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COVID-19 in Liver Transplant Recipients: An Initial Experience From the US Epicenter



Brian T. Lee,¹ Ponni V. Perumalswami,¹ Gene Y. Im,¹ Sander Florman,² and Thomas D. Schiano,¹ on behalf of the COBE Study Group

¹Recanati/Miller Transplantation Institute, Division of Liver Diseases, Department of Medicine, Icahn School of Medicine at Mount Sinai, New York, New York; and ²Recanati/Miller Transplantation Institute, Division of Abdominal Transplantation, Department of Surgery, Icahn School of Medicine at Mount Sinai, New York, New York

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There is tremendous concern in the liver transplant (LT) community about the coronavirus disease 2019 (COVID-19) pandemic caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). Limited data raise questions regarding risk and severity, management of immunosuppression, and hepatic injury related to COVID-19. The state of New York, specifically New York City, was previously the first US epicenter of the pandemic. The Mount Sinai Hospital is a tertiary care academic medical center that supports the Recanati/Miller Transplantation Institute. Here, we describe our initial experience with COVID-19 in LT recipients.

Methods

Additional details are provided in the [Supplementary Methods](#). A retrospective analysis of electronic medical records was performed of LT recipients diagnosed with COVID-19 (confirmed by positive SARS-CoV-2 testing result) from March 18, 2020, to April 13, 2020. The severity of COVID-19 for hospitalized patients was categorized as mild (oxygen saturation $\geq 94\%$ on room air and no radiographic evidence of pneumonia), moderate (oxygen saturation $< 94\%$ or radiographic evidence of pneumonia), or severe (advanced oxygen delivery device use); severity was determined by the worst experienced during hospitalization.

Results

Of 38 LT recipients with COVID-19, the first case was diagnosed on March 18. Demographic characteristics, including presenting symptoms, are reported in [Supplementary Table 1](#). Gastrointestinal symptoms (diarrhea, abdominal pain, or nausea/vomiting) were reported in 42%, and 71% were hospitalized, with a median time to admission from symptom onset of 7 days (range, 0–30 d). Three patients were diagnosed while already hospitalized, with a median stay of 33 days (range, 19–49 d) before diagnosis. Hospitalized patients were older (65 vs 39 y; $P = .02$) and had at least 1 comorbid condition (66% vs 18%; $P = .047$) compared to nonhospitalized patients. Of the 38, most were taking a tacrolimus-based regimen (3% cyclosporine); 50% took concomitant mycophenolic acid therapy. Fifteen patients (39%) were taking corticosteroid

therapy; 13 patients were taking low-dose therapy, and 2 were taking higher doses for treatment of recent allograft rejection and immune thrombocytopenic purpura, respectively.

The severity of COVID-19 was assessed in 24 of 27 hospitalized patients (3 hospitalized at outside medical centers were excluded). Of the 24, 8% had mild disease, 46% had moderate disease, and 46% had severe disease. Most hospitalized patients had medical comorbidities (92%), and 54% presented with acute kidney injury (AKI). Serum cytokine profiles were elevated but without differences across severity, and 92% had radiographic evidence of pneumonia ([Table 1](#)).

Immunosuppression was decreased in 79% of hospitalized patients ([Table 1](#)). Three patients experienced elevations in liver enzymes after immunosuppression reduction; the pattern was hepatocellular, with a range of 2 to 20 times the upper limit of normal. No patients underwent biopsy.

Seven LT recipients died (18% overall, 29% hospitalized) ([Supplementary Figure 1](#)). The median time to death from symptom onset was 19 days (range, 9–24 d). All 7 patients had 1 or more comorbidities, and 57% had AKI on admission. The preliminary autopsy results of patient 2 showed dense lung parenchyma, focal left ventricular sub-endocardial hemorrhage, and pancreatic congestion with hemorrhage.

Eight recipients (21%) were infected within 1 year after LT, and the earliest was 7 days after LT. This patient underwent LT before mandatory testing of donors and recipients; the donor subsequently tested negative by stored serum. Three of the 8 recipients had severe COVID-19, of whom 2 died; 1 remains hospitalized in critical condition.

Admission liver tests were relatively normal across severity of COVID-19. Six patients had pre-existing elevated alkaline phosphatase, and only 3 patients presented with aminotransferase elevations > 3 times the upper limit of normal.

Abbreviations used in this paper: AKI, acute kidney injury; COVID-19, coronavirus disease 2019; LT, liver transplantation; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2.

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Table 1. Clinical Characteristics of COVID-19 in Hospitalized LT Recipients^a

Variables	Severity of COVID-19 for hospitalized patients			P value
	Overall (N = 24 ^a)	Mild to moderate (n = 13, 54%)	Severe (n = 11, 46%)	
Age, y	66 (30–80)	65 (30–80)	66 (39–79)	.62
Age ≥65 y, n (%)	14 (58)	8 (62)	6 (55)	1
Body mass index, kg/m ² , median (range)	28.4 (18.7–46.6)	26.8 (18.7–46.6)	31.9 (24.3–35.7)	.25
Obesity, BMI ≥ 30 kg/m ² , n (%)	10 (42)	4 (31)	6 (55)	.41
Comorbidities, at least 1, n (%)	22 (92)	12 (92)	10 (91)	1
Hypertension	17 (71)	7 (54)	10 (91)	.08
Diabetes mellitus	12 (50)	6 (46)	6 (55)	1
Cardiovascular disease	10 (42)	5 (38)	5 (45)	1
Chronic kidney disease	17 (71)	11 (85)	6 (55)	.18
Time from LT, y, median (range)	4.7 (0.02–28.2)	10.6 (0.1–27.2)	3.6 (0.02–28.2)	.23
Days since symptom onset to hospital, median (range)	7 (1–30)	7 (1–21)	7 (1–30)	.74
Imaging findings of COVID-19, n (%)	22 (92)	11 (85)	11 (100)	.48
Laboratory assessment on admission				
Alkaline phosphatase, U/L, median (range)	131 (48–1302)	159 (49–915)	79 (48–1302)	.35
Total bilirubin, mg/dL, median (range)	0.7 (0.2–4.5)	0.6 (0.2–3.9)	1.0 (0.4–4.5)	.20
AST, U/L, median (range)	31 (10–1691)	32 (10–1691)	29 (12–255)	.91
ALT, U/L, median (range)	22 (5–1578)	24 (5–1578)	19 (5–199)	.58
Albumin, g/dL, median (range)	3.2 (1.7–4.3)	3.1 (1.7–4.3)	3.3 (2.2–4.3)	.77
INR, median (range)	1.1 (1.0–1.9)	1.1 (1.0–1.2)	1.2 (1.0–1.9)	.06
Lymphocyte count, ×10 ³ /μL, median (range)	0.6 (0.2–5.6)	0.6 (0.2–5.6)	0.6 (0.2–1.5)	.75
Acute kidney injury, n (%)	13 (54)	6 (46)	8 (73)	.44
Inflammatory variables on admission, median (range)				
Ferritin, ng/mL	986 (36–4677)	871 (71–4677)	1148 (36–2909)	.69
C-reactive protein, mg/L	65.9 (6.2–430.3)	56.4 (6.2–314.0)	80.7 (26.9–430.3)	.46
Procalcitonin, ng/mL	0.33 (0.08–36.46)	0.36 (0.08–11.44)	0.30 (0.09–36.46)	.73
Lactate dehydrogenase, U/L	314 (160–889)	287 (160–702)	315 (253–889)	.25
D-dimer, μg/mL	1.67 (0.27–8.62)	1.63 (0.27–8.53)	2.50 (0.27–8.62)	.75
Serum cytokine profile, median (range) ^b				
Interleukin 6, pg/mL	66.3 (12.5–218.0)	45.7 (12.5–162.0)	71.6 (19.5–218.0)	.25
Interleukin 8, pg/mL	44 (13.2–100.0)	42.3 (16.7–88.1)	47.1 (13.2–100.0)	1
Interleukin 1β, pg/mL	0.5 (0.3–1.8)	0.6 (0.3–1.8)	0.5 (0.3–0.8)	.32
Tumor necrosis factor α, pg/mL	33.7 (15.6–111.0)	41.1 (21.3–74.5)	29.1 (15.6–111.0)	.28
Therapy provided, n (%)				
Supplemental oxygenation	18 (75)	7 (54)	11 (100)	.02
Mechanical ventilation	8 (33)	0	8 (73)	<.01
Hydroxychloroquine ± azithromycin therapy	18 (75)	8 (62)	10 (91)	.17
Intravenous glucocorticoid therapy	5 (21)	0	5 (45)	.01
Anticoagulation therapy	8 (33)	1 (8)	7 (64)	.01
Type of immunosuppression before admission, n (%)				
Tacrolimus	23 (96)	13 (100)	10 (91)	.46
Cyclosporine	1 (4)	0	1 (9)	.46
Mycophenolic acid	13 (54)	6 (46)	7 (64)	.44
Corticosteroid	12 (50)	6 (46)	6 (55)	1
Decrease in immunosuppression, n (%)				
Overall regimen	19 (79)	9 (69)	10 (91)	.33
Calcineurin inhibitor ^c	15 (63)	7 (54)	8 (73)	.42
Mycophenolic acid ^c	13 (100)	6 (100)	7 (100)	—
Corticosteroid ^c	2 (17)	1 (17)	1 (17)	1
Intensive care, n (%)	8 (33)	0	8 (73)	<.01
Discharged, n (%)	14 (58)	12 (92)	2 (18)	<.01
Length of stay, ^b d, median (range)	9 (4–22)	8 (4–19)	18 (13–22)	.07
Death, n (%)	7 (29)	0	7 (64)	<.01
Remain hospitalized, n (%)	3 (13)	1 (8)	2 (18)	.58

NOTE. Wilcoxon signed-rank test and Fisher's test were used to compare samples and proportions as appropriate. All statistical analyses were performed using R 3.6.1 (R Foundation for Statistical Computing, Vienna, Austria). Bolded values indicate P-values less than .05 (for visual purposes).

ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; INR, internationalized ratio.

^an = 24 (27 patients were hospitalized, 3 at outside medical centers with unavailable data).

^bn = 19.

^cTotal number derived from the type of immunosuppression taken.

Discussion

We describe our initial single-center experience of COVID-19 in 38 LT recipients. Gastrointestinal symptoms were common, similar to a report of 90 infected solid organ transplant recipients (including 13 LT, 1 liver-kidney) (42% vs 31%).¹ Most LT recipients required hospitalization, and associated factors included older age and presence of comorbidities. The typical hepatocellular pattern of liver test elevations in severe COVID-19² was not common. Most patients had radiographic evidence of pneumonia (92% hospitalized, 100% in severe cases), similar to kidney transplant recipients (96%)³ but greater than rates from the general population in China (59% overall, 77% in severe cases).⁴ Approximately 33% of hospitalized patients required mechanical ventilation; only 25% survived.

A recent study reported AKI as a possible risk factor for worse outcomes in COVID-19.⁵ We describe a high proportion of LT recipients presenting with AKI; recipients are at risk of renal failure given the presence of sepsis in the background of calcineurin inhibitor use. Comorbidities after transplant have also been associated with poor outcomes in COVID-19, particularly hypertension.¹ We similarly report a high rate of comorbidities, which was associated with hospitalization.

Early experience of COVID-19 in LT recipients from Italy showed mild disease with a 3% mortality rate in long-term LT survivors.^{6,7} In contrast, our study describes 3 severe cases (2 dead, 1 in critical condition) in patients receiving transplants within a year. Additionally, a report of solid organ transplant recipients described a 27.8% overall mortality rate (including 2 of 6 LT).⁸ Pereira et al¹ reported mortality rates similar to our study (18% vs 18% overall and 24% vs 26% hospitalized). These high rates of mortality related to COVID-19 are concerning, suggesting greater risk in allograft recipients.

Although immunosuppression may attenuate the initial inflammatory response, it may increase virologic injury, resulting in higher rates of severe COVID-19 and mortality. Most of our hospitalized patients had immunosuppression decreased similar to the practice of a neighboring center.¹ In severe cases, providers can consider decreasing immunosuppression, given risks of bacterial or fungal superinfection.

Limitations of this study include the small sample size and single-center experience. The strengths of our study are in the uniformity of data collected and definitions applied, which may be limited in larger registry studies. Not all LT recipients at our center received testing, therefore, incidence is unknown.

Based on these findings, we recommend a low threshold to test for SARS-CoV-2 in LT recipients. We report high mortality in LT recipients across both early and long-term survivors. AKI and comorbidities were common. The long-term impact of COVID-19 is not well understood but will be monitored to better understand its effect on graft and patient outcomes.

Supplementary Material

Note: To access the supplementary material accompanying this article, visit the online version of *Gastroenterology* at www.gastrojournal.org, and at <https://doi.org/10.1053/j.gastro.2020.05.050>.

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Correspondence

Address correspondence to: Thomas D. Schiano, MD, One Gustave Levy Place, Box 1104, New York, NY 10029. e-mail: thomas.schiano@mountsinai.org; fax: (212) 241-2138.

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CRedit Authorship Contributions

Brian T. Lee, MD (Conceptualization: Equal; Data curation: Lead; Formal analysis: Lead; Investigation: Lead; Methodology: Lead; Writing – original draft: Lead; Writing – review & editing: Equal); Ponni V. Perumalswami, MD (Formal analysis: Equal; Writing – review & editing: Lead); Gene Y. Im, MD (Conceptualization: Lead; Formal analysis: Supporting; Investigation: Supporting; Writing – review & editing: Equal); Sander Florman, MD (Writing – review & editing: Supporting); Thomas D. Schiano, MD (Conceptualization: Supporting; Formal analysis: Supporting; Investigation: Supporting; Methodology: Supporting; Supervision: Lead; Writing – review & editing: Supporting).

Conflicts of interest

The authors disclose no conflicts.

Supplementary Methods

Testing for SARS-CoV-2 at our center used a real-time polymerase chain reaction assay by the Roche (Basel, Switzerland) Cobas 6800 system; all specimens were obtained by a nasopharyngeal swab. All patients tested positive on the initial swab.

Categorization of COVID-19 severity for hospitalized patients was defined by our colleagues in the Division of Infectious Diseases at Mount Sinai. Conventional therapy included supportive care, supplemental oxygen, hydroxychloroquine, and/or azithromycin therapy, unless contraindicated. Advanced oxygen delivery devices included high-flow nasal cannula, non-rebreather, bilevel positive airway pressure, or mechanical ventilation. In severe cases, intravenous glucocorticoid therapy was considered. Toward the peak of the pandemic, therapeutic anticoagulation was started in qualifying patients who did not have obvious contraindications. One patient was enrolled into a clinical trial.

Laboratory values were collected at initial presentation during hospitalization. Elevations in liver test values were

defined by an increase in serum aspartate aminotransferase (AST) and alanine aminotransferase (ALT) levels greater than 3 times the upper limit of normal of our laboratory parameters (AST, 35 U/L; ALT, 45 U/L). AKI was defined by the Kidney Disease: Improving Global Outcomes (KDIGO) criteria. No patients had hepatitis C viremia at the time of COVID-19 diagnosis.

At our center, induction therapy after LT consists of intravenous glucocorticoid therapy, even after simultaneous liver-kidney transplantation. Tacrolimus-based immunosuppression is typically used. Data regarding immunosuppression were collected based on the regimen taken immediately before hospitalization. Low-dose corticosteroid use was defined as the use of prednisone at 5–10 mg daily.

Baseline characteristics and laboratory values are described as median (range) or frequency (percentage). Wilcoxon’s signed-rank test and Fisher’s test were used to compare samples and proportions as appropriate. All statistical analyses were performed with R 3.6.1 (R Foundation for Statistical Computing, Vienna, Austria).

Summary of Deceased Liver Transplant Recipients from COVID-19

Recipient Information	71-year-old man HCV cirrhosis Transplanted 5.7 years prior Co-morbidities: DM, HTN, CVD, CKD	39-year-old woman Autoimmune hepatitis and acute liver failure Transplanted 10.3 years prior Co-morbidities: DM, HTN, CKD	68-year-old woman Alcohol-related cirrhosis and HCC Transplanted 91 days prior Co-morbidities: DM, HTN	79-year-old woman HCV cirrhosis and HCC Transplanted 28.2 years prior Co-morbidities: DM, HTN, CVD, CKD	58-year-old man NASH cirrhosis Transplanted 9 years prior Co-morbidities: DM, HTN, CVD, CKD	61-year-old man Alcohol-related cirrhosis Transplanted 7 days prior Co-morbidities: HTN	69-year-old man NASH cirrhosis Transplanted 5.7 years prior Co-morbidities: DM, HTN, CVD, CKD
Symptoms & Peak Oxygenation	7-days of symptoms Fever, dyspnea Mechanical ventilation	7-days of symptoms Fever, dyspnea, myalgias, diarrhea Mechanical ventilation	3-days of symptoms Fever, dyspnea, diarrhea, abdominal pain Mechanical ventilation	14 days of symptoms Dyspnea, cough, nausea, diarrhea, malaise Non-rebreather mask	6 days of symptoms Hemoptysis, diarrhea, abdominal pain, malaise Mechanical ventilation	1 day of symptoms Rhinorrhea Mechanical ventilation	2 days of symptoms Dyspnea, diarrhea, malaise Mechanical ventilation
Labs & Imaging	ALP 48 Lymph 0.6 TB 1.5 Ferritin 1984 AST 29 CRP 430.3 ALT 18 PCT 16.09 Alb 3.6 LDH 314 D-dimer 3.35 CXR with diffuse opacities	ALP 108 Lymph 1.1 TB 2.6 Ferritin 466 AST 65 CRP 54.0 ALT 23 PCT 0.30 Alb 3.3 LDH 889 D-dimer 8.62 CXR with diffuse opacities	ALP 81 Lymph 0.2 TB 0.6 Ferritin 1264 AST 22 CRP 126.9 ALT 16 PCT 0.09 Alb 3.7 LDH 307 D-dimer 0.27 CXR with diffuse opacities	ALP 1247 Lymph 0.9 TB 1.6 Ferritin 1148 AST 150 CRP 26.9 ALT 56 PCT 0.79 Alb 2.2 LDH 315 D-dimer 2.50 CXR with diffuse opacities	ALP 271 Lymph 0.4 TB 0.7 Ferritin 1219 AST 255 CRP 99.9 ALT 199 PCT 36.46 Alb 2.7 LDH 315 D-dimer 1.40 CXR with diffuse opacities	ALP 68 Lymph 0.7 TB 1.3 Ferritin 704 AST 19 CRP 57.6 ALT 86 PCT 2.25 Alb 2.7 LDH 307 D-dimer 6.45 CXR with diffuse opacities	ALP 1302 Lymph 0.6 TB 4.5 Ferritin 2909 AST 35 CRP 175.3 ALT 19 PCT 2.34 Alb 2.3 LDH 758 D-dimer 0.91 CXR with diffuse opacities
Clinical Outcome	Admitted to ICU Treated with HQ + azithromycin Expired on day 5	Admitted to ICU Treated with HQ + azithromycin Expired on day 2	Admitted to wards Treated with HQ Transferred to ICU IV glucocorticoids Pneumomediastinum Expired on day 16	Admitted to wards Comfort-based care Expired on day 6	Admitted to wards Treated with HQ IV glucocorticoids Cardiac arrest with ROSC Vasopressors Expired on day 12	Already hospitalized Treated with HQ IV glucocorticoids Anticoagulation S. epidermidis bacteremia Ischemic stroke Comfort-based care Expired on day 23	Admitted to ICU Treated with HQ + azithromycin IV methylprednisolone Anticoagulation ORSA bacteremia/fungemia Expired on day 20

Supplementary Figure 1. Summary of the 7 LT recipients with severe COVID-19 resulting in death. Alb, albumin; ALP, alkaline phosphatase; ALT, alanine aminotransferase; AST, aspartate aminotransferase; CKD, chronic kidney disease; CRP, C-reactive protein; CVD, cardiovascular disease; CXR, chest radiograph; DM, diabetes mellitus; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; HQ, hydroxychloroquine; HTN, hypertension; ICU, intensive care unit; IV, intravenous; Labs, laboratory tests; LDH, lactate dehydrogenase; NASH, nonalcoholic steatohepatitis; ORSA, oxacillin-resistant *Staphylococcus aureus*; PCT, procalcitonin; ROSC, return of spontaneous circulation; TB, total bilirubin.

Supplementary Table 1. Demographics and Presenting Symptoms of LT Recipients With COVID-19 (n = 38)

Characteristics	Values
Age, y, median (range)	63 (27–81)
Male sex, n (%)	26 (68)
BMI, kg/m^2 , median (range)	28.3 (18.7–50.0)
Ethnicity, n (%)	
White	15 (39)
African American	5 (13)
Hispanic	14 (37)
Asian	2 (5)
Other	2 (5)
ABO blood type, n (%)	
A	12 (32)
B	9 (24)
AB	5 (14)
O	11 (30)
Residence, n (%)	
Manhattan	3 (9)
Brooklyn	7 (20)
Bronx	4 (11)
Queens	7 (20)
Staten Island	3 (9)
Outside New York City	5 (15)
Other state	6 (17)
Indication for liver transplant, n (%)	
Alcohol-associated liver disease	2 (5)
Hepatitis C	16 (42)
Hepatitis B	2 (5)
Autoimmune hepatitis	2 (5)
Primary sclerosing cholangitis	5 (13)
Primary biliary cholangitis	1 (3)
Nonalcoholic fatty liver disease	6 (16)
Polycystic liver disease	2 (5)
Hemochromatosis	1 (3)
Drug-induced liver injury	1 (3)
Hepatocellular carcinoma, n (%)	8 (21)
Type of LT, n (%)	
Liver alone	32 (84)
Simultaneous liver-kidney	6 (16)
Repeat transplantation	2 (5)
Time from recent transplant, y, median (range)	3.8 (0.02–28.2)
Symptoms, n (%)	
Asymptomatic ^a	2 (5)
Fever	23 (61)
Cough	21 (55)
Dyspnea	13 (34)
Myalgias	9 (24)
Malaise	11 (29)
Rhinorrhea	3 (8)
Gastrointestinal	16 (42)
Anosmia	1 (3)
Comorbidities, n (%)	
Hypertension	24 (63)
Diabetes mellitus	18 (47)
Cardiovascular disease	11 (29)
Chronic kidney disease	24 (63)
Malignancy	2 (5)
Type of immunosuppression before admission, n (%)	
Tacrolimus	37 (97)
Cyclosporine	1 (3)
Everolimus	1 (3)
Mycophenolic acid	19 (50)
Corticosteroid	15 (39)

^aOne patient was tested before endoscopy, and the other was tested to determine hospital cohorting.