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Cortico-Cerebellar Networks for Visual Attention and Working Memory

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Abstract

Cerebellar cortex, which is cytoarchitecturally homogenous, can be functionally differentiated by connectivity differences across the cerebral cortex. The cerebral cortical dorsal attention network exhibits strong, selective connectivity with a set of cerebellar circuits, including lobule VIIb/VIIIa. Recent findings demonstrate that lobule VIIb/VIIIa exhibits functional properties characteristic of the cortical dorsal attention network: Task-specific activation; working memory load-dependent responses; and the representation of visuospatial location. Moreover, functional cortico-cerebellar subnetworks exhibit topographic specialization for different aspects of visual attentional processing. Thus, cerebellar lobule VIIb/VIIIa, rather than simply supporting motor functions, appears to be an integral part of the brain's visual attentional circuitry. More generally, these findings suggest that parallel cortico-cerebellar networks may play highly specific functional roles in a broad range of cognitive processes.

Introduction

Our understanding of the neural substrates of attention and working memory has greatly expanded in recent years. This includes a greater appreciation of subcortical contributions to attentional processing. A limited set of subcortical areas have historically been implicated in visual attention, namely the superior colliculus and pulvinar nucleus [1–3]. The majority of subcortical structures, however, have not been traditionally associated with attentional functions. The cerebellum, in particular, has received little consideration as a locus of attentional control. Rather, substantial evidence primarily links the cerebellum with motor control and coordination [4–8]. However, recent evidence suggests that cerebellar cortex exhibits considerable heterogeneity in its anatomical and functional connections with extracerebellar structures [9,10]. Interestingly, a substantial portion of the cerebellum is found to be connected with portions of cerebral cortex associated with non-motor functions [10]. Consequently, a number of studies have found evidence for cerebellar contributions to

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a variety of non-motor functions, including attention and working memory (see [11] for an in-depth review of cerebellar involvement in cognitive function more generally; [12–19]). Here, we will review converging evidence from lesion and functional imaging studies for cerebellar contributions to attention and working memory function. In particular, we will summarize recent research indicating that a functional brain network responsible for directing visual attention and working memory processes includes cerebellar structures.

Cerebellar Anatomy and Connectivity

The cerebellum can be grossly subdivided into two hemispheres and a midline region known as the vermis. Each of these structures can be further subdivided into 10 lobules (Figure 1a) [20]. Cerebellar lobules are organized into an anterior lobe (lobule I–V), a posterior lobe (lobule VI–IX), and a flocculonodular lobe (lobule X). All connections between cerebral cortex and cerebellum are polysynaptic. Afferent fibers originating from the cerebral cortex reach cerebellar cortex via the pons or the inferior olivary nucleus. Efferent connections are relayed to the cerebral cortex through the cerebellar nuclei and then the thalamus [4,21–23]. Thus, both afferent and efferent limbs of the cerebrocerebellar circuit consist of two stages.

Cerebellum and Cognition

The effect of cerebellar injury on cognitive impairment has been the subject of considerable debate. Cerebellar cognitive affective syndrome (CCAS), resulting from injury to the cerebellar posterior lobe, is characterized by deficits across a diverse array of cognitive and affective domains [24,25]. Furthermore, a number of studies have reported covert attentional deficits resulting from cerebellar damage [26–29]. However, a competing literature suggests that injury to the cerebellum produces considerable motor deficits with little to no cognitive deficits [30–35]. One explanation for these divergent findings is the use of heterogeneous patient groups, which vary substantially in the location and extent of cerebellar damage, as well as the source of cerebellar abnormality (lesion or degeneration). A more precise symptom-lesion mapping of attention deficits revealed that only a subset of cerebellar lesion patients exhibited abnormal reaction times in a covert attention task and that lesions in these patients tended to be localized to vermal lobule VI and Crus I [36]. A later study found that lesions located in Crus II, lobule VIIb and lobule VIIIa also produced attentional impairments [37]. These findings provide evidence for functional specialization within the cerebellum, with specific cerebellar structures associated with attention deficits. Functional imaging studies provide further evidence for the recruitment of specific cerebellar structures by attention and working memory tasks [12,38,39]. In one notable study, Allen and colleagues [12] found evidence for a double dissociation whereby attention activated an area located within lobule VI and Crus I, and a motor task activated a distinct area within the anterior lobe (lobule III–V). Another study showed that shifts of attention elicited robust activation of Crus I, as well as a midline region within lobule VII [38]. There is also substantial evidence that specific portions of the cerebellum are modulated by working memory load [13,14,17,40,41]. For instance, one study found that parametrically increasing the number of to-be-remembered letter stimuli in a Sternberg task produced linear load-dependent increases in activation in both lobule VI/Crus I and lobule VIIb/VIIIa [14]. These

cerebellar findings set the stage for network-level examinations of cortico-cerebellar interactions supporting attention and working memory function.

Dorsal Attention Network Extends to the Cerebellum

Considerable evidence demonstrates that a network of frontal and parietal cerebral cortical areas referred to as the dorsal attention network (DAN) supports visual attentional function [42–45]. The DAN is typically considered to include the intraparietal sulcus and superior parietal lobule within the parietal lobe, the superior and inferior pre-central sulcus within lateral frontal cortex, and area MT within occipito-temporal cortex [42,46–51]. A substantial body of research has detailed three characteristic properties of functional areas belonging to the visual DAN: 1) *recruitment by sustained attention and working memory paradigms* when contrasted with a sensorimotor control condition e.g. [42,47,52,53]; 2) *load-dependent activity* that increases parametrically with the number of items successfully attended or maintained in working memory e.g. [53–55]; and 3) *the representation of the spatial locus* of attention and working memory. For instance, persistent activity in fronto-parietal cortex has been shown to accurately predict the location of a stimulus covertly attended or maintained in working memory [56,57]. This representational specificity corresponds with the location of topographic maps of the visual field identified via retinotopic mapping procedures [56,58,59].

Recent work from our laboratory demonstrates that a specific portion of the cerebellum, dorsomedial lobule VIIb/VIIIa, is functionally connected with the visual DAN and that it exhibits these three characteristic functional properties of the DAN [17,18]. Multiple cerebellar sites have been shown to exhibit robust and specific intrinsic connectivity with cortical DAN areas, including lobules VI, Crus I, VIIb, VIIIa and IX [10,17,60]. We [17] observed that a visual attention multiple object tracking paradigm robustly recruited areas of the cerebellum that exhibit intrinsic functional connectivity with the cortical DAN (Figure 1b–c). This confluence of DAN connectivity and attention recruitment was found to be primarily located within cerebellar lobules VIIb and VIIIa within the posterior cerebellum. This task recruitment was specific to cerebellar areas coupled with the cortical DAN as opposed to other networks (Figure 1e). Further, we [17] observed that cerebellar lobule VIIb/VIIIa was sensitive to working memory and working memory load, indicating that this recruitment reflected cognitive aspects of the task (Figure 1d & f). Additionally, we found preferential suppression of cerebellar areas that were functionally connected with the cortical default mode network, mirroring the suppressed responses of cortical default network regions during goal-directed task performance [61,62] (Figure 1e–f).

Cerebellar Visual Field Representations

The cortical DAN contains multiple spatial maps of the visual field, which are theorized to constitute attentional priority maps that represent prioritized portions of visual space in service of attention and working memory [56,57]. We [18] recently observed visual field representations within cerebellar lobule VIIb/VIIIa across two experiments. The first experiment employed a lateralized visual working memory change detection paradigm in which stimuli were presented bilaterally, but working memory target items were restricted to

one visual hemifield (as shown in Figure 3a). Voxels located in the dorsomedial portion of lobule VIIb/VIIIa were selective for the ipsilateral visual hemifield. This ipsilateral bias mirrors the well-documented contralateral bias observed throughout the cortical DAN [47,53,56,58,59], and is consistent with the crossing of fiber tracts connecting the cerebral cortex and cerebellum at the cerebellar peduncles [63,64]. The second experiment performed population receptive field (pRF) mapping analysis (Figure 2a) and confirmed the existence of an ipsilateral visual hemifield representation within the dorsomedial portion of lobule VIIb/VIIIa (Figure 2b–c). Thus, dorsomedial cerebellar lobule VIIb/VIIIa accurately encodes the visual field locus of attention in a similar manner to cortical attention areas.

Functional topography of visual attention and working memory processing within cerebellum

The cerebral cortex is characterized by a high degree of functional specialization. Current evidence suggests the cerebral cortex contains upwards of 200 specialized areas in each hemisphere e.g. [65,66]. The functional specificity of cerebral cortex can in part be explained by differences in cytoarchitecture [67]. The microstructural organization of the cerebellum, on the other hand, is relatively homogenous [68,69]. Hence, the cerebellum is traditionally viewed as functionally invariant. However, both task and connectivity measures provide evidence for the topographic arrangement of function within the cerebellum. It has been shown that distinct portions of the cerebellum are reciprocally connected with motor and association cortex respectively [9,10]. Furthermore, a wide range of tasks have been shown to elicit non-overlapping patterns of activation within cerebellar cortex, including working memory, attention, language, executive function and affective tasks [16,19,70,71]. Yet, these studies do not provide sufficient evidence to suggest that the cerebellum possesses a similar level of functional specificity as cerebral cortex. We [18] examined the specificity of cerebellar recruitment by closely related aspects of visual attention and working memory tasks by comparing effect size estimates for spatial coding (attend left vs. right) and visual working memory load (set size 4 vs. 1) within lobule VIIb/VIIIa (Figure 3b). Different portions of lobule VIIb/VIIIa were found to be selective for spatial coding and working memory load. The dorsomedial portion of lobule VIIb/VIIIa was more strongly recruited by spatial coding, and an area shifted ventrolaterally was more strongly activated by visual working memory load (Figure 3c & d). This functional organization was shown to mirror the organization of these processes in cerebral cortex (Figure 3e). Furthermore, we observed that the specificity of task recruitment could be explained by fine-scale differences in connectivity between cortex and cerebellum. Resting-state functional connectivity of space- and load-selective portions of lobule VIIb/VIIIa with cerebral cortex differed and this differential connectivity accurately predicted differences in functional selectivity across occipito-parietal cortex (Figure 3f). This evidence indicates that, at least in the attentional domain, cerebellar cortex exhibits fine-scale functional specialization similar to the degree of specialization observed in cerebral cortex. Furthermore, the observed specificity is reflected by fine-scale patterns of cortico-cerebellar functional connectivity.

Conclusions

The research findings discussed here demonstrate that visual attention and visual working memory functions are supported by dorsomedial cerebellar lobule VIIb/VIIIa, but numerous questions remain for future research (Box 1). More broadly, we note that the current evolution in the field's understanding of the cerebellum's role in cognitive processes can be likened to a similar progression in our view of basal ganglia function. That is to say, we suggest that "the cerebellum is the new basal ganglia." A few decades ago, the basal ganglia were widely thought to be limited to providing support for motor control functions; however, today the basal ganglia are understood to make major contributions to a vast array of emotional and cognitive processes [72–75]. The cerebellum, despite containing half of the brain's neurons, has been largely neglected by much of the cognitive neuroscience community, outside of those studying motor control. Due to the homogeneity of cerebellar cortical circuit organization and the lack of monosynaptic connections between the cerebral cortex and cerebellum, progress in understanding the functional specificity of the cerebellum has long been stalled. However, recent advances in resting-state fMRI connectivity analysis and in polysynaptic anatomical tracing find evidence for fine-scale specificity in cortico-cerebellar networks similar to the specificity observed in cortico-striatal networks [9,10,18,76]. We argue that each cerebral cortical network may functionally extend to a specific cerebellar component. The anatomical regularity of the cerebellar circuitry suggests each cerebellar region may play a consistent computational role in parallel cortico-cerebellar networks, and that functional differences between cerebellar regions may arise from variation in the specific cortical sites with which they are connected [77]. Future cognitive neuroscience studies across a broad range of topic areas should further investigate cerebellar contributions to functions previously thought to be predominantly, if not exclusively, mediated by cerebral cortical regions.

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Box 1.**Questions for Future Research**

- 1. Cerebellar Specificity for Working Memory Processes.** Although lobule VIIb/VIIIa exhibits load-dependent recruitment during visual working memory (VWM) task performance, it is not clear if this reflects processes specific to VWM, such as delay-period activation or stimulus-specific VWM responses, or rather reflects more general attentional processes.
- 2. Attention Contributions from regions other than VIIb/VIIIa.** In addition to lobule VIIb/VIIIa, smaller regions located within lobules V/VI and VIIIb/IX also exhibit functional connectivity with the cortical DAN [10]; finer-scale investigations of the possible attentional contributions of these regions should be performed.
- 3. Distinct Cerebellar Contributions to DAN.** The results summarized in this paper focus on the functional similarities of cerebellar regions to regions of the cortical dorsal attention network. Future studies should strive to isolate the unique functional contributions of cerebellar regions to visual attention and VWM.
- 4. Influence of Microsaccades on Cerebellar Responses.** In-scanner eye tracking demonstrates that the cerebellar attention results described here do not reflect eye position or the rate of saccadic eye movements [17,18]; however, it remains unclear if any cerebellar regions contribute to the suppression of microsaccades that occurs during visual attentional encoding [78,79].
- 5. Cerebellar Specificity for Attended Sensory Modality.** While attention to different sensory modalities recruits different cortical networks [80], it is unclear if these modality-specific attention networks extend to cerebellum. One study observed that visual and auditory motion stimuli drive the cerebellum differently, but that task-difficulty increases in each modality drove only a shared cerebellar region [81].

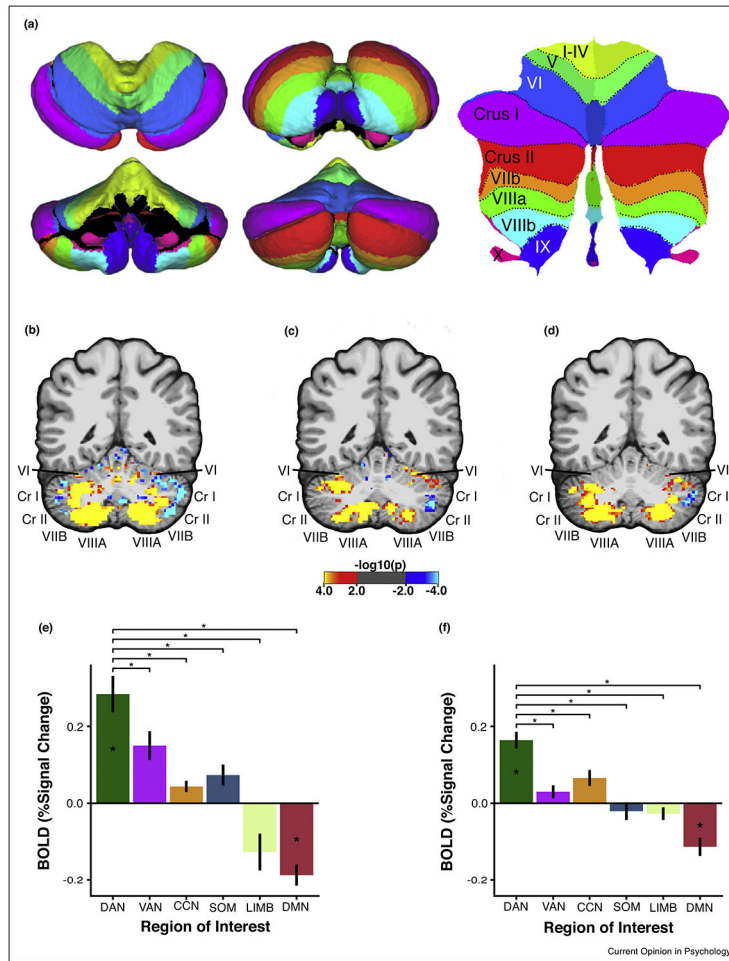


Figure 1. Functional MRI evidence for a cerebellar node of the dorsal attention network. (a) Pial surface representation of the cerebellum from superior (top left), anterior (bottom left), inferior (top middle), and posterior (bottom middle) views. Colors denote lobular boundaries. Flatmap representation of the cerebellum [82] is shown on the right with corresponding lobular labels. (b) A single subject’s pattern of resting-state functional connectivity with the cortical dorsal attention network. Color map reflects p-value of the t-statistic produced by regressing the time course of each cerebellar voxel on the average time course of the cortical DAN. (c) The same subject’s activation pattern for a visual attention multiple object tracking task (contrast of set size 4 vs. set size 0). The task required participants to covertly track multiple moving target items among identical distractors. Color map reflects p-value of t-statistic for task activation contrast via GLM. (d) Cerebellar activation pattern elicited by a contrast of high load (set size 4) working memory with low load (set size 1) working memory. Participants were required to maintain the identity of varying numbers of items over short delays in order to detect the presence or absence of a change in the identity of one of the stored items. (e) Cerebellar region-of-interest (ROI) analysis shows that covert attentional tracking selectively recruits portions of the cerebellar that are functionally coupled with the cortical DAN. Cerebellar ROIs were defined by winner-take-all procedure which assigned cerebellar voxels to the cortical network with

which they had the strongest correlation. Other networks shown: Ventral Attention Network (VAN), Cognitive Control Network (CCN), Somatomotor Network (SOM), Limbic Network (LIMB), and Default Mode Network (DMN). (f) Cerebellar network ROI analysis for the visual working memory load contrast (set size 4 > set size 1). Adapted from Ref. [17]

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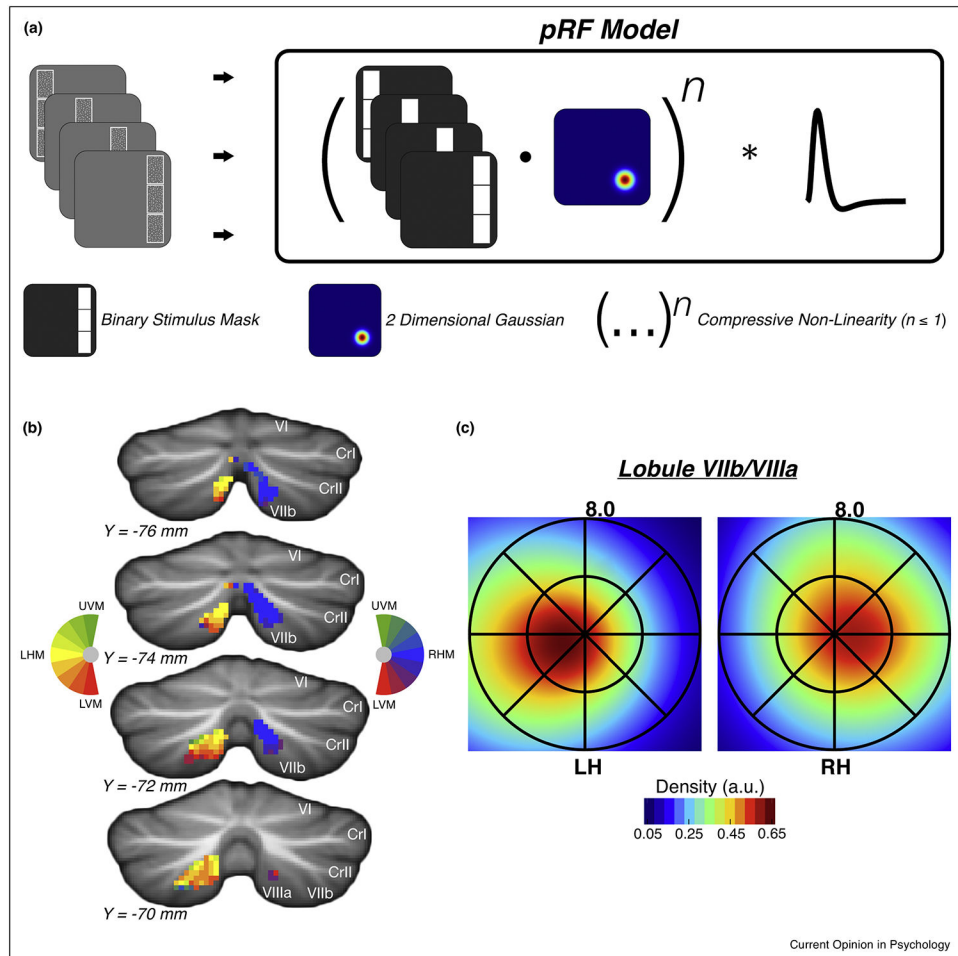


Figure 2. Cerebellar lobule VIIb/VIIIa visual field representations. (a) Schematic of population receptive field (pRF) mapping procedure. Participants held central fixation while bar-like apertures containing moving dot stimuli were slowly swept across the visual field in each of four cardinal directions. The task was to report which of the two outer sections possessed dot motion in the same direction as the inner section. This task was repeated for each step in the visual field sweep. The pRF modeling procedure consists of converting trial stimulus images to 2D binary masks and then computing the dot product between the binary mask and a 2D Gaussian (representing a predicted pRF) for each timepoint. A static power-law non-linearity is then applied to account for subadditive spatial summation. Lastly, the generated time course is convolved with a hemodynamic response function. (b) Polar angle visual field location preferences produced by a pRF mapping analysis for a single subject. Colors reflect visual field polar angle relative to central fixation; LHM – left horizontal meridian (yellow), RHM – right horizontal meridian (blue), UVM – upper vertical meridian (green), LVM – lower vertical meridian (red). (c) Group-average ($n=5$) visual field coverage maps for left and right hemisphere lobule VIIb/VIIIa. Coverage maps are produced by averaging the pRFs (scaled to peak at 1) of above-threshold (cross-validated predicted-actual correlation > 0.2) lobule VIIb/VIIIa voxels within and across subjects. Adapted from Ref. [18]

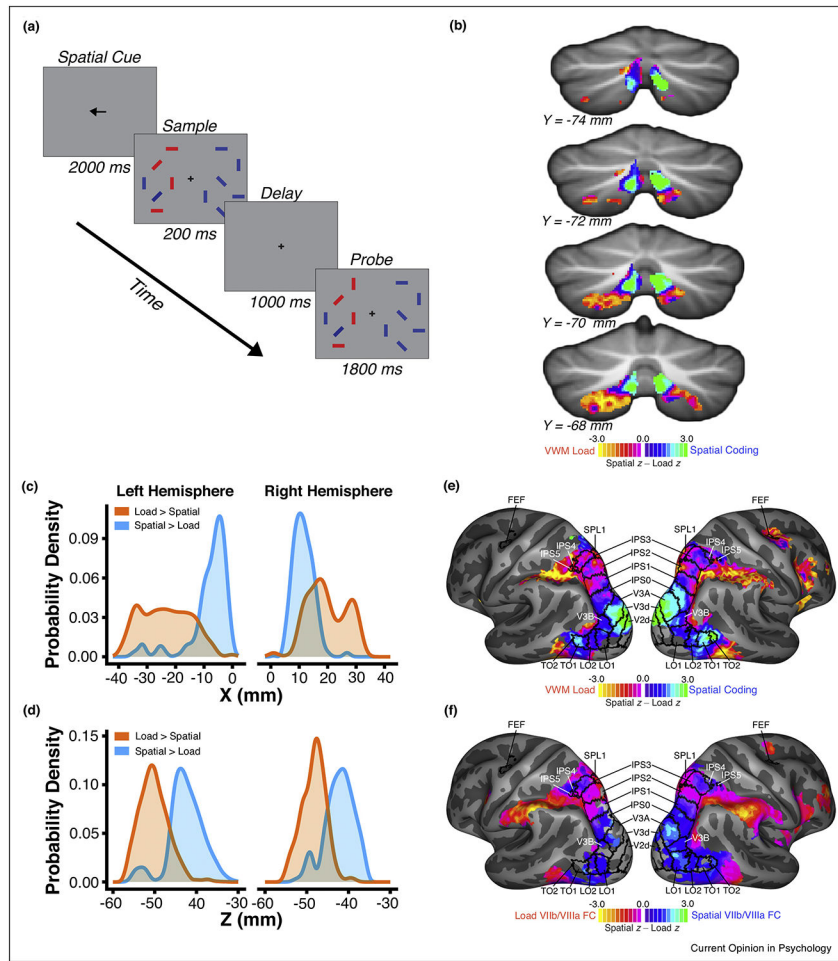


Figure 3. Fine-scale topography of cortico-cerebellar networks for visual attention and working memory. (a) Task Configuration. Participants held central fixation while covertly performing a spatially lateralized visual working memory task, in which they were asked to encode the orientation of 1 or 4 target stimuli (red) and to report whether the orientation of any bar changed (one bar changed on 50% of trials, no change on other 50% of trials) across a brief delay interval. Target stimuli alternated visual hemifields across blocks of trials. (b) Normalized comparison of spatial location coding and VWM load coding revealed complementary gradients, for which spatial coding is more robust dorsomedially and VWM load coding is more robust ventrolaterally. (c, d) Probability density curves for VWM load coding (orange) and for spatial coding (blue) showed separable profiles in the X- and Z-dimensions (MNI coordinates). (e) Normalized comparison of spatial coding and VWM load coding in the cerebral cortex revealed a gradient in parieto-occipital cortical regions. Within parietal cortex, dorsomedial retinotopic areas IPS0–5 exhibited varying degrees of spatial bias, while the ventrolateral portion was biased for VWM load coding (f) Contrast between resting-state functional connectivity of cerebellar lobule VIIb/VIIIa spatial coding and VWM load coding seeds accurately reflected the functional gradient observed in the task data of panel e. Adapted from Ref. [18].