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# Mortality in COPD: Causes, Risk Factors, and Prevention

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# Abstract

Chronic obstructive pulmonary disease (COPD) is a leading and increasing cause of death, the extent of which is underestimated as a consequence of underdiagnosis and underreporting on death certificates. Data from large trials, such as the Lung Health Study, Towards a Revolution in COPD Health (TORCH), Understanding Potential Long-term Impacts on Function with Tiotropium (UPLIFT), European Respiratory Society Study on Chronic Obstructive Pulmonary Disease (EUROSCOP), and Inhaled Steroids in Obstructive Lung Disease (ISOLDE), have shown that the causes of death in patients with mild COPD are predominantly cancer and cardiovascular disease, but as COPD severity increases, deaths due to non-malignant respiratory disease are increasingly common. In practice, mortality of patients with COPD can be predicted by a variety of measures including: forced expiratory volume in one second (FEV<sub>1</sub>), the ratio of inspiratory and total lung capacities, exercise capacity, dyspnea scores, and composite indices such as the body-mass index (B), degree of airflow obstruction (O), degree of functional dyspnea (D), and exercise capacity (E) (BODE) index. Smoking cessation improves survival in COPD patients, and in select patients with advanced disease, oxygen therapy, lung volume reduction surgery, or lung transplantation may also improve survival.

#### Keywords

COPD; Mortality; Anticholinergics; Beta-agonists; Inhaled corticosteroids; Oxygen

# INTRODUCTION

Chronic obstructive pulmonary disease (COPD) is the fourth leading cause of death in the USA (1), and it is projected to be the third-leading cause of death worldwide by 2020 (2). Moreover, while mortality due to other common causes of death in the USA appears to be decreasing, the death rates due to COPD are increasing (3). COPD mortality rates are likely underestimated as a consequence of underdiagnosis and because co-morbidities are

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Declaration of interests

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commonly recorded as causes of death (4). This article reviews the evidence for the prevalence of death caused by COPD, examines how causes of death differ according to the stage of disease severity, and explores the impact of current COPD treatments on mortality.

#### PATIENT DEMOGRAPHICS AND COPD AS A CAUSE OF MORTALITY

The demographics of patients who die from COPD in the USA are changing, reflecting trends in smoking habits during the 20th century. Between 1980 and 2004, mortality among white males reached a plateau, whereas during the same period, mortality among women and African-Americans increased (1). In the year 2000, for the first time there were more deaths from COPD in women than men (5). Between 1980 and 2004, the age-adjusted mortality increased by 162% in Caucasian women (from 14.2 to 37.2 deaths/100,000 population) and 187% in African-American women (from 6.2 to 17.8 deaths/100,000 population) (1). In contrast, age-adjusted mortality has been stable in Caucasian men (50.3 deaths/100,000 population) and increased by only 29% in African-American men (29.4 to 37.9 deaths/100,000 population) over the same time interval (1).

Mortality from COPD is increasing particularly rapidly in elderly women. Between 1980 and 2004, mortality rates more than tripled in women 75 years old (1). In part, this is because the elderly are particularly prone to secondary complications of COPD such as pneumonia, but it is also a likely cohort effect, reflecting the high smoking prevalence among young adults after World War II. This is compounded by the dramatic reduction in competing mortality risks from heart disease and stroke over the past 3 decades (1).

Even with this striking increase in mortality, it is likely that COPD mortality rates are underestimated (4). First, airflow obstruction is often not diagnosed (6, 7). Results from the National Health and Nutrition Examination Surveys (NHANES) I and III suggest that an estimated 24 million Americans have airflow limitation, while only 10 million report a physician diagnosis of COPD or a related disease (5). In a Swedish survey of 1237 adults, 14% had evidence of COPD by spirometry, but less than a third of these individuals had been given a physician diagnosis of COPD, in spite of the fact that most were also symptomatic (8). Underdiagnosis is particularly prevalent in the elderly, in whom half of smokers may eventually develop the disease (8).

There is evidence that many patients who have a documented diagnosis of COPD do not have the condition indicated as an underlying or contributing cause of death on their death certificates (9, 10). Patients with COPD are likely to have multiple co-morbid conditions, particularly coronary artery disease and lung cancer, which may interfere with the accurate determination of cause of death (4). For example, in the study of COPD mortality trends in Tecumseh, Michigan, only 21% of men (62 of 293) and 6% of women (9 of 157) with a clinical diagnosis of COPD had it listed on their death certificate as a primary or contributing cause of death (10). In the Towards a Revolution in COPD Health (TORCH) trial of patients with moderate to severe COPD, among 395 adjudicated deaths that also had informative death certificates, COPD was mentioned on only 58% of the certificates. Even among those patients whose adjudicated cause of death was a COPD exacerbation (n = 127),

COPD was underreported: COPD was not listed as the main cause of death in 34% and was not mentioned at all in 21% of these death certificates (9).

Although vital statistics are likely to underestimate the true burden of COPD, patients with more severe airflow limitation are more likely to have COPD reported as an underlying or contributing cause of death. In a follow-up study of NHANES I participants who had pulmonary function testing, mention of COPD on death certificates was increasingly common with worsening severity of obstruction. For example, COPD was listed as the underlying cause of death on death certificates of 1.3% of participants with mild COPD, 4.4% of those with moderate disease, and 23.1% of those with severe disease. COPD was mentioned on the death certificates of 3.8% of participants with mild disease, 17.8% of those with moderate disease, and 47.7% of those with severe COPD (11).

#### AIRFLOW OBSTRUCTION IS ASSOCIATED WITH INCREASED MORTALITY

Reduction in forced expiratory volume during the first second (FEV<sub>1</sub>) is associated with increased mortality in the general population (12). Even in people with mild COPD, the risk for all-cause mortality is increased compared to those with normal lung function (Figure 1) (11). In the NHANES I study, the hazard ratio (HR) for death in participants with mild COPD was 1.2 (95% confidence interval [CI] 1.01-1.4) in an adjusted proportional hazards model (11). For participants with moderate COPD, the HR for death was 1.6 (95% CI 1.4-2.0), and in those with severe COPD, the HR was 2.7 (95% CI 2.1-3.5) (11).

Interestingly, when NHANES I participants were stratified by smoking status, there was no increased mortality risk in never-smokers with mild, moderate, or severe airflow limitation relative to those with no lung disease, whereas current and former smokers both had increased risk of death with worsening severity of airflow obstruction (11). These data suggest that impaired lung function by itself is not necessarily the cause of death, but that  $FEV_1$  may be a surrogate measure of the biological effects of cigarette smoking, which leads to other fatal diseases, such as cardiovascular disease and cancer.

#### CAUSES OF DEATH IN COPD

The distribution of causes of death in patients with COPD varies with disease severity (Table 1). In mild and moderate COPD, lung cancer and cardiovascular disease are the most common causes of mortality. The Lung Health Study (LHS) III included 5887 smokers with asymptomatic mild to moderate airway obstruction that was identified by screening spirometry, and during 14 years of follow-up, there were 731 deaths in this cohort (13). Cancer was the most common cause of death (54%), particularly lung cancer, followed by cardiovascular disease (22%). Only 8% of deaths were attributed to non-malignant respiratory disease (13).

Similar results were found in the European Respiratory Society Study on Chronic Obstructive Pulmonary Disease (EUROSCOP) of 1277 active smokers with mild to moderate COPD. Among the 18 patients who died during this 3-year study, 6 died from lung cancer, and 6 died from sudden cardiac death or myocardial infarction (14). Only 1 death

was related to a COPD exacerbation, and other causes of death included gastric carcinoma, ruptured aortic aneurysm, pulmonary embolism, and 2 deaths due to trauma (14).

In patients with COPD of moderate severity, cardiovascular disease and malignancy continue to be the predominant causes of death, but deaths due to respiratory disease are more common than in patients with mild COPD. In the Inhaled Steroids in Obstructive Lung Disease (ISOLDE) trial of 751 patients with moderate COPD (mean post-bronchodilator FEV<sub>1</sub> 1.41 L), there were 68 deaths over 3 years, with cardiovascular disease (32%) and cancer (32%) comprising the most common causes of death. Non-malignant respiratory disease was the cause of death in 22% of deaths in this study (15).

In patients with severe COPD, respiratory disease is an even more common cause of death. In the 3-year TORCH trial of 6184 patients with moderate to severe COPD (mean postbronchodilator FEV1 1.22 L), there were 911 adjudicated deaths, with 35% due to respiratory disease, 26% due to cardiovascular disease, and 21% due to malignancy, most commonly lung cancer (16, 17). Respiratory disease was also responsible for a high proportion of deaths in the Understanding Potential Long-term Impacts on Function with Tiotropium (UPLIFT) trial. During this 4-year study of 5993 patients with moderate-to-very severe COPD (mean post-bronchodilator FEV<sub>1</sub> 1.32 L), there were 941 deaths, with 39% due to respiratory disease, 22% due to neoplasm, and 16% due to cardiovascular disease (18, 19). Mortality data from a prospective cohort of 625 COPD patients (mean postbronchodilator FEV<sub>1</sub> 1.25 L) used for validation of the BODE index (body-mass index (B), degree of airflow obstruction (O), degree of functional dyspnea (D), and exercise capacity (E)) further support that respiratory disease may be the predominant cause of death in severe COPD (20). Of the 162 deaths that occurred in this cohort over a median follow-up of 28 months, 61% were attributed to respiratory insufficiency, with the remaining deaths due to myocardial infarction (14%), lung cancer (12%), and miscellaneous causes (13%) (20).

Zielinski et al. (21) examined the causes of death in 215 patients with COPD and chronic respiratory failure requiring long-term oxygen therapy. The majority of deaths occurred in a hospital setting, but 26% of the deaths (n = 56) were unexpected and 20% of patients (n = 43) died in their sleep. Acute or chronic respiratory failure was the most common cause of death (38%), followed by heart failure (13%), pulmonary infection (11%), pulmonary embolism (10%), arrhythmia (8%), and lung cancer (7%) (21). Accordingly, 59% of deaths were due to nonmalignant respiratory causes in this group of hypoxemic patients with COPD.

In summary, the predominant causes of mortality in patients with mild COPD are cardiac disease and malignancy, especially lung cancer. As COPD severity increases, deaths due to respiratory disease are increasingly common.

#### PREDICTORS OF MORTALITY IN COPD

Reduction in  $FEV_1$  has been used as a measure for COPD staging, and it has long been known to predict mortality in COPD (22, 23). However, additional factors have been identified that are independent predictors of increased mortality in COPD patients. COPD is

now considered a systemic disease with manifestations other than just airflow limitation, and hence many of these predictors represent other pathophysiologic alterations that occur with the disease.

There is growing support in the literature that the increased work of breathing and accompanying sensation of dyspnea in COPD are manifestations of end-expiratory hyperinflation, rather than just slowing of expiratory airflow. The inspiratory capacity (IC), a measure of hyperinflation, is an independent predictor of mortality in COPD that is easy to assess with routine lung function testing. In a cohort study of 689 predominantly male patients with moderate-to-very severe COPD (mean post-bronchodilator FEV<sub>1</sub> 1.17 L), the ratio of inspiratory capacity to total lung capacity (IC/TLC) predicted both all-cause and respiratory mortality and was more sensitive and specific than FEV<sub>1</sub> (24). In this study, an IC/TLC ratio of 25% was associated with significantly shorter survival time compared with IC/TLC ratio >25%, and the adjusted HR for all-cause mortality was 1.97 (95% CI 1.36–2.85) for an IC/TLC ratio 25% (24).

Exercise capacity measured by the 6-minute walk distance (6MWD) is also an independent predictor of mortality in COPD. In a 2-year study of 198 predominantly male patients with severe COPD (mean FEV<sub>1</sub> 1.04 L), the 6MWD decreased over time, and this decline was independent of changes in FEV<sub>1</sub> (25). Moreover, 6MWD was a better predictor of mortality than FEV<sub>1</sub> (25). Peak oxygen uptake (VO<sub>2</sub>max) during cycle ergometry is another measure of exercise capacity that also predicts mortality in patients with COPD. In a study of 150 male outpatients with varying COPD severity (mean pre-bronchodilator FEV<sub>1</sub> 1.01 L), there were no deaths among those individuals in the upper quartile with a VO<sub>2</sub>max >995 mL/min over the subsequent five years, whereas over half of participants died among those in the lower quartile with a VO<sub>2</sub>max <654 mL/min during that same time period (26). As with the 6MWD, VO<sub>2</sub>max was an independent predictor of death and was superior to FEV<sub>1</sub> in predicting mortality (26).

Severity of dyspnea has also been shown to predict mortality in COPD, when measured using a standardized assessment method. In a 5-year prospective study of 227 patients with COPD of variable severity (mean FEV<sub>1</sub> 1.03 L), there were no statistically significant differences in 5-year survival by COPD stage based on FEV<sub>1</sub> (p = 0.08) (27). However, dyspnea grade based on the Medical Research Council (MRC) scale did correlate with mortality and was superior to FEV<sub>1</sub> in predicting death (27). Patients who had the most severe dyspnea ("too breathless to leave the house, or breathless after undressing") were more likely to die than those with only mild dyspnea ("when hurrying on the level or walking up a slight hill"), with a hazard ratio of 61.3 (95% CI 13.2–285.4; p < 0.001) (27).

Cachexia and decreased muscle mass are systemic manifestations of COPD associated with increased mortality (28, 29). Studies have demonstrated that a low body mass index (BMI) predicts mortality in patients with COPD (30–32), and although results from clinical trials of nutritional supplementation have been discouraging, there is some evidence that those individuals who do gain weight have improved survival (33). In the National Emphysema Treatment Trial (NETT) cohort of 609 patients with severe emphysema (mean postbronchodilator FEV<sub>1</sub> 27% predicted), low BMI was a weak predictor of mortality, but this

relationship persisted even after adjustment for extent of emphysema using computed tomographic (CT) quantification (32).

Several of the risk factors that independently predict COPD mortality were combined to generate a composite index called the BODE index, with scores ranging from 0 (lowest risk) to 10 (highest risk). The BODE index was validated in a prospective cohort of 625 patients with a wide range of COPD severity, and it was superior to  $FEV_1$  alone in its ability to predict all-cause mortality (20). A modified BODE index also predicted mortality in the NETT cohort (32), and a decrease in the modified BODE index over time correlated with improved survival and was a better predictor of survival than change in any of its individual components (34).

Exacerbations of COPD characterized by increases in cough, phlegm, and dyspnea are common in many patients with COPD, yet occur rarely in others with the same magnitude of airflow limitation. Although most exacerbations are mild and many are not reported to medical caretakers, these events are strong predictors of subsequent death. In a prospective 5-year study of 304 patients with stable COPD, individuals who suffered three or more exacerbations requiring hospitalization or emergency room care during one year (n = 36) were more likely to die than those without exacerbations (adjusted HR 4.13, 95% CI 1.80–9.45) (35). Diffuse mucus plugging of small airways may be a predisposing factor for frequent exacerbations, as examination of NETT surgical LVRS specimens (n = 101) demonstrated that COPD patients with the most diffuse plugging had the highest subsequent mortality (36).

Among potential biomarkers, C-reactive protein (CRP) is a non-specific measure of systemic inflammation associated with an increased risk of mortality in patients with COPD (37). However, it remains to be determined if CRP is a predictor of mortality in COPD specifically, or whether it is a more generic marker of cardiovascular and cancer risk (37–39). In a small cohort of patients with moderate to very severe COPD (n = 218), CRP was not a significant predictor of death after adjusting for other known predictors including BMI, FEV<sub>1</sub> % predicted, dyspnea grade, IC/TLC ratio, 6MWD, and BODE index (40). One analysis including serum samples from 4787 participants in the Lung Health Study suggested that the ratio of fibronectin to CRP, a surrogate for lung inflammation and repair processes, is more predictive of all-cause mortality than either biomarker alone in individuals with COPD. However, with regard to cause-specific mortality, the ratio of fibronectin and CRP levels was predictive of cardiovascular but not respiratory mortality, so it may just be an indicator of cardiovascular risk (41).

In spite of the identification of multiple factors that predict mortality in COPD, it is still challenging for physicians to determine prognosis for their individual patients with COPD compared with other end-stage diseases, such as cancer or severe heart failure. In a study of 6451 Medicare beneficiaries enrolled in hospice programs, 32% of 200 patients with COPD survived for more than 6 months after their enrollment, even though Medicare guidelines stipulate that patients are only eligible for hospice if their life expectancy is less than 6 months (42). Moreover, the range of survival times after hospice admission was greater for

COPD than any other diagnosis except Alzheimer's disease (42). The results of this study suggest that it is difficult for physicians to predict survival in individual patients with COPD.

In summary,  $FEV_1$  remains a useful predictor of mortality, but other measures provide additional information to the  $FEV_1$  in their ability to predict survival in patients with COPD. These alternatives, including composite measures, may better reflect the systemic consequences of COPD than measures of pulmonary impairment alone.

### COPD TREATMENT CAN ALTER MORTALITY

Smoking cessation is essential in the treatment of COPD, as it is one of few interventions that will slow the progressive decline in lung function as well as improve survival in COPD. In the Lung Health Study, 5887 smokers with mild-to-moderate asymptomatic obstruction were randomized to smoking cessation intervention or usual care, their lung function was measured annually for 5 years, and they were followed for a total of 14.5 years. In those who quit smoking, the rate of decline in FEV<sub>1</sub> was half of the rate observed in continuing smokers (43). Moreover, all-cause mortality was reduced among participants randomized to the smoking cessation intervention compared with those who received usual care (8.83 per 1000 person-years versus 10.38 per 1000 person-years; p = 0.03) (13).

There has been substantial controversy regarding the impact of inhaled corticosteroids (ICS) on COPD mortality. In a meta-analysis of 12 randomized controlled trials of a minimum duration of 6 months including 4370 COPD patients, there was no significant difference in all-cause mortality risk between ICS and placebo groups (relative risk 0.81, 95% CI 0.60– 1.08) (44). In contrast, a pooled analysis of seven randomized studies of a minimum duration of 1 year including 5085 individuals with COPD demonstrated a 25% reduction in risk of all-cause mortality in patients who received ICS compared with placebo (HR 0.75, 95% CI 0.57–0.99) (45). Retrospective studies of mortality in COPD patients have also suggested that ICS may improve survival (46–48), but this finding has been criticized because of concern about immortal time bias (49). The strongest evidence available regarding the effect of ICS on survival comes from the TORCH trial, which specified all-cause mortality as the primary endpoint. In this study, COPD patients receiving fluticasone propionate (500 mcg twice daily, n = 1534) had a similar risk of mortality compared with those in the placebo group (n = 1524) in an intention-to-treat analysis (HR 1.06, 95% CI 0.89–1.27; p = 0.53) (16).

There have been several investigations of the effect of inhaled bronchodilators on mortality in COPD. In a randomized placebo-controlled trial of ipratropium in the LHS, there was no significant difference in survival between placebo (n = 1,962) and ipratropium (n groups = 1961) treatment over 5 years (50). There was a statistically significant increase in cardiovascular mortality in the ipratropium group compared with placebo (18 deaths versus 7 deaths, p = 0.03); however, the investigators attributed this finding to possible chance effect of multiple comparisons, because many of the patients who died had discontinued treatment prior to death and there was no evidence of doserelated effect (50). Similarly, a meta-analysis of 17 randomized controlled trials of both long-acting and short-acting inhaled anticholinergic drugs that included 14,783 patients found no significant difference in all-

cause mortality with anticholinergic use compared with control, but it did identify an increased risk of cardiovascular death, myocardial infarction, or stroke (RR 1.58, 95% CI  $1.21-2.06 \ p < 0.001$ ) in those using anticholinergic drugs (54).

In contrast, results of the recent UPLIFT study showed no evidence of increased mortality associated with anticholinergic therapy (18, 19). In this study, which examined mortality as a secondary endpoint, 5,993 patients with moderate-to-very severe COPD (mean postbronchodilator FEV<sub>1</sub> 1.32 L) were randomized to inhaled tiotropium or placebo. At the end of the 1,440-day protocol-defined treatment period, mortality was reduced in the tiotropium group in an intention-to-treat analysis (HR 0.87, 95% CI 0.76–0.99; p = 0.034). Another analysis was performed for follow-up that occurred 30 days after discontinuing therapy (1,470 days), and the trend toward reduced mortality in the tiotropium group persisted but was no longer statistically significant (HR 0.89, 95% CI 0.79–1.02; p = 0.086), due to excess deaths in the tiotropium group during the 30-day wash-out period and because of less complete follow-up at 1,470 days (18, 19).

With regard to inhaled beta-agonists, the TORCH trial randomized 6112 patients with moderate to severe COPD (mean post-bronchodilator FEV<sub>1</sub> 1.22 L), to inhalational therapy with salmeterol alone, fluticasone alone, salmeterol/fluticasone combination, or placebo (16). All-cause mortality was the primary endpoint, and there was no significant difference in 3-year mortality with salmeterol (HR 0.88, 95% CI 0.73–1.06; p = 0.18) or fluticasone (HR 1.06, 95% CI 0.89–1.27; p = 0.53) alone compared with placebo. However, the analysis of unadjusted results showed a significant mortality benefit for those who received the combination treatment compared with placebo (HR 0.82, 95% CI 0.68–0.99; p = 0.04). After statistical adjustment for an interim analysis by the data monitoring board, the results were no longer statistically significant, but there was still a trend towards decreased mortality in the group treated with the salmeterol/fluticasone combination compared with placebo (HR 0.83, 95% CI 0.68–1.002; p = 0.052) (16).

#### TREATMENT IN ADVANCED DISEASE

For patients with severe COPD, additional treatment options may include supplemental oxygen, LVRS, and lung transplantation. In patients with COPD who have resting hypoxemia, supplemental oxygen therapy improves survival (51, 52). In the Nocturnal Oxygen Therapy Trial (NOTT), 203 COPD patients with a resting arterial oxygen tension 55 mmHg (or 59 mmHg with evidence of chronic hypoxemia) were randomly assigned to receive continuous supplemental oxygen (24 hours/day) or nocturnal oxygen (12 hours/day).

After a mean follow-up of 19.3 months (minimum 12 months), the relative risk of death for patients receiving nocturnal oxygen compared with continuous oxygen was 1.94 (95% CI 1.17–3.24) in an intention-to-treat analysis (51). The findings were similar in the Medical Research Council (MRC) study of 87 COPD patients with resting hypoxemia (arterial oxygen 40–60 mmHg) randomized to receive oxygen (15 hours per day) or no oxygen, where the 5-year mortality rate in the oxygen group was less than half that observed in the

control group (52). The effect of oxygen on mortality in patients with less severe resting hypoxemia or with hypoxemia associated with exercise or sleep remains to be determined.

LVRS is another treatment option that improves survival in selected patients with COPD. In the NETT, 1218 patients with severe emphysema were randomized to undergo LVRS or continue with medical management, and there was no difference in the primary endpoint of all-cause mortality between treatment groups after a median follow-up of 2.4 years (RR 1.01, p = 0.90). However, among a subgroup of 290 patients with predominantly upper lobe emphysema and low exercise capacity, the relative risk of death was 0.47 for those in the surgery group compared with the medical therapy group (p = 0.005) (53).

After extending follow-up to a median of 4.3 years, there was an overall mortality benefit for the surgery group when comparing all 1218 randomized patients (RR 0.85, p = 0.02) (54). Survival curves comparing the surgery group and medical management group demonstrated that early mortality risk was higher in the surgery group due to perioperative deaths, but these curves subsequently crossed with higher mortality risk in the medical management group after extended follow-up. However, in the subgroup of patients with predominantly upper lobe disease and low exercise capacity, the survival curves never crossed, and the surgery group maintained a survival advantage over the medical management group throughout the study (54).

Early studies of lung transplantation in COPD patients suggested that transplantation improved exercise capacity and quality of life but not survival (55, 56). However, more recent evidence indicates that transplantation may confer a survival benefit in select patients with advanced COPD. In a non-proportional hazards analysis from an observational study that included 647 COPD patients listed for transplantation in the United Kingdom, the estimated hazard ratio of death was 0.64 (95% CI 0.48–0.86) after transplantation relative to continued listing (57). There has never been a randomized trial comparing single and bilateral lung transplantation in COPD, but results from observational studies suggest that long-term survival is superior with bilateral lung transplantation, especially in younger COPD patients (58–60).

#### SUMMARY

Airflow obstruction is associated with increased mortality, even with mild impairment. In mild to moderate COPD, most deaths are due to cardiovascular disease and lung cancer, but as COPD severity increases, respiratory deaths are increasingly common. Smoking cessation has been shown to decrease mortality, but this is primarily due to the effects of smoking on cardiovascular disease and lung cancer risk. Pharmacotherapy with long-acting bronchodilators may favorably affect survival in moderate-to-severe COPD, but further investigations are necessary to confirm this. Oxygen therapy, LVRS, and bilateral lung transplantation improve survival in selected patients with advanced COPD.

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**Figure 1.** Kaplan-Meier survival curves from the NHANES I study (n = 5542).

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Causes of death in COPD; data from major clinical trials.

	Сан	use of deat	h (% of trial participants)					
Mean FE <sub>1</sub> (L)	Cardio-vascular	Cancer	Respiratory (non-malignant)	Other	Trial Reference	Study size (n)	Deaths	Study follow-up
2.75 <sup>a</sup>	22%	54%	8%	16%	LHS III (13)	5887	731	up to 14.5 years
2.54 <sup>b</sup>	39%	39%	11%	11%	EUROSCOP (14)	1277	18	3 years
1.41 <sup>a</sup>	32%	$32\%^{c}$	22%	$13\%^{c}$	ISOLDE (15)	751	68	3 years
1.22 <sup>a</sup>	26%	21%	35%	18%	TORCH (16, 17)	6184	911	3+ years
1.32 <sup>a</sup>	16%	22%	39%	23%	UPLIFT (18, 19)	5993	941	4 years + 30 days

Data from large COPD trials suggest that the predominant causes of death in patients with COPD changes with increasing COPD severity, shifting from mostly cancer and cardiovascular deaths in patients with mild to moderate COPD to non-malignant respiratory deaths in patients with severe COPD. Where FEV 1 is the forced expiratory volume in 1 second,

a = post-bronchodilator value and

b = pre-bronchodilator value.

<sup>c</sup>Percent of deaths attributed to cardiovascular disease (32.4), cancer (32.4), and other causes (13.2%) were rounded down numerically in the table.

#### Table 2.

Measures that predict all-cause mortality risk in COPD patients.

Predictor	Ref.
FEV <sub>1</sub>	22, 23
IC/TLC	24
6MWD	25
VO <sub>2</sub> max	26
BMI	30-32
Dyspnea Score	27
BODE index	20
Modified BODE index	32, 34
Fibronectin/CRP ratio	41
Exacerbation frequency	35

 $FEV_1 =$  forced expiratory volume in 1 second, IC/TLC = inspiratory capacity/total lung capacity, 6MWD = 6-minute walk distance,  $VO_2max =$  maximal oxygen uptake, BMI = body mass index, CRP = C-reactive protein.

BODE = body-mass index (B), degree of airflow obstruction (O), degree of functional dyspnea (D), and exercise capacity (E).