




# Prognostic impact of indoleamine 2,3-dioxygenase 1 (*IDO1*) mRNA expression on circulating tumour cells of patients with head and neck squamous cell carcinoma

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## ABSTRACT

**Background** We sought to determine the prognostic role of indoleamine 2,3-dioxygenase 1 (*IDO1*) by evaluating *IDO1* expression in circulating tumour cells (CTCs) at baseline and after completion of chemoradiotherapy in patients with locally advanced (LA) head and neck squamous cell carcinoma (HNSCC) treated with curative intent.

**Methods** In a prospective cohort of 113 patients with LA HNSCC, we evaluated expression of *IDO1* in the EpCAM+ CTC fraction at baseline and after cisplatin chemoradiation. The prognostic value of combined *programmed cell death ligand-1* (*PDL-1*) and *IDO1* expression was assessed.

**Results** *IDO1* was significantly overexpressed at baseline compared with the post-treatment counterparts ( $p=0.007$ ). *IDO1* messenger RNA (mRNA) expression at baseline was associated with better survival in terms of progression-free survival (PFS) (HR=0.19,  $p=0.017$ ). Post-treatment *IDO1* mRNA levels were correlated with unfavourable prognosis in terms of overall survival (OS) (HR=3.27,  $p=0.008$ ). Patients with combined decreased expression levels of *PDL-1* and *IDO1* after treatment exhibited superior PFS ( $p=0.043$ ) and OS ( $p=0.021$ ).

**Conclusions** Our results strongly suggest that *IDO1* mRNA expression is an independent prognostic factor for clinical outcome. Our study provides useful information for future trials combining chemoradiation with immune checkpoint inhibitors and *IDO1* inhibitors.

## INTRODUCTION

Head and neck squamous cell carcinoma (HNSCC) is a malignancy with well-known contributing factors, such as tobacco and alcohol consumption; in addition, human papilloma virus is implicated in the pathogenesis of an increasing proportion of oropharyngeal cancers.<sup>1 2</sup> Despite advances in multimodality treatment, the 5-year progression-free survival (PFS) rates of patients with locally advanced (LA) disease do not exceed

## Key questions

### What is already known about this subject?

► Indoleamine 2,3-dioxygenase 1 (*IDO1*) is an enzyme that participates in the catabolism of the essential amino acid L-tryptophan, causing its depletion, and contributes to immune suppression and tolerance in the tumour microenvironment.

### What does this study add?

► In patients with locally advanced head and neck cancer treated with chemoradiation, *IDO1*, an enzyme that catalyses tryptophan metabolism, is a surrogate biomarker of 'inflamed' good prognosis phenotype at baseline. On the contrary, persistent *IDO1* overexpression at the end of treatment may antagonise induction of immunogenic cell death by chemoradiation.

### How might this impact on clinical practice?

► Our study provides useful information for future trials combining chemoradiation with immune checkpoint inhibitors and *IDO1* inhibitors.

40%–50%, and survival rates in the recurrent or metastatic setting remain poor.<sup>3</sup> The discovery of novel therapeutic agents aimed at minimising toxicity associated with chemotherapy and radiation and improving patient outcomes.

Analysis of tumour microenvironment in patients with a variety of solid tumours has revealed that cancer evolution and treatment response are both influenced by the interplay between malignant cells and cells of the immune system. More specifically, it has been demonstrated that detection of CD8+ T cells is an indicator of an effective antitumour immune response<sup>4 5</sup> and correlates with the

upregulation of immune inhibitory mechanisms mediating immune suppression. Indoleamine 2,3-dioxygenase 1 (IDO1) is an enzyme that participates in the catabolism of the essential amino acid L-tryptophan, causing its depletion, and contributes to immune suppression and tolerance in the tumour microenvironment.<sup>6</sup> These IDO1-inducing signals may be constitutively present in the inflammatory microenvironment of the tumour and may be stimulated by the dying cells and release of tumour antigens that is triggered by chemotherapy. However, it remains largely unknown to what degree IDO1 is produced after chemotherapy.<sup>7</sup> Several studies suggest that *IDO1* expression determines the choice between immunogenic and tolerogenic cell death in response to chemotherapy.

On the other hand, detection of circulating tumour cells (CTCs) is used for real-time monitoring of tumour status<sup>8</sup> and has been shown to correlate with prognosis in several cancers.<sup>9,10</sup> In addition, molecular characterisation of CTCs potentially provides valuable information for the development of novel drugs.

Based on these considerations, we sought to prospectively determine *IDO1* messenger RNA (mRNA) expression in CTCs at baseline and after completion of cisplatin chemoradiation therapy (CRT) in a cohort of patients with LA HNSCC treated with curative intent. To achieve this, we first developed a highly sensitive, specific and reproducible real-time quantitative reverse transcription PCR (RT-qPCR) assay for the quantification of *IDO1* mRNA expression in CTCs. We demonstrate for the first time that high *IDO1* mRNA expression at baseline is associated with favourable overall survival (OS), whereas high *IDO1* mRNA expression at the end of treatment is associated with shorter OS.

## MATERIALS AND METHODS

### Study design

In a single-institution study, 113 patients with LA HNSCC participated in this analysis. Written informed consent was obtained from all patients.

For this population of patients, our group has previously published results regarding expression of immunogenic cell death (ICD) biomarkers.<sup>11</sup> Inclusion criteria have been previously described<sup>11</sup>; patients with newly diagnosed, histologically confirmed squamous cell carcinoma of the oral cavity, oropharynx, larynx or hypopharynx were included. Patients had tumours not amenable to surgical treatment or wished to preserve their larynx. Exclusion criteria have been previously described.<sup>11</sup> Determination of disease stage was done using the TNM classification by performing a CT scan of the head and neck, thorax and abdomen. All patients underwent cisplatin chemoradiation, and registration was done before the initiation of treatment. All patients received high-dose cisplatin (100 mg/m<sup>2</sup> every 21 days) in combination with radiotherapy. Eighty-five per cent of patients received >200 mg/m<sup>2</sup> cisplatin. All patients received 66 Gy

in 30 daily fractions over 6 weeks to the primary tumour site and involved nodes. Sample collection occurred at two timepoints: at baseline and at the end of CRT (a week after treatment was stopped). All patients were subjected to standard follow-up, which was CT of the head and neck and evaluation by an ear, nose and throat physician every 3 months for the first 2 years and every 6 months after the period of 2 years. The first assessment of treatment response was done 12 weeks post-CRT.

We evaluated the expression of *IDO1* in the EpCAM+ CTC fraction at baseline and after cisplatin-based CRT.

### Isolation of EpCAM(+) CTCs

For the isolation of EpCAM+ CTCs from peripheral blood (30 mL), we followed our previously described protocols.<sup>12,13</sup>

### RNA extraction

The miRNeasy micro kit (QIAGEN, Germany) was used for the isolation of total RNA from the EpCAM(+) CTC fraction, according to the manufacturer's instructions. cDNA synthesis was performed using the SuperScript First-Strand Synthesis System for RT-PCR (Life Technologies, USA) according to manufacturer's protocol, using 7 µL of isolated total RNA as starting template.

### RT-qPCR assay for the quantification of IDO mRNA

#### Primer and probe design

We designed *in silico* the primers and hydrolysis probes (TaqMan) for *IDO1* and  $\beta$ 2-microglobulin (*B2M*, used as a reference gene<sup>14</sup>) using Primer Premier V.5.0 software (Premier Biosoft, California, USA). Our primers and probes were carefully designed to completely avoid primer-dimer formation, false priming sites, formation of hairpin structures and hybridisation to genomic DNA, while amplifying specifically only the genes of interest. The sequences of primers and probes are available on request.

#### qPCR

Expressional analysis of genes was conducted using the TaqMan chemistry, in a Rotor Gene Q Real-Time PCR machine (Qiagen), after thorough optimisation of the methodology (data not shown).

Regarding *IDO1*, each cDNA was amplified in a 10 µL reaction containing 2.5 µL of the PCR synthesis buffer (5×), 1.6 µL MgCl<sub>2</sub> (25 mM), 0.2 µL deoxyribonucleotide triphosphates (dNTPs) (10 mM), 0.1 µL Taq DNA polymerase (5 U/µL), 1 µL of forward and reverse primers (2.5 µM), 0.83 µL TaqMan probe (3 µM) and H<sub>2</sub>O to the final volume. The 10 µL reaction mixture for *B2M* contained 1 µL of PCR synthesis buffer (5×), 1.2 µL MgCl<sub>2</sub> (25 mM), 0.15 µL dNTPs (10 mM), 0.3 µL Bovine Serum Albumin Solution (BSA) (10 µg/µL), 0.1 µL Taq DNA polymerase 5 U/µL, 0.25 µL of forward and reverse primers (10 µM), 0.83 µL TaqMan probe (3 µM) and H<sub>2</sub>O to the final volume. The thermal cycling protocol for *IDO1* consisted of an initial 2 min polymerase activation step at 95°C and

50 cycles of 95°C for 10 s for template denaturation, 60°C for 20 s for primer annealing and 72°C for 20 s for extension. Finally, the cycling conditions for *B2M* were 95°C for 2 min, 45 cycles of 95°C for 10 s, annealing at 58°C for 20 s and extension at 72°C for 20 s.

#### Normalisation of qPCR data in clinical samples

qPCR data for *IDO1* expression were normalised in respect to *B2M* expression in the same cDNAs, using the  $2^{-\Delta\Delta Cq}$  approach.<sup>15</sup> CTCs isolated through positive immunomagnetic enrichment are partly contaminated, since 'contamination' of peripheral blood mononuclear cells in the EpCAM(+) CTC fraction could affect IDO assay specificity, we assessed this contamination by analysing peripheral blood samples from 20 healthy individuals in exactly the same way as patients. We estimated a cut-off based on *IDO1* normalised expression in respect to *B2M* expression in this control group. Using this approach, we defined a sample as *IDO1* overexpressed based on the fold change of each target gene expression in the EpCAM(+) CTC fraction in respect to the corresponding EpCAM(+) fraction in the group of 20 healthy individuals.

#### Statistical analysis

The Wilcoxon statistical test was performed to analyse the differential expression of *IDO1* before and after treatment. The non-parametric Mann-Whitney U, Jonckheere-Terpstra and Kruskal-Wallis tests were used appropriately in order to assess the associations of *IDO1* expression levels with patients' clinicopathological parameters. Independent associations between baseline *IDO1* levels and tumour characteristics were assessed by linear regression. The association between *IDO1* levels and response to treatment was evaluated by logistic regression.

Our survival analysis included the construction of Kaplan-Meier survival curves, and the long-rank statistical test was performed for the assessment of any differences in the Kaplan-Meier curves and the estimation of the p value. The prognostic value of *IDO1* expression for PFS and OS was evaluated by univariate and multivariate bootstrap Cox proportional hazards regression models, where the 95% CI was calculated using the bias corrected and accelerated approach, and the resulting p values were evaluated by the test for trend approach. PFS was defined as the time from registration to the date of tumour progression or death from other causes or censored at the time of the last contact. OS was defined as the time from registration to the study to death from any cause or censored at the time of the last contact. Statistical analyses were performed using the SPSS Statistics V.22.0 software. Two-tailed tests were used and p values of <0.05 were considered statistically significant.

## RESULTS

### Patient population

We collected samples from 113 patients with LA HNSCC at two timepoints: at baseline and after completion of

CRT. Baseline patient characteristics have been described in our previously published paper.<sup>11</sup>

### *IDO1* levels at baseline and post-treatment

In our cohort, 73 patients had evaluable specimens for CTC gene expression analysis. Forty patients were not included in the analysis because of low-quality RNA. Wilcoxon signed-rank test analysis revealed a significant overexpression of *IDO1* at baseline compared with the post-treatment counterpart (p=0.007, online supplementary figure S1).

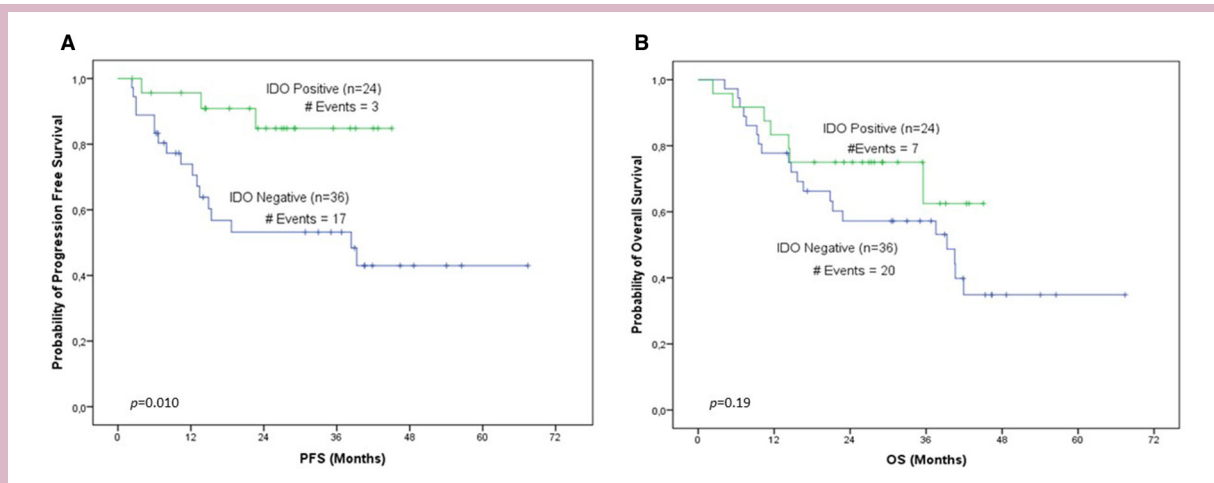
### Association of *IDO1* levels with tumour characteristics

According to our statistical analysis, *IDO1* at baseline exhibited increased expression levels in male patients (p=0.030, online supplementary table S1). Moreover, a significant *IDO1* upregulation was observed in heavy and ex-heavy smokers not only at baseline (p<0.001, online supplementary table S1) but also postchemoradiation (p=0.029, online supplementary table S2).

### Association with clinical outcome

Median follow-up was 27.16 months (range 2.3–69.3), during which 24 patients progressed and 34 died. In our cohort, *IDO1* levels were evaluated for association with PFS and OS. According to Kaplan-Meier analysis for *IDO1* expression levels at baseline, patients stratified as *IDO1* positive unequivocally demonstrated (p=0.010) longer PFS intervals compared with *IDO1*-negative ones (figure 1A). Furthermore, the cumulative probability of 3-year PFS for *IDO1*-positive patients was 0.85, whereas the corresponding probability for *IDO1*-negative ones was 0.55. Bootstrap univariate Cox proportional hazard regression analysis corroborated the favourable prognostic value of *IDO1* at baseline, since *IDO1* overexpression was associated with better survival in terms of PFS (HR=0.23, p=0.018; table 1), and patients categorised as *IDO1* positive were 4.35 times less likely to relapse compared with *IDO1*-negative ones. Interestingly, post-treatment *IDO1* mRNA levels seem to constitute a significant marker of unfavourable prognosis in terms of OS since both Kaplan-Meier analysis (p=0.007, figure 2B) and bootstrap univariate Cox proportional hazard regression analysis (HR=2.92, p=0.011; table 1) demonstrated that patients categorised as *IDO1* positive are characterised by inferior OS intervals compared with those belonging to the *IDO1*-negative group. An also interesting finding was that post-treatment *IDO1* expression levels retained their significant prognostic value as a continuous variable (HR=2.45, p=0.019; table 1).

A multivariate Cox regression analysis, adjusted for important and established prognostic parameters, such as smoking status, alcohol consumption, TNM stage and gender, revealed that *IDO1* expression levels before treatment correlate with favourable prognosis for patients with HNSCC (HR=0.19, p=0.017) (table 2). Next, we evaluated the independence of *IDO1* expression levels after treatment in predicting the probability of OS in patients with



**Figure 1** *IDO1* expression levels before treatment. Shown are the Kaplan-Meier (A) PFS curve ( $p=0.010$ ) and the (B) OS curve ( $p=0.19$ ) for *IDO1* expression levels before treatment. *IDO1*, indoleamine 2,3-dioxygenase 1; OS, overall survival; PFS, progression-free survival.

HNSCC by developing the same Cox multivariate proportional hazard regression model. According to this model, *IDO1* expression levels after treatment are associated with unfavourable prognosis in terms of OS for those patients (HR=3.27,  $p=0.008$ ) (table 2).

Moreover, given that we have previously assessed *PDL-1* expression levels in CTCs after treatment at the same patient cohort,<sup>16</sup> we evaluated the prognostic value of *IDO1* and *PDL-1* expression levels after categorisation of patients into *PDL-1* and *IDO1* negative, *PDL-1* or *IDO1* negative and *PDL-1* and *IDO1* positive. Therefore, according to Kaplan-Meier analysis, we found that patients with decreased expression levels of *PDL-1* and *IDO1* after treatment exhibited superior PFS ( $p=0.043$ ) and OS ( $p=0.021$ ) compared with the patients belonging to the other groups (figure 3).

## DISCUSSION

The classical form of cell death induced by chemotherapy is apoptosis, which triggers production of immunosuppressive transforming growth factor- $\beta$  by the macrophages that phagocytose the debris, leading to immune suppression and tolerance.<sup>17</sup> With the majority of chemotherapy agents, a complex state of a combination of these events is observed, with much immunosuppressive apoptosis occurring side-by-side with more immunogenic forms of cell death. Thus, the main question remains which signal dominates on the local immune system. In vivo studies in apoptotic cells have revealed a potent regulatory role for *IDO1* in controlling the choice between tolerance and immunity to dying cell.<sup>18</sup> In this study, we demonstrate that in a cohort of patients with LA HNSCC, *IDO1*

**Table 1** Univariate Cox proportional hazard regression analysis showing the correlation of *IDO* levels before and after treatment with PFS and OS

### Univariate analysis (N=60)

PFS				OS			
Variable	HR*	95% CI†	P value	Variable	HR*	95% CI†	P value
Log <i>IDO1</i> (before treatment)	0.66	0.23 to 1.07	0.26	Log <i>IDO1</i> (before treatment)	1.35	0.51 to 3.31	0.39
<i>IDO1</i> (before treatment)				<i>IDO1</i> (before treatment)			
Negative	1.00			Negative	1.00		
Positive	0.23	0.02 to 0.50	<b>0.018</b>	Positive	0.57	0.19 to 1.36	0.21
Log <i>IDO</i> (after treatment)	1.19	0.49 to 2.89	0.60	Log <i>IDO</i> (after treatment)	2.45	1.17 to 7.60	<b>0.019</b>
<i>IDO</i> (after treatment)				<i>IDO</i> (after treatment)			
Negative	1.00			Negative	1.00		
Positive	1.2	0.25 to 3.23	0.75	Positive	2.92	1.10 to 7.70	<b>0.011</b>

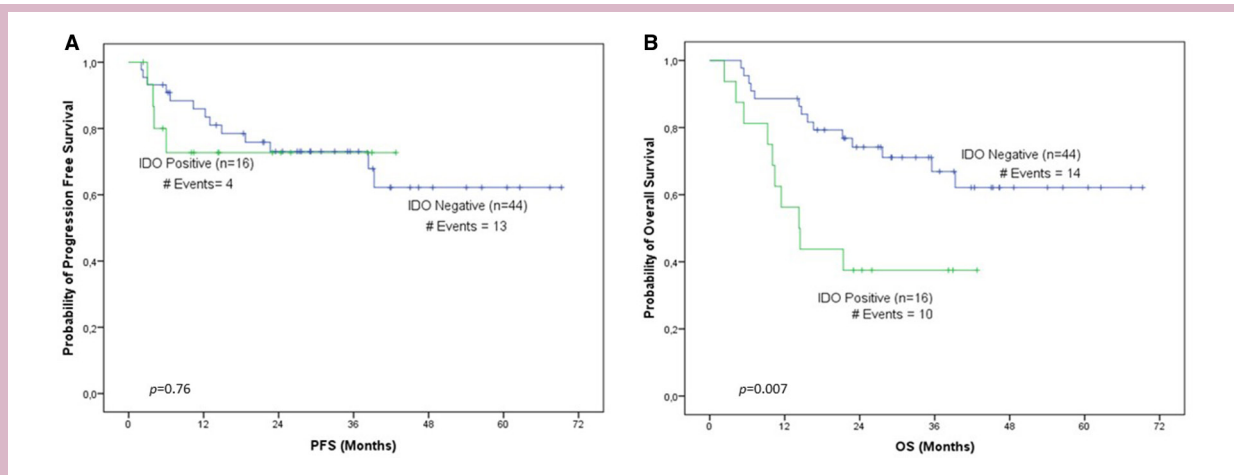
Bold values indicate statistical significance.

\*HR estimated from Cox proportional hazard regression model.

†CI of the estimated HR. Results are based on 1000 bootstrap samples and obtained after the bias corrected and accelerated approach.

OS, overall survival; PFS, progression-free survival.





**Figure 2** *IDO1* expression levels after treatment. Shown are the Kaplan-Meier (A) PFS curve ( $p=0.76$ ) and the (B) OS curve ( $p=0.007$ ) for *IDO1* expression levels after treatment. *IDO1*, indoleamine 2,3-dioxygenase 1; OS, overall survival; PFS, progression-free survival.

is significantly overexpressed at baseline compared with post-treatment counterparts. Most importantly, we show a significant association between *IDO1* expression and clinical outcome. More specifically, *IDO1*-positive patients at baseline were found to have significantly longer PFS and OS compared with *IDO1*-negative counterparts, whereas *IDO1*-positive patients post-treatment were characterised by inferior OS; indeed, both baseline and post-treatment

*IDO1* expression levels remained a strong and independent prognostic factor in multivariate analysis.

At present, we are not aware of the extent to which *IDO1* is modified following chemotherapy treatment. In some tumours, *IDO1* is constitutively expressed by the tumour cells themselves. This may serve as an immune-escape mechanism or may confer some non-immune survival advantage on the tumours.<sup>19</sup> Several studies in

**Table 2** Multivariate Cox regression analysis showing the correlation of *IDO* expression before and after treatment with PFS and OS

#### Multivariate analysis (N=60)

PFS				OS			
Variable	HR*	95% CI†	P value	Variable	HR*	95% CI†	P value
<i>IDO1</i> (before treatment)				<i>IDO1</i> (after treatment)			
Negative	1.00			Negative	1.00		
Positive	0.19	0.03 to 0.46	<b>0.017</b>	Positive	3.27	1.03 to 2.05	<b>0.008</b>
Smoke				Smoke			
Light/never	1.00			Light/never	1.00		
Heavy	0.60	0.09 to 3.35	0.34	Heavy	0.66	0.17 to 1.91	0.38
Ethanol				EtOH			
Social/no	1.00			Social/no	1.00		
Heavy	3.26	0.58 to 3.78	0.071	Heavy	2.14	0.57 to 8.67	0.16
TNM stage‡				TNM stage			
I–III	1.00			I–III	1.00		
IV	2.33	0.30 to 9.45	0.15	IV	2.12	0.28 to 1.42	0.18
Sex				Sex			
Male	1.00			Male	1.00		
Female	0.80	0.27 to 2.41	0.80	Female	0.77	0.23 to 1.63	0.62

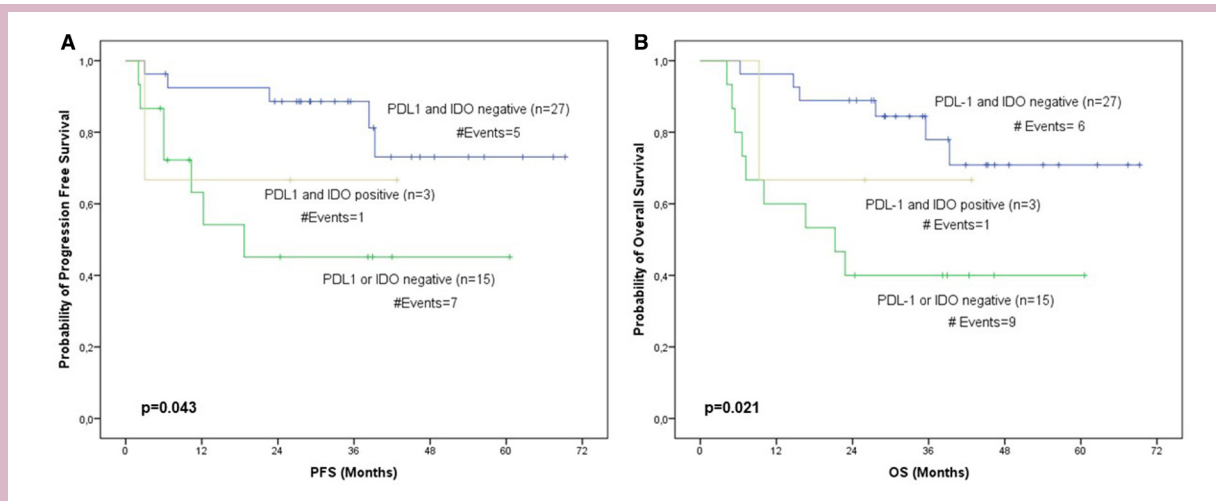
Bold values indicate statistical significance.

\*HR estimated from Cox proportional hazard regression model.

†CI of the estimated HR. Results are based on 1000 bootstrap samples and obtained after the bias corrected and accelerated approach.

‡TNM staging system.

OS, overall survival; PFS, progression-free survival.



**Figure 3** *PDL-1* and *IDO1* expression levels after treatment. Shown are the Kaplan-Meier (A) PFS curve ( $p=0.043$ ) and the (B) OS curve ( $p=0.021$ ) for *PDL-1* and *IDO1* expression levels after treatment. *IDO1*, indoleamine 2,3-dioxygenase 1; OS, overall survival; PFS, progression-free survival.

hepatocellular carcinoma,<sup>20</sup> pancreatic cancer,<sup>21</sup> and endometrial<sup>22</sup> and ovarian cancers<sup>23</sup> have shown that high *IDO1* expression is correlated with dismal prognosis. Furthermore, high *IDO1* expression has been shown to significantly correlate with poor survival in tumours of the larynx, oral cavity and nasopharynx.<sup>24–26</sup> Nevertheless, all these studies have evaluated *IDO1* in pretreatment biopsies. This is useful for identifying which tumours constitutively express or stimulate the production of *IDO1* as part of their underlying biology, but it gives no information about how much reactive *IDO1* may have been induced in response to cell death and inflammation; this would have required treatment biopsies. Using a novel approach, we estimated for the first time *IDO1* mRNA expression on CTCs derived for patients with HNSCC undergoing CRT, both at baseline and post-treatment, allowing real-time monitoring of *IDO1* expression status.

In our study, 36% of patients had *IDO1* overexpression post-treatment compared with 58% of patients at baseline. Interestingly, *IDO1*-positive patients at baseline were shown to have a significant improvement in PFS and OS compared with *IDO1*-negative patients. Upregulation of *IDO1* is observed in tumours with T cell-inflamed phenotype, which is characterised by the presence of activated cytotoxic CD8+ T cells both within the tumour and the peritumoural stroma. Indeed, there is a growing body of evidence suggesting that these tumours show high expression of *IDO1* and *PDL-1*, which is stimulated by interferon- $\gamma$  produced by CD8+ T cells in vivo.<sup>27</sup> These defined immune-system inhibitory pathways blunt the function of T cells and eventually allow tumour outgrowth. In this situation, upregulation of *IDO* may be a surrogate for a more robust spontaneous antitumour immune response and thus may be associated with a more favourable prognosis.<sup>19</sup> Therefore, in our study, high *IDO1* expression at

baseline might reflect the presence of a T cell-inflamed phenotype, which is associated with good prognosis and potentially response to immunotherapy<sup>28,29</sup> and chemotherapy.<sup>30,31</sup> Of note, in a retrospective study by Saloura *et al*, 33%–47% of 558 HNSCC tumours demonstrated a T cell-inflamed phenotype similar to melanoma based on a gene expression signature.<sup>32</sup>

On the contrary, we demonstrated that patients characterised as *IDO1* positive post-treatment show a statistically significant inferior OS compared with their *IDO1*-negative counterparts. In the multivariate analysis, post-treatment *IDO1* expression levels retained their prognostic significance. Similarly, patients with combined decreased expression of *PDL-1* and *IDO1* had a statistically significant improvement in OS. Our patients were treated with radical chemoradiotherapy; accumulating evidence suggests that a decisive contribution to the long-term successful elimination of cancer by radiation and several chemotherapy drugs is made by notifying the immune system for the presence of dying cancer cells.<sup>33</sup> However, dying tumour cells represent a rich source of antigens that are potentially immunogenic, but which cannot become actually immunogenic unless the relevant inhibitory pathways in the tumour, such as *IDO1*, are blocked. Indeed, *IDO1* and its related downstream pathways may help create an undesirable tolerogenic milieu, which precludes the immune system from responding to antigens released from dying tumour cells.<sup>7</sup> Thus, high expression of *IDO1* post-treatment might prevent the induction of ICD, block the efficacy of chemoradiotherapy and result in decreased OS.

A relevant question is how to harness the immunogenic potential of chemotherapy or chemoradiotherapy to increase its anticancer efficacy. First, *IDO1* is emerging as a mechanism that influences the crucial choice of whether dying cells will eventually become

tolerogenic or immunogenic. Therefore, if the tolerogenic *IDO1* pathway can be blocked, then conventional chemotherapy may be more spontaneously immunogenic. Indeed, preclinical mouse models show that *IDO1* inhibitor drugs are synergistic with a variety of chemotherapeutic agents in several tumour models,<sup>34 35</sup> and clinical trials are currently evaluating potential combinations of *IDO1* inhibitors and chemotherapy agents. In addition, early data demonstrated antitumour activity in bladder cancer with an *IDO1* small molecule inhibitor combined with anti-programmed cell death-Programmed Cell Death protein 1 (PD-1) therapy.<sup>36</sup> Immune checkpoints modulate signalling and either inhibit or stimulate T-cell response. Cytotoxic T-lymphocyte antigen 4 (CTLA-4) and PD-1 are distinct examples of coinhibitory molecules.<sup>37</sup> Although intriguing phase II clinical trial data of *IDO1*/PD-1 doublets drove rapid tantalising clinical efficacy data, results of the phase III KEYNOTE-252/ECHO-301 clinical trial that evaluated the combination of *IDO1* inhibitor epacadostat and anti-PD-1 antibody pembrolizumab in unresectable melanoma were disappointing.<sup>38</sup>

Of note, using CellSearch system in CTCs expressing PDL-1 in the same cohort of patients,<sup>16</sup> we have previously shown that the percentage of CTCs expressing a molecular marker is not directly correlated with the total number of CTCs, since CTCs are highly heterogeneous, and therefore, it is not expected that they are all overexpressing *IDO-1*. Thus, since our RT-qPCR assay does not enumerate CTCs, our findings are directly related to *IDO1* overexpression rather than the total number of CTCs. Therefore, *IDO1* expression levels at the end of treatment do not reflect disease burden (minimal residual disease).

A major limitation of our study is that it is a single-institution cohort and our results need to be validated in large cohorts with longer follow-up.

In conclusion, *IDO1* is one of the regulatory mechanisms that trigger immune suppression and tolerance in the tumour microenvironment. Our study strongly suggests that *IDO1* mRNA expression is an independent prognostic factor for clinical outcome; high *IDO1* mRNA expression is associated with favourable outcomes at baseline and poor survival after treatment. Despite disappointing results in a phase III trial, interests in therapeutic application of *IDO1* inhibition are constantly increasing, particularly since the clinical implementation of immunotherapeutic approaches.

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