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## Consideration of Sex Differences in the Measurement and Interpretation of Alzheimer’s Disease-Related Biofluid-Based Biomarkers

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### Abstract

**Background:** The development of cerebrospinal fluid and blood-based biomarkers for Alzheimer’s Disease (AD) and related disorders is rapidly progressing. Such biomarkers may be used clinically for screening the population, for enhancing diagnosis, or to help determine prognosis. Although the use of precision medicine methods have contributed to enhanced understanding of the AD pathophysiological changes and development of assays, one aspect not commonly considered is sex differences.

**Content:** There are several ways in which sex can affect the measurement or interpretation of biofluid biomarkers. For some markers, concentrations will vary by sex. For others, the concentrations might not vary by sex, but the impact or interpretation may vary by sex depending on the context of use (e.g., diagnostic vs prognostic). Finally, for others, there will be no sex differences in concentrations or their interpretation. This review will first provide a basis for sex differences, including differences in brain structure and function, and the means by which these differences could contribute to sex differences in biofluid concentrations. Next, the current state of sex differences in AD-related biofluid markers (i.e., amyloid-beta, phosphorylated tau, total tau, neurofilament light chain, and neurogranin) will be reviewed. Lastly, factors that can lead to the misinterpretation of observed sex differences in biomarkers (either providing evidence for or against) will be considered.

**Summary:** This review is intended to provide an impetus to consider sex differences in the measurement and interpretation of AD-related biofluid-based biomarkers.

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## Keywords

Sex differences; Alzheimer's; Dementia; Biofluid-based biomarkers; Blood; Cerebrospinal fluid

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Alzheimer's disease (AD) dementia is the most common form of dementia, comprising 60 to 70% of all cases. It is a progressive neurodegenerative disease that causes memory loss, cognitive deficits, and behavioral changes. With the aging of the population, the burden of AD dementia is growing to epidemic proportions. Currently more than 6 million Americans are affected and it is estimated that this number will grow to 15 million by 2050 unless new treatments or interventions to prevent or delay the onset of AD are identified (1). The hallmark pathophysiological characteristics of AD include the presence of extracellular plaques comprised of amyloid-beta ( $A\beta$ ), intracellular neurofibrillary tangles comprised of abnormal phosphorylated tau protein, and neurodegeneration (2). Other molecular pathways contributing to, or potentially causing AD pathology may include inflammation, neurovascular dysfunction, senescence and accelerated aging, synaptic dysfunction, cholinergic changes, and alterations in lipid metabolism. Considering these and other pathways, there is substantial heterogeneity in the development and progression of AD dementia. Although the use of precision medicine methods have contributed to enhanced understanding of the pathophysiological changes, one aspect not commonly integrated in these approaches is sex differences.

About two thirds of persons with a clinical diagnosis of AD dementia are women because age is the greatest risk factor for AD dementia and the life expectancy for women is longer than for men (3). As a result, and similar to other aging-related diseases, the lifetime risk of AD dementia is greater for women (4, 5). Although the frequency, or count, of AD dementia is higher in women, the age-adjusted prevalence was not found to differ by sex in a meta-analysis of 45 studies (6). Sex differences in the incidence of AD dementia are less clear and may vary across countries and over time epochs (7, 8). In the United States, most studies report that the incidence of AD dementia does not differ by sex, even after the age of 85 years (9–16). Importantly, even if the incidence is similar between men and women, the underlying etiologies, symptomatology, and response to treatment can differ so the consideration of sex differences is still important (17, 18).

A complication of the many epidemiological and clinic studies assessing sex differences in the prevalence or incidence of AD is that they are generally based on a clinical diagnosis, with no information about underlying pathology. This is problematic because 10–30% of clinically defined AD dementia patients do not have AD pathology at autopsy (19, 20). Moreover, approximately 30% of the population aged 70 and older with normal cognition have elevated brain amyloid (21–23). Utilizing a biological definition provides the opportunity for a more accurate clinical diagnosis (e.g., separating AD dementia from other dementia types) and the opportunity to incorporate the preclinical phase of AD (i.e., presence of pathology before clinical symptoms are apparent), when interventions are most likely to be beneficial in slowing or halting disease progression.

Several biomarkers have been proposed for the primary pathologies of AD including  $A\beta$  plaques, neurofibrillary tangles, and neurodegeneration. Biomarkers of  $A\beta$  may include

amyloid PET, CSF A $\beta$ 42 or the CSF A $\beta$ 42/A $\beta$ 40, or blood A $\beta$ 42. Biomarkers of paired helical filament tau may include tau PET, CSF phosphorylated tau (P-tau), or blood P-tau. Lastly, biomarkers of neurodegeneration may include Fluorodeoxyglucose (FDG)-PET hypometabolism, Magnetic Resonance Imaging (MRI)-based measures of atrophy or cortical thickness in specific brain regions, CSF measures of total tau (T-tau), neurofilament light chain (NfL), and neurogranin (Ng), or blood-based measures of T-tau and NfL. However, most of these biomarkers are only used for research purposes and are not clinically available (24). Currently, three amyloid PET tracers have been approved for clinical use for the differential diagnosis of AD dementia: 18F-Florbetapir, 18F-Florbetaben, and 18F-Flutemetamol (for discussions of appropriate clinical use and benefits, see (25–27). However, in the United States amyloid PET imaging is not currently reimbursed by Medicare or insurance companies so it is rarely incorporated into clinical care. Tau PET has not been approved for clinical use.

CSF concentrations of A $\beta$  and tau are clinically utilized in both the United States and Europe. In the United States, a lumbar puncture and measurement of CSF A $\beta$  and tau is reimbursable but it not commonly used for AD diagnosis, especially at the population-level. Use is typically in specialty clinics to help determine etiology for atypical presentations of dementia, for dementia patients who are rapid progressors, or for young onset cases (for discussions of appropriate clinical use, see (28). CSF NfL and Ng are also promising markers of neurodegeneration but assays have not been approved for clinical use. Discussions of translating these markers to the clinic and developing reference intervals are ongoing. Lastly, there are no blood-based biomarkers that are currently approved for clinical use in the diagnosis or prognosis of AD dementia (see (29) for a comprehensive overview of potential blood-based biomarkers for AD).

With the rapidly advancing technology and development of biofluid-based biomarkers in the CSF and blood for clinical use, it is a critical time to consider factors that might affect the clinical interpretation of biomarker concentrations for the diagnosis or prognosis of AD dementia. The overarching goal of this review is to provide an impetus to consider sex differences in the measurement and interpretation of AD-related biofluid-based biomarkers. There are several ways in which sex can affect the measurement or interpretation of biofluid biomarkers. For some markers, concentrations will vary by sex. For others, the concentrations might not vary by sex, but the impact or interpretation may vary by sex depending on the context of use (e.g., diagnostic vs prognostic). Finally, for others, there will be no sex differences in concentrations or their interpretation. The review will be laid out in a sequence of topics. First, to provide a basis for sex differences, brain structural and functional differences will be described and the means by which these differences could contribute to sex differences in biofluid concentrations will be discussed. Second, the current state of sex differences in the core and up-and-coming AD-related biofluid markers (i.e., A $\beta$ , P-tau, T-tau, NfL, and neurogranin [Ng]) will be reviewed. Lastly, factors that can lead to the misinterpretation of observed sex differences in biomarkers (either providing evidence for or against) will be considered. Throughout, sex is defined as the biological and physiological differences between women and men, with sex chromosomes (XX versus XY) and gonadal hormones primarily contributing to these differences at the cellular, organ, and systems level (30).

## Sex differences in brain structure and function

There are several differences in the brain anatomy of men and women, the most notable being that men have about a 10% larger head size and cerebral brain volume compared to women (31, 32). Correspondingly, men also have greater CSF, lateral ventricles, and sulcal volumes compared to women (32–35). In addition, men have a higher percentage of white matter whereas women have a higher percentage of grey matter and higher cerebral blood flow at rest and during cognitive activity (35–37). Although many of these differences are likely due to sex hormones and sex chromosomes, the exact mechanisms are not well understood (38–40).

Sex differences in brain structure and function could contribute to differences in biofluid results. For example, there are several ways of quantifying neurodegeneration. CSF neurofilament light chain (NfL) is a marker of large-caliber subcortical axonal degeneration and studies consistently show higher levels in men compared to women (41, 42), even among cognitively unimpaired individuals without a neurodegenerative disease. A potential explanation for the higher CSF NfL concentration in men is because of the greater proportion of white matter in men's brains. Thus, sex-specific reference intervals may be needed. In addition, sex differences in brain structure and function could contribute to differences in the susceptibility to specific brain pathologies. For example, women have greater white matter hyperintensity volumes than men even after adjusting for age, hypertension, and diabetes (43). In contrast, men have a higher prevalence of cerebral microbleeds and cortical infarctions (43, 44). Blood-based biomarkers of each of these pathologies may warrant sex-specific cutpoints for screening/diagnostic or prognostic use due to differences in susceptibility and presentation of clinical symptoms.

A similar situation exists with AD pathology. An autopsy study of 141 clergy members (Catholic nuns, priests, and brothers) found that women had more global AD pathology, which was driven by more neurofibrillary tangles but not amyloid plaques (45). Subsequent autopsy studies also replicated these findings (46, 47). One of these studies demonstrated that hippocampal neurofibrillary tangles quantitatively differed by age at death and sex, with women showing more pronounced increases in neurofibrillary tangles in the hippocampus with age compared to men, suggesting a sex-specific neuroanatomic susceptibility (46, 47). In addition, for the same amount of AD pathology, these studies have reported that women were more likely to express clinical symptoms than men (45–47). Thus, a biomarker of AD pathology could have sex-specific cutpoints to enhance diagnosis either because of differences in the amount of pathology or because of differences in susceptibility. When translating these sex differences at autopsy to the development and utility of *in vivo* biomarkers of AD neuropathology, it is important to note that there can be multiple biomarkers of each pathology that provide different information. For example, Amyloid PET is a measure of aggregated amyloid plaque burden that accumulates over time. In contrast, CSF provides the concentrations of A $\beta$ 40 and A $\beta$ 42 from the lumbar sac that reflect the rates of both amyloid production and clearance. Thus, CSF A $\beta$  is a biomarker of a pathologic state that is associated with plaque burden but is not a measure of plaque load (24).

## Sex differences in AD-related biofluid-based biomarkers

Although several studies have examined CSF and blood-based biomarkers, the majority did not specifically examine whether the biomarker concentrations differ by sex, or whether the interpretation of the results differ by sex. Instead, studies adjust for sex, which treats the variable as a nuisance to be reduced or eliminated rather than trying to examine whether a sex difference exists. Thus, there is minimal literature for many markers and it is difficult to make specific recommendations about whether sex should be considered in the development of reference intervals (with the exception of a couple of markers described below). Below is a brief overview of reported sex differences, or lack of sex differences, in the current literature of core and up-and-coming AD-related biofluid-based biomarkers.

### Amyloid-beta

Low, not high, concentrations of A $\beta$ 42 or the A $\beta$ 42/A $\beta$ 40 ratio are indicative of elevated brain amyloid pathology because the more brain amyloid deposited in plaques, the less available for secretion to the CSF and blood. The concentration of A $\beta$ 40 is an amyloid peptide species examined in the blood and CSF but has not been found to be altered in AD. However, some studies have suggested that the A $\beta$ 42/A $\beta$ 40 ratio is superior to the concentration of A $\beta$ 42 alone for diagnosing AD (48–51).

Cross-sectionally, sex differences have not been found in the concentrations of CSF A $\beta$ 42, A $\beta$ 40, or in the A $\beta$ 42/40 ratio (52–55). Because CSF A $\beta$  concentrations do not appear to differ by sex, sex-specific reference intervals are not needed for diagnostic purposes (i.e., determining whether a patient has elevated brain amyloid). Longitudinally, studies have found interactions between sex and CSF A $\beta$ 42 such that for a given CSF A $\beta$ 42 concentration, women have greater declines in hippocampal atrophy and memory performance and a greater increase in CSF P-tau concentrations (54, 56). Thus, given the differential prognostic performance of CSF A $\beta$ 42 by sex, sex-specific reference intervals may need to be considered for this context of use. For example, when developing cutpoints for predicting rate of cognitive decline and neurodegeneration, a lower cutpoint may be needed for women. Such sex-specific cutpoints could be particularly useful in clinical trials to identify and enroll the men and women most likely to progress over the trial duration.

Most studies of blood A $\beta$ 42, A $\beta$ 40, or in the A $\beta$ 42/40 ratio have also not assessed sex differences in concentrations. An initial attempt to determine reference intervals of plasma A $\beta$ 1–42 using the Innostest ELISA kit among 245 individuals did not find a sex difference (57). With the continuous development of multiple assays to quantify blood A $\beta$ , all assay platforms will need to determine if sex differences exist because some platforms measure different isoforms, for example A $\beta$ N-42 vs A $\beta$ 1–42.

### Phosphorylated tau

High CSF and blood P-Tau are indicative of abnormal hyperphosphorylation of the microtubule-associated protein tau. Similar to CSF A $\beta$ , cross-sectional CSF studies of P-tau concentrations generally do not find sex differences (52–55). However, as mentioned, women with low CSF A $\beta$  may be more susceptible to increased phosphorylated tau

concentrations (54, 56). To date, few studies have measured P-tau in the blood. The Mayo Clinic Study of Aging (MCSA) did not observe sex differences in plasma P-tau 181 concentrations (unpublished observations) (58). Other studies of plasma phosphorylated tau 181 did not examine whether concentrations differed by sex (59, 60).

### Total tau

Similar to CSF P-tau, studies have not found sex differences in T-tau concentrations (41, 52–55). However, this is not surprising because the correlation between CSF P-tau and T-tau is so high across the AD clinical spectrum (spearman's  $\rho > 0.96$ ), and sex differences in CSF P-tau concentrations have not been reported (61–63). In contrast to CSF, the correlation between plasma P-tau and T-tau is much lower (spearman's  $\rho = 0.29$ ) (58). A possible explanation for this discrepancy is that tau isoforms in blood differ from those in the CSF. In particular, full-length tau is the dominant isoform in plasma but not in CSF (64). Studies including Biofinder, the Alzheimer's Disease Neuroimaging Initiative (ADNI), and the MCSA, all of which used the Quanterix single-molecular array (SiMoA) platform, did not find sex differences in T-tau concentrations (65). However, a study using the immunomagnetic reduction (IMR) assay from MagQu found higher plasma T-tau concentrations in men compared to women after adjustment for age and APOE genotype (66). The SiMoA assays measure the midregion of tau isoforms whereas the IMR assay is focused on the C terminal region of the tau protein. Whether this difference in target region of the assay is driving the disparate results in sex differences is not known, but additional research is clearly warranted. Specifically, comparative studies of multiple platforms on the same study samples are needed to adequately compare the diagnostic and prognostic properties.

### Neurofilament light chain

Neurofilament light chain (NfL) is a biomarker of subcortical large-caliber axonal degeneration across multiple neurodegenerative disorders (67, 68). Multiple studies across the AD clinical spectrum, and in other neurodegenerative diseases, have reported higher CSF NfL concentrations among men (41, 42, 69). Whether this difference is the result of a greater vascular burden or a greater proportion of brain white matter for men compared to women is not known. However, it has been suggested that reference intervals for CSF NfL should be sex-specific (41, 42).

There are strong correlations between plasma and CSF NfL (70), and NfL in both mediums have been found to similarly associate with cognitive decline and change in cortical thickness or white matter integrity (70). Interestingly, however, plasma and serum NfL concentrations have not been found to differ by sex across the AD clinical spectrum (71, 72) or among patients with inherited peripheral neuropathies (73). The reason for the discrepancy in sex differences for NfL in the CSF versus blood, and the contributing mechanisms are not known. Regardless, given the ongoing development of reference intervals for plasma and CSF NfL, additional research is needed to identify what is contributing to the sex difference, or lack of sex differences, in order to best interpret what the values mean with regards to tracking neurodegeneration. Studies to date examining the prognostic utility of CSF or plasma NfL have not examined sex differences.

## Neurogranin

Neurogranin (Ng) is a synaptic protein that is highly enriched in the dendrites, is regulated by synaptic activity, and promotes the synaptogenesis process (74). As a marker of neurodegeneration, Ng is thought to be more specific to AD compared to other neurodegenerative diseases (e.g., Parkinson's disease, Frontotemporal dementia, Huntington's disease) (75–78). In a community-based study of 777 participants, the majority (88%) of whom were cognitively unimpaired, women had higher CSF NG concentrations compared to men (41). In another study of 302 participants, Ng concentrations were higher in women than men, but did not reach significance (79). The reasons for the higher CSF concentrations of Ng among women are not known but warrant further exploration to clarify the mechanisms and to determine their clinical meaning. To date, the few studies examining blood-based measures of Ng have not found differences between AD patients and cognitively unimpaired controls, or differences in Ng concentrations by sex (80). A possible explanation for the lack of findings in blood is that the predominant endogenous CSF and human brain tissue Ng peptide consisting of amino acids 48 to 76 is not found in the blood (81).

## Examples of sex-related factors that can influence the interpretation of AD-related biofluid biomarker results

There are multiple differences between women and men in anatomy and physiology across cells, tissues, organs, and systems. These differences can result from the sex chromosomes (e.g., presence of Y gene, increased doses of X genes in XX vs XY cells) or sex hormones (e.g., estrogen, testosterone). Social determinants of health that influence the physical and social environments are also important to consider. All of these differences can have marked influences on the development and progression of AD-related pathophysiology and on the measurement of related biomarkers (e.g., due to differences in protein clearance, metabolism, structure, etc.). A few examples are provided.

### APOE genotype

The  $\epsilon 4$  allele of the Apolipoprotein  $\epsilon$  gene (*APOE*), which codes for apoE protein, is the strongest known genetic risk factor for late-onset AD (82, 83). The apoE4 protein has consistently been linked with the reduced brain clearance of A $\beta$  and a diminished response to neuronal injury compared to the apoE3 or apoE2 proteins (84, 85). Compared to non-carriers, carriers of one  $\epsilon 4$  allele are 3–4 times more likely to develop AD, while the risk for those with two  $\epsilon 4$  alleles is considerably higher (83, 86).

Most studies have reported that the effects of the  $\epsilon 4$  genotype are more pronounced in women than in men, e.g. (87–93). For example, a study of almost 58,000 participants showed that among persons aged 65–75 years with the *APOE*  $\epsilon 3/\epsilon 4$  genotype, the risk of AD dementia was four-fold higher in women compared to men (93). With regards to AD biomarkers, women APOE  $\epsilon 4$  carriers had higher CSF P-tau and T-tau, but not A $\beta$ 42, compared to men who were  $\epsilon 4$  carriers (94). Several mechanisms underlying the interaction between sex and the *APOE* genotype on risk of AD have been proposed. For example, female *APOE*  $\epsilon 4$  knockout mice had decreased presynaptic density in the hippocampus (95)

and less of the beneficial microglial interactions with amyloid plaques compared to male mice (96). However, how the interactions between sex and *APOE* and the resulting mechanistic differences results in sex differences in concentrations of AD-associated biofluid-based biomarkers, and how such potential differences should be interpreted remain unclear.

### **Sex differences in blood-brain barrier permeability**

The blood-brain barrier (BBB) selectively regulates the transfer of molecules between the blood, brain parenchyma, and CSF. The permeability of the BBB increases with age and in the preclinical stages of many neurodegenerative conditions, leading to the accumulation of various molecules in the brain (97). The CSF/serum albumin ratio ( $Q_{ALB}$ ) is a standard way to measure BBB permeability because albumin is almost exclusively produced in the liver (98). Thus, an increased  $Q_{ALB}$  is indicative of higher permeability and potential for the transfer of proteins between the CSF and blood. A recent study of more than 20,000 patients who had undergone a lumbar puncture for any reason and 335 volunteers, aged one to 90 years, found significantly higher  $Q_{ALB}$  in men compared to women, starting around the age of 6 and up to 90 years (99). Because the sex difference was not markedly changed at puberty or menopause, it is unlikely that sex hormones explain the difference. It is possible that sex differences in CSF drainage or production could contribute to higher concentrations in men, but these mechanisms were not examined (100–102). The sex difference in  $Q_{ALB}$  is important because it could result in higher concentrations of blood-specific isoforms in the CSF and higher concentrations of CSF-specific isoforms in the blood among men. This aspect will need to be considered when examining sex differences in the concentrations of both blood and CSF AD-related biomarkers.

### **Sex differences in blood proteins**

Plasma and serum have a higher total protein concentration and a more complex protein matrix than the CSF, which can make it difficult to accurately measure AD-related biomarkers in the blood. For example, the binding of blood  $A\beta_{42}$  to many proteins in plasma or serum (e.g., albumin, lipoproteins,  $A\beta$  autoantibodies, apolipoprotein J, fibrinogen, immunoglobulin,  $\alpha$ -2-macroglobulin, apolipoprotein E, transthyretin, plasminogen, and serum amyloid p component) (103–105) can reduce the concentration of blood  $A\beta_{42}$  available for measurement. Sex differences in blood protein concentrations could therefore impact the measurement of blood  $A\beta_{42}$  and other AD-related proteins. Indeed, sex differences in serum albumin concentrations beginning around puberty and continuing to the age of 60 have been reported (106). In addition, women have higher platelet counts and higher platelet reactivity compared to men (107, 108). Multiple studies are already examining platelet  $A\beta$ ,  $A\beta$  precursor protein, and tau concentrations, but sex has typically been considered a confounder and sex-specific differences have not been examined (109–111).

### **Consideration of study design**

Inclusion of both men and women in studies is more nuanced than just trying to enroll equal numbers. Menopausal status and subsequent hormone therapy use, comorbidities, and environment, among other factors, should be considered to optimize the generalizability and



validity of the study. If there are differences in comorbidities (e.g., kidney function) by sex, this could influence sex differences in the clearance and measurement of some AD-related biomarkers. There are also sex differences in the prevalence of vascular-related risk factors and morbidities such that men on average have more vascular risk factors and vascular events up until around the age of 70 or 75 years, at which time women catch up. The addition of vascular pathology to AD-pathology can result in earlier symptom expression. A recent autopsy study of over 1,500 community-dwelling older adults found that women were more likely than men to have mixed AD and vascular pathology (112). As discussed above, women with the same amount of AD pathology are more likely to express clinical symptoms than men (45–47). However, whether this difference is due to comorbid vascular pathology or other factors such as brain reserve remains to be examined. Thus, several sex-related differences need to be considered in study designs.

## CONCLUSION

In this current era of precision medicine, it is increasingly important to consider sex differences in the etiology and progression of AD. Recent studies suggest that sex differences are observed in the concentrations of some biofluid-based biomarkers and also influence the interpretation of results. Thus, the consideration of sex differences throughout the development of AD-related biofluid-based biomarkers for clinical use is important and timely. This is especially true because most of the biofluid-based assays are still in development; comparisons across platforms and the consideration of reference intervals still need to be delineated. Thus, timing is excellent to consider whether concentrations for each marker and platform vary by sex and other factors before they are applied to clinic populations.

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## Nonstandard abbreviations:

<b>A<math>\beta</math></b>	amyloid-beta
<b>CSF</b>	cerebrospinal fluid
<b>NfL</b>	Neurofilament light chain
<b>P-tau</b>	phosphorylated tau
<b>T-tau</b>	total tau
<b>Ng</b>	neurogranin
<b>ADNI</b>	Alzheimer's Disease Neuroimaging Initiative
<b>MCSA</b>	Mayo Clinic Study of Aging
<b>SiMoA</b>	single-molecular array

<b>BBB</b>	blood-brain barrier
<b>Q<sub>ALB</sub></b>	CSF/serum albumin ratio

### Human Genes:

<b>APOE</b>	apolipoprotein
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### IMPACT STATEMENT

In the past few years, there has been rapid development of cerebrospinal fluid and blood-based biomarkers for Alzheimer's disease (AD) and related disorders. Some assays are nearing clinical use for screening or diagnostic purposes. However, one aspect not commonly considered in assay development is sex differences. There are several ways in which sex can affect the measurement or interpretation of AD-related biofluid biomarkers, including sex-differences in biomarker concentrations and sex differences in the impact or interpretation of the biomarker. This review highlights the impetus to consider sex differences in the development and interpretation of clinical assays for AD dementia and related disorders.