

# Intracerebral Transplantation of BDNF-overexpressing Human Neural Stem Cells (HB1.F3.BDNF) Promotes Migration, Differentiation and Functional Recovery in a Rodent Model of Huntington's Disease

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Huntington's disease (HD) is a dominantly inherited neurodegenerative disorder caused by abnormally expanded CAG repeats in the *huntingtin* gene. The *huntingtin* gene mutation leads to the progressive degeneration of striatal GABAergic medium spiny neurons (MSN) and reduces the level of brain-derived neurotrophic factor (BDNF) in HD patients' brain. BDNF is an essential neurotrophic factor for the cortico-striatal synaptic activity and the survival of GABAergic neurons. In this study, we transplanted BDNF-overexpressing human neural stem cells (HB1.F3.BDNF) into the contra-lateral side of unilateral quinolinic acid (QA)-lesioned striatum of HD rat model. The results of *in vivo* transplantation were monitored using various behavioral tests, 4.7 T animal magnetic resonance imaging (MRI) and immunohistochemical staining. We observed that the QA-lesioned rats receiving HB1.F3.BDNF cells exhibited significant behavioral improvements in the stepping, rotarod and apomorphine-induced rotation tests. Interestingly, contralaterally transplanted cells were migrated to the QA-lesioned striatum and the size of lateral ventricle was reduced. Histological analyses further revealed that the transplanted cells, which had migrated to the QA lesion site, were differentiated into the cells of GABAergic, MSN-type neurons expressing DARPP-32, and neural networks were established between the transplanted cells and the host brain, as revealed by retrograde tracing. Finally, there was a significant reduction of inflammatory response in HB1.F3.BDNF-transplanted HD animal model, compared with vehicle-transplanted group. Taken together, these results suggest that HB1.F3.BDNF can be an effective therapeutic strategy to treat HD patients in the future.

**Key words:** Huntington's disease (HD), Neural stem cells, Brain-derived neurotrophic factor (BDNF), Magnetic resonance imaging (MRI), Behavioral recovery

## INTRODUCTION

Huntington's disease (HD) is an autosomal-dominant neurodegenerative disorder, caused by abnormally expanded CAG repeats in the *huntingtin* (*Htt*) gene, located on the short arm of chromosome 4 [1]. Although *Htt* gene mutation occurs systemically, the most prominent pathology happens to the neuronal cells of cen-

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tral nervous system, and the DNA repair protein-related, somatic instability of *Htt* gene mutation has been implicated in this striatal selectivity. The mutant huntingtin protein (mHTT) leads to the progressive and selective degeneration of GABAergic medium spiny neurons (MSN) in the caudate nucleus and putamen [2]. Significant motor manifestations including chorea, parkinsonism, dystonia and gait instability as well as cognitive and psychiatric symptoms deteriorate HD patient's daily activity and lead to death in middle age. Apart from some medications (e.g., tetrabenazine) showing limited symptom-relieving effects, there is no cure for HD and various kinds of stem cells have been investigated in both preclinical and clinical studies. So far, clinical trials of intrastriatal transplantation of fetal neural stem cells, such as fetal tissues from ganglionic eminence or ventral mesencephalon have shown variable outcomes of short-lived clinical benefit with improved motor function, slowed disease progression or no benefit [3-5]. This modest benefit in preliminary clinical studies requires more deliberate therapeutic attempts especially in view of cell types and mechanisms of action.

Brain-derived neurotrophic factor (BDNF), a member of the neurotrophic factor family, is widely distributed in adult mammalian brain [6] and plays an important role in the survival, proliferation and differentiation of neurons [7]. In the striatum, BDNF supports survival of the immature striatal neurons at their origin, promotes maturation of striatal neurons, and facilitates establishment of striatal connections during brain development. BDNF also has its role during adulthood in maintaining proper function of the striatum by promoting survival of MSN and inducing synaptic plasticity [8]. It is also known that impaired synaptic plasticity and increased inflammatory cytokines are related to the BDNF/TrkB deprivation in various neurological diseases, including AD [9, 10]. Marked reduction of BDNF and BDNF/TrkB signaling has been reported in many HD animal models and the level of BDNF is also reduced in the postmortem cerebral cortex and striatum of HD patients [11]. Huntingtin protein (HTT) normally participates in vesicular transportation, and the mHTT causes a selective defect of the axonal transport of BDNF from the cerebral cortex to the striatum [12], which has been linked to neuronal cell death in HD. More importantly, mHTT reduces the transcriptional activity of the BDNF promoter, the level of BDNF gene transcription, and subsequent BDNF protein synthesis in the cerebral cortex [11, 13, 14].

Combinatorial cell and gene therapy approaches using genetically engineered stem cells have been developed to augment the therapeutic effects of stem cells in various intractable diseases. We have previously demonstrated that the immortalized BDNF-overexpressing hNSCs (HB1.F3.BDNF) can be effective in the

ischemic stroke model, induced by middle cerebral artery occlusion, as evidenced by a behavioral recovery, neural regeneration and high expression of human BDNF protein *in vivo* [15]. The migratory capacity and therapeutic potential of HB1.F3 cells, an immortalized, clonal human NSC line, as a gene delivery system was also demonstrated in experimental brain tumor models [16]. As an immortalized human neural stem cell line, HB1.F3.BDNF can differentiate into various neural cell types, depending on the microenvironment where the cells are transplanted (*i.e.*, neurogenic niche), and the presence of other cell types [17, 18]. It has also been demonstrated that immortalized human neural stem cells can survive, migrate and differentiate into neurons displaying GABAergic markers, and functionally integrate into the adult rat brain when injected into the corpus callosum in combination with transient immunosuppression (*i.e.*, administering cyclosporine A) following the ischemic event [19].

In this study, we focused to test the usefulness of HB1.F3.BDNF as a potential source of cell therapy in HD. We have addressed the overall effects of HB1.F3.BDNF, such as whether the transplanted HB1.F3.BDNF can survive, migrate and induce functional improvements in a rodent model of HD. To demonstrate the therapeutic potential of the migrating stem cells in HD, we have chosen the HD rat model induced by QA injection in one side of striatum and transplanted HB1.F3.BDNF in the contralateral side. We have investigated the capacity for restoration of functional deficits using multiple behavioral tests. We have also determined the connection of the transplanted cells to the host brain by using Fluoro-Gold (FG)-mediated axonal tracing. We postulate that contralaterally transplanted HB1.F3.BDNF cells can migrate and differentiate into the MSN-type GABAergic neurons in the QA-lesioned ipsilateral striatal environment and show behavioral benefits *in vivo*.

## MATERIALS AND METHODS

### ***Quinolinic acid (QA)-lesioned rodent model of Huntington's disease and stem cell transplantation***

QA-lesioned rat model of HD was established using the previously described method [20]. In short, adult male Sprague-Dawley rats (Orient, Seoul, Korea) weighing 250~280 g were used. All rats received a stereotaxic unilateral lesion of the striatum via double injections of quinolinic acid (0.3 mol/l in 1.5  $\mu$ l, Fluka) at the following coordinates: AP +0.7 mm, ML +2.5 mm, DV -4.5 mm, and AP +0.7 mm, ML +2.5 mm, DV -3.5 mm from the bregma. The HB1.F3.BDNF cells were cultured and maintained as described previously [15]. At 7 days after unilateral QA lesioning, 2  $\mu$ l of cell suspension (containing a total of 400,000 cells) were injected into the contralateral striatum (AP +0.2 mm, ML -2.5 mm and DV -5.5

mm, from the bregma) over a 4 min period using a 26 G Hamilton syringe in 13 rats. In the vehicle group (n=8), 2  $\mu$ l of suspension medium (GMEM) were injected in parallel. All transplanted animals were injected with cyclosporine A (10 mg/kg, Sigma) daily [21].

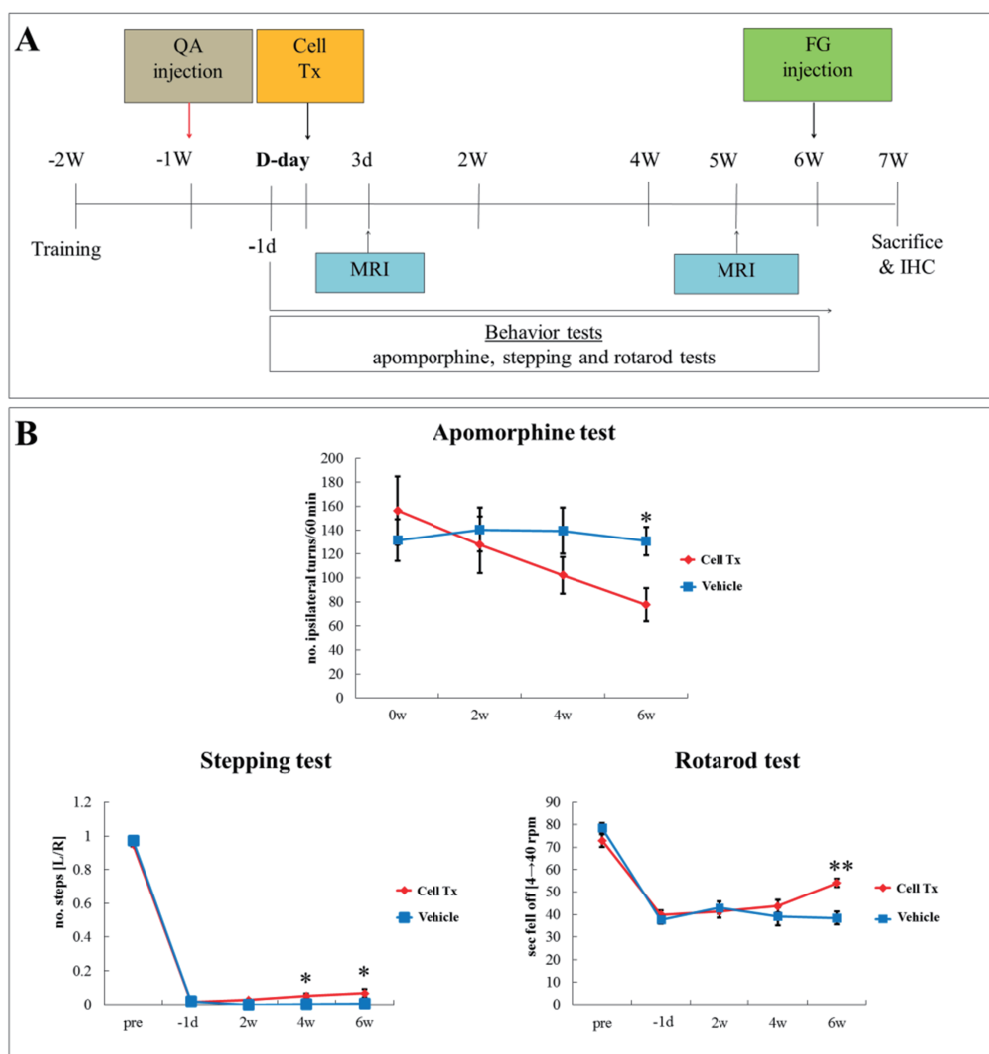
### Behavioral tests

To minimize the behavioral variation of each animal, all rats were trained three times per day for 3 consecutive days with the same conditions before the injection of QA. On the rotarod test, the average time of endurance on the rotarod cylinder from three trials was recorded in all rats using the acceleration mode of progressively increasing rod speeds from 4 to 40 rpm for 5 min [22]. In the stepping test [23], the rats were slowly moved sideways placing their forepaws along the 90 cm-width band on the table for 5 sec and the number of forepaw placing was counted. For the apomorphine-induced rotation test, animals were injected with

apomorphine (1.0 mg/kg in normal saline containing 0.2% ascorbate, Sigma) and placed in an acrylic box cage individually for a 5 min habituation period before 60 min test session. The number of complete 360° ipsilateral turn (“rotation”) and the difference between the ipsilateral and the contralateral turns was counted. After the initial test was performed at 6 days after QA injection, apomorphine-induced rotation test was performed biweekly up to 6 weeks following transplantation [24] (Fig. 1A).

### Detection of transplanted cells using magnetic resonance imaging (MRI) and Prussian blue staining

To detect the transplanted cells, we pre-labeled the cells with ferumoxides (Feridex®) combined with protamine sulfate (Sigma) as a contrasting agent. Feridex®-protamine sulfate complex was prepared at a concentration of 2  $\mu$ g/ml in the culture medium without serum, and it was subsequently incubated for 30 min at room temperature. An equal volume of culture medium and the



**Fig. 1.** Behavioral tests in the rat model of HD after contralateral transplantation of HB1.F3.BDNF cells. (A) Experimental scheme showing the schedules for behavioral training and three consecutive tests as well as toxin-induced HD modeling and cell transplantation. (B) In the apomorphine-induced rotation, stepping and rotarod tests, behavioral performance of HB1.F3.BDNF transplanted rats (n=13) was improved at 6 weeks after transplantation, compared with vehicle-injected rats (n=8). Data are presented as mean  $\pm$  SEM. \*denotes  $p < 0.05$  and \*\*denotes  $p < 0.001$  in two-way ANOVA.

Feridex<sup>®</sup>-protamine sulfate complex was added to HB1.F3.BDNF cells and incubated overnight at 37°C. Afterwards, the medium was removed, and the cells were washed twice with PBS. To detect whether Feridex<sup>®</sup>-labeled cells can migrate, T2\* imaging using a 7.0 T MRI (BioSpec 70/20, Bruker, Germany) was employed to track the location of labeled cells on the 3rd and 35th day (*i.e.*, 5th week) following transplantation. The Feridex<sup>®</sup>-labeled cells were also identified using Prussian blue staining histologically [15, 25].

#### Immunohistochemical analysis and neuronal tracing

To detect the engraftment of transplanted HB1.F3.BDNF cells in the host brain, 1 µl of a retrograde tracer, Fluoro-Gold (2% solution dissolved in sterile saline, Fluorochrome, USA) was stereotactically injected into the globus pallidus of the QA-lesioned hemisphere (AP: -1.0 mm, ML: +2.5 mm, DV: -6.0 mm) at 6 weeks after transplantation. We sacrificed the rats at 7 weeks post-transplantation, and performed histological procedures on the brain using 4% paraformaldehyde, 30% sucrose and OCT compound, sequentially. Immunostaining was performed on the free-floating 40 µm-thick sectioned brain tissues using the following primary antibodies, in combination with appropriate Alexa Fluor-conjugated secondary antibodies: human-specific nuclei (hNu) (1:100, Chemicon), DARPP-32 (1:100, Cell Signaling), glial fibrillary acidic protein (GFAP) (1:500, BD Bioscience), and ED1 (1:200,

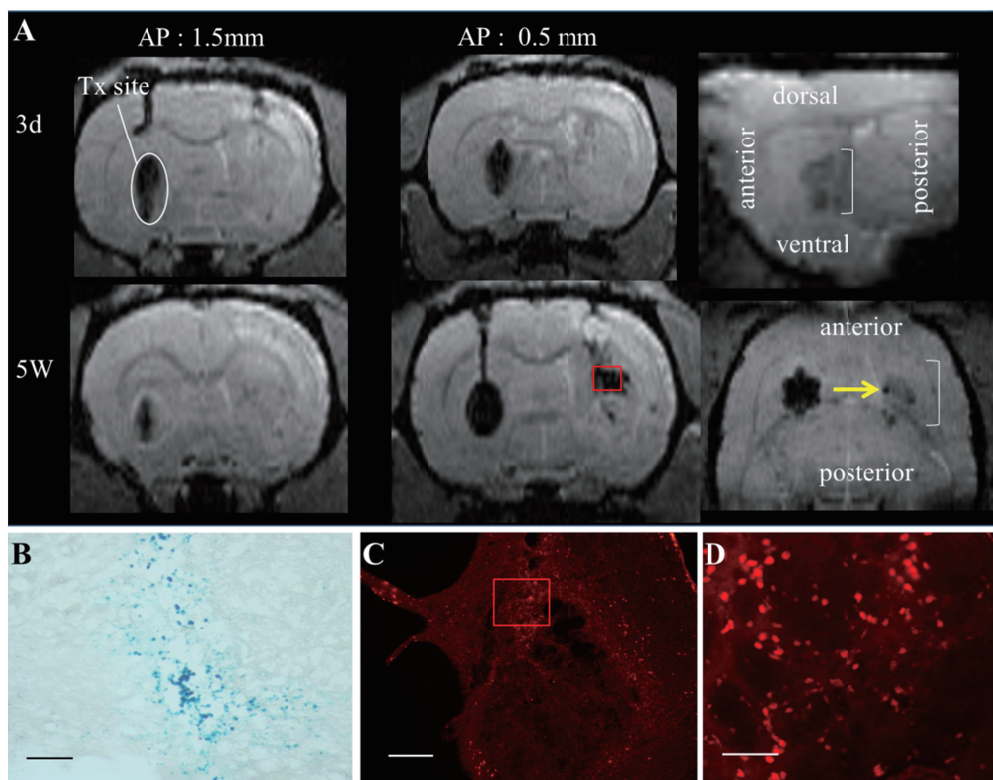
Bio-Rad). Afterwards, the sections were counterstained with the nuclear marker, 4', 6-diamidino-29-phenylindole dihydrochloride (DAPI). To detect the Feridex<sup>®</sup>-labeled cells histologically, Prussian blue staining was performed on the tissue sections fixed with methanol for 30 min at room temperature, treated with a mixture of 4% potassium ferrocyanide and 4% HCl solution, followed by boiling. Immunohistochemically stained brain sections were analyzed under a confocal microscopy (LSM510, Carl Zeiss, Germany). Brain sections with Prussian blue staining were examined under a light microscope (Eclipse E600, Nikon, Japan).

#### Statistical analysis

Statistical analyses were performed using SigmaPlot 12.0 (Systat Software, Inc). All values were presented as the means ± S.E.M (standard error of mean). Statistical significance on the difference between cell-transplanted and vehicle groups for behavioral scores was analyzed by two-way analysis of variance (ANOVA). Statistical significance on the difference between cell-transplanted and vehicle groups for tissue analysis was analyzed by t-test. The probability value <0.05 was considered statistically significant.

## RESULTS AND DISCUSSION

To test if there was any behavioral improvement following a con-



**Fig. 2.** Localization of Feridex<sup>®</sup>-labeled HB1.F3.BDNF cells on the brain MRI scan. (A) At 3 days after transplantation, Feridex<sup>®</sup> signal was well-visualized at the injection site (left side on the MR image, corresponding to the right side of the anterior striatum), contralateral to the QA-lesion side in the coronal view and the sagittal view (upper panel). At 5 weeks after transplantation, Feridex<sup>®</sup> signal was identified on the contralateral side of the injection in coronal and axial views (lower panel). (B) Detection of migrated Feridex<sup>®</sup>-labeled HB1.F3.BDNF cells at the QA-lesioned site by Prussian blue staining. (C, D) Immunohistochemical staining using human nuclei (hNu) antibody showing the presence of transplanted human cells. Scale bar: B, C=100 µm, D=20 µm.

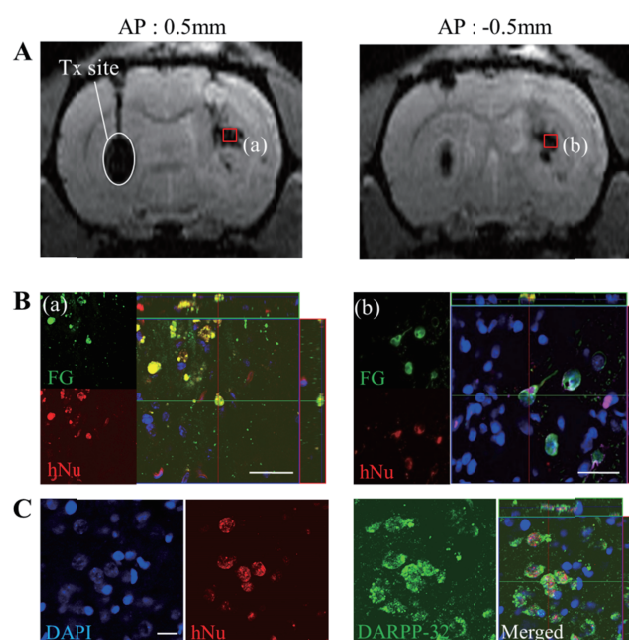
tralateral transplantation of HB1.F3.BDNF cells into the unilateral QA-lesioned rat model, we designed and planned the experimental schedule for toxin-induced HD modeling, cell transplantation, behavioral tests, MRI, Fluoro-Gold injection, and immunohistochemistry, as shown in Fig. 1A. Functional effects of contralaterally transplanted HB1.F3.BDNF cells were evaluated using three behavioral tests (*i.e.*, stepping, rotarod and apomorphine-induced rotation tests) up to 6 weeks following transplantation. We found that in the apomorphine-induced rotation test, no significant difference between cell-transplanted and vehicle groups was observed until 4 weeks following transplantation. However, cell-transplanted group started to show a significant improvement compared to the vehicle group at 6 weeks (Fig. 1B,  $p$  value $<0.05$ ). In the stepping test, cell-transplanted group exhibited a significantly improved performance compared to the vehicle group starting from 4 weeks after transplantation (Fig. 1B,  $p$  value $<0.05$ ). On the rotarod test, a significant behavioral recovery was observed from 6 weeks after transplantation, compared to the vehicle group (Fig. 1B,  $p$  value $<0.05$ ). These results indicate that contralaterally transplanted HB1.F3.BDNF cells led to a significant behavioral improvement as early as 4 weeks after transplantation.

To assess the migrating capacity of HB1.F3.BDNF cells *in vivo*, the cells were pre-labeled with ferumoxides (Feridex<sup>®</sup>)-protamine sulfate complex *in vitro* and were transplanted into the contralateral striatum of the QA-lesioned hemisphere. We performed brain MRI scan on the transplanted animals ( $n=3$ ) at day 3 and 5 weeks after transplantation to detect the Feridex<sup>®</sup>-labeled cells. Due to the contrast effect, a collection of Feridex<sup>®</sup>-positive cells appeared as a black area on the MRI scan. We found that at three days after transplantation, the transplanted cells were detected in the QA-lesioned site as a dark area on the MRI scan in the sagittal view (Fig. 2A, upper panel). At five weeks after transplantation, we found that a substantial number of contralaterally transplanted cells migrated to the QA-lesioned striatum, close to the AP 1.5 mm to -0.5 mm from the bregma (Fig. 2A, lower panel). These results strongly suggest an *in vivo* migration of transplanted HB1.F3.BDNF cells from the injection site to the QA-lesion site. The graft survival and migration were also visualized and confirmed by Prussian blue staining (Fig. 2B), as well as immunostaining with hNu antibody using confocal analysis (Fig. 2C). These findings strongly support that HB1.F3.BDNF cells can survive at least up to 7 weeks after transplantation and they can migrate to the damaged striatum.

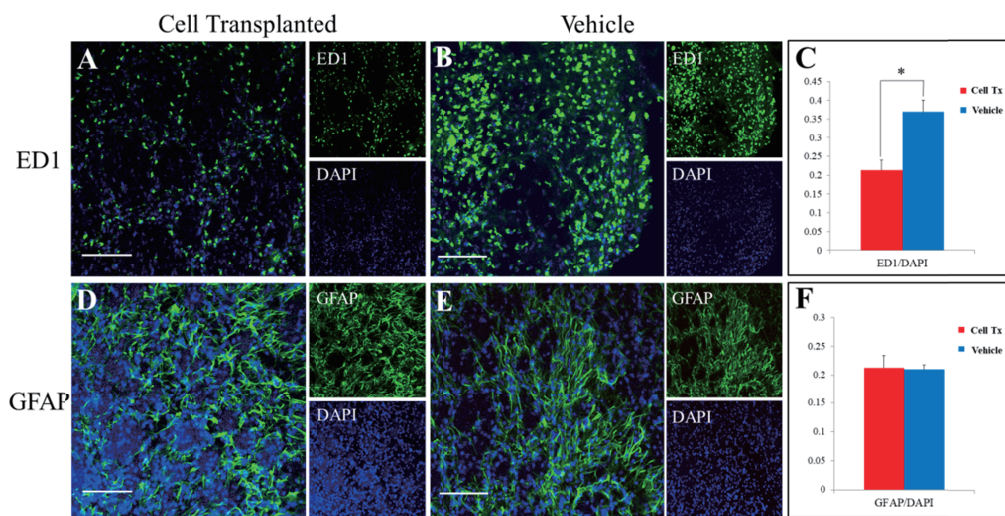
To determine whether HB1.F3.BDNF cells can make neural connections with host cells after transplantation, a retrograde tracer FG (Fluorochrome) [26] was injected into the globus pallidus of the QA-lesioned hemisphere at 6 weeks after transplantation and the animals were sacrificed one week later. The ability of the trans-

planted cells to establish a neural network with the host cells can be partly determined by the presence of retrograde transportation to the transplanted cells. Interestingly, we observed that the contralaterally transplanted cells migrated to the ipsilateral hemisphere and the migrated cells were colocalized with the FG signals (Fig. 3A and 3B). Moreover, we also observed that a high proportion of migrated hNu-positive, transplanted HB1.F3.BDNF cells were differentiated into DARPP32-positive medium spiny neurons (MSN) (Fig. 3C), the key neuronal population known to be selectively degenerated in HD. These findings suggest that the transplanted cells differentiated to DARPP32-positive MSN and formed functional connections in the HD animal model.

Extensive astrogliosis and microgliosis are typically observed in the brains of HD patients [27]. In addition, microglial accumulation in the corresponding region of neuronal loss can be observed at all stages of HD [28]. Microglia are reported to produce excessive amount of QA under pathological condition [29], which implies an active participation of microglia in the neurodegenerative process. Therefore, we utilized the QA-lesioned HD model [30] to mimic the pathological events that occur in the brain of HD patients. To



**Fig. 3.** Neuroanatomical tracing of HB1.F3.BDNF-derived DARPP-32-positive cells *in vivo* using Fluoro-Gold (FG) retrograde labeling. (A) The transplantation site of HB1.F3.BDNF cells is indicated on the brain MRI scan (white oval), and the location of Feridex<sup>®</sup>-labeled migrated cells is visualized at two sites (red boxes) in the QA-lesioned striatum: (a) AP: 0.5 mm, (b) AP: -0.5 mm. (B) Co-localization of migrated human cells with FG-positive signals at sites (a) and (b) in the QA-lesioned striatum. (C) Detection of DARPP-32-expressing hNu-positive cells at site (b) of the QA-lesioned striatum. Scale bar=20  $\mu$ m.



**Fig. 4.** Changes of the inflammatory responses in QA-lesioned rat brain after transplantation of HB1.F3.BDNF. (A, B) Decrease of ED1-positive microglial cells following transplantation. (C) Significant difference was observed between cell-transplanted (n=13) and vehicle (n=8) groups. (D, E) Expression of GFAP-positive astroglial cells following transplantation. (F) No significant difference was observed between cell-transplanted and vehicle groups. Data are presented as mean±SEM. \*p<0.05. Scale bar=100 μm.

investigate whether astrocytosis and microgliosis occur in the QA-lesioned brains, we performed immunohistochemistry using antibodies against ED1 (a microglial marker) and GFAP (an astrocyte marker). We found that compared to the vehicle group, the number of ED1-positive cells was significantly reduced in the HB1.F3.BDNF transplanted group (Fig. 4A~4C) ( $p < 0.05$ ). Reduced microglial activity implies that the transplanted HB1.F3.BDNF cells can decrease neurotoxic inflammation. However, no significant difference in the expression of GFAP was observed between HB1.F3.BDNF transplanted group and vehicle group (Fig. 4D~4F), suggesting hypertrophic astrocytes are present in both groups. It has been proposed recently that proBDNF-positive/GABA-negative hypertrophic astrocytes are 'active' astrocytes and GABA-positive hypertrophic astrocytes are 'reactive' astrocytes [31]. Therefore, it will be necessary to delineate the role of HB1.F3.BDNF cells whether they can switch the hypertrophic astrocytes from 'reactive' to 'active' astrocytes in our future study.

Stem cell therapy has received a special attention from those who have unmet medical needs and is considered as a potential treatment for diverse neurological diseases, including HD. BDNF-mediated loss-of-function has been implicated in the pathophysiology of MSN degeneration in the striatum of HD patients [32, 33] because BDNF is a lead neurotrophic factor to promote the survival and maturation of MSN in the striatum [34, 35]. Among various preclinical studies using fetal neural stem/progenitor cells as well as iPSCs, genetically modified stem cells overexpressing BDNF has shown their best efficacies. Intrastrially transplanted human mesenchymal stem/stromal cells (MSC) and genetically modified MSC overexpressing BDNF showed their efficacy on disease progression, anxiety and neurogenesis to certain degrees [36]. Embryonic stem cell-derived BDNF-overexpressing neural

progenitors also showed efficacy on recovery promotion through enhanced striatal differentiation [37]. In the present study, we have shown that BDNF-overexpressing neural progenitor cells can be another potential source of cell-and-BDNF combination therapy, which can be developed for clinical applications in the future.

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#### AUTHOR CONTRIBUTIONS

H.S.K., I.J. and J.S. were responsible for the study concept and design. I.J., H.L., K.S.H., N.L. and Z.P. were responsible for data acquisition. H.S.K., I.J., H.A., C.J.L. and J.S. performed data analysis and manuscript writing. J.S. finalized the manuscript. H.S.K. and I.J. contributed equally.

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