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## **Regulatory genome variants in human susceptibility to infection**

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## **Abstract**

Genome studies have accelerated the discovery of common and rare genetic variants associated with susceptibility to infection and with disease severity. Genome wide association studies identified many common genetic variants associated with modest risk for infection. Over 80% of these common variants map to the non-coding genome and are thought to modulate the regulatory networks. Exome sequencing has rapidly expanded the number of recognized primary immunodeficiencies through the identification of rare coding variants. In contrast, less than 29 primary immunodeficiencies have causative rare variation mapped outside protein coding regions. In the future, whole genome sequencing will accelerate the identification of rare variants of substantial phenotypic impact that disrupt essential regulatory elements and the 3-dimensional structure of chromatin.

> The two main strategies of study of the human genome are the sequencing of the exome, and the high-density genotyping arrays used in genome-wide association studies (GWAS). Both approaches have been successful for the identification of genetic determinants of susceptibility to infectious diseases. Exome sequencing has improved the characterization of primary immunodeficiencies (PIDs) while GWAS have identified common genetic variants associated with risk infection. GWAS also deepened the understanding of the role of the Major Histocompatibility Complex (MHC) in infection and immunity. Notably, GWAS studies, by mapping many of the associated variants outside of the coding regions have provided a first assessment of the role of regulatory regions in disease.

Recent efforts at extending the search space from the protein coding (exome) to the immediate regulatory regions show that new regulatory pathogenic variants of large effects can be identified in a small fraction of human disease cases (1–3). In parallel, there are recent examples of diseases that implicate genetic variation in distal enhancers and changes in the 3D genome structure  $(2, 4)$ . Thus, the next milestones in the interpretation of the

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Conflict of interest

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human genome sequence may emerge from the analysis of functional consequences of rare genetic variants in the non-protein coding genome that includes the regulatory machinery.

### **Redundancy in regulatory networks**

There is debate on the expected impact of non-coding, regulatory variants on human traits and diseases. The common belief states that there is considerable regulatory redundancy and that most regulatory variants will have limited functional consequences. Therefore, the concept of redundancy is central to current debate on the robustness of gene regulatory networks. Redundancy reduces the likelihood of severe consequences resulting from genetic or environmental challenges – including in infection (5). Work on developmentally expressed genes supports the concept of functionally redundant enhancers in mammalian genomes (6). However, enhancers can also be under purifying selection over evolutionary time and be relevant for organismal fitness under specific pressures because of their contribution to overall gene expression levels (6). We have in the past indicated that essential genes use proximal and distant regulatory elements that are constrained (conserved) intrahuman – thus representing human-specific essentiality in the non-coding genome (7, 8). Such essential/constrained regulatory regions may be important for the fitness of the organism.

The identification of disruptive variants in regulatory elements with critical function may lead to disease. Redundancy in genomic regulatory circuitry should not be interchanged with biological redundancy where mutations affecting immunity genes may result in pleiotropic patterns of susceptibility to infections or result in no disease (9).

#### **Defining putative essential regulatory elements**

Interpretation of the non-coding genome requires the identification of landmarks, features and structures, the same principles that aid the interpretation of the coding genome. Genome-wide epigenomic maps (ENCODE, BluePrint Epigenome, Roadmap Epigenomics) have revealed hundreds of thousands of regions showing signatures of enhancers, promoters, and other gene-regulatory elements (10). However, the high-resolution dissection of functionally relevant nucleotides in the non-coding genome remains limited at present (11). Multiple sources of biochemical, genetic, and evolutionary data convey functional information on the non-coding genome (12). These data are used by different scoring algorithms (13–22) that aim at ranking variants according to their predicted deleteriousness. Increasingly, there are new sources of relevant genomic data that have not been included in previous analyses. These include the data on human-specific constraints that are revealed by population genomic analyses (7), analyses of 3D organization of the genome (23, 24), and high throughput screens via reporters or CRISPR Cas9 screens (25). On the front of analytical tools, there is a particular emphasis on the use of machine learning (ML) and deep learning (DL), approaches that are optimally suited to manage the sizable sources of information and data. We have described the basic principles for ML and DL for genomics in recent publications (26, 27). The reason to resort to ML and DL approaches for the analysis of the whole genome is because of the inherent flexibility of these computing tools to integrate the many signatures of promoters, enhancers, chromatic marks, topological

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There are today different scoring algorithms that can predict the consequences of genetic variants in the non-coding genome (Table 1). There is however limited experience on the implementation of these tools, their accuracy and clinical usefulness – in part because of limited use of whole genome sequencing today. For all these considerations, the list of rare non-coding genome variants associated with susceptibility to infection, and PIDs – or with any other Mendelian or rare/de novo disease – is at present very limited.

## **Common non-coding variants modulating susceptibility to infection**

Many of the common variants associated with infectious diseases have been identified through GWAS. As of March 2019, the GWAS catalog [\(https://www.ebi.ac.uk/gwas/home\)](https://www.ebi.ac.uk/gwas/home) lists 484 lead variants at a significance p value of  $< 5x10^{-8}$  that are associated with 22 different infectious diseases and traits. Only 16 of the 484 (3%) lead variants are located in protein coding regions, 120 (25%) are in the MHC/HLA region – many of which are in regulatory regions; the remaining variants are broadly distributed across the non-coding, regulatory genome (Table 2). Recently, Ramsuran et al. reviewed the literature for reports of infectious disease associations with non-coding variants (28). Overall, the individual contribution (effect size) of these common variants to disease risk is small, and of unclear use to inform clinical care. Many variants would also need confirmatory follow-up studies that secure the validity of the original observation.

On the related field of immunology, where GWAS have identified hundreds of risk loci for autoimmunity, Fahr et al. (29) conducted one of the largest studies of the genetic and epigenetic fine mapping of GWAS common disease variants. Their work indicated that these variants tend to occur near binding sites for master regulators of immune differentiation and stimulus-dependent gene activation, but only 10-20% directly alter recognizable transcription factor binding motifs. Rather, most non-coding risk variants, including those that alter gene expression, affect non-canonical sequence determinants that are not wellexplained by current gene regulatory models. Remarkably, 60% of the variants mapped near binding sites for immune-related transcription factors, but rarely altering their cognate motifs. There is a preference for stimulus-dependent T-cell enhancers that respond to immune activation by increasing histone acetylation and transcribing non-coding RNAs. The implicated pathways include antigen presentation, cytokine signaling, and NF-kB transcriptional regulation (29).

A complementary approach to the understanding of the role of common regulatory variants is through the study of expression quantitative loci (eQTL). These are a subset of common variants that are statistically associated with differences in expression of the dependent gene. The analytical approach generally starts by the identification of genes that are differentially expressed in vitro or in vivo during experimental or clinical infection. The next step is to identify the common variants that associate with interindividual differences in the level of response for the set of genes that are specifically modulated by infection; i.e., eQTLs. The regulatory effects may modify immune activation (30, 31), or the expression of other

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regulatory elements such as miRNAs (32). Therefore, this approach combines aspects of candidate gene studies with common variant genetics. While the biological signals tend to be uncontroversial, less is known of the practical consequences of small interindividual differences in gene expression on clinical disease.

More advanced integration of eQTL, epigenetic, and transcriptomic profiling in human immune cells (ie., CD14+ monocytes, CD16+ neutrophils, and naive CD4+ T cells) serves to demonstrate the occurrence of widespread genetic regulation of immune and host defense pathways overlapping disease loci and involving not only gene expression but also splicing and epigenetic modifications (33). Human genetic variation substantially affects parameters of immune cells, particularly the cell-surface expression of markers conventionally used to identify leukocyte differentiation or activation (34). In another publication, Nédélec et al. reported that a total of 9.3% of macrophage-expressed genes show ancestry-associated differences in the gene regulatory response to infection, and African ancestry predicted a stronger inflammatory response and reduced intracellular bacterial growth (35). More than 75% of ancestry effects on the immune response could be associated with a single cis- or trans-acting expression quantitative trait locus (eQTL). Differences in disease risk may result from regulatory variants exerting their effects only in the presence of immune stress (36). Related to these developments, recent work by Mohammadi et al. (37) introduced a new tool (ANEVA-DOT, analysis of expression variation/ dosage outlier test) to quantify genetic variation in gene dosage. Applied to transcriptome data from individuals with Mendelian muscular diseases led to several potential new diagnoses. This signals the interest to reinforce current diagnostic pipelines with tools that explore the contribution of gene dysregulation to severe disease.

In summary, there is a bulk of information on common non-coding genome regulatory variants that associate with infection disease risk, with immune activation and with interindividual differences in gene expression during infection. This knowledge has translated in a better modeling of regulatory networks during infection and inflammation and is now supporting new diagnostic clinical applications.

## **Rare non-coding variants as cause of primary immunodeficiencies**

PIDs are characterized by recurrent and often life-threatening infections, autoimmunity and cancer (38). Although the most severe forms are identified in early childhood, the majority of patients present in adulthood, typically with no apparent family history and with a variable clinical phenotype of widespread immune dysregulation (38). Because PIDs represent a major diagnostic and therapeutic challenge, it is critical to uncover the genetic basis – both coding and non-coding.

Non coding genome variants may modify known genomic elements such as promoter and 5' or 3'-UTR regulatory motifs, enhancers, splicing machinery resulting in novel cryptic splice sites, miRNA and long non-coding RNAs, epigenetic signals and 3D chromatin organization. There is a limited number of non-coding pathogenic variants reported across ClinVar, the Human Gene Mutation Database (HGMD) and compiled from recent publications (7, 39–42) that are associated with PIDs and other Mendelian inflammatory

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disorders in 29 genes (Table 3). Consistent with a bias to sequence in proximity to exons, the median distance from those variants to the nearest splice site/exon is 109 nucleotides (range 10 to 1,776 bp). It is notable that some of the disease variants map to long non-coding RNAs (IncRNAs). Many IncRNAs are deregulated upon viral infection or IFN treatment, and some of them can modulate viral infection in an interferon-dependent or independent manner (43). Table 3 also provides the expectation of deleteriousness estimated by ncER (non-coding essential regulation score), a machine learning tool trained on functional, essentiality, conservation and structural features of the non-coding genome (44). The median ncER score for the set of variants associated with PIDs and other Mendelian inflammatory disorders in Table 3 is high – median of 93 percentile (the higherthe percentile the more essential the variant is) – with only 5 of 47 variants with percentile values below 80.

A recent report from Thaventhiran et al. (38) specifically addressed the respective role for common and rare variants in coding and non-coding sequences in a cohort of 974 sporadic and familial PID patients as part of the United Kingdom NIHR BioResource – Rare Diseases program. Analysis of coding regions of index cases found disease-causing mutations in known monogenic PID genes in 8.2%. Exploration of the non-coding space revealed deletions in regulatory regions which were thought to contribute to disease causation. It should be indicated that the non-coding genome analysis was limited to the identification of rare structural variants overlapping exon, promoter or 'super-enhancer' cisregulatory elements of known PID genes. No homozygous deletion events affecting cisregulatory elements were identified; however, the study identified 10 candidate compound heterozygotes. The study provided follow up analysis of three such events: a LRBA compound heterozygosis variant associated with impaired surface expression of CTLA-4 in Treg cells. The functional consequences of DOCK8 compound heterozygosis was confirmed by flow cytometry (results were not shown). However, these structural variants encompassed both exon ad regulatory regions. A third study case described premature stop in ARPC1B and a 9Kb deletion spanning the promoter region including an untranslated first exon. Western blot analysis confirmed the complete absence of expression of ARPC1B. Overall, the authors defended the utility of whole genome sequencing for detecting compound heterozygosity – that includes a coding variant and a non-coding *cis*-regulatory deletion- for the investigation of PIDs

In the same study, Thaventhiran et al (38) also used a genome-wide association study (GWAS) to show co-localization of, and interplay between, novel high penetrance monogenic variants and common non-coding variants (specifically, at the PTPN2 and SOCS1 loci). They argued that their data shed light on the contribution of common variants to variable penetrance and phenotypic complexity in PIDs. We are increasingly aware of the overlap between loci of PIDs and those for inflammatory diseases (eg. autoimmune diseases and arthritis). Fodil and colleagues (45) quantified the intersection between genes mutated in PIDs and GWAS signals for 22 inflammatory diseases. In total, 80 of the 265 identified PID genes (30%) fell within boundaries of GWAS loci. This overlap was highly statistically significant and provided insights into the genetic architecture of infectious and inflammatory diseases. Based on this intersection, Fodli et al. (45) proposed that rare variants in these genes may cause severe disease (PIDs), while the subtle modulation by common regulatory and coding variants may contribute to chronic inflammation.

The number of non-coding variants associated with PIDs will certainly increase, as documented by recent reports. For example, Boisson et al. (46) described a recurrent deep intronic mutation underlying cell type-dependent quantitative NEMO (IKBKG) deficiency. The reported variant creates a new splicing donor site, giving rise to a 44-nucleotide pseudoexon that causes a frameshift and the impairment of NF-κB activation.

Fischer and Rausell (9, 47) classified PID genes within two major groups: those associated with vulnerability to infection, and those associated with immunopathology, including autoimmunity, inflammation, and allergy, with a partial overlap among both categories. Specifically, they assessed genes mutated in PID to correspond to defects in adaptive immunity (n=189), innate immunity (n= 59) and mixed/unknown mechanisms (n-=39). Their work also characterized monogenic diseases causing autoimmunity and inflammation that were classified as resulting in defects in adaptive immunity (n=75) and in innate immunity  $(n=58)$  (47). Against these numbers, it is intriguing that, for the set of disorders with variants that may disrupt gene regulation listed in Table 3, we observe a reverse distribution of the type of immune defects: mixed/unknown (n=12), innate immunity (n=11) and adaptive immunity  $(=6)$ . We can only speculate on the significance of this observation in terms of the underlying immune regulatory mechanisms.

## **Future directions**

Progress in determining the role of regulatory variants in susceptibility to infection is linked to the gradual deployment of whole genome sequencing, to improvements in the interpretation of the consequences of variation in the non-coding genome, and to the establishment of target populations and cohorts for a dedicated diagnostic effort. Certainly, the current 30% diagnostic success with the use of exome sequencing in rare pediatric disorders opens the door to the use of whole genome sequencing. However, we lack consensus or model that establishes the expectation for success on the 70% of probands for whom no diagnosis has been established. The proportion of disease-causing mutations not located in the exome may range from 5% up to 50%, depending on the primary immunodeficiency category (48). One of the first settings to promote the investigation of the non-coding genome is in the study of PIDs that are lacking the "expected" known coding defects – would there be a compound heterozygosis that implicates the significant disruption of a critical regulatory element or topological associated domain?

Another field of interest – both for a broader use of exome and whole genome sequencing – is the study of unexpected severity to a common infection. Examples include the possibility that the most severe presentations of influenza, rhinovirus or respiratory syncytial virus – ie., ICU, death – represent a PID (49–56). Indeed, there are a number of recognized defects of the interferon response associated to few probands, but not yet a large-scale analysis of the proportion of subjects that could have an underlying genetic defect. While many efforts target the pediatric population, there is increasing awareness that adult-onset diseases may also have an underlying genetic lesion. Adult-onset is a known characteristic of common variable immunodeficiency. More recent examples include defects of the inhibitory receptor CTLA4 that present as adult-onset severe immune dysregulation (57) and the description of adult-onset immunodeficiency with anti-interferon-gamma autoantibodies (58) characterized

by disseminated non-tuberculous mycobacteria, non-typhoidal salmonella, cytomegalovirus, Penicillium marneffei, and varicella zoster virus.

In the future, the use of whole genome sequencing, possibly combined with transcriptome analysis in the same subjects, new scoring metrics for predicting deleteriousness or pathogenicity in the non-coding genome, and large scale analysis of cohorts of individuals with the most severe manifestations of common infections, should contribute to the characterization of the contribution of regulatory variants to pediatric and adult onset immune disorders without a known etiology.

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#### **Table 1.**

Non-coding genome pathogenicity scores.



#### **Table 2. Common variants associated with infectious diseases.**

Data from the GWAS catalog (March 2019) on the number of associated variants (n=484, strongest/lead risk allele) with 22 infectious agents and disease traits (\* ).



\* Associated with: acne, bacterial meningitis, chickenpox, enteric fever, Epstein-Barr virus, Hepatitis B, Hepatitis C , HIV-1, HPV, Influenza A, leprosy, meningococcal disease, mononucleosis, mumps, nontyphoidal salmonellosis, pneumococcal bacteremia, rubella, malaria, shingles, Staphylococcus aureus, strep throat, tuberculosis, urinary tract infection.

\*\* More than half of the HLA associations map to non-coding regions in MHC.

#### **Table 3.**

## **Non-coding variants associated with primary immunodeficiencies and inflammatory disorders.**

Variants are compiled from Wells et al. (44). Inclusion of these variants in the list is not a definitive guarantee that these variants are confirmed as pathogenic. ncRNA=non-coding RNA, UTR=untranslated region. When multiple annotations overlapped, the priority was as follows: ncRNA > UTR > Promoter and Promoter Flanking > Intron > Histone marks. ncRNA variants are reported in association to a PID protein-coding gene because the variant is positioned in one of the non-protein coding isoforms of the protein coding gene. Such isoform are annotated in gencode as "processed\_transcript", "retained\_intron" or "non-sense mediated decay". ncER= non-coding essential regulation score. A score of "100" represents maximal estimate of deleterious functional consequences of variation. ncER values are available at [https://ai-omni.com](https://ai-omni.com/).







\* Category is defined as in Fischer and Rausell (47).