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# *NAT1* Genetic Variation Increases Asthma Risk in Children with Secondhand Smoke Exposure

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# Abstract

**Objective**—We previously reported that children exposed to secondhand smoke (SHS) that carried variants in the NAT1 gene had over two-fold higher hair cotinine levels. Our objective was to determine if NAT1 polymorphisms confer increased risk for developing asthma in children exposed to SHS.

**Methods**—White participants in the Cincinnati Childhood Allergy and Air Pollution Study (n= 359) were genotyped for 10 *NAT1* variants. Smoke exposure was defined by hair cotinine and parental report. Asthma was objectively assessed by spirometry and methacholine challenge. Findings were replicated in the Genomic Control Cohort (n=638).

**Results**—Significant associations between 5 *NAT1* variants and asthma were observed in the CCAAPS exposed group compared to none in the unexposed group. There was a significant interaction between *NAT1* rs13253389 and rs4921581 with smoke exposure (p=0.02, p=0.01) and hair cotinine level (p=0.048, p=0.042). Children wildtype for rs4921581 had increasing asthma risk with increasing hair cotinine level, whereas those carrying the *NAT1* minor allele had an

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Declaration of Interests

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increased risk of asthma regardless of cotinine level. In the GCC, 13 *NAT1* variants were associated with asthma in the smoke-exposed group, compared to 0 in the unexposed group, demonstrating gene-level replication.

**Conclusions**—Variation in the *NAT1* gene modifies asthma risk in children exposed to secondhand-smoke. To our knowledge, this is the first report of a gene-environment interaction between *NAT1* variants, smoke exposure, cotinine levels, and pediatric asthma. *NAT1* genotype may have clinical utility as a biomarker of increased asthma risk in children exposed to smoke.

#### Keywords

NAT1; secondhand smoke exposure; children; asthma

# INTRODUCTION

Cigarette smoke is a major contributor to illness in children(1). Commonly referred to as secondhand smoke (SHS), inhaled byproducts of cigarette smoking exacerbate 400,000 to 1,000,000 cases of childhood asthma yearly(2-4). Moreover, SHS exposure induce new cases of asthma in children and adolescents. Still, 35% of children in the United States live in homes where residents or visitors smoke regularly(5). Thus, despite well-known risks associated with SHS exposure, a substantial population of children are chronically exposed to SHS, resulting in an accumulation of toxic chemicals and metabolites that have the potential to cause disease. Nicotine and cotinine levels are used as a proxy for systemic exposure to over 4700 chemicals present in SHS(6). Despite the regular use of biomarkers to determine systemic SHS exposures, a large amount of inter-individual variability exists(7-10). Much of this variation has been ascribed to factors such as race, age, and gender, but genetic variation also offers a sizable contribution(6, 11). Many genes are involved in nicotine metabolism, some of which are highly polymorphic and alter the conversion of nicotine to cotinine. Our previously published findings demonstrate that polymorphisms in N-acetyltransferase 1 (NATI), a xenobiotic metabolism gene, result in higher levels of cotinine in hair samples from SHS-exposed children participating in the Cincinnati Childhood Allergy and Air Pollution Study (CCAAPS)(12). Specifically, children exposed to SHS that carried the minor allele A of rs13253389 in NAT1 had over two-fold higher hair cotinine levels than those that were homozygous for the major allele. This finding suggests that NAT1 regulates metabolism of nicotine to cotinine.

The *NAT1* gene is part of a family of ayrlamine N-acetyltransferases that are xenobiotic conjugating enzymes. These enzymes are responsible for acetylation of arylamines and arylhydrazines, *O*-acetylation of N-arylhydroxylamines, and *N*,*O* acetyl transfer of N-hydroxamic acid(13). *NAT1* also functions in the acetylation of heterocyclic and aromatic amines, which are found in many substances including cigarette smoke<sup>(14),(15)</sup>. The NAT genes present a crucial role in the detoxification and activation reactions of numerous xenobiotics originating not only from tobacco-derived aromatic and heterocyclic amine carcinogens but also from drug metabolism(16). Genetic polymorphisms in the *NAT1* genes have been associated with "slow acetylator" and "fast acetylator" phenotypes, which exhibit reduced or enhanced metabolism of xenobiotic compounds, respectively(13). *NAT1* 

polymorphism has been shown to increase the risk of multiple sclerosis(17), colorectal cancer(18) and lung and upper aerodigestive cancer(19) in smokers.

Given our observation that *NAT1* polymorphisms result in significant differences in hair cotinine levels among SHS-exposed children and the association between SHS and respiratory disease, we hypothesized that having *NAT1* polymorphisms would confer increased risk for developing asthma. We genotyped white children participating in CCAAPS as well as the Genomic Control Cohort (GCC) for single nucleotide polymorphisms (SNPs) in *NAT1*. We then evaluated associations between *NAT1* SNPs, SHS exposure and asthma.

# METHODS

#### **Discovery Cohort**

**Population:** Subjects for the discovery population were obtained from participants in CCAAPS, a birth cohort of 762 infants born to atopic parent(s) between 2001 and 2003 in Cincinnati, Ohio and northern Kentucky(20). Infants were identified by birth records and eligible parents: 1) had at least one allergy symptom and 2) were skin prick test (SPT) positive to at least one aeroallergen(20). Children were examined annually at ages 1, 2, 3, 4 and 7 years of age for development of asthma and allergy symptoms, asthma, allergic rhinitis, eczema and food allergy. Medial history and environmental exposures are collected, and the children also underwent skin prick testing to 15 aeroallergens and milk and egg at each visit. Analyses were limited to whites because of differences in nicotine metabolism in whites compared to African-Americans(10), as well as differences in genetic allele frequencies between the races. White CCAAPS children with available genotyping data from our custom Illumina Array(12) and hair cotinine data available from the age 2 or 4 exam were included (n=359). Informed consent and parental permission was obtained from all parents. This study was approved by the Institutional Review Board at the University of Cincinnati.

**SHS Exposure Determination:** SHS exposure histories were obtained using our validated panel of four questions(21). Parents were asked about the mothers smoking habits, the number of smokers living in the child's home, the number of hours per day the child is around SHS at any location and SHS exposures in the car. Children whose parents responded positively to any of these four questions during any clinical exam were defined as SHS exposed; those answering no to all questions were defined as unexposed. Hair samples were obtained by cutting approximately 40 strands of hair in the occipital region of the scalp. Samples were adjusted for weight and analyzed for cotinine level via radioimmunoassay with a limit of detection of 0.02ng/mg. Cotinine values from hair samples taken at ages 2 and 4 were averaged; if only one sample was available then its value was utilized.

**Asthma Determination:** In CCAAPS, asthma was defined at age 7 by reported symptoms and objective measures of lung function(22). Pulmonary function was determined at age 7 via spirometry testing according to ATS-ERS guidelines(23). Each child participant completed at least 4 acceptable maneuvers after the spirometers were verified for volume

accuracy. Children were defined as having asthma if the parent reported asthma symptoms (tight or clogged chest or throat in the past 12 months, difficulty breathing or wheezy after exercise, wheezing or whistling in the chest in the previous 12 months, or a previous doctor's diagnosis of asthma) and the child demonstrated either significant airway reversibility (>12% increase in FEV1) or a positive methacholine challenge test result(22). Cases were defined as children that were determined to have asthma at the age 7 visit; controls did not have a determination of asthma. Allergic asthma was defined as those that had a positive skin prick test to an aeroallergen at any age. Asthma severity was assessed by forced expiratory volume (FEV1).

**DNA Isolation and Genotyping:** The CCAAPS cohort had banked buccal and/or saliva samples available; if both samples were available, saliva samples were used. DNA was isolated from buccal cells collected via cytology brush or saliva and using the Zymo Research Genomic DNA II Kit<sup>TM</sup> (Orange, CA) or Oragene DNA kit (DNA Genotek Inc.). Genotypes were assigned using GenomeStudio's genotyping module. Genotyping was performed using a custom Illumina GoldenGate assay. The custom assay was populated with genes related to nicotine and xenobiotic metabolism and oxidative stress. SNPs with reported minor allele frequencies (MAF) 10% in the CEU population that captured the common genetic variation were selected using a coefficient of determination (r<sup>2</sup>) of 0.8 in HapMap (http://hapmap.ncbi.nlm.nih.gov/). In the CCAAPS population, one of the *NAT1* SNPs had a MAF of 8.6% but was retained in the analyses. For this analysis, *NAT1* SNPs that failed Hardy Weinberg Equilibrium (p < 0.0001) or had missing call rates greater than 10% were excluded.

#### **Replication Cohort**

**Population:** White subjects for the replication population were obtained from participants in the GCC, a CCHMC-funded cohort of 1020 children representative of the Greater Cincinnati population with available SNP array data. Participants were invited to participate in the GCC without respect to any disease status. Participants in the GCC filled out questionnaires regarding medical history, including asthma and allergy symptoms and asthma, allergic rhinitis and eczema diagnosis by a physician. Blood samples were collected and DNA was extracted. In these analyses, participants were restricted to whites.

**SHS Exposure and Asthma Determination:** SHS exposure was determined by parent report using the same four question screener as the discovery CCAAPS population. GCC asthma cases were defined by parental report of doctor-diagnosed asthma. Controls were participants without parental report of asthma. Allergic asthma was defined as those with parental report of eczema, hay fever or environmental allergies. Since asthma is often not diagnosed until later in childhood, we restricted the controls to GCC participants 7 years of age to minimize misclassification.

**DNA Isolation and Genotyping:** Genomic DNA was extracted from blood samples using Manual PerfectPure DNA Blood Kit. Genotyping was performed on the Illumina OMNI5 platform. SNPs that failed Hardy Weinberg Equilibrium (p < 0.0001) or had missing call rates greater than 10% were excluded. All *NAT1* SNPs had a MAF 10% (n=60).

#### **Statistical Analyses**

Principal component analyses was performed in EIGENSTRAT(24) using 100 ancestryinformative markers (AIMs) included on the CCAAPS chip, to account for potential population stratification. To address multiple testing, we determined the average pairwise linkage disequilibrium (LD, as measured by r<sup>2</sup>) for all SNP combinations and calculated the Bonferroni correction using Simple Interactive Statistical Analyses Software. The LDadjusted Bonferroni corrected p-value for CCAAPS was 0.01. Associations between asthma and *NAT1* SNPs were tested using logistic regression in all subjects as well as in subjects exposed and non-exposed to SHS. Additionally, interaction analyses between *NAT1* polymorphism and hair cotinine level was conducted in the CCAAPS population. Interaction analyses were not held to our multiple testing correction criteria since the analyses were stratified, therefore the interaction was considered significant at p<0.05. All models were adjusted for sex and were carried out in SAS 9.4 (SAS, Cary, NC). In the GCC, models were also adjusted for age.

# RESULTS

## **Demographics of Subjects**

Of the 359 white children included from the CCAAPS cohort, 13.4% were asthmatic, 79.1% had allergic asthma and the mean FEV1 was  $93 \pm 13\%$ . The asthma rate in the GCC cohort (n=638) was slightly higher at 15.5% (66.7% had allergic asthma), but this is due to the restriction of the controls to those 7 years of age (Table 1). We previously reported that hair cotinine was higher in children with parental reported smoke exposure than those without (0.139 vs. 0.058, p<0.0001)(12). Parental reported SHS exposure and hair cotinine levels were significantly higher in CCAAPS children with asthma compared to non-asthmatics (p=0.02 and p=0.0006, respectively, Table 1). SHS exposure was not associated with asthma in the GCC (p=1.00). The proportion of males did not differ significantly between groups in either population. White CCAAPS children included in this analysis did not differ from the 237 white CCAAPS children not included with respect to sex, asthma diagnosis or SHS exposure (data not shown).

#### Genetic Associations with Asthma and SHS Exposure in CCAAPS

In our previous report, children carrying 1 or 2 copies of the minor allele of *NAT1* rs13253389 had ~2-fold higher cotinine levels than children without the minor allele(12). We first tested whether this SNP was associated with asthma in the context of SHS exposure. Indeed, children with parental reported SHS exposure that carried one or two copies of the minor rs13253389 allele had a higher prevalence of asthma (18.9% and 44.4%, respectively) than children with only the major allele (10.1%, p=0.007, Supplemental Table 1). In contrast, asthma prevalence was not associated with rs13253389 in children not SHS exposed (Supplemental Table 1).

We then evaluated the 10 *NAT1* SNPs and examined associations with asthma (Fig 1, Supplemental Table 2). In the whole population, only one of the NAT1 SNPs reached statistical significance at our LD-adjusted Bonferroni p-value threshold of 0.01 (Fig 1a, Supplemental Table 2). After stratifying by parental-reported SHS exposure, five of the 10

SNPs significantly associated with asthma in the exposed group (Fig 1b, Supplemental Table 2). Importantly, CCAAPS children without parental-reported SHS exposure did not demonstrate any significant genetic associations between *NAT1* and asthma (Fig 1c, Supplemental Table 2).

We next conducted interaction analyses of the SNPs with SHS exposure. To mitigate the potential for recall bias associated with the parental-reported SHS exposure, we examined both SNP\*parental-reported SHS exposure as well as *NAT1*\*hair cotinine interaction in subjects with parental-reported SHS exposure. Cotinine is an internal dose marker for SHS exposure, and levels of this biomarker in patient hair represent a quantitative measure of chemicals that may be present in SHS. Two SNPs had a significant SNP\*parental-reported SHS exposure, 4 SNPs had significant SNP\*hair cotinine level interaction. Two SNPs, rs4921581 and rs13253389, showed significant interaction with both parental-reported SHS and hair cotinine level (Table 2). We previously reported that these SNPs are in strong LD(12). While both SNPs were significantly associated with asthma, rs4921581 had a larger effect size (OR 2.80 vs OR 2.67, Supplemental Table 2).

We then looked at the interactive effect of *NAT1* rs4921581 genotype and hair cotinine level on asthma risk graphically. At the highest levels of hair cotinine (>0.25ng/mg), we did not observe an effect of the rs4921581 SNP on asthma risk. Only 12 of the 126 children had hair cotinine >0.25ng/mg; they were subsequently removed from this analysis. In the remaining 114 children, we observed a differential risk by genotype for asthma (Fig 2). In children with the GG genotype, asthma risk increases with increasing levels of hair cotinine (Fig 2). In contrast, the risk of asthma in children with the AA or GA genotype is high even at the lowest levels of hair cotinine, suggesting that even low levels of SHS exposure in children with at least one A allele are detrimental.

#### Replication of the association of NAT1, SHS exposure and asthma in the GCC

In order to replicate of our findings, we evaluated parental report of SHS exposure and asthma as well as *NAT1* genotype in the GCC population. We had genotyping information on 60 *NAT1* SNPs. Five of our 10 *NAT1* SNPs genotyped in the CCAAPS population were included in the 60 available for the GCC. When all GCC subjects were evaluated, none of the *NAT1* SNPs reached nominal significance (Fig 3). After stratification for parental-reported SHS exposure, we observed a significant asthma association for 13 *NAT1* SNPs in the exposed group (p<0.05), compared to none in the unexposed group (Fig 3). Although the 13 significant SNPs in the GCC did not overlap with the 5 significant SNPs in the CCAAPS population, this demonstrates gene-level replication.

# DISCUSSION

Our data demonstrate that *NAT1* genetic variation interacts with SHS exposure to increase the risk of asthma in children. Children exposed to SHS who carried the risk allele had a high risk of asthma regardless of exposure level, while asthma risk in children without the variant was positively correlated with hair cotinine level. At higher levels of hair cotinine, the asthma risk reached the same level for children with and without the variant, suggesting

that the genetic effect may be masked at higher levels of exposure. Taken together, these findings suggest that *NAT1* variation plays a role in modifying asthma likelihood in children exposed to SHS. To our knowledge, this is the first report of a gene-environment interaction between *NAT1* genetic polymorphisms, SHS exposure, and pediatric asthma.

Our group previously demonstrated that the *NAT1* rs13253389 SNP was associated with increased hair cotinine levels, which may be attributed to altered nicotine metabolism. In our current analysis, this SNP exhibited a statistically significant association with a diagnosis of asthma, but only in the context of SHS exposure. These results suggest that *NAT1* genetic variation alters the metabolism of multiple xenobiotic compounds present in SHS, not just nicotine, which promote airway hyper responsiveness in exposed children.

Genetic polymorphism in *NAT1* confers measurable differences in its detoxifying function. Slow and fast acetylator *NAT1* phenotypes types have previously been identified. In adults, slow acetylators have a 2.5-fold increased risk of diisocyanate-induced asthma, suggesting that slow acetylators cannot effectively neutralize toxic diisocyanates and their metabolic byproducts(25). Variation in a NAT1 tagging SNP has been associated with a 70% decreased risk of diisocyanate-associated asthma compared to asymptomatic controls in diisocyanate-exposed workers(26). Similarly, in SHS-exposed children, *NAT1* variation may subject them to accumulation of numerous toxic compounds, including diisocyanates(27). Inadequate inactivation of harmful compounds may stimulate increased mucous production, constrict the smooth muscle of the airway, or promote accumulation of inflammatory cells, all physiological hallmarks in asthma development.

In addition to its role in detoxifying harmful substances via acetylation, *NAT1* inactivates cysteinyl leukotrienes (CysLTs)(28). CysLTs are inflammatory lipid mediators that play a crucial role in airway narrowing as well as mediation of hyper-responsiveness to histamine that are both associated with asthma, recurrent episodes of coughing, wheezing, and breathlessness<sup>(29),(30),(31)</sup>. Consequentially, by inactivating CysLTs and modulating histamine activity, *NAT1* may inhibit their pro-inflammatory mechanism and alter the occurrence or severity of asthma<sup>(32)</sup>.

NAT enzymes have been demonstrated in bronchial epithelial cells, including Clara cells and type II pneumocytes, suggesting that inhaled aromatic amine pollutants, such as those present in SHS, may undergo NAT-dependent biotransformation in lung epithelium(33).

Furthermore, exposure of lung cells to high levels of oxidants, such as  $H_2O_2$  or peroxynitrite, impairs *NAT1*-dependent cellular biotransformation of aromatic amines, possibly compromising detoxification pathways. These findings suggest a contribution of NAT enzyme function on respiratory disease outcomes and how NAT alteration may result in deleterious pollutant effects on health.

The most significant *NAT1* tagging SNP in this study, rs4921581, is located within an intron. While it is possible that rs4921581 is directly involved in the observed phenotype, this is unlikely since it is a tagging SNP and did not replicate in the GCC, even though other variants in *NAT1* did exhibit association. Further, we investigated whether any of our significant SNPs were *cis*-expression quantitative trait loci (*cis*-eQTLs) using the Genotype-

Tissue Expression (GTEx) project(34) but there was no evidence that any of our *NAT1* SNPs affect expression levels. Using HaploReg v4.1(35), we identified that rs4921581 is predicted to alter a regulatory motif as well as histone sites in blood and immune cells, therefore it may have a regulatory function. Further, some of our *NAT1* SNPs were either associated with an eQTL or in LD with a SNP associated with an eQTL in whole blood, but none of these SNPs were top-associated *cis*-eQTLs (false discovery rate <0.5)(36). Therefore, our SNP is most likely in linkage disequilibrium with an undetermined causal variant.

*NAT1* genetic variants with known effects on enzyme activity levels have been previously described. An alteration in the consensus polyadenylation site within the *NAT1\*10* allele(37–39) and a G<sup>445</sup>A transition (Val<sup>149</sup>Ile, rs4987076) in the *NAT1\*11* allele are both associated with increased enzymatic activity(40), while a G<sup>560</sup>A substitution (Arg<sup>187</sup>Gln, rs4986782) and C<sup>559</sup>T base substitution (Arg<sup>187</sup>Stop) are associated with significantly decreased *NAT1* activity(14, 40). Additionally, *NAT1\*17, \*1*, and *\*22* have been associated with reduced *NAT1* enzymatic activity, but their frequency in whites is so low that these are probably of little consequence at the population level(41, 42). Further sequencing and biologic studies are needed to determine causal *NAT1* variants in our population.

The strengths of this study include the comprehensive phenotyping of the CCAAPS subjects, specifically the objective asthma diagnosis and available hair cotinine levels, as well as the availability of the GCC as a replication population from the same geographical region. Study participants were all white children in the Greater Cincinnati area; consequently, future studies will be needed in order to verify the generalizability of our results. Although our discovery cohort was modestly sized, our similar findings in the GCC replication cohort provide replication and support our findings. Due to power concerns given our modest sample size, we did not adjust for other potential confounders such as air pollution and allergen exposure or evaluate asthma severity and sub-phenotypes. Larger, future studies are necessary to disentangle these effects. Because we used a tagging SNP selection approach, there may be other *NAT1* SNPs with associations with hair cotinine and asthma diagnosis which were not directly tested. Given our gene-level rather than SNP-level replication, to establish which variants are contributing in a causal manner it will be necessary to first evaluate all variants in the region, and then perform mechanistic studies to demonstrate metabolic or pathophysiologic relevance.

#### Conclusion

Our data supports that *NAT1* SNP rs4921581 is associated with increased risk of asthma, specifically in SHS-exposed children. These findings may have direct clinical utility, as knowledge of *NAT1* genotype can potentially inform caregivers about asthma risk when SHS-exposure status is known. Future studies examining the mechanisms underlying the associations between *NAT1* polymorphism, SHS exposure, and asthma may lead to the development of novel and efficacious pharmacologic therapies.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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# Abbreviations

AIMs	ancestry-informative markers				
CCAAPS	Cincinnati Childhood Allergy and Air Pollution Study				
GCC	Genomic Control Cohort				
Cis-eQTLs	cis-expression quantitative trait loci				
GTEx	Genotype-Tissue Expression				
LD	linkage disequilibrium				
MAF	minor allele frequencies				
NAT1	N-acetyltransferase				
SHS	secondhand smoke				
SNPs	single nucleotide polymorphisms				
SPT	skin prick test				

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# Figure 1:

Association of NAT1 SNPs with asthma stratified by secondhand smoke exposure in white CCAAPS children. Gray line indicates LD-adjusted Bonferroni corrected p=0.01.

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#### Figure 2:

Predicted probabilities of asthma by hair cotinine levels stratified by NAT1 rs4921581 genotype in white CCAAPS children.

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**Figure 3:** Association of *NAT1* SNPs with asthma stratified by SHS exposure in white GCC children. Gray line indicates p=0.05.

#### Table 1:

Population Description and Sample Size in White Discovery and Replication Populations.

	CCAAPS			GCC		
	Asthma (N=48)	No Asthma (N=311)	p-value	Asthma (N=99)	No Asthma (N=539)	p-value
Males, N (%)	30 (62.5)	168 (54.0)	0.27	48 (48.5)	267 (49.5)	0.93
Parental report SHS, N (%)	24 (50.0)	102 (32.8)	0.02	20 (20.2)	108 (20.0)	1.00
% aeroallergen SPT+, N (%)	30 (79.2)	239 (77.9)	0.72	n/a <sup>†</sup>		
Hair cotinine ng/mg (mean(SD))	0.15 (0.21)	0.08 (0.13)	0.0006	n/a <sup>†</sup>		
Age (mean)	7	7	n/a *	11.9	12.6 ‡	0.13

\*All children in the CCAAPS birth cohort are evaluated for asthma at the age 7 clinical exam.

 $^{\dagger}$  These variables are not available for the GCC.

 $\ddagger$ GCC controls were restricted to those that were 7 years of age.

## Table 2.

Interaction analyses of *NAT1* SNPs, Parental-Reported SHS Exposure and Hair Cotinine Level with Asthma in White CCAAPS Children.

SNP	Major/Minor allele	MAF	SNP <sup>*</sup> SHS Interaction p-value	SNP <sup>*</sup> Hair Cotinine Interaction <sup>*</sup>
rs7017402	G/A	0.123	0.079	0.959
rs4921580	C/G	0.126	0.135	0.007
rs4921581	G/A	0.333	0.014	0.042
rs13253389	G/A	0.344	0.020	0.048
rs17693103	G/T	0.179	0.112	0.568
rs6586714	G/A	0.086	0.188	0.115
rs4921880	A/T	0.227	0.101	0.989
rs11777998	G/C	0.123	0.051	0.060
rs7003890	-G/A	0.442	0.885	0.030
rs8190845	G/A	0.126	0.861	0.157

Only children with parental-reported SHS exposure.