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Real-World Direct Comparison of the Effectiveness and Safety of Apixaban, Dabigatran, Rivaroxaban, and Warfarin in Medicare Beneficiaries with Atrial Fibrillation

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Abstract

It remains unknown whether the comparative effectiveness of direct oral anticoagulants (DOACs) and warfarin differs between atrial fibrillation (AF) patients with and without a history of stroke or transient ischemic attack (TIA). Using 2012-2014 Medicare claims data, we identified patients newly diagnosed with AF in 2013-2014 who initiated apixaban, dabigatran, rivaroxaban or warfarin. We categorized patients based on a history of stroke or TIA. We constructed Cox proportional hazard models that included indicator variables for treatment groups, a history of stroke or TIA, and the interaction between them, and controlled for demographics and clinical characteristics. DOACs were generally more effective than warfarin in stroke prevention; however, there were important differences between subgroups defined by a history of ischemic stroke. In particular, the superiority of dabigatran compared to warfarin in ischemic stroke prevention was more pronounced in patients with a history of stroke or TIA [hazard ratio (HR) 0.64; 95% CI 0.48-0.85] than in patients with no history of stroke or TIA (HR 0.94; 95%CI 0.75–1.16; p-value for interaction=0.034). There was no difference in the risk of stroke between apixaban, dabigatran, and rivaroxaban in patients with no history of stroke or TIA. However, among patients with a history of stroke or TIA, the risk of stroke was lower with dabigatran (HR 0.64;95%CI 0.48–0.85) and rivaroxaban (HR 0.70;95% CI 0.56-0.87), compared to apixaban (p-value for both interactions<0.05). In conclusion, the comparative effectiveness of DOACs differs substantially between patients with and without a history of stroke or TIA; specifically, apixaban is less effective in patients with a history of stroke or TIA.

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Anticoagulants; History of Stroke or TIA; Atrial Fibrillation

Introduction

Since 2010, the Food and Drug Administration (FDA) has approved four direct oral anticoagulants (DOACs) for stroke prevention in atrial fibrillation (AF), including the direct thrombin inhibitor dabigatran, and the direct factor Xa inhibitors rivaroxaban, apixaban and edoxaban. Although no clinical trials have compared head-to-head the effectiveness and safety of DOACs, numerous observational studies have directly compared DOACs. In these studies, rivaroxaban was generally associated with a lower effectiveness in stroke prevention and a higher bleeding risk than apixaban and dabigatran^{1–6}. However, no studies have evaluated whether the comparative effectiveness and safety of DOACs differ between patients with and without a history of stroke or TIA. This is relevant because first, patients with previous stroke or TIA have a particularly high risk of recurrent stroke⁷, and second, prior studies have reported important differences in the effectiveness and safety of DOACs across patient subgroups defined by age and renal function^{8–11}. To address this evidence gap, we conducted a retrospective cohort study using 2012–2014 Medicare claims data. We hypothesized that the comparative effectiveness but not the comparative safety of DOACs would differ between subgroups defined by history of stroke or TIA.

Methods

Using 2012–2014 Medicare Part D claims data from a 5% random sample, we first identified patients who were newly diagnosed with AF in 2013–2014 and who had continuous Part D enrollment (Figure 1). According to the Center for Medicare and Medicaid Services (CMS) Chronic Condition Data Warehouse (CCW), AF was defined as having one inpatient or two outpatient claims with primary or secondary International Classification of Disease, Ninth Revision (ICD-9) code 427.31. After excluding patients who did not fill a prescription for anticoagulation agents after the first diagnosis, our sample included 21,265 patients. We categorized them into four treatment groups, according to the oral anticoagulant agent first initiated after AF diagnosis: apixaban (n=2358), dabigatran (n=1415), rivaroxaban (n=5139), and warfarin (n=12353). Low dose was defined as initiating apixaban 2.5mg, dabigatran 75mg, or rivaroxaban 10 mg or 15mg. High dose included initiating apixaban 5mg, dabigatran 150mg, and rivaroxaban 20 mg. Low dose was not defined for warfarin users since the dosage of warfarin is based on INR monitoring. The index date was defined as the date of the first prescription filled for an oral anticoagulant drug after the first diagnosis of AF.

All study participants were categorized into two subgroups based on the presence or absence of a previous occurrence of a stroke or TIA. History of stroke or TIA was defined following the CMS CCW definition which traces back the first diagnosis of stroke or TIA to the first month of Medicare eligibility.¹²All patients were followed from the index date until switch of anticoagulation therapy, therapy discontinuation, death or end of the study (December 31,

The primary effectiveness outcomes were the risk of ischemic stroke and of other thromboembolic (TE) event. The primary safety outcomes were the risk of any bleeding event and of gastrointestinal (GI) bleeding. Secondary effectiveness and safety outcomes included the composite risk of stroke, other TE events, and death, risk of death, and risk of intracranial (IC) bleeding. The list of codes used to define outcomes is provided in Supplemental Table 1.^{1,13,14}

Demographic and clinical characteristics were assessed on the index date. Demographic characteristics included age, gender, race and Medicaid eligibility. Clinical characteristics included CHA2DS2-VASc score, HAS-BLED score, CMS priority comorbidities, liver disease, vascular disease, a history of alcohol or drug use, a history of bleeding, antiplatelet use, and nonsteroidal anti-inflammatory drug (NSAIDS) use. CHA2DS2-VASc score is a stroke risk stratification tool for patients with AF.¹⁵HAS-BLED score is a prediction measure of the risk of major bleeding on anticoagulation.¹⁶ Because claims data do not include INR information, we calculated HAS-BLED score as the sum of all factors except for labile INR, as previously done in the literature.^{1,11} CMS priority comorbidities included chronic kidney disease, hypertension, acute myocardial infarction, diabetes mellitus, congestive heart failure (CHF), and number of other CMS priority comorbidities.

Baseline patient characteristic were compared across the four treatment groups using the Kruskal-Wallis test for continuous variables and the chi-square or Fisher exact tests for categorical variables. Kaplan-Meier curves were created to estimate the unadjusted cumulative incidence rates of effectiveness and safety outcomes. Cox proportional hazard models were constructed to compare time-to-event across treatment groups. Cox models included indicator variables for treatment group for a history of stroke or TIA, and the interaction-term between the treatment group and the subgroup variable. Cox models controlled for all covariates listed above except CHA2DS2-VASc and HAS-BLED scores. CHA2DS2-VASc and HAS-BLED scores were not included in the models because all the individual factors used in the calculation of these scores were included. Since there are six possible treatment comparisons, we applied Bonferroni correction and adjusted the significance level to 0.0083(=0.05/6). All statistical analyses were conducted using statistical software SAS (version 9.4).

Results

The proportion of patients with a history of stroke or TIA was highest for the warfarin group, followed by rivaroxaban, apixaban and lowest for dabigatran (Figure 1). Apixaban users were the oldest on average, and warfarin users had the highest percentage of Medicaid eligibility (Table 1).

Table 2 shows the unadjusted cumulative incidence rates of primary outcomes and Supplemental Table 1 of secondary outcomes. Figure 2 shows Kaplan-Meier survival curves for primary outcomes.

The comparative risk of stroke between DOACs and warfarin was consistent across the two subgroups defined by a history of stroke or TIA except for the comparison between dabigatran and warfarin (Figure 3). The risk of stroke was lower with dabigatran than warfarin, and this superiority of dabigatran was more pronounced in patients with a history of stroke or TIA. DOACs were also associated with a lower risk of other TE events compared to warfarin, and this superiority in TE prevention was more marked in the subgroup without a history of stroke or TIA. Similar findings were observed for the composite risk of stroke, other TE events, and death: DOACs were associated with lower risk than warfarin in both subgroups with the exception of the comparison between apixaban and warfarin among patients with a history of stroke or TIA (Supplemental Table 2).

There was no difference in the risk of stroke between apixaban and dabigatran or between apixaban and rivaroxaban for patients with no history of stroke or TIA (Figure 4). However, for patients with a history of stroke or TIA, the risk of ischemic stroke was lower with dabigatran and rivaroxaban, when compared to apixaban.

The cumulative incidence of bleeding was higher for warfarin and rivaroxaban compared to apixaban and dabigatran (Table 2). There was no difference in the risk of any bleeding for DOAC versus warfarin across subgroups defined by history of stroke or TIA (Figure 4). There was a significant interaction between treatment group and a history of stroke or TIA for the comparison of GI bleeding between rivaroxaban and dabigatran: while the risk of GI bleeding did not differ between two DOACs for patients with a history of stroke or TIA, the risk of GI bleeding was higher with rivaroxaban than dabigatran among patients without a history of stroke or TIA.

Discussion

To our best knowledge, our study was the first to test how the comparative effectiveness and safety of DOACs versus warfarin differs in subgroups defined by history of stroke or TIA. Our study yielded three main findings: First, the superiority of dabigatran and rivaroxaban in stroke prevention as compared to warfarin was more pronounced in patients with a history of stroke or TIA. Second, although there was no difference in stroke prevention between apixaban and warfarin, apixaban was less effective compared to dabigatran and rivaroxaban for patients with a history of stroke or TIA. Third, there was no difference in the comparative safety of each DOAC and warfarin between patients with and without a history of stroke or TIA.

Our results for the comparative effectiveness of DOACs versus warfarin by history of stroke or TIA differ from subgroup analyses of the RE-LY, ARISTOTLE, and ROCKET clinical trials.^{17–19} For example, in a subgroup analysis of the RE-LY trial for patients with previous stroke or TIA, the risk of stroke or systemic embolism did not significantly differ between dabigatran 150mg and warfarin (RR 0.75, 95% CI 0.52–1.08).¹⁷ Additionally, our results for

the comparison between rivaroxaban and warfarin are different from those by Coleman et al., who found that rivaroxaban significantly reduced the risk of stroke and IC bleeding among patients who had a previous stroke or TIA while there was no significant difference in stroke prevention for the comparison between dabigatran and warfarin.²⁰The divergent results could be due to several reasons, including different characteristics of the study populations, and of patterns of DOAC prescribing in the real-world clinical practice.

Our study has important clinical implications for the management of oral anticoagulation in AF patients. The superiority of dabigatran and rivaroxaban over warfarin was more pronounced in patients with a history of ischemic stroke and TIA. Moreover, the commonly used apixaban was inferior to dabigatran and rivaroxaban in stroke prevention among patients with a history of stroke or TIA. Combined, these results suggest that dabigatran may be the preferred DOAC in patients with AF and a history of stroke and TIA, while apixaban and dabigatran would both be favorable in patients without a history of stroke and TIA. Unfortunately, our claims data analyses do not allow us to explore the mechanism underlying these differences. Further research is needed in order to validate these differences in other patient cohorts, preferably using data sources that contain clinical information, in order to minimize residual confounding due to unobserved effects. In any case, our results reinforce the need to tailor the choice of anticoagulation therapy to patients' characteristics, and to weigh both risks of bleeding and stroke prevention. This is especially true for high risk patients, as it is the case of those with previous stroke or TIA.

Our study is subject to some limitations. First, claims data lack laboratory results such as INR levels. Additionally, claims data do not include information about drug adherence. Second, the mean follow-up period was around 300 days, so we are not able to observe long-term outcomes associated with anticoagulation treatment. Third, patients on the dabigatran group were younger, had lower CHA2DS2-Vasc score, and a lower prevalence of chronic conditions. Although we controlled for these variables in our analyses, it is possible that our findings are affected by residual confounding²¹. Likewise, for patients without a history of stroke or TIA, use of antiplatelets and NSAIDs was higher on the rivaroxaban group than the dabigatran group. Although we adjusted for the use of these medications, our results for the comparative risk of bleeding could have been affected by residual confounding, overestimating differences in bleeding risk between two agents. Nevertheless, these differences are not specific concerning because we aim to test if there are differences in the comparative effectiveness and safety of DOACs, and warfarin between subgroups defined by history of stroke or TIA. Fourth, the different approval dates of DOACs might have had an impact on our results, because patterns of prescribing could have changed over time

In conclusion, using Medicare data, we found differences in the comparative effectiveness of DOACs and warfarin between patients with and without a history of stroke or TIA. Although our results need to be validated in other patient cohorts, our findings reinforce the need to tailor anticoagulation to clinical characteristics of AF patients.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.

Selection of the Study Sample

Abbreviations: TIA=Transient Ischemic Attack

Using Medicare Part D data from 2012 to 2014, we identified patients who were newly diagnosed with atrial fibrillation between January 1, 2013 and December 31, 2014 and excluded those who had no continuous Part D enrollment. Patients were categorized by anticoagulant drug first used and history of stroke or TIA



Figure 2.

Survival Curves for Ischemic Stroke and Any Bleeding Event, by Subgroup Abbreviation: TIA= transient ischemic attack

A: Kaplan-Meier curve for ischemic stroke for patients with no history of stroke or TIA

- B: Kaplan-Meier curve for ischemic stroke for patients with history of stroke or TIA
- C: Kaplan-Meier curve for any bleeding event for patients with no history of stroke or TIA
- D: Kaplan-Meier curve for any bleeding event for patients with history of stroke or TIA



	Ischemic Stroke	P-value for interaction		Other TE Event	P-value for interaction	
Apixaban vs Warfarin No History of Stroke or TIA History of Stroke or TIA	0.88(0.71,1.08) 1.04 (0.86,1.27)	0.213	⊢ ∎∔ ► <mark>●</mark> 1	0.30(0.21,0.42)* 0.62(0.43,0.90)	0.003	· ● →
Dabigatran vs Warfarin No History of Stroke or TIA History of Stroke or TIA	0.94(0.75,1.16) 0.64(0.48,0.85)*	0.034		0.40(0.28,0.56)* 0.62(0.39,0.99)	0.122	
Rivaroxaban vs Warfarin No History of Stroke or TIA History of Stroke or TIA	0.87(0.75,1.00) 0.73(0.63,0.85)*	0.089		0.42(0.34,0.51)* 0.73(0.58,0.93)	<0.001	· ⊷
Dabigatran vs Apixaban No History of Stroke or TIA History of Stroke or TIA	1.06(0.81,1.41) 0.61(0.44,0.85)*	0.011		1.35(0.85,2.13) 1.00(0.57,1.75)	0.422	
Rivaroxaban vs Apixaban No History of Stroke or TIA History of Stroke or TIA	0.99(0.79,1.24) 0.70(0.56,0.87)*	0.029		1.41(0.98,2.04) 1.18(0.79,1.75)	0.502	
Rivaroxaban vs Dabigatran No History of Stroke or TIA History of Stroke or TIA	0.92(0.73,1.17) 1.14(0.85,1.54)	0.273		1.05(0.73,1.52) 1.18(0.72,1.92)	0.714	

Figure 3.

Adjusted Hazard Ratio for Effectiveness Outcomes, by Subgroup.

Abbreviation: TIA= transient ischemic attack; TE= Thromboembolic. *p value< 0.0083. Adjusted hazard ratio were estimated with Cox proportional hazard models controlled for demographic and clinical characteristics including age, gender, race, eligibility for Medicaid coverage, chronic kidney disease, diabetes, hypertension, congestive heart failure, liver disease, vascular disease, number of other CMS priority conditions, a history of bleeding, use of NSAIDs, use of antiplatelet drug, use of drug or alcohol. We used Bonferroni correction to adjust the significance level to 0.0083(0.05/6) since we performed six pairwise comparisons.

Anizahan ya Warfurin	Any Bleeding Event	P-value for interaction		GI Bleeding	P-value for interaction	
No History of Stroke or TIA History of Stroke or TIA	0.79(0.68,0.91)* 0.78(0.60,1.01)	0.955	→	0.76(0.58,0.99) 0.71(0.44,1.14)	0.809	
Dabigatran vs Warfarin No History of Stroke or TIA History of Stroke or TIA	0.89(0.77,1.04) 1.03(0.78,1.36)	0.376	⊨ =• ⊨ =•	0.84(0.64,1.11) 1.41(0.92,2.15)	0.042	
Rivaroxaban vs Warfarin No History of Stroke or TIA History of Stroke or TIA	1.14(1.04,1.25)* 0.98(0.84,1.15)	0.082		1.42(1.22,1.65)* 1.21(0.94,1.56)	0.268	, 1
Dabigatran vs Apixaban No History of Stroke or TIA History of Stroke or TIA	1.14(0.93,1.37) 1.32(0.92,1.89)	0.474	⊢ ∎-1 }	1.11(0.78,1.59) 2.00(1.09,3.57)	0.103	
Rivaroxaban vs Apixaban No History of Stroke or TIA History of Stroke or TIA	1.45(1.25,1.70)* 1.25(0.95,1.67)	0.371	1-1	1.85(1.43,2.44)* 1.70(1.04,2.78)	0.754	
Rivaroxaban vs Dabigatran No History of Stroke or TIA History of Stroke or TIA	1.28(1.09,1.49)* 0.95(0.71,1.28)	0.086		1.69(1.27,2.23)* 0.86(0.55,1.35)	0.013	

Figure 4.

Adjusted Hazard Ratio for Any Bleeding Event and Gastrointestinal Bleeding, by Subgroup. Abbreviation: TIA= transient ischemic attack; GI= gastrointestinal. *p value <0.0083. Adjusted hazard ratio were estimated with Cox proportional hazard models controlled for demographic and clinical characteristics including age, gender, race, eligibility for Medicaid coverage, chronic kidney disease, diabetes, hypertension, congestive heart failure, liver disease, vascular disease, number of other CMS priority conditions, a history of bleeding, use of NSAIDs, use of antiplatelet drug, use of drug or alcohol. We used Bonferroni correction to adjust the significance level to 0.0083(0.05/6) since we performed six pairwise comparisons

Table 1.

Baseline Patient Characteristics, Stratified by History of Stroke or Transient Ischemic Attack, by Treatment Group

		No Histor	y of Stroke or TIA		
Variable	Apixaban (n=1864)	Dabigatran (n=1168)	Rivaroxaban (n=4035)	Warfarin (n=9271)	P-Value
Follow-up(days)	187±140	300±192	256±181	277±187	< 0.001
Age(year)	76.7±8.5	74.2±8.6	75.7±8.5	75.4±10.3	< 0.001
<65	79(4.2%)	73(6.3%)	214(5.3%)	959(10.3%)	
65–74	716(38.4%)	582(49.8%)	1730(42.9%)	3346(36.1%)	
75	1069(57.4%)	513(43.9%)	2091(51.8%)	4966(53.6%)	
Men	829(44.5%)	557(47.7%)	1833(45.4%)	4115(44.4%)	0.153
White	1636(87.8%)	1014(86.8%)	3549(88.0%)	7829(84.5%)	< 0.001
Black	89(4.8%)	56(4.8%)	188(4.7%)	746(8.0%)	< 0.001
Hispanic	66(3.5%)	43(3.7%)	166(4.1%)	414(4.5%)	0.216
Other races	73(3.9%)	55(4.7%)	132(3.3%)	282(3.0%)	0.010
Medicaid eligibility	341(18.3%)	273(23.4%)	781(19.4%)	2616(28.2%)	< 0.001
Low dose *	446(23.9%)	207(17.7%)	1191(29.5%)	0(0%)	< 0.001
CHA2DS2-VASc score †	4.12±1.35	3.77±1.40	3.96±1.38	4.14 ± 1.44	< 0.001
HAS-BLED score [‡]	3.43±0.74	3.24±0.76	3.36±0.78	3.38±0.83	< 0.001
Chronic Kidney Disease§	573(30.7%)	267(22.9%)	1118(27.7%)	3645(39.3%)	< 0.001
Hypertension §	1730(92.8%)	1022(87.5%)	3647(90.4%)	8212(88.6%)	< 0.001
Acute Myocardial Infraction $^{\delta}$	12, 6.8%)	55(4.7%)	246(6.1%)	792(8.5%)	< 0.001
Diabetes §	811(43.5%)	472(40.4%)	1618(40.1%)	4289(46.3%)	< 0.001
Congest Heart Failure $^{\&}$	824(44.2%)	458(39.2%)	1694(42.0%)	4920(53.1%)	< 0.001
No. of other Center for Medicare and Medicaid Service priority comorbidities [#]	5.42±2.47	4.56±2.62	5.29±2.57	5.31 ±2.73	<0.001
Liver disease [#]	23(1.2%)	10(0.9%)	54(1.3%)	113(1.2%)	0.627
Vascular disease **	427(22.9%)	234(20.03%)	902(22.4%)	2545(27.5%)	< 0.001
Alcohol or drug use $^{\dot{\tau}\dot{\tau}}$	25(1.34%)	15(1.28%)	57(1.41%)	125(1.35%)	0.986
History of bleeding $\ddagger \ddagger$	263(14.1%)	139(11.9%)	573(14.2%)	1513(16.3%)	< 0.001
Use of antiplatelet agents $\$$	191(10.3%)	92(7.9%)	370(9.2%)	810(8.7%)	0.100
Use of Nonsteroidal Anti- inflammatory Drug ^{////}	216(11.6%)	138(11.8%)	515(12.8%)	896(9.7%)	<0.001
		History	of Stroke or TIA		
Variable	Apixaban (n=494)	Dabigatran (n=247)	Rivaroxaban (n=1104)	Warfarin (n=3082)	P-Value
Follow-up(days)	178±139	271±192	256±178	267±183	< 0.001
Age(year)	80.3±8.3	78.3±8.4	78.8±8.6	77.9±10.0	< 0.001
<65	13(2.6%)	8(3.2%)	57(5.2%)	274(8.9%)	

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65–74	110(22.3%)	75(30.4%)	283(25.6%)	785(25.5%)	
75	371(75.1%)	164(66.4%)	764(69.2%)	2023(65.6%)	
Men	173(35.0%)	108(43.7%)	412(37.3%)	1211(39.3%)	0.079
White	430(00.7%)	201(81.4%)	904(81.9%)	2467(80.1%)	< 0.001
Black	23(4.7%)	18(7.3%)	90(8.2%)	371(12.0%)	< 0.001
Hispanic	16(3.2%)	14(5.7%)	67(6.1%)	144(4.7%)	0.078
Other races	17(3.4%)	14(5.7%)	43(3.9%)	100(3.24%)	0.213
Medicaid eligibility	122(24.7%)	70(28.3%)	301(27.3%)	1129(36.6%)	< 0.001
Low dose *	179(36.2%)	66(26.7%)	446(40.4%)	0(0%)	< 0.001
CHA2DS2-VASc score †	6.81±1.26	6.56±1.26	6.73±1.31	6.79±1.34	0.013
HAS-BLED score [‡]	4.76±0.79	4.64±0.75	4.68±0.79	4.70±0.80	0.136
Chronic Kidney Disease $^{\&}$	230(46.6%)	93(37.7%)	444(40.2%)	1601(52.0%)	< 0.001
Hypertension $§$	476(93.4%)	236(95.6%)	1069(96.8%)	2977(96.6%)	0.782
Acute Myocardial Infraction $^{\&}$	47(9.5%)	24(9.7%)	126(11.4%)	422(13.7%)	0.012
Diabetes §	244(49.4%)	125(50.6%)	558(50.5%)	1696(55.0%)	0.013
Congestive Heart Failure §	259(52.4%)	135(54.7%)	596(54.0%)	1924(62.4%)	< 0.001
No. of other Center for Medicare and Medicaid Service priority comorbidities [#]	6.62±2.45	6.31±2.56	6.80±2.53	6.76±2.58	0.017
Liver disease [#]	5(1.0%)	1(0.4%)	11(1.0%)	35(1.1%)	0.864
Vascular disease **	225(45.6%)	91(36.8%)	502(45.5%)	1460(47.4%)	0.013
Alcohol or drug use ††	2(0.4%)	1(0.4 %)	13(1.2%)	42(1.4%)	0.221
History of bleeding $\ddagger \ddagger$	95(19.2%)	48(19.4%)	196(17.8%)	701(22.7%)	0.003
Use of antiplatelet agents $\$$	126(25.5%)	60(24.3%)	270(24.5%)	624(20.3%)	0.003
Use of Nonsteroidal Anti- inflammatory Drug ^{////}	57(11.5%)	29(11.7%)	137(12.4%)	297(9.6%)	0.054

Abbreviations: TIA=Transient Ischemic Attack.

Continuous variables are expressed as mean and standard deviation (square brackets). Categorical variables are expressed as frequency and percentage (square brackets). P-value were calculated by Kruskal-Wallis test for continuous variables and Chi-square test for categorical variables.

Low-dose was defined as initiating apixaban 2.5mg, dabigatran 75mg, rivaroxaban 15mg or 10mg. Low-dose was only defined for DOAC users because warfarin dosing is based on international normalized ratio (INR) monitoring.

⁷CHADS2-VASc score is a prediction measure of the risk of stroke in patients with atrial fibrillation. In the calculation of CHASDs-VASc score, age of 65–74 years, CHF, hypertension, diabetes mellitus, vascular disease, and sex category (i.e. female sex) are assigned one point, and age of 75 years, a history of stroke or TIA are assigned two points; CHASD2-VAS score is calculated as the sum of all points.

^{\mathcal{I}}HAS-BLED score is a prediction measure of the risk of bleeding. It was calculated as the sum of the following factors: age of > 65 years, hypertension, renal disease, liver disease, using antiplatelet agents or NSAIDs, a history of stroke, major bleeding and alcohol or drug use, and labile INR. Because INR levels are not included in claims data, HAS-BLED score was calculated as the sum of all factors except for labile INR.

[§]Center for Medicare and Medicaid Service (CMS) priority comorbidities were calculated using the CMS Chronic Condition Warehouse definitions.

[#]Other CMS priority conditions included Alzheimer's disease, related disorders or senile dementia, anemia, asthma, benign prostatic hyperplasia, cataract, chronic obstructive pulmonary disease, depression, ischemic heart disease, hip or pelvic fracture, glaucoma, hyperlipidemia, osteoporosis, rheumatoid arthritis or osteoarthritis, breast cancer, colorectal cancer, prostate cancer, lung cancer and endometrial cancer.

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[#]Liver disease was defined as having at least one inpatient or outpatient claim with primary or secondary ICD-9 code 571.xx in the year before index date.

** Vascular disease was defined as having one inpatient or outpatient claim with primary or secondary ICD-9 codes 440.0x, 440.2x, 440.9x, 441.3x, 441.4x, 441.5x, 441.9x, 443.9x, 444.22, 444.81, 447.1x, 443.81, 250.70, 433.10, 433.11, 433.30 in the year before the index date.

 †† Alcohol and drug use were defined as having at least one inpatient or outpatient claim with primary or secondary ICD-9 codes 303.xx, 304.xx, 305.xx in the year before the index date.

^{*±*}A history of bleeding was defined as having a claim with ICD-9 codes for any bleeding event in the year before the index date.

^{§§}Antiplatelet drug use was defined as filling at least one prescription for aspirin, clopidogrel, prasugrel, dipyridamole, ticlopidine or ticagrelor in the six months before the index date.

^{///}Nonsteroidal Anti-inflammatory Drug use was defined as filling at least one prescription for diclofenac, ibuprofen, naproxen, ketoprofen, fenoprofen, flurbiprofen, piroxicam, meloxicam, mefenamic acid or indomethacin in the six months before the index date.

Table 2.

Unadjusted Cumulative Incidence Rates of Primary Effectiveness and Safety Outcomes at One-year Followup, Stratified by History of Stroke or Transient Ischemic Attack, by Treatment Group

	No History of Stroke or TIA				
	Apixaban (n=1864)	Dabigatran (n=1168)	Rivaroxaban (n=4035)	Warfarin (n=9271)	
Effectiveness Outcomes					
Ischemic Stroke					
Number of events	106(5.69%)	95(8.13%)	281(6.96%)	787(8.49%)	
Cumulative incidence at 1 year	0.10(0.08,0.12)	0.10(0.08,0.13)	0.10(0.09,0.11)	0.11(0.11,0.12)	
Other Thromboembolic Event					
Number of events	36(1.93%)	37(3.17%)	133(3.3%)	723(7.80%)	
Cumulative incidence at 1 year	0.03(0.02,0.04)	0.04(0.02,0.05)	0.04(0.03,0.05)	0.09(0.09,0.10)	
Safety Outcomes					
Any Bleeding Event					
Number of events	219(11.75%)	203 (17.38%)	814 (20.17%)	1868(20.15%)	
Cumulative incidence at 1 year	0.20(0.17,0.23)	0.21(0.18,0.24)	0.27(0.25,0.28)	0.26(0.25,0.27)	
GI Bleeding					
Number of events	64(3.43%)	58(4.97%)	314(7.78%)	617(6.66%)	
Cumulative incidence at 1 year	0.06(0.04,0.07)	0.06(0.05,0.08)	0.10(0.09,0.12)	0.09(0.08,0.10)	
		History of S	troke or TIA		
	Apixaban (n=494)	Dabigatran (n=247)	Rivaroxaban (n=1104)	Warfarin (n=3082)	
Effectiveness Outcomes					
Ischemic Stroke					
Number of events	101/05 100/				
	124(25.10%)	52(21.05%)	265(24.00%)	942(30.56%)	
Cumulative incidence at 1 year	124(25.10%) 0.37(0.30,0.44)	52(21.05%) 0.27(0.20,0.34)	265(24.00%) 0.32(0.28,0.35)	942(30.56%) 0.38(0.35,0.40)	
Cumulative incidence at 1 year Other Thromboembolic Event	124(25.10%) 0.37(0.30,0.44)	52(21.05%) 0.27(0.20,0.34)	265(24.00%) 0.32(0.28,0.35)	942(30.56%) 0.38(0.35,0.40)	
Cumulative incidence at 1 year Other Thromboembolic Event Number of events	124(25.10%) 0.37(0.30,0.44) 32(6.48%)	52(21.05%) 0.27(0.20,0.34) 19(7.69%)	265(24.00%) 0.32(0.28,0.35) 107(9.69%)	942(30.56%) 0.38(0.35,0.40) 385(12.49%)	
Cumulative incidence at 1 year Other Thromboembolic Event Number of events Cumulative incidence at 1 year	124(25.10%) 0.37(0.30,0.44) 32(6.48%) 0.12(0.07,0.16)	52(21.05%) 0.27(0.20,0.34) 19(7.69%) 0.10(0.05,0.15)	265(24.00%) 0.32(0.28,0.35) 107(9.69%) 0.12(0.10,0.15)	942(30.56%) 0.38(0.35,0.40) 385(12.49%) 0.16(0.14,0.17)	
Cumulative incidence at 1 year Other Thromboembolic Event Number of events Cumulative incidence at 1 year Safety Outcomes	124(25.10%) 0.37(0.30,0.44) 32(6.48%) 0.12(0.07,0.16)	52(21.05%) 0.27(0.20,0.34) 19(7.69%) 0.10(0.05,0.15)	265(24.00%) 0.32(0.28,0.35) 107(9.69%) 0.12(0.10,0.15)	942(30.56%) 0.38(0.35,0.40) 385(12.49%) 0.16(0.14,0.17)	
Cumulative incidence at 1 year Other Thromboembolic Event Number of events Cumulative incidence at 1 year Safety Outcomes Any Bleeding Event	124(25.10%) 0.37(0.30,0.44) 32(6.48%) 0.12(0.07,0.16)	52(21.05%) 0.27(0.20,0.34) 19(7.69%) 0.10(0.05,0.15)	265(24.00%) 0.32(0.28,0.35) 107(9.69%) 0.12(0.10,0.15)	942(30.56%) 0.38(0.35,0.40) 385(12.49%) 0.16(0.14,0.17)	
Cumulative incidence at 1 year Other Thromboembolic Event Number of events Cumulative incidence at 1 year Safety Outcomes Any Bleeding Event Number of events	124(25.10%) 0.37(0.30,0.44) 32(6.48%) 0.12(0.07,0.16) 65(13.16%)	52(21.05%) 0.27(0.20,0.34) 19(7.69%) 0.10(0.05,0.15) 55(22.27%)	265(24.00%) 0.32(0.28,0.35) 107(9.69%) 0.12(0.10,0.15) 230 (20.83%)	942(30.56%) 0.38(0.35,0.40) 385(12.49%) 0.16(0.14,0.17) 719(23.33%)	
Cumulative incidence at 1 year Other Thromboembolic Event Number of events Cumulative incidence at 1 year Safety Outcomes Any Bleeding Event Number of events Cumulative incidence at 1 year	124(25.10%) 0.37(0.30,0.44) 32(6.48%) 0.12(0.07,0.16) 65(13.16%) 0.21(0.16,0.27)	52(21.05%) 0.27(0.20,0.34) 19(7.69%) 0.10(0.05,0.15) 55(22.27%) 0.27(0.20,0.34)	265(24.00%) 0.32(0.28,0.35) 107(9.69%) 0.12(0.10,0.15) 230 (20.83%) 0.29(0.25,0.33)	942(30.56%) 0.38(0.35,0.40) 385(12.49%) 0.16(0.14,0.17) 719(23.33%) 0.29(0.27,0.31)	
Cumulative incidence at 1 year Other Thromboembolic Event Number of events Cumulative incidence at 1 year Safety Outcomes Any Bleeding Event Number of events Cumulative incidence at 1 year GI Bleeding	124(25.10%) 0.37(0.30,0.44) 32(6.48%) 0.12(0.07,0.16) 65(13.16%) 0.21(0.16,0.27)	52(21.05%) 0.27(0.20,0.34) 19(7.69%) 0.10(0.05,0.15) 55(22.27%) 0.27(0.20,0.34)	265(24.00%) 0.32(0.28,0.35) 107(9.69%) 0.12(0.10,0.15) 230 (20.83%) 0.29(0.25,0.33)	942(30.56%) 0.38(0.35,0.40) 385(12.49%) 0.16(0.14,0.17) 719(23.33%) 0.29(0.27,0.31)	
Cumulative incidence at 1 year Other Thromboembolic Event Number of events Cumulative incidence at 1 year Safety Outcomes Any Bleeding Event Number of events Cumulative incidence at 1 year GI Bleeding Number of events	124(25.10%) 0.37(0.30,0.44) 32(6.48%) 0.12(0.07,0.16) 65(13.16%) 0.21(0.16,0.27) 19(3.85%)	52(21.05%) 0.27(0.20,0.34) 19(7.69%) 0.10(0.05,0.15) 55(22.27%) 0.27(0.20,0.34) 24(9.72%)	265(24.00%) 0.32(0.28,0.35) 107(9.69%) 0.12(0.10,0.15) 230 (20.83%) 0.29(0.25,0.33) 93(8.42%)	942(30.56%) 0.38(0.35,0.40) 385(12.49%) 0.16(0.14,0.17) 719(23.33%) 0.29(0.27,0.31) 252(8.18%)	

Abbreviations: TIA=Transient Ischemic Attack; GI= gastrointestinal.

Cumulative incidence of effectiveness and safety outcomes were calculated from Kaplan-Meier curves. Number of events are presented as frequency and percentage of the respective treatment group. Cumulative incidence at 1 year are presented as cumulative incidence and 95% confidence interval