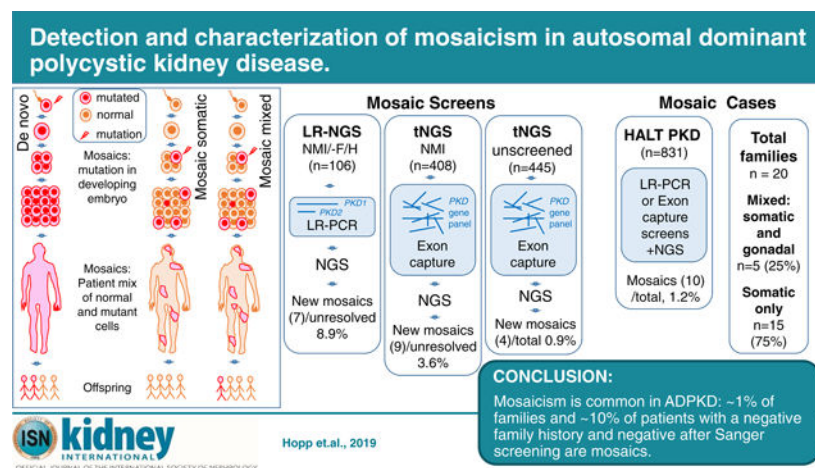
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## Abstract

Autosomal dominant polycystic kidney disease (ADPKD) is an inherited, progressive nephropathy accounting for 4–10% of end stage renal disease worldwide. *PKD1* and *PKD2* are the most common disease loci, but even accounting for other genetic causes, about 7% of families remain unresolved. Typically, these unsolved cases have relatively mild kidney disease and often have a negative family history. Mosaicism, due to *de novo* mutation in the early embryo, has rarely been identified by conventional genetic analysis of ADPKD families. Here we screened for mosaicism by employing two next generation sequencing screens, specific analysis of *PKD1* and *PKD2* employing long-range polymerase chain reaction, or targeted capture of cystogenes. We characterized mosaicism in 20 ADPKD families; the pathogenic variant was transmitted to the next generation in five families and sporadic in 15. The mosaic pathogenic variant was newly discovered by next generation sequencing in 13 families, and these methods precisely quantified the level of mosaicism in all. All of the mosaic cases had *PKD1* mutations, 14 were deletions or insertions, and 16 occurred in females. Analysis of kidney size and function showed the mosaic cases had milder disease than a control *PKD1* population, but only a few had clearly asymmetric disease. Thus, in a typical ADPKD population, readily detectable mosaicism by next generation sequencing accounts for about 1% of cases, and about 10% of genetically unresolved cases with an uncertain family history. Hence, identification of mosaicism is important to fully characterize ADPKD populations and provides informed prognostic information.

## Graphical Abstract



## Keywords

ADPKD; PKD1; Mosaicism; Genotype/Phenotype Correlations; Mutations; Diagnostics; Prognostics

## Introduction

Tuberous sclerosis (TSC) is an example of a dominant disease where *de novo* mutations are common, accounting for 60–70% of cases<sup>1</sup>. These new mutations usually happen in germ cells but can occur postzygotically, resulting in mosaicism where the subject is composed of cells with and without the mutation. Mosaic pathogenic variants can be difficult to detect by Sanger sequencing (SS), and when targeted capture next generation sequencing (tNGS) was applied to the 10–15% of TSC patents with no (Sanger) mutation identified (NMI), 49% were found to be mosaics<sup>2</sup>. Hence, mosaicism is a diagnostic challenge but its identification is important to fully characterize a population for family planning purposes, and to determine likely disease severity within a family.

Population studies indicate that 10–20% of autosomal dominant polycystic kidney disease (ADPKD) families can be traced to a *de novo* mutation within living generations<sup>3, 4</sup>. ADPKD is a late-onset, systemic, inherited disorder with a worldwide prevalence of ~1 in 1000<sup>5</sup>. It is characterized by the development and growth of kidney cysts, resulting in end stage renal disease (ESRD) in ~50% of individuals by 60y<sup>6</sup>. The major ADPKD genes are *PKD1* (~78% of pedigrees) and *PKD2* (~15%); *PKD1* is associated with more severe disease, and *PKD1* truncating (*PKD1<sup>T</sup>*) alleles are more severe than nontruncating (*PKD1<sup>NT</sup>*)<sup>7–9</sup>. A small proportion of NMI cases have mutations to other genes, e.g. *GANAB*, *DNAJB11*, or *HNF1B*, but ~7% remain unresolved<sup>10–13</sup>. Mutation screening of *PKD1* is complicated by the presence of six pseudogenes, traditionally requiring a long-range PCR (LR-PCR) approach for SS<sup>14</sup>. More recently, LR-NGS and specifically designed tNGS approaches have been shown to successfully screen this locus<sup>15, 16, 17, 18</sup>.

Mosaicism is a possible explanation for NMI cases since they often have a negative/ indeterminate family history, typically milder disease, and sometimes an asymmetric/ unilateral renal disease presentation; five mosaic ADPKD families have been described<sup>4, 9, 19</sup>. One with gonadal and somatic mosaicism (mixed) illustrated the complexity of identifying a potential living related donor by linkage analysis alone<sup>20</sup>. In a second, an affected father with two ADPKD daughters had the familial large deletion in just ~15% of cells<sup>21</sup>. Other examples showed milder than expected disease for a *PKD1<sup>T</sup>* pathogenic variant in a mosaic mother<sup>22</sup>, LR-NGS analysis detected low level mosaicism (3–10%) in different cell types<sup>23</sup>, and quantitative PCR and cloning detected mosaicism in a patient with asymmetric disease<sup>4</sup>. Importantly, all described cases were identified because an offspring had fully penetrant disease.

Here, we employed two NGS approaches to detect and characterize mosaicism in 20 ADPKD pedigrees.

## Results

### Next generation sequencing analysis to detect mosaicism

To detect mosaicism, two NGS methods were employed, a modified LR-NGS screen of just *PKD1* and *PKD2*<sup>15</sup> and a tNGS panel of 65 or 137 cystogenes (Figure 1, Table S1)<sup>12</sup>, with

DNA isolated from blood cells, mimicking a clinical diagnostic setting. The LR-NGS screen included 106 ADPKD families with a known/suspected negative family history and NMI from SS of *PKD1* and *PKD2*. Four suspected mosaics detected by family/conventional analysis were controls (Figure 1). The tNGS screening included two parts, 408 SS *PKD1*/*PKD2* NMI ADPKD-like families<sup>12</sup> and 445 unscreened ADPKD-like families (Figure 1). Previous analysis showed good coverage of the duplicated *PKD1* gene using this tNGS approach<sup>12</sup>. Both screening methods have the advantage over whole exome sequencing (WES) of high average sequence read depth (LR-NGS *PKD1*=7317, *PKD2*=10988; 65-Gene-tNGS *PKD1*=839; *PKD2*=475; 137-Gene-tNGS *PKD1*=619; *PKD2*=779; Table S2), allowing detection of pathogenic variants found in just a small percentage of reads.

Two families illustrated the need for caution in defining mosaic cases and the value of employing NGS plus Sanger methodologies. In pedigree M796, SS of individual II-2 suggested a missense pathogenic variant at a low level but subsequent tNGS analysis showed that 581/1130 reads (51%) had the pathogenic variant (Figure S1A–C). Follow up SS consistently showed low level signal of the pathogenic variant (Figure S1B). These SS findings are likely explained by allele dropout due to a polymorphism under the LR or exon-specific PCR primer. Family P1317 showed the opposite scenario where tNGS identified a 26 bp duplication in just 7.9% of reads in II-1 (Figure S1D,E). However, SS showed the duplication to be equally represented (Figure S1F), and subsequently the patient was found to have a positive family history; highlighting, that using tNGS, larger duplications may be captured inefficiently

### Definitions and details of the detected mosaic cases

To be certain that the described cases are genuine mosaics, we required the pathogenic variant to be consistently detected at a reduced level by NGS and SS or also detected by allele specific-PCR (AS-PCR). To avoid calling cases with apparent small reductions in read representation that may be false mosaics, or false positives, the mutant allele needed to be present in 2–25% of reads (4–50% of the expected level of the pathogenic allele); two exceptions (Pedigrees 390010 and 790057) are explained below. Tables 1 and S3 describe and evaluate the detected mosaic pathogenic variants to *PKD1* and provide clinical information of the 20 resolved families. All families have a negative or equivocal family history, with details and diagnostic information summarized in Table 2.

### Families with a transmitted *PKD1* mosaic variant (mixed)

In five families, the mosaicism was somatic but the pathogenic variant was also germinal, since the mutation was transmitted to the next generation. Mosaicism was suspected from SS of Family 590013 since the frameshifting change detected in the son (III-1) was only apparent at a low level in the affected mother (II-2; Figure 2A,B). tNGS analysis confirmed the mutant allele in just 157/906 reads (17.3%) compared to 702/1415 (49.6%) in III-1 (Figure 2C). Asymmetric disease was seen in II-2, with a few large cysts in the left kidney, in contrast to multiple small cysts detected in III-1 (Figure 2D,E). II-2 had normal renal function at 56y.

In Family 690020, a *PKDI*<sup>T</sup> pathogenic variant was readily detected by SS in III-1, but only found in the mother (II-2) after focused analysis (Figure 2F,G). LR-NGS identified the change in II-2 in 589/6476 reads (9.1%). II-2's ultrasound (US) imaging showed moderately enlarged kidneys at 61y, while III-1 had significantly enlarged kidneys (Mayo Imaging Class; MIC-1C<sup>8</sup>; Figure S2A); II-2 has just mild renal impairment at 67y.

Individual 870348 II-2 was initially screened by tNGS and found to have a deletion in 515/6247 reads (8.2%; Figure 2H). The deletion was confirmed at a low level by SS and AS-PCR (Figures 2I, S2B). II-2 has multiple bilateral cysts (MIC-1B), with normal renal function at 47y (Figure 2J). One son, III-1, was diagnosed at 26y.

Initial SS of 590046 II-4 did not identify a likely mutation; however, SS of the son (III-2) detected a single codon deletion (Table S3). LR-NGS of II-2 showed that 899/12858 reads (7.0%) had the deletion, which was confirmed by close inspection of the Sanger sequence (Figure 2K,L). II-4 had an atypical radiological presentation (MIC-2A) with one large kidney and liver cyst and normal renal function at 56y (Figure 2M). Two sons were affected, and mild cystic disease (MIC-1B) was characterized in III-2 at 19y (Figure 2N).

SS of 390010 III-1 identified a large inframe deletion (Table S3), but it was not detected in his affected mother (II-2) (Figure 2O). LR-NGS also did not readily detect the variant in II-2 but careful analysis showed that 6/4246 reads (0.1%) contained the deletion. SS of AS-PCR showed the deleted sequence in III-1 and a mixture of the normal and deleted sequence in II-2, reflecting an enrichment of the rare deleted sequence (Figure 2P). Imaging of II-2 at 48y showed very mild cystic disease (MIC-1A) and normal renal function at 52y, while the disease in III-1 was more typical for an *PKDI* fully penetrant pathogenic variant (MIC-1D; Figure 2Q,R).

### Families with a mosaic variant in a single individual (somatic)

Fifteen families had a somatic mosaic *PKDI* pathogenic variant that was not shown to be transmitted. 790057 II-2 was suspected of a whole exon deletion from multiplex ligation-dependent probe amplification (MLPA), but the level of signal suggested mosaicism (Figure 3A,B). Reanalysis by LR-NGS confirmed the deletion and SS of a breakpoint fragment defined it (Figures 3C, S2C). Analysis of read depth within (4731) and flanking the deletion (7810) indicated that 37.7% reads had the deletion; a margin, along with the MLPA data, consistent with mosaicism. The disease of II-2 was moderately severe (MIC-1C; Figure 3D), but with normal renal function at 31y.

In 590039 II-4, MLPA detected an apparently mosaic multiexon deletion (Figure 3E,F). The deletion was not found by the LR-NGS assay since it spanned the end of a LR-PCR product (Table S4), but the deletion was detected by tNGS 49/167 reads (22.7%) from blood derived DNA; 25.5% in PLD tissue (Figure S2D). Analysis of breakpoint reads and amplifying across the deletion defined the pathogenic variant (Figure 3G, S2E). The PKD in II-4 was severe (MIC-1D), resulting in ESRD at 55y, and she also had severe PLD that required partial liver resection (Figure 3H).

M484 II-8 was negative from SS but a single nucleotide duplication was detected by both LR-NGS, 1152/5207 reads (20.6%) and tNGS, 518/2578 reads (20.1%), and confirmed by re-SS (Figure 3I,J). II-8 had quite severe kidney disease (MIC-1C), but normal renal function at 47y, and severe PLD requiring cyst aspiration (Figure 3K).

A possible mosaic frameshifting deletion was detected by SS in M375 II-3 that was confirmed and quantified in 930/4858 reads (19.1%) by LR-NGS (Figure 3L,M). The ADPKD was mild (MIC-1B) with normal renal function at 34y (Figure 3N).

290001 II-1 was negative from SS but a nonsense pathogenic variant was detected by the LR-NGS in 1296/7347 reads (17.5%) and tNGS in 1045/5061 reads (20.6%), and seen at a low level after re-SS (Figure 3O,P). US identified multiple bilateral cysts and the patient had Stage 3b CKD at 66y.

Initial SS of 870005 II-2 was negative, but tNGS identified a frameshifting pathogenic variant in 84/566 reads (14.8%), which was subsequently seen at a low level in the SS by visual inspection (Figure 4A,B). This patient had moderately severe PKD (MIC-1C), moderate PLD, but normal renal function at 54y (Figure 4C).

SS was negative in M646 II-3 but tNGS identified the missense variant p.Pro2809Leu in 454/3392 reads (13.4%), that was subsequently seen at a low level by SS (Figure 4D,E). p.Pro2809Leu is a non-conservative substitution of a residue invariant in orthologs to fish, is not listed in gnomAD<sup>24</sup>, and has been described as a likely pathogenic variant (Table S3)<sup>14</sup>. II-3 has relatively small, asymmetric kidneys (MIC-1B; Figure 4F) and has Stage 3b CKD at 60y.

870452 II-1 was only screened by tNGS that detected a typical splicing change in 162/1208 reads (13.4%), that was confirmed by SS (Figure 4G,H). The patient had normal renal function at 57y.

M1312 II-2 was screened initially by tNGS that revealed a frameshifting insertion in 199/1637 reads (12.2%). This insertion was not detected by SS but AS-PCR amplified only from the patient's DNA, and SS confirmed the insertion in the AS-PCR product (Figure 4I,J, S2F). Imaging showed multiple kidney cysts, including a large one, but renal function was normal at 36y (Figure 4K).

SS of 290034 II-3 was negative but analysis by both LR-NGS, 786/6407 reads (12.3%) and tNGS, 523/4352 reads (12.0%), detected a mosaic frameshifting deletion that was subsequently confirmed by close examination of the SS (Figure 4L,M). II-3 has moderate kidney disease (MIC-1C and Stage 3b CKD at 68y).

In patient M1327 II-2, no mutation was detected by clinical SS but tNGS detected a frameshifting deletion in 134/1489 reads (9.0%), that was confirmed by repeat SS; the parents were negative for the pathogenic variant (Figure 5A,B). II-2 had relatively mild PKD, MIC-1B, and normal renal function at 48y (Figure 5C).

A pathogenic variant was suspected but undefined by SS in M855 II-4 and then characterized by LR-NGS as a deletion/insertion in 725/8889 reads (8.2%; Figure 5D,E).

The kidney phenotype was mild cystic disease (MIC-1B) with normal renal function at 47y (Figure 5F).

No mutation was detected in 290084 II-7 by initial SS but a conservative missense change (p.Glu574Asp) was found by both LR-NGS, 423/7044 reads (6.0%) and tNGS, 279/3540 reads (7.3%), and confirmed by AS-PCR (Figure 5G,H, S2G). The substitution, c.1722G>T, changes the last nucleotide of exon 8 and is predicted to result in loss of the IVS8 donor site (Figure S2G legend and Table S3). The patient has normal renal function at 53y but relatively large kidneys (MIC-1C) and severe PLD (Figure 5I).

Initial SS of M174 II-1 was negative but a nonsense variant was detected by LR-NGS, 427/6018 reads (7.1%) and tNGS, 40/1159 reads (3.5%), and confirmed by AS-PCR and SS (Figure 5J,K, S2H). The patient has rather few, larger cysts in each kidney and reached ESRD at 83y (Figure 5L).

290114 III was NMI from initial SS but the LR-NGS, 304/7506 reads (4.1%), and tNGS, 46/3490 reads (1.3%), identified a deletion extending over a splice junction. This was subsequently confirmed by re-SS (Figure 5M,N). This patient has relatively mild cystic disease (MIC-1B) and normal renal function at 40y (Figure 5O).

### Phenotypic features of the mosaic individuals

We compared the eGFR and height adjusted total kidney volume (htTKV) for the mosaic cases to a non-mosaic Mayo PKD1 population with similar mutation types; truncating or strongly predicted nontruncating mutations (Mutation Strength Group [MSG] 1 and 2)<sup>9</sup>. This analysis showed the mosaic group had a greater eGFR of 30.2 mL/min/1.73 m<sup>2</sup> (p<0.001) and a htTKV 32.5% (p=0.021) smaller than the controls, but there was little correlation between the level of the mosaic mutant allele and either phenotype (Figure 6A,B).

### Discussion

We describe here two NGS methods to robustly detect mosaic pathogenic variants (~4–50% expected allele level) in the *PKD1* or *PKD2* gene, including in the duplicated region of *PKD1*. Unlike previous descriptions of ADPKD mosaicism, 15 pathogenic variants were detected when just one family member was affected. Where both methods were employed (6 cases), there was good agreement in the mutant allele level, which was more quantifiable and reliable than from SS. Both methods have good read depth over most parts of the screened genes, although read depth was lower for both in very GC-rich regions (Table S2). However, the much easier application of tNGS versus LR-PCR means it is likely to be more widely employed<sup>15, 17, 18</sup>. The greater read depth, plus better coverage of *PKD1*, shows the value of a targeted approaches for screening (especially for mosaicism) of this gene compared to WES<sup>17, 18, 25</sup>. Here we did not attempt to identify patients with possible high-level mosaicism (50–90% expected allele level), since further study is needed to reliably differentiate mosaicism from *de novo* germline mutations.

Detecting mosaicism is important as it can genetically resolve SS NMI cases (13 families in this study). Also, it provides important prognostic information; on average the kidney disease in mosaics is milder than expected for the mutation type, with important implications for the expected renal disease severity in offspring compared to the parent. However, the precise level of mosaicism in blood cell DNA did not strongly correlate with disease severity, presumably reflecting that the level of the mutant allele in the kidney that can diverge considerably from that in blood cells. Interestingly, three mosaics had severe PLD, consistent with previous data that ADPKD genotype is less important for the development of liver versus kidney disease<sup>26</sup>. In the one case where severe PLD tissue was assayed, the level of the mutant allele was only slightly higher than in the blood cell DNA.

In transmitted cases, knowledge that the disease is likely to be more severe in the offspring is important. In the families studied here, the mutant allele was transmitted in 25% of cases. There is likely a positive bias in detecting cases with a fully penetrant offspring, but many families only had unscreened, young, or no offspring and so the transmission rate may be underestimated. Nevertheless, it seems likely that >50% of mosaic cases are sporadic and are not transmitted. In males, fuller analysis of germ cells will determine the level of mixed rather than strictly somatic mosaics and the level of germline mosaicism. Overall, 16/20 mosaic individuals were female, suggesting an enrichment but this did not reach statistical significance compared to the female enriched control population (p=0.11).

Mosaicism is often considered an explanation for unilateral and severely asymmetric cases that usually have a negative family history. Indeed, we did identify six cases with asymmetric or lopsided disease. However, over the whole cohort this was not a consistent feature, and 26 fully unilateral or markedly asymmetry cases remained unresolved after these NGS-based screens. This is likely because the mosaics identified by screening blood cell DNA have broad penetrance of the mutant allele in the body's organs. Screening DNA isolated from other tissues, such as cells isolated from urine, buccal cells, hair roots, skin fibroblasts and sperm, plus methods to detect pathogenic variants at <2%, may solve these cases. Although not a significant problem in our study, the degree to which the duplicated *PKD1* gene will complicate detecting very low-level mosaicism remains to be determined.

All of the detected mosaic cases were *PKD1*. An enrichment for *PKD1* would be expected as it is the most common ADPKD gene (~78% of cases) and a larger mutational target (~13kb coding region compared to ~3kb for *PKD2*), and mosaic *PKD2* subjects may have a very mild phenotype and so not be recognized as PKD. But it does raise the question again whether *PKD1* is unusually prone to *de novo* mutations<sup>27</sup>. Most mutations (15/20) were deletions or insertions (~39% of germline *PKD1* mutations), 14 of which were frameshifting, including six deletions or insertions >10bp, two >250bp. It has been previously described that mosaicism of larger rearrangements is more common than for base-pair changes<sup>28</sup>, but it is not clear if the predominance of indels here is just due to ease of detection.

The HALT PKD cohort is a typical ADPKD population where patients were hypertensive at baseline and recruited patients had either normal renal function (15–49y) or an eGFR 25–60ml/m/1.73<sup>2</sup> (18–64y). Notably, there was no kidney size requirement for recruitment and



the finding of five different genes in this population show its diversity<sup>9, 11, 12; 29</sup>. All HALT PKD patients with DNA available were screened by SS<sup>9</sup> and unresolved and suspected mosaic cases rescreened by NGS (LR and/or tNGS). Overall, 10/831 HALT PKD families (1.2%) were shown to have ADPKD originating from a mosaic case (Figure 1). A similar level (0.9%) was found from the newly screened patients employing tNGS.

In the LR-NGS cohort, where all patients had a negative or equivocal family history and NMI from SS, seven cases were newly resolved as mosaic (Figure 1). Removing the patients resolved in other ways (fully penetrant *PKD1*/*PKD2* pathogenic variants or other disease genes), the mosaics were equivalent to 8.9% of the residual families (Figure 1). Therefore, in a typical ADPKD population, ~1% of families, and ~10% of NMI families, without a clear family history, are readily resolvable mosaics. Including very low (<4% expected level) and high-level (>50%) mosaics, and analyzing other DNA sources, the total number of mosaics would likely be even higher, although, the representation of mosaics in a population selected for rapidly progressive disease would likely be lower<sup>30, 31</sup>.

## Methods

### Study participants and clinical analysis

The participants were recruited through different ADPKD cohorts: the HALT-PKD clinical trial (n=10 positive cases: 590013, 690020, 590046, 390010, 790057, 590039, 290001, 290034, 290084, 290114)<sup>9, 32, 33</sup>, the ADPKD Modifier Study (n=3: 870348, 870005, 870452), and the Mayo Clinic Translational PKD Center (MTPC; n=7: M484, M375, M646, M1312, M1327, M855, M174) (Figure 1). The relevant Institutional Review Boards or ethics committees approved all studies, and participants gave informed consent. Clinical and imaging data were obtained by review of clinical and study records. Kidney function was calculated from clinical serum creatinine measurements with the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) formula<sup>34</sup>. Blood samples for standard DNA isolation were collected from the probands and all available family members.

### NGS of *PKD1*/*PKD2* LR-PCR amplicons (LR-NGS)

A total of 110 ADPKD patients were screened that had a negative/equivocal family history and were *PKD1*/*PKD2* NMI by SS (Figure 1). Four of the selected patients were suspected mosaic cases based on either prior SS or family data. The samples were analyzed employing a modification of the LR-PCR and NGS approach<sup>15</sup>, which is described in detail in the Supplemental Methods and Table S4. For the bioinformatics analysis, FASTQ files were aligned to the hg19 reference genome using bwa-mem (VN:V7.10) with default options. Variant calling was performed using the GATK (VN:3.6) Haplotype Caller. Generated vcf files were prioritized for variants fulfilling the following criteria: Genotype Quality ≥20; Read Depth ≥20; Alternate Allele Frequency ≥3%; Forward/Reverse Read Balance ≥0.25; ExAc/ESP6500/1000Genome Allele Frequency ≥0.1%; Frequency Count within screened patient population <4; Exonic ±15bp; Non-synonymous, dbNSFP Evaluation ≥2 as damaging (Lrt, MetaLr, PolyPhen2, Provean, SIFT). BAM files of variants of interest were reviewed and designated as possible mosaics if the alternate allele was present in 2–35% of reads.

## Targeted Next-Generation Sequencing (tNGS)

Samples were run on a custom Agilent SureSelect gene panel containing the coding regions  $\pm 50$ bp of either 65<sup>12</sup> or 137 genes (Table S1). Library preparation, sequencing, and sequence alignment was performed as described<sup>12</sup>. The SNP and Variation Suite (SVS, Golden Helix) was used for small nucleotide variant mining, utilizing the following filtering thresholds: variant locus read-depth (DP)  $\geq 10$  and quality (GQ)  $\geq 20$ ; GnomAD, MAF  $\geq 1.0\%$ ; removal of non-coding variants  $>15$ bp from the splice site. The remaining variants were individually evaluated for pathogenicity based on: inclusion in the online ADPKD database ([pkdb.pkdcure.org](http://pkdb.pkdcure.org)); or predicted loss of function, or SIFT score  $\leq 0.10$  and alignGVGD class C35, and not present in an orthologous sequence; or predicted to alter splicing by Berkeley Drosophila Genome Project (BDGP) and Human Splicing Finder 3.0. BAM files of variants of interest were reviewed and designated as possible mosaics if the alternate allele was present in 1–35% of reads. Large CNVs were assessed by calculating the LOG2 ratio of actual read-depth over expected read-depth for a given locus. Variants with LOG2 ratios between 0.5 and 0.1 OR  $-0.2$  and  $-0.9$  were considered mosaic candidates. BAM files were reviewed to identify exact breakpoints of the CNV and the final level of mosaicism was calculated based on the change of read-depth at the 5' end of the rearrangement.

## Confirmation of variants by SS, MLPA or AS-PCR

All changes were confirmed by SS for *PKD1* as previously described<sup>14</sup>. When family samples were available, segregation analysis of the variant of interest was performed. MLPA was performed employing the MRC Holland kits. For AS-PCR, allele specific primers were designed for mosaic variants which were not or poorly detected by SS. Either the forward or reverse primer was designed specifically to match the pathogenic variant (Table S5), with a second mismatch often introduced<sup>35</sup>, and used to PCR amplify the mosaic variant using standard methods.

## Analysis of Phenotypic Endpoints of the Mosaic Population

The most recent eGFR and htTKV available on mosaic cases and PKD1 controls (MSG 1 & 2) was employed for the regression analysis to correlate the age and phenotypic endpoints.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements

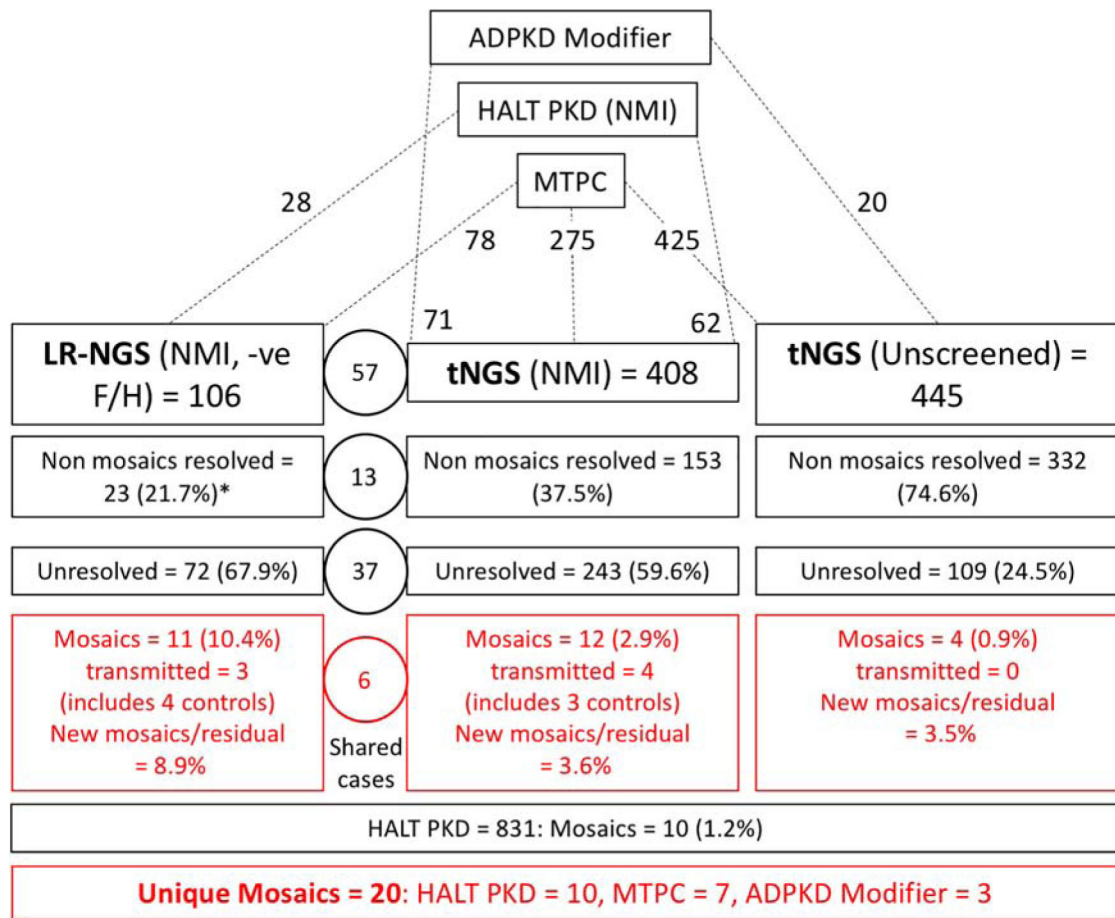
We thank the families and coordinators for involvement in the study and Andrew Metzger and Timothy Kline (Mayo Clinic). The study was supported by: NIDDK grant DK058816 (PCH), the Mayo Clinic Robert M. and Billie Kelley Pirnie Translational Polycystic Kidney Disease Center (DK090728; VET), an American Society of Nephrology (ASN) Foundation Ben J Lipps Fellowship (KH), ASN Kidney Research Fellowship (EC-LG), the Fulbright Association and the Foundation Monaham (EC-LG), the Zell Family Foundation and Robert M. and Billie Kelley Pirnie. The HALT-PKD studies were supported by NIDDK cooperative agreements (DK062410, DK062408, DK062402, DK082230, DK062411, and DK062401) and National Center for Research Resources General Clinical Research Centers (RR000039, RR000585, RR000054, RR000051, RR023940, RR001032) and National Center for Advancing Translational Sciences Clinical and Translational Science Awards (RR025008, TR000454, RR024150, TR00135, RR025752, TR001064, RR025780, TR001082, RR025758, TR001102, RR033179, TR000001). The ADPKD Modifier Study is supported by NIDDK grant DK079856.

Other HALT PKD and/or ADPKD Modifier Investigators are also thanked: Yu A, Winklhofer FT (Kansas Medical Center), Abebe KZ, Patterson CG (University of Pittsburgh), Schrier RW, Brosnahan GM (University of Colorado Denver), Miskulin DC (Tufts University), Braun WE (Cleveland Clinic), Czarnecki PG (Brigham and Women's Hospital), Chebib FT, Hogan MC (Mayo Clinic), Mrug M (University of Alabama at Birmingham), Pei, Y (University of Toronto), Sandford, R (University of Cambridge), Rennert H (The Rogosin Institute, New York), Le Meur Y (Université de Brest), Watnick, T (University of Maryland), Peter DJM (Leiden University Medical Center), Gansevoort RT (University Medical Center Groningen), Demoulin N (Université Catholique de Louvain), Devuyst O (University Hospital of Zürich).

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**Figure 1. Diagram showing the study design and detection rates for the different groups involved in the screen for mosaicism.**

ADPKD patients were included in the study from those collected for mutation screening at the Mayo Translational PKD Center (MTPC), ADPKD Modifier patients, and *PKD1* and *PKD2* SS NMI HALT PKD patients. The analysis for mosaic cases was performed in three screens, LR-NGS of *PKD1* and *PKD2* SS NMI ADPKD patients with a proven or suspected negative family history (-ve F/H), a tNGS screen of *PKD1* and *PKD2* SS NMI ADPKD patients, and a tNGS screen of previously unscreened patients. The number of total families included in each screen, and their origin is indicated at the top portion of the figure. The detection rate breakdown of each screen is indicated in boxes and includes number of families resolved with typical heterozygous variants (non mosaics resolved), number of families remaining unresolved, and the number of families with detected mosaic variants. The numbers in the circles represents families shared among the LR-NGS and tNGS approach. The number of newly detected mosaics as a proportion of the unresolved plus mosaics (New mosaics/residual) is also calculated. Approximately 1% of not families (HALT PKD (1.2%) and tNGS (Unscreened; 0.9%)) were mosaics. In addition, newly resolved mosaics represented 8.9% of the unresolved plus mosaic families from the LR-NGS screen (-ve F/H and SS *PKD1*/*PKD2* NMI) and 3.6% from the tNGS (SS *PKD1*/*PKD2* NMI) screen. The number of unresolved families from the tNGS (unscreened) analysis is greater than expected from a typical ADPKD population, which is likely because of the large

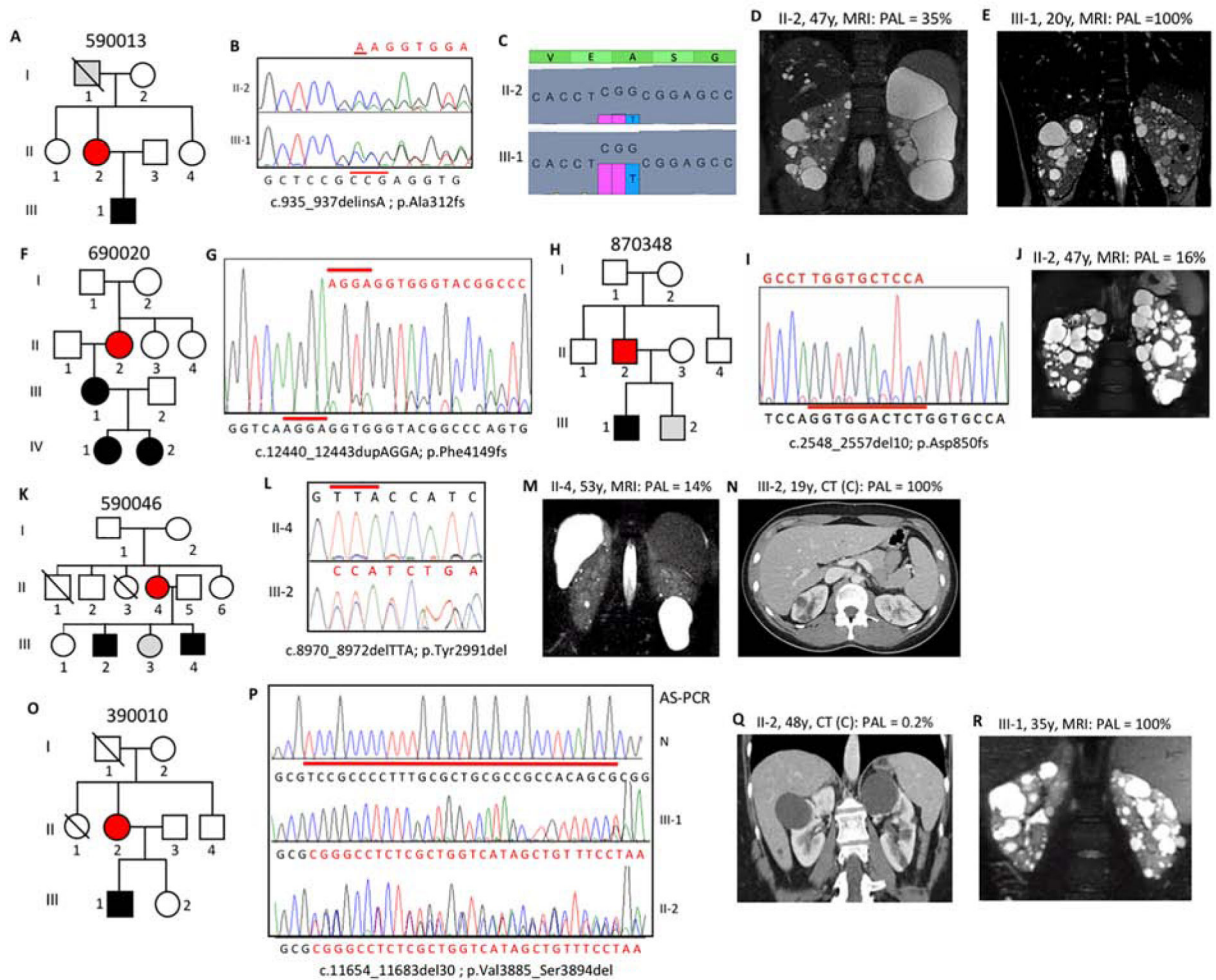
number of very mild and atypical patient screened from the MTPC population. \*For the non-mosaic patients resolved from the LR-NGS screen, results from other genes detected by tNGS are also included.

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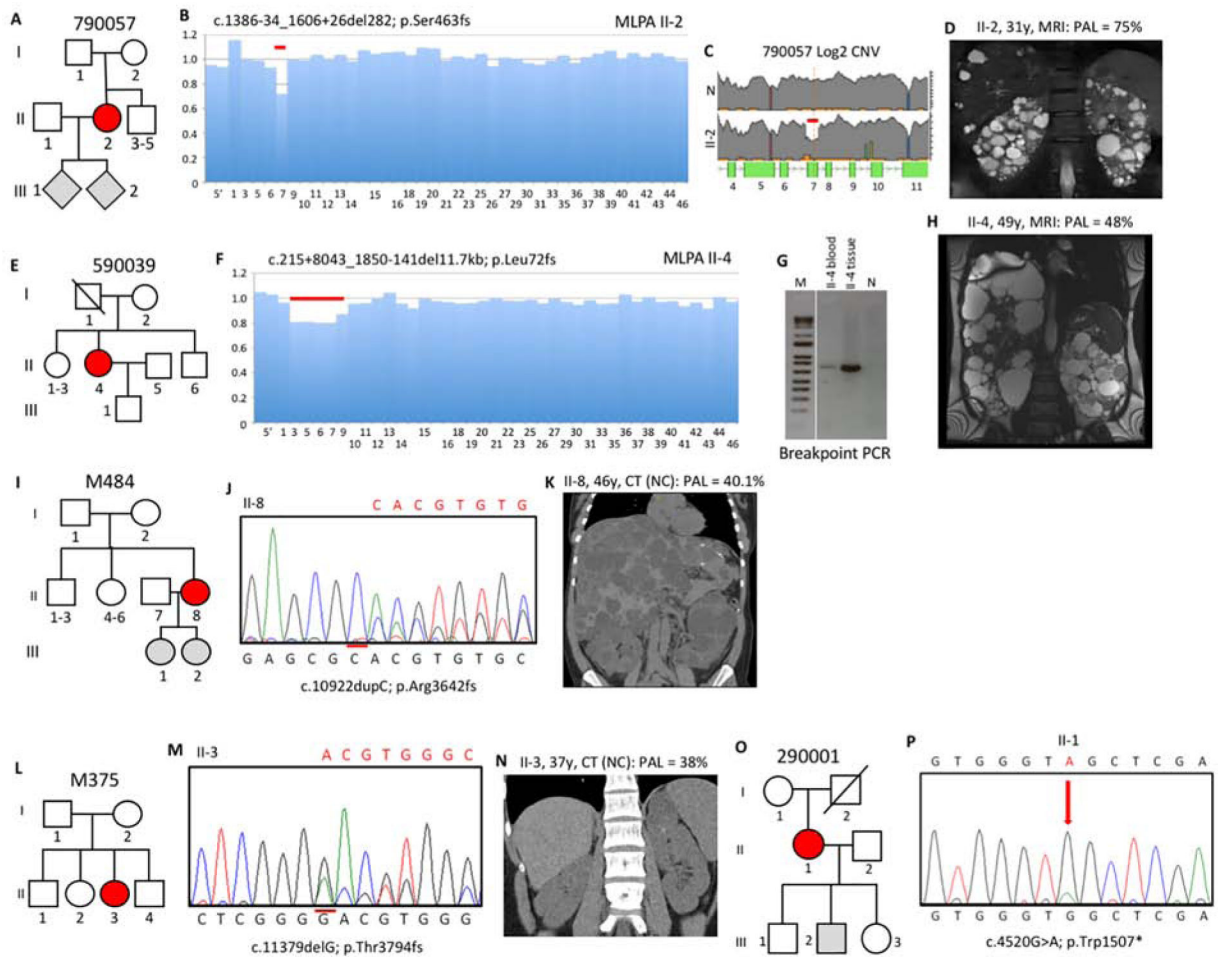


**Figure 2. Pedigree, imaging and sequencing data of mosaic families segregating the pathogenic variant to the next generation.**

(A) Pedigree 590013 showing ADPKD in II-2 and III-1, with I-1 found to have a few, likely simple, cysts at 79y. (B) SS showing the indel (red line) and reduced peak height of the frameshifted sequence (red) in II-2 compared to III-1. (C) tNGS showing the CCG deletion and T insertion in a reduced number of reads in II-2 compared to III-1. (D) MRI of II-2 at 47y showing asymmetric disease with just a few large cysts in the left kidney compared to a more even distribution in III-1 (E). (F) Pedigree 690020 with ADPKD in three generations. (G) SS of II-2 showing the frameshifted sequence following the 4bp duplication at a low level, reflecting mosaicism. (H) Pedigree of family 870348 with ADPKD in II-2 and III-1. (I) SS of II-2 (reverse strand) showing frameshifted sequence due to a 10bp deletion at a very low level, which is confirmed by AS-PCR (Figure S2B). (J) MRI showing robust PKD in II-2. (K) Pedigree 590046 showing the mosaic case (II-4) with two affected children (III-2 and III-4). (L) The inframe codon deletion is seen at only a low level in II-4 compared to III-2. (M) MRI of II-4 showing very mild kidney and liver cystic disease. (N) Contrast (C) enhanced CT of III-2 at 19y showing a few kidney cysts. (O) Pedigree 390010 shows ADPKD in the II-2 and III-1. (P) SS of the AS-PCR from a normal individual (N), the son (III-1) and the mosaic mother (II-2) shows the 30bp deletion in the son, but due to the low-

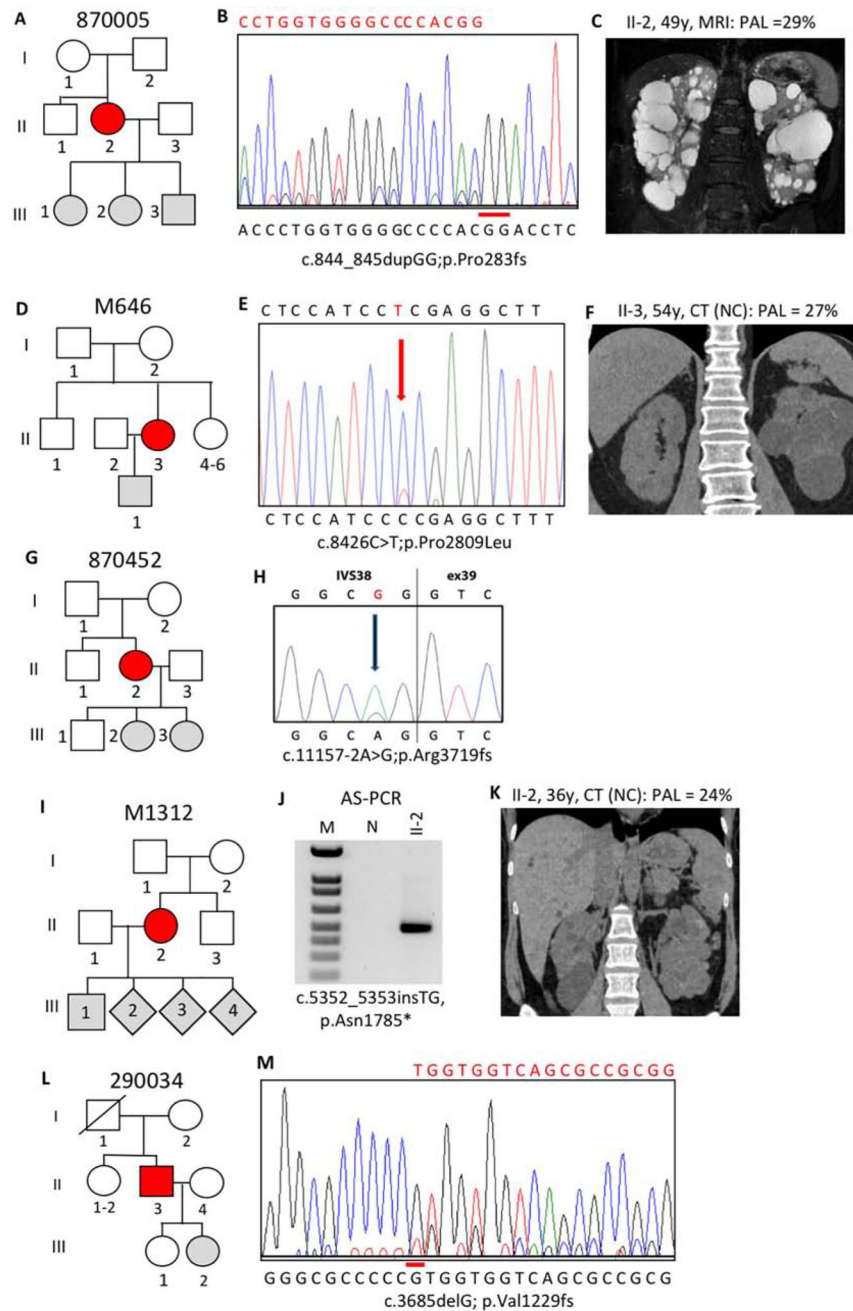
level mosaicism, the AS-PCR is not completely specific in II-2, hence the doublet sequence. (Q) CT of II-2 shows just a couple of cysts, in contrast to the typical PKD shown by MRI in III-1 (R). Pedigree: red shaded, mosaic; gray, equivocal or unknown; white, ADPKD negative. The percentage of the observed versus the expected level of the pathogenic allele (PAL) is indicated next to each radiological image.





**Figure 3. Pedigree, imaging and sequencing data of mosaic families not segregating the pathogenic variant**

(I). (A) Pedigree 790057 showing the mosaic mother (II-2) and her untested offspring. (B) Screening by MLPA found a possible mosaic deletion of ex7 that was confirmed by log2 copy number variant (CNV) analysis of the LR-NGS (C). (D) MRI of II-2 shows typical ADPKD at 31y. (E) Pedigree 590039 shows the mosaic subject (II-4) as the only affected. (F) A suspected mosaic *PKD1* deletion was detected by MLPA and confirmed by log2 CNV analysis (Figure S2D). (G) Amplification and SS (Figure S2E) of a specific breakpoint fragment defined the deletion. (H) MRI of II-4 shows significant kidney disease at 49y (prior to ESRD) and severe PLD (after partial liver resection). (I) Pedigree M484 showing two untested daughters of the mosaic case (II-8). (J) Sanger confirmation of the mosaic single nucleotide duplication in II-8. (K) Non-contrast (NC) enhanced CT of II-8 at 46y shows significant PKD and severe PLD. (L) Pedigree M375 shows just one affected subject (II-3). (M) Sanger sequence shows II-3 is mosaic for a single nucleotide deletion. (N) CT of II-3 at 37y shows very mild kidney disease. (O) Pedigree 290001 shows the mosaic subject (II-1) and three children without PKD or untested. (P) Sanger sequence of II-1 confirms mosaicism of a nonsense change. Pedigree: red shaded, mosaic; gray, equivocal or unknown; white, ADPKD negative. The percentage of the observed versus the expected level of the PAL is indicated next to each radiological image.



**Figure 4. Pedigree, imaging and sequencing data of mosaic families not segregating the pathogenic variant (II).** (A) Pedigree 870005 where the mosaic subject (II-2) has three untested children. (B) SS confirmation in II-2 of mosaicism of the GG duplication (reverse strand shown). (C) MRI of II-2 at 49y shows multiple large cysts. (D) Pedigree M646 where the mosaic proband (II-3) has an untested son. (E) SS confirms mosaicism for a previously described missense substitution in II-3. (F) CT imaging of II-3 at 54y shows bilateral disease with large cysts in the left kidney. (G) Pedigree 870452 showing three offspring of the mosaic case II-2. (H) SS of II-2 confirms mosaicism for a typical splicing variant. (I) Pedigree

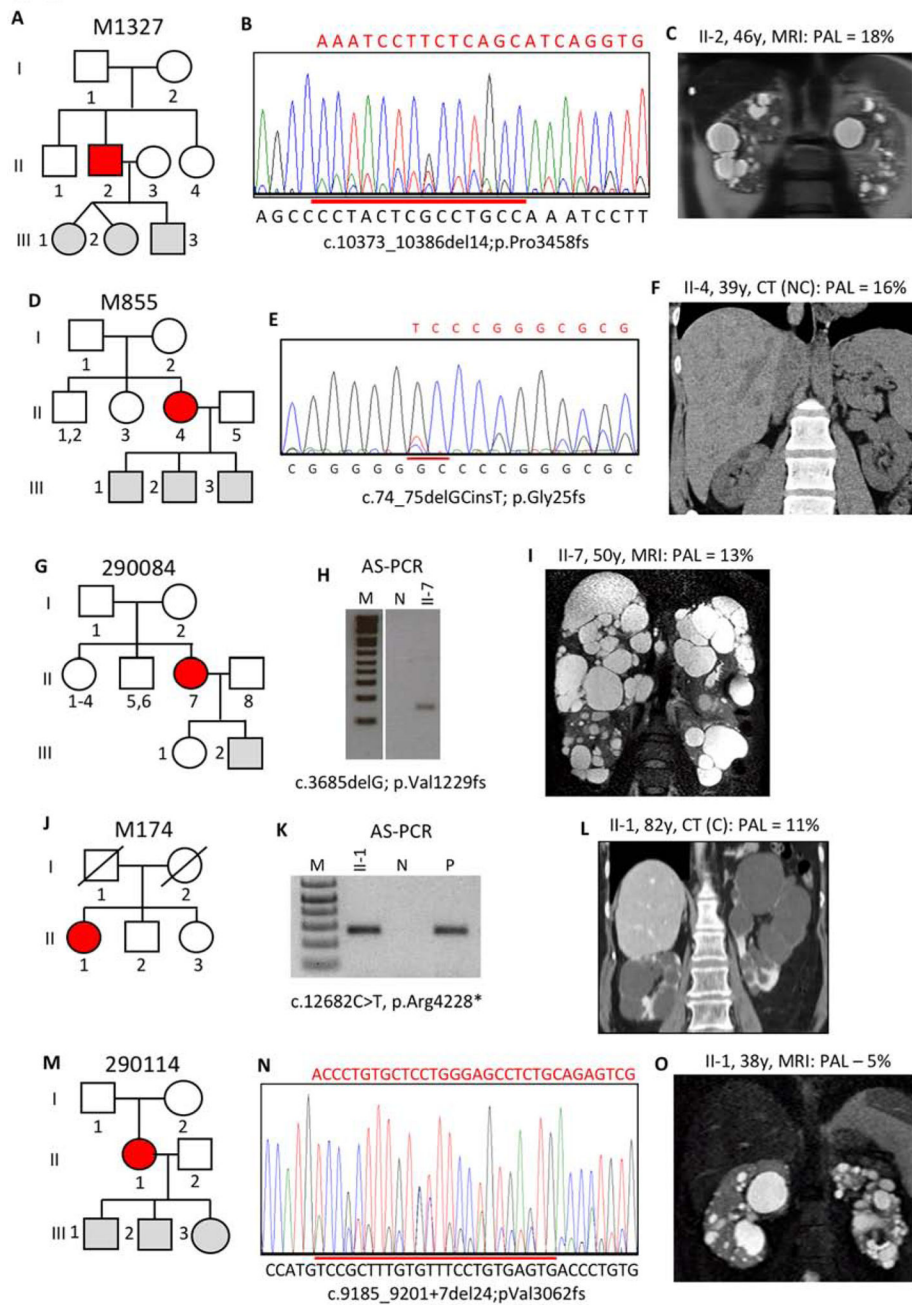
M1312 shows mosaicism in II-2 with four untested children. **(J)** AS-PCR shows a specific fragment just in II-2 but not the normal (N) control, that was confirmed to have the TG insertion by SS of the product (Figure **S2F**). **(K)** CT of II-2 at 36y shows bilateral cysts with several large cysts in the right kidney. **(L)** Pedigree 290034 indicates mosaicism in II-3 and two children either negative or untested. **(M)** Sanger sequence of II-3 confirms mosaicism of a G deletion. Pedigree: red shaded, mosaic; gray, equivocal or unknown; white, ADPKD negative. The percentage of the observed versus the expected level of the PAL is indicated next to each radiological image.

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**Figure 5. Pedigree, imaging and sequencing data of mosaic families not segregating the pathogenic variant**

**(III).** (A) Pedigree M1327 shows the mosaic proband (II-2) has untested twin girls and a son. (B) SS of II-2 confirms mosaicism for a 14bp deletion. (C) Just a few bilateral cysts are detected in II-2 by MRI at 46y. (D) The mosaic proband in M855 (II-4) has 3 untested children. (E) SS of II-4 confirms mosaicism of a deletion/insertion. (F) CT of II-4 at 39y show just a few small cysts in the kidney and liver. (G) Pedigree 290084 shows two offspring of the mosaic subject (II-7) either negative for PKD or untested. (H) AS-PCR shows the mutant allele just in II-7 but not a control (N), and sequencing confirms the

substitution (Figure **S2G**). **(I)** MRI of II-7 show moderate kidney disease and severe PLD. **(J)** The mosaic proband (II-1) in M174 is the only affected member. **(K)** AS-PCR shows that II-1 has p.Arg4228\* that is also found in a positive control (P) but not an individual without this change (N), and SS shows the substitution at a very low level (Figure **S2H**). **(L)** CT of II-1 at 82y, shortly before ESRD, shows a few large cysts in both kidneys. **(M)** Pedigree 290114 shows the mosaic individual (II-1) has three untested children. **(N)** SS of II-1 shows mosaicism for a 24bp, splice site spanning, deletion. **(O)** II-1 has mild cystic disease with a few moderately sized cysts. Pedigree: red shaded, mosaic; gray, equivocal or unknown; white, ADPKD negative. The percentage of the observed versus the expected level of the PAL is indicated next to each radiological image.



Table 1.

Clinical presentation and genetic details of PKD1 families with mosaicism

Pedigree	Pathogenic variant	Subject	LR-NGS	% reads +ve	eGFR	HTN	Age (y)	Cystic description	Image Analysis							
									Kidney			Liver				
									RK	LK	TKV	MIC	Fig	Cystic Description	Vol (ht)	
590013	c.935_937delinsA	II-2	NS	17.3	F	63/56y	43	52	Multiple bilateral, large LK	249	639	888	IC	ID	Some small	1013
690020	p.(Ala312fs)	III-1	Offspring		M	67/29y	18	25	Multiple bilateral	326	396	722	IE	IE	Few very small	828
870348	c.12440_12443dup	II-2	9.1	NS	F	57/67y	61	61	Many large bilateral (US)	14.2 <sup>^</sup>	16.6 <sup>^</sup>	NA	ND		5.3 cm cyst	NA
	p.(Phe4149fs)	III-1	Offspring		F	109/43y	41	42	Multiple bilateral	402	424	826	IC	S2A	Few small	947
	c.2548_2557del	II-2	NS	8.2	M	87/47y	36	47	Multiple bilateral	244	351	595	IB	IJ	Few small	696
	p.(Asp850fs)	III-1	Offspring		M	Diagnosed at 26y but no further information available										
590046	c.8970_8972del	II-4	7.0	NS	F	91/56y	'22	53	Multiple tiny, 1 large LK	223	325	548	2A	IM	One large cyst	1529
	p.(Tyr2991del)	III-2	Offspring		M	127/22y	NA	19	Few bilateral	120	114	234	IB	IN	No cysts	951
		III-4	Offspring		M	NA	NA	11	Multiple bilateral (US)			NA	ND		NA	
390010	c.H654_11683del	II-2	0.1	ND	F	74/52y	'51	48	Few, 1 large RK & LK	113	144	257	IA	IQ	Few tiny	777
	p.(Val3885_Ser3894del)	III-1	Offspring		M	82/38y	20	35	Multiple bilateral	373	436	809	ID	IR	Multiple small	1054
<b>Single affected individual</b>																
790057	c.1386-34_1606+26del282bp, p.(Ser463fs)	II-2	NI	37.7	F	82/37	Y/29	31	Multiple bilateral	303	348	651	IC	2D	Few large	1382
590039	c.215+8043_1850-141del11.7kb, p.(Leu72fs)	II-4	NI	22.7, 25.5 <sup>^</sup>	F	ES/55y 28/53y	Y/39	49	Multiple large bilateral	785	1073	1858	ID	2H	Severe PLD#	4228
M484	c.10922dupC, p.(Arg3642fs)	II-8	20.6	20.1	F	88/47y	Y/44	46	Many large bilateral	400	573	973	IC	2K	Severe PLD	3334

Pedigree	Pathogenic variant	Subject	% reads +ve		Sex	age	eGFR	HTN		Image Analysis							
			LR-NGS	TNGS				age (y)	age (y)	Age (y)	Cystic description	RK	LK	TKV	MIC	Fig	Cystic Description
M375	c.11379delG, p.(Thr3794fs)	II-3	19.1	NS	F	113/34y	N/34	37	Multiple small	97	136	233	1A	2N	Few small	908	
290001	c.4520C>A, p.(Trp1507*)	II-1	17.5	20.6	F	41/66y	Y/42	42	Multiple bilateral (US)	400	431	831	1C	3C	Moderate PLD	NA	
870005	c.844_845dupGG, p.(Pro283fs)	II-2	NS	14.8	F	72/54y	Y/43	49	Many large bilateral	206	282	487	1B	3F	None	1191	
M646	c.8426C>T, p.(Pro2809Leu)	II-3	NS	13.4	M	44/60y	Y/<54	54	Bilateral, several large LK	527	735	1262	1C		-70 small	NA	
870452	c.11157-2A>G, p.(Arg3719?)	II-2	NS	13.4	F	77/57y	Y/45	52	Multiple bilateral	280	256	536	1C	3K	Few tiny	536	
M1312	c.5352_5353insTG, p.(Asn1785*)	II-2	NS	12.2	F	125/35y	N/36	36	Multiple, 1 large RK	1506	1179	2685	1C		NA	NA	
290034	c.3685delG, p.(Val1229fs)	II-3	12.3	12.0	M	34/68y	Y/52	67	Bilateral renal enlargement	186	198	384	1B	4C	Few small	876	
M1327	c.10373_10386del14, p.(Pro3458fs)	II-2	NS	9.0	M	78/48y	Y/40	46	Multiple small, few large	230	103	333	1B	4F	Multiple small	847	
M855	c.74_75delGCinsT, p.(Gly25fs)	II-4	8.2	NS	F	76/47y	N/47	39	Multiple small RK, few LK	439	359	798	1C	4I	Severe PLD	4173	
290084	c.1722G>T <sup>†</sup> , p.(Glu574?)	II-7	6.0	7.3	F	74/53y	Y/44	50	Many large bilateral	512	742	1254	1B	4L	Multiple small	649	
M174	c.12682C>T, p.(Arg4228*)	II-1	7.1	3.5	F	ES/83y 16/83y	Y/64	82	Few large bilateral	278	164	442	1B	4O	Few small	1299	
290114	c.9185_9201+7del24, p.(Val3062fs)	II-1	4.1	1.3	F	70/40y	Y/34	38	Multiple small, 3 large LK								

Vol (ht), height adjusted kidney or liver volume; RK, right kidney; LK, left kidney; TKV, total kidney volume; +ve, positive; y, years; F, female; M, male; HTN, hypertension (Y, yes; N, No); MIC, Mayo Imaging Class; Fig, Figure within this manuscript. NS, not screened, ND, not detected; NI, not informative; ES, ESRD.

<sup>^</sup>, kidney length;

<sup>#</sup>, value before liver resection;

<sup>†</sup>, predicted to disrupt splicing



**Table 2.**

Diagnostic and family history details of PKD1 patients with mosaicism

Pedigree	Subject	Age (y)	Proband Diagnosis			Family History				Comments	
			Why	Initial Molecular	Confirmed Molecular	Father	Mother	Sibs	Children		
<b>Variant transmitted</b>											
590013	II-2	28	Pregnancy, US	FH/Sanger	tNGS	80y <sup>†</sup> , PC, 79y BRC	87, no PKD Dx	2, no PKD Dx	2, no PKD Dx	1 +ve, HALTA, III-1	Father had some cysts
690020	II-2	61	severe HTN, US	FH/Sanger	LR-NGS	>90y, no PKD Dx	~40y, LTFU, no PKD Dx	2, no PKD Dx	2, no PKD Dx	1 +ve, HALTA, III-1 2GD, +ve US 19y & <19y	Father reported kidney & AAA problems
870348	II-2	44	HTN, enlarged kidneys	tNGS	Sanger/AS-PCR	No PKD Dx	No PKD Dx	2, no PKD Dx	2, no PKD Dx	1 +ve 26y, 1 untested	
590046	II-4	46	NA	FH/Sanger	LR-NGS	-ve US 78y	-ve US 76y	2 -ve US, 50, 37y, 2 <sup>†</sup> other causes	2 -ve US, 50, 37y, 2 <sup>†</sup> other causes	2 +ve 16, 11y, 1 -ve US 10y, 1 RF untested	Brother <sup>†</sup> encephalopathy, sister MRKH, III-4, PH
390010	II-2	39	Pain, US	FH/LR-NGS	AS-PCR	76y <sup>†</sup> cardiac, no PKD Dx	80y <sup>†</sup> No PKD Dx	2, no PKD Dx, 1 <sup>†</sup> accidental	2, no PKD Dx, 1 <sup>†</sup> accidental	1 +ve, HALT A, 1, BRC, LTFU,	
<b>Single affected individual</b>											
790057	II-2	30	HTN, MR	MLPA	tNGS	no PKD Dx	no PKD Dx, stones	3, no PKD Dx	3, no PKD Dx	2, NT PKD	
590039	II-4	32	Pain, imaging	MLPA	tNGS/CNV	68y <sup>†</sup> no PKD Dx	74y, no PKD Dx	4, no PKD Dx, 1 sister liver cysts	4, no PKD Dx, 1 sister liver cysts	1, no PKD Dx	Distant relatives with PKD?
M484	II-8	37	Fullness, possible mass, imaging	2x NGS	Sanger	50y <sup>†</sup> EtOH, no PKD Dx	no PKD Dx	6, no PKD Dx	6, no PKD Dx	2, no PKD Dx	
M375	II-3	26	CT, Pain	Sanger	LR-NGS	<sup>†</sup> MI, no PKD Dx	2 liver cysts	3, no PKD Dx	3, no PKD Dx	None	
290001	II-1	42	US	2x NGS	Sanger	No PKD Dx	no PKD Dx	None	None	2 -ve US, 20y, 14y, 1 untested	
870005	II-2	43	Pain, imaging	tNGS	Sanger	<sup>†</sup> melanoma, no PKD Dx	no PKD Dx	1, no PKD Dx	1, no PKD Dx	3, untested	
M646	II-3	53	HTN, US	tNGS	Sanger	no PKD Dx	no PKD Dx	4, no PKD Dx	4, no PKD Dx	1, no PKD Dx	
870452	II-2	45	HTN, flank pain, imaging	tNGS	Sanger	no PKD Dx, 76y	no PKD Dx, 75y	1, no PKD Dx, 57y	1, no PKD Dx, 57y	1 -ve US, 2 untested	Grandfather ESRD 75y
M1312	II-2	25	Pregnancy US	tNGS	AS-PCR	-ve US	-ve US	1, -ve US	1, -ve US	4 untested	6mm kidney stone

Pedigree	Subject	Age (y)	Proband Diagnosis			Family History					Comments
			Why	Initial Molecular	Confirmed Molecular	Father	Mother	Sibs	Children		
290034	II-3	56	Hematuria, imaging	2x NGS	Sanger	77y <sup>†</sup> , no PKD Dx	no PKD Dx	2, no PKD Dx	1 no PKD, 1 untested		
M1327	II-2	46	Cyst hemorrhage	tNGS	Sanger	-ve US, 70s, MND	-ve US, 70s, MND	2, no PKD Dx	3 untested		
M855	II-4	38	Pain, US	Sanger	LR-NGS	-ve US, 72y	-ve US, 68y	3, no PKD Dx	3 no PKD Dx		
290084	II-7	44	HTN, CT	2x NGS	AS-PCR	no PKD Dx, 83y	no PKD Dx, 77y	6, no PKD Dx	2 no PKD Dx		
M174	II-1	64	Fullness, CT	2x NGS	Sanger	78y <sup>†</sup> , no PKD Dx	94y <sup>†</sup> , no PKD Dx	2, no PKD Dx	1 adopted		
290114	II-1	35	Stones, HTN, MR	2x NGS	Sanger	no PKD Dx	no PKD Dx	None	3 no PKD Dx		

US, ultrasound; HTN, hypertension; FH, family history; 2x NGS, both the LR- and tNGS methods; PC, pancreatic cancer;

<sup>†</sup>, died;

BRC, bilateral renal cysts; EtOH, alcoholic; MI, myocardial infarction; MND, mutation not detected; LTFU, lost to follow up; RF, reflux; AAA, abdominal aortic aneurysm, MRKH, Mayer Rokitansky, Küster Hauser syndrome; PH, prenatal hydrocephalous; y, years; GD, granddaughter; MR, magnetic resonance; CT, computer tomography; MR, magnetic resonance; Dx, diagnosis